

# 6.110 Re-lecture 1

Regular expressions, automata, grammars, parse trees



4 lectures in 1

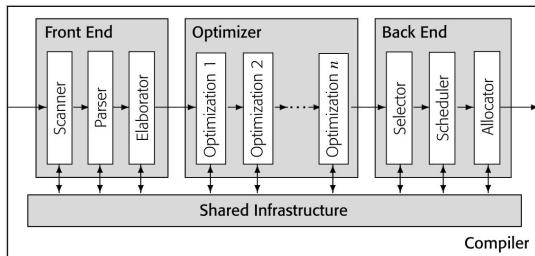
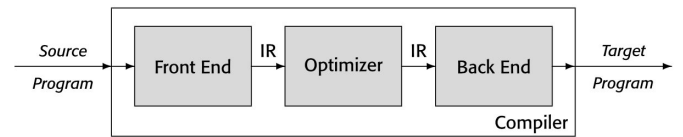
Focus on theory

Ideal: 90 minutes

Stop me

## Plan

- Overview of compiler components and optimizations
- Regular expressions
- Finite automata
- Duality and constructions
- Tokenization
- Context-free grammar
- Ambiguity
- Precedence



## Front end

```

# Comment 1
# Comment 2

# Factorial:
def fact( x\
):
    if x == -1:
        return 1.j
    elif x ==0:
        return 1
    else:
        return x* fact(x
- 1)

s = "foo\
\\ \n\''"
  
```

```

# Comment 1
# Comment 2

# Factorial:
def fact( x\
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    if x == -1:
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    else:
        return x* fact(x
- 1)

s = "foo\
\\ \n\''"
  
```

```

(KEYWORD def)
(ID "fact")
(PUNCT "(")
(DEDEDENT)
(KEYWORD else)
(PUNCT ":")
(NEWLINE)
(INDENT)
(KEYWORD return)
(ID "x")
(PUNCT "+")
(ID "fact")
(PUNCT "(")
(LIT 1)
(PUNCT "-")
(NEWLINE)
(INDENT)
(KEYWORD return)
(LIT +1.5)
(DEDEDENT)
(DEDEDENT)
(KEYWORD elif)
(ID "x")
(PUNCT "+")
(NEWLINE)
(LIT 0)
(PUNCT "-")
(NEWLINE)
(INDENT)
(KEYWORD return)
  
```

```

(LIT 1)
(NEWLINE)
(DEDEDENT)
(KEYWORD else)
(PUNCT ":")
(NEWLINE)
(INDENT)
(KEYWORD return)
(ID "x")
(PUNCT "+")
(ID "fact")
(PUNCT "(")
(LIT 1)
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(KEYWORD return)
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(DEDEDENT)
(DEDEDENT)
(KEYWORD elif)
(ID "x")
(PUNCT "+")
(NEWLINE)
(LIT 0)
(PUNCT "-")
(NEWLINE)
(INDENT)
(KEYWORD return)
  
```

```

# Comment 1
# Comment 2

# Factorial:
def fact( x\
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    if x == -1:
        return 1.j
    elif x ==0:
        return 1
    else:
        return x* fact(x
- 1)

s = "foo\
\\ \n\ \"

```

```

Module(
  body=[
    FunctionDef(
      name='fact',
      args=arguments(
        posonlyargs=[],
        args=[
          arg(arg='x')],
        kwonlyargs=[],
        kw_defaults=[],
        defaults=[]),
      body=[
        If(
          test=Compare(
            left=Name(id='x', ctx=Load()),
            ops=[
              Eq()],
            comparators=[
              UnaryOp(
                op=USub(),
                operand=Constant(value=1))),
            body=[
              Return(
                value=Constant(value=1j))),
            orelse=[

```

## Intermediate representation

$a \leftarrow a \times 2 \times b \times c \times d$

$a \leftarrow a \times 2 \times b \times c \times d$

```

t0 ← a × 2
t1 ← t0 × b
t2 ← t1 × c
t3 ← t2 × d
a ← t3

```

$a \leftarrow a \times 2 \times b \times c \times d$

```

loadAI  rarp, @a ⇒ ra      // load 'a'
loadI    2      ⇒ r2      // constant 2 into r2
loadAI  rarp, @b ⇒ rb      // load 'b'
loadAI  rarp, @c ⇒ rc      // load 'c'
loadAI  rarp, @d ⇒ rd      // load 'd'
mult    ra, r2 ⇒ ra      // ra ← a × 2
mult    ra, rb ⇒ ra      // ra ← (a × 2) × b
mult    ra, rc ⇒ ra      // ra ← (a × 2 × b) × c
mult    ra, rd ⇒ ra      // ra ← (a × 2 × b × c) × d
storeAI ra      ⇒ rarp, @a // write ra back to 'a'

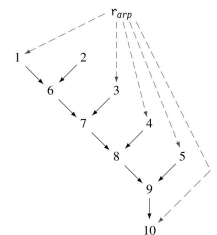
```

$a \leftarrow a \times 2 \times b \times c \times d$

```

1  loadAI  rarp, @a ⇒ ra
2  loadI    2      ⇒ r2
3  loadAI  rarp, @b ⇒ rb
4  loadAI  rarp, @c ⇒ rc
5  loadAI  rarp, @d ⇒ rd
6  mult    ra, r2 ⇒ ra
7  mult    ra, rb ⇒ ra
8  mult    ra, rc ⇒ ra
9  mult    ra, rd ⇒ ra
10 storeAI ra      ⇒ rarp, @a

```



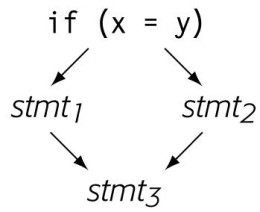
(a) Example Code from Chapter 1

(b) Dependence Graph for the Example

```

if (x = y)
  then stmt1
  else stmt2
stmt3

```



Back end

$a \leftarrow a \times 2 \times b \times c \times d$

```

loadAI  rarp, 0a => ra // load 'a'
loadI   2      => r2 // constant 2 into r2
loadAI  rarp, 0b => rb // load 'b'
loadAI  rarp, 0c => rc // load 'c'
loadAI  rarp, 0d => rd // load 'd'
mult    ra, r2  => ra // ra ← a × 2
mult    ra, rb  => ra // ra ← (a × 2) × b
mult    ra, rc  => ra // ra ← (a × 2 × b) × c
mult    ra, rd  => ra // ra ← (a × 2 × b × c) × d
storeAI ra      => rarp, 0a // write ra back to 'a'

```

```

addi    sp, sp, -32
sw      ra, 28(sp)
sw      s0, 24(sp)
addi    s0, sp, 32
sw      a0, -20(s0)
sw      a1, -24(s0)
sw      a2, -28(s0)
sw      a3, -32(s0)
lw      a4, -20(s0)
lw      a5, -24(s0)
mul      a4, a4, a5
lw      a5, -28(s0)
mul      a4, a4, a5
lw      a5, -32(s0)
mul      a5, a4, a5
slli    a5, a5, 1
mv      a0, a5
lw      ra, 28(sp)
lw      s0, 24(sp)
addi    sp, sp, 32
jr      ra

```

Formal languages

Alphabet

$\Sigma = \{a, b, c, \dots, z\}$

$\Sigma = \{0, 1\}$

$\Sigma = \{\text{false}, \text{true}\}$

$\Sigma = \text{English words}$

String

abcdababab

11100011001

$\varepsilon$

~~00000~~...

'i' 'like' 'six' 'oh' 'three' 'five'

Language

$L = \{1, 01, 10, 001, 010, 100, 0001, 0010, 0100, 1000, 00001, 00010, 00100, 01000, 10000, 000001, \dots\}$

(assuming  $\Sigma = \{0, 1\}$ )

$L$  = set of binary strings that contain exactly one 1

(assuming  $\Sigma = \{0, 1\}$ )

$L(s)$  = whether  $s$  contains exactly one 1 (yes or no)

(assuming  $\Sigma = \{0, 1\}$ )

$L$  = set of decimal numbers that are divisible by 3

(assuming  $\Sigma = \{0, 1, 2, \dots, 9\}$ )

$L$  = set of valid hexadecimal numbers

(assuming  $\Sigma$  = ASCII characters)

$L$  = set of syntactically valid Python programs

(assuming  $\Sigma$  = ASCII characters)

$L$  = set of syntactically valid Python programs

(assuming  $\Sigma$  = Python tokens)

$L$  = set of Python source interpretable without error

## Regular languages

Regular expression

$(617|857) - 253 - (0|1| \dots |9)(0|1| \dots |9)(0|1| \dots |9)(0|1| \dots |9)$

$\emptyset$  Empty string

$\emptyset$  Empty string  
 $\epsilon$



1 A letter from  $\Sigma$   
 $\emptyset$

2 Concatenation  
 $a \cdot b$

2 Concatenation  
 $ab$

2 Concatenation  
234324

3 Alternation  
 $a|b$

3 Alternation/Union  
 $a \cup b$

3 Alternation  
 $0|1$

3 Alternation  
 $000|001|100|101$

3 Alternation  
 $(0|1)0(0|1)$

3 Alternation  
 $(617|857)-253-(0|1|..|9)(0|1|..|9)(0|1|..|9)(0|1|..|9)$

4 Kleene star  
 $a^*$

4 Kleene star  
 $(0|1)^*$

4 Kleene star  
0\*10\*

"regex"

[0-9A-Fa-f]

[01]{8}

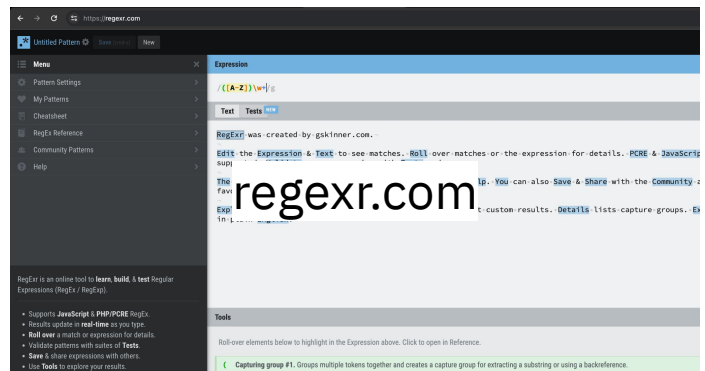
0|(1[01]\*)

0x[0-9A-Fa-f]+

0x[0-9A-Fa-f][0-9A-Fa-f]\*

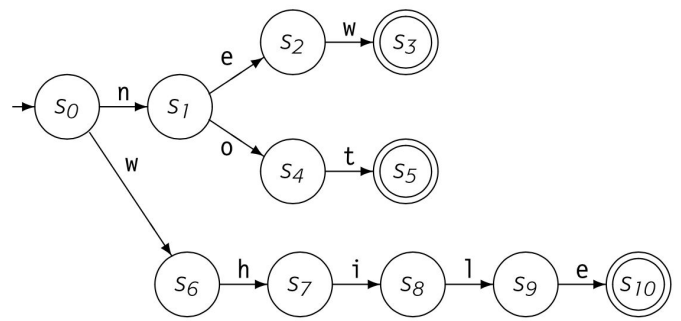
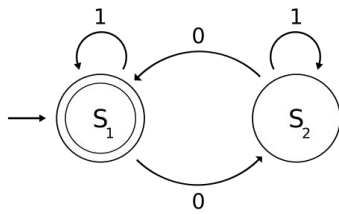
617-253-\d{4}

.\*



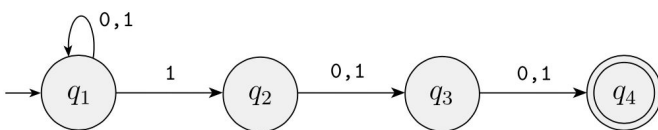
Deterministic Finite Automata

$M = (Q, \Sigma, \delta, q_0, F)$

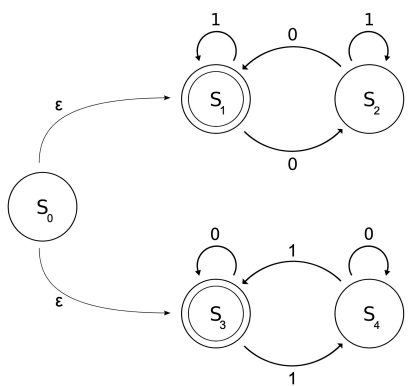


Non-deterministic Finite Automata

$L$  = set of binary strings containing a 1 in the third position from the end



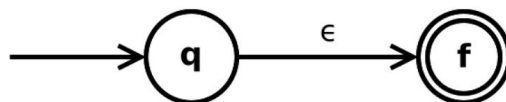
$L$  = set of binary strings with even number of 0s or even number of 1s



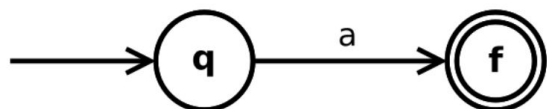
Regex  $\rightarrow$  NFA

Thompson's construction

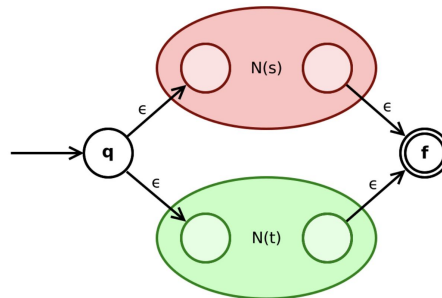
The **empty-expression**  $\epsilon$  is converted to

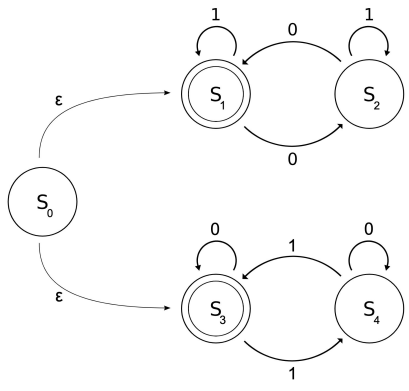


A **symbol**  $a$  of the input alphabet is converted to

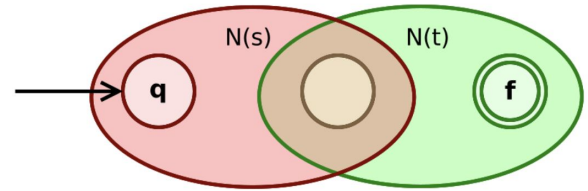


The **union expression**  $s|t$  is converted to

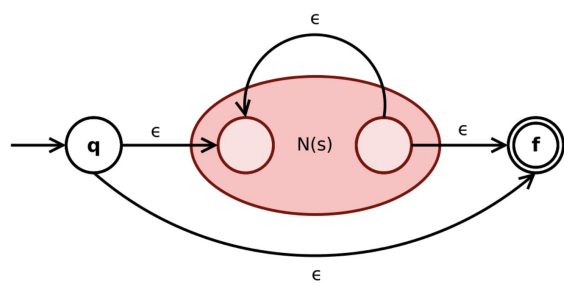




The **concatenation expression**  $st$  is converted to

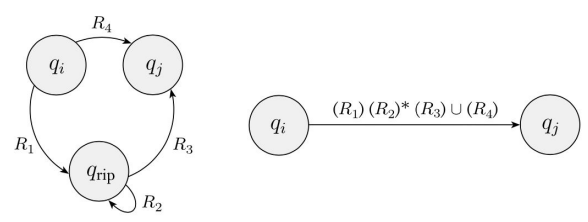


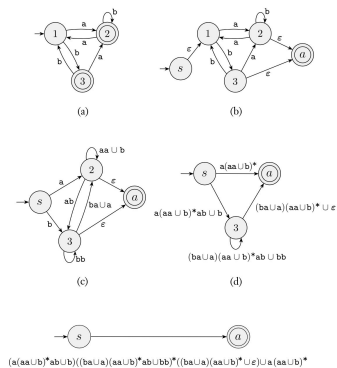
The **Kleene star expression**  $s^*$  is converted to



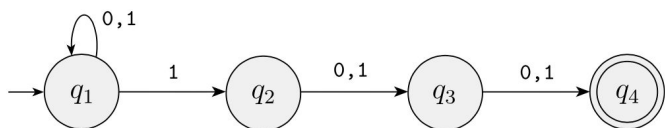
DFA/NFA  $\rightarrow$  Regex

Generalized NFA

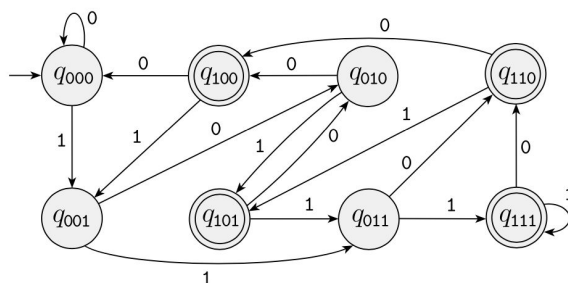




NFA  $\rightarrow$  DFA



DFA  $\rightarrow$  NFA



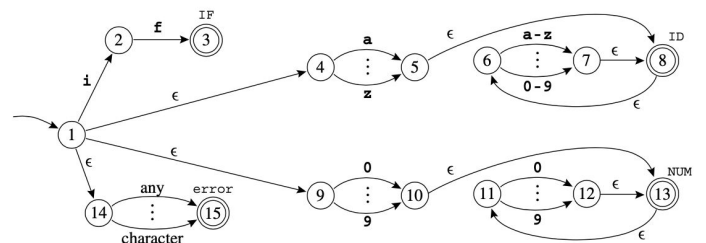
Trivial



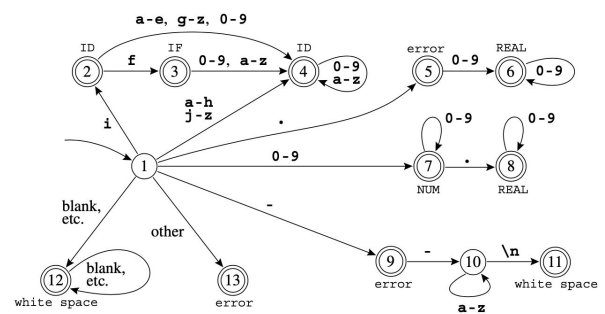
DFA Minimization

Hard

Why?



Greedy



## Pumping lemma

[illegible]

- Simulate regex/DFA/NFA
- Design a regex/DFA/NFA
- Convert regex to NFA
- Convert NFA to DFA

## Context-free grammar

$\langle \text{SENTENCE} \rangle \rightarrow \langle \text{NOUN-PHRASE} \rangle \langle \text{VERB-PHRASE} \rangle$   
 $\langle \text{NOUN-PHRASE} \rangle \rightarrow \langle \text{CMPLX-NOUN} \rangle \mid \langle \text{CMPLX-NOUN} \rangle \langle \text{PREP-PHRASE} \rangle$   
 $\langle \text{VERB-PHRASE} \rangle \rightarrow \langle \text{CMPLX-VERB} \rangle \mid \langle \text{CMPLX-VERB} \rangle \langle \text{PREP-PHRASE} \rangle$   
 $\langle \text{PREP-PHRASE} \rangle \rightarrow \langle \text{PREP} \rangle \langle \text{CMPLX-NOUN} \rangle$   
 $\langle \text{CMPLX-NOUN} \rangle \rightarrow \langle \text{ARTICLE} \rangle \langle \text{NOUN} \rangle$   
 $\langle \text{CMPLX-VERB} \rangle \rightarrow \langle \text{VERB} \rangle \mid \langle \text{VERB} \rangle \langle \text{NOUN-PHRASE} \rangle$   
 $\langle \text{ARTICLE} \rangle \rightarrow \text{a} \mid \text{the}$   
 $\langle \text{NOUN} \rangle \rightarrow \text{boy} \mid \text{girl} \mid \text{flower}$   
 $\langle \text{VERB} \rangle \rightarrow \text{touches} \mid \text{likes} \mid \text{sees}$   
 $\langle \text{PREP} \rangle \rightarrow \text{with}$

1	<i>Expr</i>	$\rightarrow$	<u>( Expr )</u>
2			<i>Expr Op Expr</i>
3			name

4	<i>Op</i>	$\rightarrow$	+
5			-
6			x
7			÷

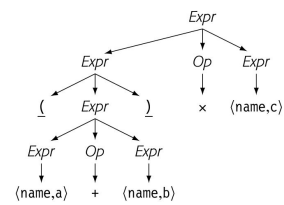
1	<i>Expr</i>	$\rightarrow$	<u>( Expr )</u>
2			<i>Expr Op Expr</i>
3			name

4	<i>Op</i>	$\rightarrow$	+
5			-
6			x
7			÷

(a + b) x c

1	<i>Expr</i>	$\rightarrow$	<u>( Expr )</u>
2			<i>Expr Op Expr</i>
3			name

4	<i>Op</i>	$\rightarrow$	+
5			-
6			x
7			÷



1	<i>Stmt</i>	$\rightarrow$	if <i>Expr</i> then <i>Stmt</i>
2			if <i>Expr</i> then <i>Stmt</i> else <i>Stmt</i>
3			<i>Other</i>

Ambiguity

1	<i>Stmt</i>	→	if <i>Expr</i> then <i>Stmt</i>
2			if <i>Expr</i> then <i>Stmt</i> else <i>Stmt</i>
3			<i>Other</i>

Left factoring

1	<i>Stmt</i>	→	if <i>Expr</i> then <i>Stmt</i>
2			if <i>Expr</i> then <i>WithElse</i> else <i>Stmt</i>
3			<i>Other</i>
4	<i>WithElse</i>	→	if <i>Expr</i> then <i>WithElse</i> else <i>WithElse</i>
5			<i>Other</i>

1	<i>Expr</i>	→	( <i>Expr</i> )
2			<i>Expr</i> <i>Op</i> <i>Expr</i>
3			name

4	<i>Op</i>	→	+
5			-
6			×
7			÷

Precedence climbing

1	<i>Expr</i>	→	( <i>Expr</i> )
2			<i>Expr</i> <i>Op</i> <i>Expr</i>
3			name

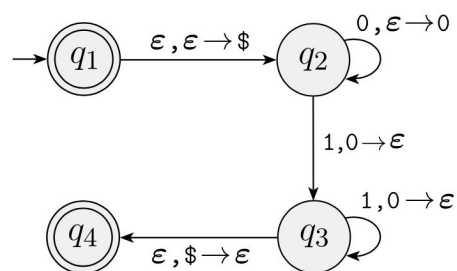
4	<i>Op</i>	→	+
5			-
6			×
7			÷

0	<i>Goal</i>	→	<i>Expr</i>
1	<i>Expr</i>	→	<i>Expr</i> + <i>Term</i>
2			<i>Expr</i> - <i>Term</i>
3			<i>Term</i>
4	<i>Term</i>	→	<i>Term</i> × <i>Factor</i>

5			<i>Term</i> ÷ <i>Factor</i>
6			<i>Factor</i>
7	<i>Factor</i>	→	( <i>Expr</i> )
8			num
9			name

Pushdown Automata

L = set of binary strings that start with 0s,  
followed by an equal number of 1s



Remember this?

```

expression ::= resize ('|' resize)*;
resize     ::= primitive ('@' size)*;
size      ::= (number 'x' number);
primitive ::= filename | '(' expression ')';

topToBottomOperator ::= '---' '-' '*';
filename             ::= [A-Za-z0-9.][A-Za-z0-9._-]*;
number               ::= [0-9]+;
whitespace           ::= [ \t\\x\\n]+;
  
```

Extended Backus–Naur form\*  
(\* in spirit)

$A ::= B \star C$

$A ::= A' C$   
 $A' ::= \varepsilon \mid BA'$

For the quiz, you should know how to:

- Parse a string using a given grammar (draw parse trees)
- Eliminate ambiguity
- Fix precedence issues
  - Make sure you understand the arithmetic examples.
  - Reminder: You can collaborate/ask for help on miniquiz.

## Top-down parsing

How to practice: Do textbook exercises!

Recursive descent parser

<rant>

Use first principles

Ask TAs

</rant>

Project 1!

Left factoring (again)

```
Factor    → name
           | name [ ArgList ]
           | name ( ArgList )
ArgList   → Expr MoreArgs
MoreArgs  → , Expr MoreArgs
           | €
```

```
Factor    → name Arguments
Arguments → [ ArgList ]
           | ( ArgList )
           | €
ArgList   → Expr MoreArgs
MoreArgs  → , Expr MoreArgs
           | €
```

Left recursion

```

Expr  ::= Expr + Term
        | Expr - Term
        | Term;
Term   ::= Term * Factor
        | Term ÷ Factor
        | Factor;
Factor ::= ( Expr )
        | num
        | name;

```

$$\begin{array}{lcl}
 Fee & \rightarrow & Fee \alpha \\
 & | & \beta
 \end{array}$$

$$\begin{array}{lcl}
 Fee & \rightarrow & \beta Fee' \\
 Fee' & \rightarrow & \alpha Fee' \\
 & | & \epsilon
 \end{array}$$

```

Expr  ::= Term Expr';
Expr' ::= + Term Expr'
        | - Term Expr'
        | ε;
Term   ::= Factor Term'
Term'  ::= * Factor Term'
        | ÷ Factor Term'
        | ε;
Factor ::= ( Expr )
        | num
        | name

```

```

Expr  ::= Term ((+|-) Term)*
Term   ::= Factor ((*|÷) Factor)*
Factor ::= ( Expr )
        | num
        | name;

```

Indirect left recursion

Constraint propagation



$$\begin{aligned}
 NT &\rightarrow \varepsilon \\
 &\Rightarrow \\
 NT &\rightarrow^* \varepsilon
 \end{aligned}$$

$$\begin{aligned}
 NT_0 &\rightarrow NT_1NT_2\dots \text{ and } NT_i \rightarrow^* \varepsilon \\
 &\Rightarrow \\
 NT_0 &\rightarrow^* \varepsilon
 \end{aligned}$$

$$\mathbf{T} \in \text{First}(\mathbf{T})$$

$$\begin{aligned}
 x &\in \text{First}(S) \\
 &\Rightarrow \\
 x &\in \text{First}(S S_1 S_2 S_3 \dots)
 \end{aligned}$$

$$\begin{aligned}
 x &\in \text{First}(S) \\
 &\Rightarrow \\
 x &\in \text{First}(S\beta)
 \end{aligned}$$

$$\text{First}(S) \subseteq \text{First}(S\beta)$$

$$\begin{array}{c}
 x \in \text{First}(\beta) \quad \text{and} \quad NT \rightarrow^* \varepsilon \\
 \Rightarrow \\
 x \in \text{First}(NT\beta)
 \end{array}$$

$$\begin{array}{c}
 x \in \text{First}(S\beta) \quad \text{and} \quad (NT \rightarrow S\beta) \\
 \Rightarrow \\
 x \in \text{First}(NT)
 \end{array}$$