# Data Structures I: O notation (recursion)



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#### Cocktail of the day: Margarita



Disclaimer: Keep alcohol out of the hands of minors.







#### Cocktail of the day: Margarita

- 35 ml Tequila
- 20 ml Cointreau
- 15 ml lime juice













https://msdn.microsoft.com/en-us/library/bb266220(v=office.12).aspx



#### Review: O notation

- **1** Number of instructions: T(n)
- 2 Asymptotic analysis: O notation
- Rule of sums
- Rule of products







#### Sum the elements of an array

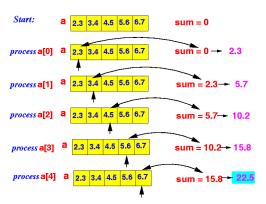


Figure: Array sum



## Sum the elements of an array (2)

```
Proceso ArraySum
  Definir i, n, sum, A Como Entero;
  Leer n;
  sum <- 0:
  Dimension A[n]:
  Para i <- 0 hasta n-1 con paso 1 Hacer
    sum \leftarrow sum + A[1];
  FinPara
  Escribir sum;
FinProceso
```



# Sum the elements of an array (3)

```
Proceso ArraySum
  Definir i, n, sum, A Como Entero;
  Leer n;
  sum <- 0;
  Dimension A[n]:
  Para i <- 0 hasta n-1 con paso 1 Hacer
    sum \leftarrow sum + A[1];
  FinPara
  Escribir sum:
FinProceso
```

Number of instructions T(n) = ?







# Sum the elements of an array (4)

```
Proceso ArraySum
  Definir i, n, sum, A Como Entero;
                                      // 4
                                          // 1
  Leer n;
                                          // 1
  sum <- 0;
                                          // 1
  Dimension A[n]:
  Para i <- 0 hasta n-1 con paso 1 Hacer // 1*n
    sum \leftarrow sum + A[1];
                                           // 3*n
  FinPara
                                          // 1
  Escribir sum;
FinProceso
```

$$T(n) = 7n + 5$$



# Sum the elements of an array (5)

```
Proceso ArraySum
  Definir i, n, sum, A Como Entero;
                                      // 4
                                          // 1
  Leer n;
                                          // 1
  sum <- 0;
                                          // 1
  Dimension A[n]:
  Para i <- 0 hasta n-1 con paso 1 Hacer // 1*n
    sum \leftarrow sum + A[1];
                                           // 3*n
  FinPara
                                           // 1
  Escribir sum;
FinProceso
```

$$T(n) = 7n + 5$$
 is  $O(n)$ 



## Recursive sum of an array

```
SubProceso sum <- ArraySum( A, n )
  Definir i, sum Como Entero;
  Si n = 0 Entonces
    sum <- A[0]:
  Sino
    sum \leftarrow A[n] + ArraySum(A, n-1);
  FinSi
FinSubProceso
T(n) = ?
```



# Recursive sum of an array (2)

```
SubProceso sum <- ArraySum( A, n )
  Definir i, sum Como Entero; // 2
  Si n = 0 Entonces
                                     // 2
    sum <- A[0];
  Sino
    sum \leftarrow A[n] + ArraySum(A, n-1); // 4 + T(n-1)
```

$$T(n) = \begin{cases} 5 & \text{if} \quad n = 0 \\ 7 + T(n-1) & \text{if} \quad n > 0 \end{cases}$$

# Recursive sum of an array (3)

$$T(n) = \begin{cases} 5 & \text{if} \quad n = 0 \\ 7 + T(n-1) & \text{if} \quad n > 0 \end{cases}$$

is O(n)





#### Recurrence relations

- An order d linear homogeneous recurrence relation with constant coefficients is an equation of the form:
- $T(n) = c_1 a_{n-1} + c_2 a_{n-2} + ... + c_d a_{n-d}$
- For example, an equation of order 1 is

$$T(n) = \begin{cases} 5 & \text{if } n = 0 \\ 7 + T(n-1) & \text{if } n > 0 \end{cases}$$





#### Recurrence relations

- An order *d* linear homogeneous recurrence relation with constant coefficients is an equation of the form:
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- For example, an equation of order 1 is

$$T(n) = \begin{cases} 5 & \text{if } n = 0 \\ 7 + T(n-1) & \text{if } n > 0 \end{cases}$$





$$T(n) = 7 + T(n-1)$$

■ 
$$T(n) = 7 + (7 + T(n-2))$$
, by induction

$$T(n) = 7 + (7 + (7 + T(n-3)))$$
, by induction

$$T(n) = \underbrace{7 + (7 + (7 + T(n - 3)))}_{7 \times 3}$$

$$T(n) = \underbrace{7 + 7 + \dots + 7}_{7 \times n} + T(n-n)), \text{ by induction}$$

$$T(n) = 7n + T(0) \text{ and } T(0) = 5$$

$$T(n) = 7n + 5$$
, by replacing  $T(0)$  by 5



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 and  $T(0) = 5$ 

■ 
$$T(n) = 7n + 5$$
, by replacing  $T(0)$  by 5



1 
$$T(n) = 7n + 5$$

- O(7n+5) = O(7n), by Rule of Sums
- 4 O(7n) = O(n), by Rule of Products
- Therefore, T(n) = 7n + 5 is O(n).







- 1 T(n) = 7n + 5
- 2 7n + 5 is O(7n + 5), by Definition of O
- O(7n+5) = O(7n), by Rule of Sums
- O(7n) = O(n), by Rule of Products
- Therefore, T(n) = 7n + 5 is O(n).





1 
$$T(n) = 7n + 5$$

2 
$$7n + 5$$
 is  $O(7n + 5)$ , by Definition of  $O$ 

3 
$$O(7n + 5) = O(7n)$$
, by Rule of Sums

$$O(7n) = O(n)$$
, by Rule of Products

Therefore, 
$$T(n) = 7n + 5$$
 is  $O(n)$ .







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Therefore, 
$$T(n) = 7n + 5$$
 is  $O(n)$ .



# Recursive maximum element of an array

```
SubProceso max <- ArrayMax( A, n )
  Definir i, max, temp Como Entero;
  max <- A[n]; // Si n = 0, max <- A[0]
  Si n != 0 Entonces
    temp <- ArrayMax(A, n-1);
  Si temp > max Entonces
    max <- temp;</pre>
```

T(n) = ?



# Recursive maximum element of an array (2)

$$T(n) = \begin{cases} 6 & \text{if} \quad n = 0 \\ 9 + T(n-1) & \text{if} \quad n > 0 \end{cases}$$



# Recursive maximum element of an array (3)

```
SubProceso max <- ArrayMax( A, n )
  Definir i, max, temp Como Entero; // 3
                                      // 2
 \max \leftarrow A[n];
                                      // 1
  Si n != 0 Entonces
                              // 1 + T(n-1)
    temp <- ArrayMax(A, n-1);
    Si temp > max Entonces
                                // 1
                                      // 1
      max <- temp;</pre>
```

$$T(n) = \begin{cases} 6 & \text{if } n = 0 \\ 9 + T(n-1) & \text{if } n > 0 \end{cases} = 9n + 6$$

$$T(n) = 9 + T(n-1)$$

$$T(n) = 9 + (9 + T(n-2))$$
, by induction

$$T(n) = 9 + (9 + (9 + T(n-3)))$$
, by induction

$$T(n) = \underbrace{9 + (9 + (9 + T(n - 3)))}_{9 \times 3}$$

■ 
$$T(n) = \underbrace{9 + 9 + ... + 9}_{9 \times n} + T(n - n))$$
, by induction

$$T(n) = 9n + T(0)$$
 and  $T(0) = 6$ 

$$T(n) = 9n + 6$$
, by replacing  $T(0)$  by 6

$$T(n) = 9 + T(n-1)$$

■ 
$$T(n) = 9 + (9 + T(n-2))$$
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$$T(n) = \underbrace{9 + 9 + \dots + 9}_{9 \times n} + T(n - n)), \text{ by induction}$$

$$T(n) = 9n + T(0)$$
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$$T(n) = 9n + T(0)$$
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#### Recursive maximum element: Proof

$$T(n) = 9 + T(n-1)$$

■ 
$$T(n) = 9 + (9 + T(n-2))$$
, by induction

$$T(n) = 9 + (9 + (9 + T(n-3)))$$
, by induction

$$T(n) = \underbrace{9 + (9 + (9 + T(n - 3)))}_{9 \times 3}$$

■ 
$$T(n) = \underbrace{9 + 9 + ... + 9}_{9 \times n} + T(n - n))$$
, by induction

$$T(n) = 9n + T(0)$$
 and  $T(0) = 6$ 

$$T(n) = 9n + 6$$
, by replacing  $T(0)$  by 6

# Recursive maximum element of an array (4)

$$T(n) = \begin{cases} 6 & \text{if } n = 0 \\ 9 + T(n-1) & \text{if } n > 0 \end{cases} = 9n + 6$$
 is  $O(n)$ 



- 1 T(n) = 9n + 6
- O(9n+6) = O(9n), by Rule of Sums
- 4 O(9n) = O(n), by Rule of Products
- Therefore, T(n) = 9n + 6 is O(n).





- **1** T(n) = 9n + 6
- 29n+6 is O(9n+6), by Definition of O
- O(9n + 6) = O(9n), by Rule of Sums
- 4 O(9n) = O(n), by Rule of Products
- Therefore, T(n) = 9n + 6 is O(n).





1 
$$T(n) = 9n + 6$$

$$29n+6$$
 is  $O(9n+6)$ , by Definition of  $O$ 

$$O(9n+6) = O(9n)$$
, by Rule of Sums

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$$O(9n) = O(n)$$
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Therefore, 
$$T(n) = 9n + 6$$
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Therefore, 
$$T(n) = 9n + 6$$
 is  $O(n)$ .





#### Recursive Fibonacci series

http://visualgo.net/recursion.html









# Applications of Fibonacci

```
https://plus.maths.org/content/
life-and-numbers-fibonacci
```





#### Recursive Fibonacci series

```
SubProceso result <- Fibo( n )
  Definir result Como Entero;
  Si n <= 1 Entonces
    result <- n;
  Sino
    result \leftarrow Fibo(n-1) + Fibo(n-2):
T(n) = ?
```



#### Recursive Fibonacci series (2)

$$T(n) = \begin{cases} 3 & \text{if } n < 1 \\ 4 + T(n-1) + T(n-2) & \text{if } n > 1 \end{cases}$$



## Recursive Fibonacci series (2)

```
SubProceso result <- Fibo( n )
  Definir result Como Entero; //1
                                //1
  Si n <= 1 Entonces
                                  //1
    result <- n;
  Sino
    result <- Fibo (n-1) + Fibo (n-2); //2 + T(n-1) + T(n-2)
```

$$T(n) = \begin{cases} 3 & \text{if } n < 1 \\ 4 + T(n-1) + T(n-2) & \text{if } n > 1 \end{cases} = (4+3)2^{n} + 4$$



■ 
$$T(n) = 4 + \underbrace{T(n-1) + T(n-2)}_{2^1 \text{ function calls}}$$
, by induction

$$T(n) = \underbrace{4 \times 3}_{4 \times (2^2+1)} + \underbrace{T(n-2) + T(n-3) + T(n-3) + T(n-4)}_{2^2 \text{ function calls}}$$

$$T(n) = \underbrace{4 \times 9}_{4 \times (2^3 + 1)} + \underbrace{T(n-2) + T(n-4) + T(n-5) + \dots}_{2^3 \text{ function calls}}$$

$$T(n) = 4(2^n + 1) + T(n - n)2^n$$
, by induction

$$T(n) = (4+3)2^n + 4$$
, by replacing  $T(0)$  by 3



■ 
$$T(n) = 4 + \underbrace{T(n-1) + T(n-2)}_{2^1 \text{ function calls}}$$
, by induction

$$T(n) = \underbrace{4 \times 3}_{4 \times (2^2+1)} + \underbrace{T(n-2) + T(n-3) + T(n-3) + T(n-4)}_{2^2 \text{ function calls}}$$

$$T(n) = \underbrace{4 \times 9}_{4 \times (2^3 + 1)} + \underbrace{T(n - 2) + T(n - 4) + T(n - 5) + \dots}_{2^3 \text{ function calls}}$$

$$T(n) = 4(2^n + 1) + T(n - n)2^n$$
, by induction

$$T(n) = (4+3)2^n + 4$$
, by replacing  $T(0)$  by 3





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$$T(n) = 4 + \underbrace{T(n-1) + T(n-2)}_{2^1 \text{ function calls}}$$
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$$T(n) = 4(2^n + 1) + T(n - n)2^n$$
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$$T(n) = 4 + \underbrace{T(n-1) + T(n-2)}_{2^1 \text{ function calls}}$$
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$$T(n) = \underbrace{4 \times 9}_{4 \times (2^3 + 1)} + \underbrace{T(n - 2) + T(n - 4) + T(n - 5) + \dots}_{2^3 \text{ function calls}}$$

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$$T(n) = 4(2^n + 1) + T(n - n)2^n$$
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$$T(n) = \underbrace{4 \times 9}_{4 \times (2^3 + 1)} + \underbrace{T(n - 2) + T(n - 4) + T(n - 5) + \dots}_{2^3 \text{ function calls}}$$

■ 
$$T(n) = 4(2^n + 1) + T(n - n)2^n$$
, by induction

■ 
$$T(n) = (4+3)2^n + 4$$
, by replacing  $T(0)$  by 3





## Recursive Fibonacci series (3)

```
SubProceso result <- Fibo( n )
  Definir result Como Entero: //1
                                  //1
  Si n <= 1 Entonces
                                  //1
    result <- n;
  Sino
    result \langle -Fibo(n-1) + Fibo(n-2); //2 + T(n-1) + T(n-2)
```

$$T(n) = \begin{cases} 3 & \text{if } n < 1 \\ 4 + T(n-1) + T(n-2) & \text{if } n > 1 \end{cases} = (4+3)2^{n} + 4$$



- $T(n) = (3+4)2^n + 4$
- $(3+4)2^n+4$  is  $O((3+4)2^n+4)$ , by Definition of O
- $O((3+4)2^n+4) = O((3+4)2^n)$ , by Rule of Sums
- 4  $O((3+4)2^n) = O(2^n)$ , by Rule of Products
- Therefore,  $T(n) = (3+4)2^n + 4$  is  $O(2^n)$ .



$$T(n) = (3+4)2^n + 4$$

$$(3+4)2^n+4$$
 is  $O((3+4)2^n+4)$ , by Definition of  $O$ 

$$O((3+4)2^n+4) = O((3+4)2^n)$$
, by Rule of Sums

4 
$$O((3+4)2^n) = O(2^n)$$
, by Rule of Products

Therefore, 
$$T(n) = (3+4)2^n + 4$$
 is  $O(2^n)$ .







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4 
$$O((3+4)2^n) = O(2^n)$$
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5 Therefore, 
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, by Rule of Sums

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$$O((3+4)2^n) = O(2^n)$$
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5 Therefore, 
$$T(n) = (3+4)2^n + 4$$
 is  $O(2^n)$ .





$$T(n) = (3+4)2^n + 4$$

2 
$$(3+4)2^n+4$$
 is  $O((3+4)2^n+4)$ , by Definition of O

3 
$$O((3+4)2^n+4) = O((3+4)2^n)$$
, by Rule of Sums

4 
$$O((3+4)2^n) = O(2^n)$$
, by Rule of Products

5 Therefore, 
$$T(n) = (3+4)2^n + 4$$
 is  $O(2^n)$ .

$$T(n) = T(n-1) + C$$

■ 
$$T(n) = T(n-3) + C$$

■ Example: Recursion 1, factorial, array sum

$$\blacksquare$$
  $T(n)$  is  $O(n)$ 

$$T(n) = T(n-1) + C$$

$$T(n) = T(n-3) + C$$

■ Example: Recursion 1, factorial, array sum

 $\blacksquare$  T(n) is O(n)

Case 2: 
$$T(n) = T(n-a) + T(n-b)$$

$$T(n) = T(n-1) + T(n-2)$$

- T(n) = 2T(n-1)
- Example: Recursion 2, Fibonacci, Hannoi Towers
- T(n) is  $O(2^n)$

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# Case 2: T(n) = T(n-a) + T(n-b)

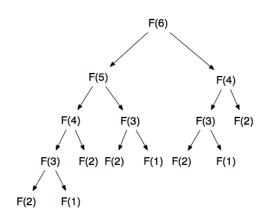


Figure: Execution of a case-2 algorithm





# Case 3: T(n) = kT(n-a)

$$T(n) = \underbrace{T(n-a) + T(n-b) + \cdots + T(n-c)}_{k \text{ times}}$$

- Example: Minimax
- T(n) is  $O(k^n)$

# Case 3: T(n) = kT(n-a)

$$T(n) = \underbrace{T(n-a) + T(n-b) + \cdots + T(n-c)}_{k \text{ times}}$$

- Example: Minimax
- $\blacksquare$  T(n) is  $O(k^n)$



# Case 3: T(n) = kT(n-a)



Figure: Execution of a case-3 algorithm for k = 3







- Compute recursively the sum of the elements of an array is O(n)
- Compute recursively the maximum element of an array is O(n)
- Compute recursively the Fibonnaci series is  $O(2^n)$
- Homogeneous lineal recurrence equations can be solved by induction







#### References

- Please check the slides after class to learn how to reference images, trademarks, videos and fragments of code.
- Avoid plagiarism



Figure: Figure about plagiarism, University of Malta [Uni09]









#### References



University of Malta.

Plagarism — The act of presenting another's work or ideas as your own, 2009.

[Online; accessed 29-November-2013].







## Further reading

- Complexity of algorithms
  - Brassard y Bratley, Fundamentos de Algoritmia.
     Capítulo 3: Notación asintótica. Páginas 99 a 106.





