

Lecture 1: Introduction

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Reference Textbooks

- Modern Compiler Implementation in Java (Tiger book)
 A.W. Appel
 Cambridge University Press, 1998
 ISBN 0-52158-388-8
- Advanced Compiler Design and Implementation (Whale book)
 Steven Muchnick
 Morgan Kaufman Publishers, 1997
 ISBN 1-55860-320-4
- Compilers: Principles, Techniques and Tools (Dragon book)
 Aho, Lam, Sethi and Ullman
 Addison-Wesley, 2006
 ISBN 0321486811
- Engineering a Compiler (Ark book)
 Keith D. Cooper, Linda Torczon
 Morgan Kaufman Publishers, 2003
 ISBN 1-55860-698-X
- Optimizing Compilers for Modern Architectures
 Randy Allen and Ken Kennedy
 Morgan Kaufman Publishers, 2001
 ISBN 1-55860-286-0

A textbook tutorial on compiler implementation, including techniques for many language features

Essentially a recipe book of optimizations; very complete and suited for industrial practitioners and researchers.

The classic compilers textbook, although its front-end emphasis reflects its age. New edition has more optimization material.

A modern classroom textbook, with increased emphasis on the back-end and implementation techniques.

A modern textbook that focuses on optimizations including parallelization and memory hierarchy optimization

The Project: The Five Segments

- Lexical and Syntax Analysis
- Semantic Analysis
- Code Generation
- Dataflow Analysis
- Optimizations

Each Segment...

- Segment Start
 - Project Description
- Lectures
- Project Time No Class
 - (Design Document)
 - (Project Checkpoint)
- Project Due

Project Groups

- 1st project is an individual project
- Projects 2 to 5 are group projects
- Each group consists of 3 to 4 students
- Projects are designed to produce a compiler by the end of class
- Grading
 - All group members (mostly) get the same grade
 - Scanner/parser ungraded (you can use this to evaluate potential group members)
 - Semantic Checker/Code Generator graded together
 - Dataflow Analyzer/Optimizer graded together
 - 5 turnins total, 2 turnins are graded

Project Collaboration Policy

- Talk about anything you want with anybody
- Write all the code yourself
- Check with TAs before using specialized libraries designed to support compiler construction
- ChatGPT/copilot/LLMs
 - ChatGPT/copilot/LLMs can generate code examples for many of the tasks you will complete
 - OK to take a look at the code examples
 - Don't copy/paste the code or use the code directly in your projects
- See the website for specifics

Quizzes

- Two Quizzes
- Unless we have another remote semester, in which case we may do problem sets or some combination instead

Mini Quizzes

- Given at the beginning of the class
- Collected at the end
- Collaboration of any kind is OK

More Course Stuff

- Blank page project all the rope you want!
- Challenging project
- You are on your own!

Why Study Compilers?

- Compilers enable programming at a high level language instead of machine instructions.
 - Malleability, Portability, Modularity, Simplicity,
 Programmer Productivity
 - Also Efficiency and Performance
- Indispensible programmer productivity tool
- One of most complex software systems to build

What a Compiler Does

- Input: High-level programming language
- Output: Low-level assembly instructions
- Compiler does the translation:
 - Read and understand the program
 - Precisely determine what actions it requires
 - Figure-out how to faithfully carry out those actions
 - Instruct the computer to carry out those actions

Input to the Compiler

- Standard imperative language (Java, C, C++)
 - State
 - Variables,
 - Structures,
 - Arrays
 - Computation
 - Expressions (arithmetic, logical, etc.)
 - Assignment statements
 - Control flow (conditionals, loops)
 - Procedures

Output of the Compiler

- State
 - Registers
 - Memory with Flat Address Space
- Machine code load/store architecture
 - Load, store instructions
 - Arithmetic, logical operations on registers
 - Branch instructions

Example (input program)

```
int sumcalc(int a, int b, int N)
{
    int i, x, y;
    x = 0;
    y = 0;
    for(i = 0; i <= N; i++) {
        x = x + (4*a/b)*i + (i+1)*(i+1);
        x = x + b*y;
    }
    return x;
}</pre>
```

Unoptimized Code

```
pushq
                %rbp
                %rsp, %rbp
                %edi, -4(%rbp)
                %esi, -8(%rbp)
                %edx, -12(%rbp)
                $0, -20(%rbp)
               $0, -24(%rbp)
               $0, -16(%rbp)
.L2:
       movl
        cmpl
               -4(%rbp), %eax
                0(,%rax,4), %edx
        leaq
                -8(%rbp), %rax
                %rax, -40(%rbp)
        movq
        movl
                %edx, %eax
        movq
                -40 (%rbp), %rcx
        cltd
        idivl
               (%rcx)
                %eax, -28(%rbp)
                -28(%rbp), %edx
       imull
               -16(%rbp), %edx
                -16(%rbp), %eax
        imul1
               %eax, %eax
                %eax, %edx
        addl
        leaq
               -20(%rbp), %rax
        addl
                %edx, (%rax)
       movl
                -8(%rbp), %eax
        movl
                %eax, %edx
        imul1
               -24(%rbp), %edx
        leag
                -20(%rbp), %rax
        addl
                %edx, (%rax)
        leaq
                -16(%rbp), %rax
                (%rax)
                .L2
                -20(%rbp), %eax
.L3:
        movl
        leave
```

Inner Loop:

10*mov + 5*lea + 5*add/inc + 4*div/mul + 5*cmp/br/jmp = 29 instructions Execution time = 43 sec

Optimized Code

```
%r8d, %r8d
xorl
        %edx, %r9d
cmpl
sall
        $2, %edi
        %edi, %eax
idivl
        %esi
leal
        1(%rcx), %edx
        %ecx, %r10d
        %edx, %ecx
imull
        %edx, %ecx
leal
        (%r10,%rcx), %eax
        %edx, %ecx
addl
        %eax, %r8d
cmpl
        %r9d, %edx
        .L5
        %r8d, %eax
```

```
4*mov + 2*lea + 1*add/inc+

3*div/mul + 2*cmp/br/jmp

= 12 instructions

Execution time = 17 sec
```

Example (input program)

```
int sumcalc(int a, int b, int N)
{
    int i, x, y;
    x = 0;
    y = 0;
    for(i = 0; i <= N; i++) {
        x = x + (4*a/b)*i + (i+1)*(i+1);
        x = x + b*y;
    }
    return x;
}</pre>
```

Example (Output assembly code)

```
sumcalc:
                                                             sumcalc, .-sumcalc
                                                     .size
                                                            .section
                %rbp
        pushq
                %rsp, %rbp
                                                    .Lframe1:
        movq
                %edi, -4(%rbp)
                                                                      .LECIE1-.LSCIE1
        movl
                                                            .lona
                %esi, -8(%rbp)
                                                    .LSCIE1:.long
        movl
                                                                      0x0
                %edx, -12(%rbp)
        movl
                                                            .byte
                                                                      0x1
                                                                      11 11
        movl
                $0, -20(%rbp)
                                                            .string
               $0, -24(%rbp)
        movl
                                                            .uleb128 0x1
        movl
               $0, -16(%rbp)
                                                            .sleb128 -8
        movl
                -16(%rbp), %eax
.L2:
                                                            .byte
                                                                      0 \times 10
                -12(%rbp), %eax
        cmpl
                                                            .bvte
                                                                      0xc
                .L3
                                                            .uleb128 0x7
        jq
                -4(%rbp), %eax
                                                            .uleb128 0x8
        movl
                0(,%rax,4), %edx
                                                                      0 \times 90
        leal
                                                            .bvte
                -8(%rbp), %rax
                                                            .uleb128 0x1
        leaq
                %rax, -40(%rbp)
                                                            .aliqn
        movq
        movl
                %edx, %eax
                                                    .LECIE1:.long
                                                                      .LEFDE1-.LASFDE1
                                                                      .LASFDE1-.Lframe1
        movq
                -40(%rbp), %rcx
                                                            .long
        cltd
                                                            .quad
                                                                      .LFB2
        idivl
               (%rcx)
                                                            .quad
                                                                      .LFE2-.LFB2
        movl
                %eax, -28(%rbp)
                                                            .bvte
                                                                      0 \times 4
                -28(%rbp), %edx
        movl
                                                                      .LCFT0-.LFB2
                                                            .long
                -16(%rbp), %edx
        imull
                                                            .byte
                                                            .uleb128 0x10
        movl
                -16(%rbp), %eax
                                                                      0x86
                %eax
                                                            .bvte
        imul1
                                                            .uleb128 0x2
                %eax, %eax
        addl
                %eax, %edx
                                                            .bvte
                                                                      0x4
        leaq
                -20(%rbp), %rax
                                                                      .LCFI1-.LCFI0
                                                            .long
        addl
                %edx, (%rax)
                                                                      0xd
                                                            .bvte
                                                            .uleb128 0x6
        movl
                -8(%rbp), %eax
        movl
                %eax, %edx
                                                            .aliqn
        imull
                -24(%rbp), %edx
        leaq
                -20(%rbp), %rax
        addl
                %edx, (%rax)
                -16(%rbp), %rax
        leaq
        incl
                 (%rax)
        qm į
                 .L2
.L3:
        movl
                 -20(%rbp), %eax
        leave
```

ret

Optimization Example

```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, y;
    x = 0;
    y = 0;
    for(i = 0; i <= N; i++) {
        x = x + (4*a/b)*i + (i+1)*(i+1);
        x = x + b*y;
    }
    return x;
}</pre>
```

```
pushq
                %rbp
                %rsp, %rbp
       mova
                %edi, -4(%rbp)
       movl
       movl
                %esi, -8(%rbp)
                %edx, -12(%rbp)
       movl
       movl
                $0, -20(%rbp)
                $0, -24(%rbp)
       movl
       movl
                $0, -16(%rbp)
                -16(%rbp), %eax
.L2:
       movl
       cmpl
                -12(%rbp), %eax
       jq
                .L3
                -4(%rbp), %eax
       movl
       leal
                0(,%rax,4), %edx
                -8(%rbp), %rax
       leaq
                %rax, -40(%rbp)
       mova
                %edx, %eax
       movl
                -40(%rbp), %rcx
       movq
       cltd
       idivl
                (%rcx)
                %eax, -28(%rbp)
       movl
       movl
                -28(%rbp), %edx
        imull
                -16(%rbp), %edx
       movl
                -16(%rbp), %eax
       incl
                %eax
        imull
                %eax, %eax
        addl
                %eax, %edx
       leag
                -20(%rbp), %rax
        addl
                %edx, (%rax)
                -8(%rbp), %eax
       movl
       movl
                %eax, %edx
        imull
                -24(%rbp), %edx
       leaq
                -20(%rbp), %rax
        addl
                %edx, (%rax)
       leaq
                -16(%rbp), %rax
        incl
                (%rax)
        jmp
                .L2
.L3:
       movl
                -20(%rbp), %eax
        leave
        ret
```

Lets Optimize...

```
int sumcalc(int a, int b, int N)
{
    int i, x, y;
    x = 0;
    y = 0;
    for(i = 0; i <= N; i++) {
        x = x + (4*a/b)*i + (i+1)*(i+1);
        x = x + b*y;
    }
    return x;
}</pre>
```

Constant Propagation

```
int i, x, y;
x = 0;
y = 0;
for(i = 0; i <= N; i++) {
    x = x + (4*a/b)*i + (i+1)*(i+1);
    x = x + b*y;
}
return x;</pre>
```

Constant Propagation

```
int i, x, y;
x = 0;
y = 0;
for(i = 0; i <= N; i++) {
   x = x + (4*a/b)*i + (i+1)*(i+1);
   x = x + b*y;
}
return x;</pre>
```

Constant Propagation

```
int i, x, y;
x = 0;
y = 0;
for(i = 0; i <= N; i++) {
   x = x + (4*a/b)*i + (i+1)*(i+1);
   x = x + b*0;
}
return x;</pre>
```

Algebraic Simplification

```
int i, x, y;
x = 0;
y = 0;
for(i = 0; i <= N; i++) {
   x = x + (4*a/b)*i + (i+1)*(i+1);
   x = x + b*0;
}
return x;</pre>
```

Algebraic Simplification

```
int i, x, y;
x = 0;
y = 0;
for(i = 0; i <= N; i++) {
   x = x + (4*a/b)*i + (i+1)*(i+1);
   x = x + b*0;
}
return x;</pre>
```

Algebraic Simplification

```
int i, x, y;
x = 0;
y = 0;
for(i = 0; i <= N; i++) {
   x = x + (4*a/b)*i + (i+1)*(i+1);
   x = x;
}
return x;</pre>
```

Copy Propagation

```
int i, x, y;
x = 0;
y = 0;
for(i = 0; i <= N; i++) {
    x = x + (4*a/b)*i + (i+1)*(i+1);
    x = x;
}
return x;</pre>
```

Copy Propagation

```
int i, x, y;
x = 0;
y = 0;
for(i = 0; i <= N; i++) {
    x = x + (4*a/b)*i + (i+1)*(i+1);
    x = x;
}
return x;</pre>
```

Copy Propagation

```
int i, x, y;
x = 0;
y = 0;
for(i = 0; i <= N; i++) {
   x = x + (4*a/b)*i + (i+1)*(i+1);
}
return x;</pre>
```

Common Subexpression Elimination

```
int i, x, y;
x = 0;
y = 0;
for(i = 0; i <= N; i++) {
    x = x + (4*a/b)*i + (i+1)*(i+1);
}
return x;</pre>
```

Common Subexpression Elimination

```
int i, x, y;
x = 0;
y = 0;
for(i = 0; i <= N; i++) {
    x = x + (4*a/b)*i + (i+1)*(i+1);
}
return x;</pre>
```

Common Subexpression Elimination

```
int i, x, y, t;
x = 0;
y = 0;
for(i = 0; i <= N; i++) {
   t = i+1;
   x = x + (4*a/b)*i + t*t;
}
return x;</pre>
```

Dead Code Elimination

```
int i, x, y, t;
x = 0;
y = 0;
for(i = 0; i <= N; i++) {
   t = i+1;
   x = x + (4*a/b)*i + t*t;
}
return x;</pre>
```

Dead Code Elimination

```
int i, x, y, t;
x = 0;
y = 0;
for(i = 0; i <= N; i++) {
   t = i+1;
   x = x + (4*a/b)*i + t*t;
}
return x;</pre>
```

Dead Code Elimination

```
int i, x, t;
x = 0;

for(i = 0; i <= N; i++) {
   t = i+1;
   x = x + (4*a/b)*i + t*t;
}
return x;</pre>
```

Loop Invariant Code Removal

```
int i, x, t;
x = 0;

for(i = 0; i <= N; i++) {
   t = i+1;
   x = x + (4*a/b)*i + t*t;
}
return x;</pre>
```

Loop Invariant Code Removal

```
int i, x, t;
x = 0;

for(i = 0; i <= N; i++) {
   t = i+1;
   x = x + (4*a/b)*i + t*t;
}
return x;</pre>
```

Loop Invariant Code Removal

```
int i, x, t, u;
x = 0;
u = (4*a/b);
for(i = 0; i <= N; i++) {
   t = i+1;
   x = x + u*i + t*t;
}
return x;</pre>
```

Strength Reduction

```
int i, x, t, u;
x = 0;
u = (4*a/b);
for(i = 0; i <= N; i++) {
   t = i+1;
   x = x + u*i + t*t;
return x;
```

Strength Reduction

```
int i, x, t, u;
x = 0;
u = (4*a/b);
for(i = 0; i <= N; i++) {
   t = i+1;
   x = x + u*i + t*t;
return x;
```

Strength Reduction

```
int i, x, t, u, v;
x = 0;
u = ((a << 2)/b);
\mathbf{v} = 0;
for(i = 0; i <= N; i++) {
   t = i+1;
   x = x + v + t*t;
   v = v + u;
return x;
```

Register Allocation

Local variable **X**Local variable **Y**Local variable **I**

Register Allocation

```
Local variable X
Local variable Y
Local variable I
```

```
$r8d = X
$r9d = t
$r10d = u
$ebx = v
$ecx = i
```

Optimized Example

```
int sumcalc(int a, int b, int N)
    int i, x, t, u, v;
    x = 0;
    u = ((a << 2)/b);
    v = 0;
    for(i = 0; i <= N; i++) {
       t = i+1;
        x = x + v + t*t;
       v = v + u;
    return x;
```

Unoptimized Code

```
pushq
                %rbp
                %rsp, %rbp
                %edi, -4(%rbp)
                %esi, -8(%rbp)
                %edx, -12(%rbp)
                $0, -20(%rbp)
               $0, -24(%rbp)
               $0, -16(%rbp)
.L2:
       movl
        cmpl
               -4(%rbp), %eax
                0(,%rax,4), %edx
        leaq
                -8(%rbp), %rax
                %rax, -40(%rbp)
        movq
        movl
                %edx, %eax
        movq
                -40 (%rbp), %rcx
        cltd
        idivl
               (%rcx)
                %eax, -28(%rbp)
                -28(%rbp), %edx
       imull
               -16(%rbp), %edx
                -16(%rbp), %eax
        imul1
               %eax, %eax
                %eax, %edx
        addl
        leaq
               -20(%rbp), %rax
        addl
                %edx, (%rax)
       movl
                -8(%rbp), %eax
        movl
                %eax, %edx
        imul1
               -24(%rbp), %edx
        leag
                -20(%rbp), %rax
        addl
                %edx, (%rax)
        leaq
                -16(%rbp), %rax
                (%rax)
                .L2
                -20(%rbp), %eax
.L3:
        movl
        leave
```

Inner Loop:

10*mov + 5*lea + 5*add/inc + 4*div/mul + 5*cmp/br/jmp = 29 instructions Execution time = 43 sec

Optimized Code

```
%r8d, %r8d
xorl
        %edx, %r9d
cmpl
sall
        $2, %edi
        %edi, %eax
idivl
        %esi
leal
        1(%rcx), %edx
        %ecx, %r10d
        %edx, %ecx
imull
        %edx, %ecx
leal
        (%r10,%rcx), %eax
        %edx, %ecx
addl
        %eax, %r8d
cmpl
        %r9d, %edx
        .L5
        %r8d, %eax
```

```
4*mov + 2*lea + 1*add/inc+

3*div/mul + 2*cmp/br/jmp

= 12 instructions

Execution time = 17 sec
```

Compilers Optimize Programs for...

- Performance/Speed
- Code Size
- Power Consumption
- Fast/Efficient Compilation
- Security/Reliability
- Debugging