

# 6.035

## Lecture 1: Introduction

# Staff

- Lecturer

- Prof. Martin Rinard                      rinard@mit.edu                      258-6922 32-G828

- Rooms

- MWF              32-124                      11:00 am
- TH                32-124                      12:00 pm

- Course Secretary

- Mary McDavitt              mmcdavit@csail.mit.edu                      32-G785 253-9620

- Teaching Assistants

- Chengyuan Ma (macy404@mit.edu)
- Youran (Yoland) Gao (youran@mit.edu)
- Shruti Siva (shrutsiv@mit.edu)
- Kosi Nwabueze (kosinw@mit.edu)
- Felix Prasanna (fpx@mit.edu)

# Reference Textbooks

- Modern Compiler Implementation in Java (Tiger book)  
A.W. Appel  
Cambridge University Press, 1998  
ISBN 0-52158-388-8  
  
A textbook tutorial on compiler implementation, including techniques for many language features
- Advanced Compiler Design and Implementation (Whale book)  
Steven Muchnick  
Morgan Kaufman Publishers, 1997  
ISBN 1-55860-320-4  
  
Essentially a recipe book of optimizations; very complete and suited for industrial practitioners and researchers.
- Compilers: Principles, Techniques and Tools (Dragon book)  
Aho, Lam, Sethi and Ullman  
Addison-Wesley, 2006  
ISBN 0321486811  
  
The classic compilers textbook, although its front-end emphasis reflects its age. New edition has more optimization material.
- Engineering a Compiler (Ark book)  
Keith D. Cooper, Linda Torczon  
Morgan Kaufman Publishers, 2003  
ISBN 1-55860-698-X  
  
A modern classroom textbook, with increased emphasis on the back-end and implementation techniques.
- Optimizing Compilers for Modern Architectures  
Randy Allen and Ken Kennedy  
Morgan Kaufman Publishers, 2001  
ISBN 1-55860-286-0  
  
A modern textbook that focuses on optimizations including parallelization and memory hierarchy optimization

# The Project: The Five Segments

- ① Lexical and Syntax Analysis
- ② Semantic Analysis
- ③ Code Generation
- ④ Dataflow Analysis
- ⑤ Optimizations

# Each Segment...

- Segment Start
  - Project Description
- Lectures
  - 2 to 5 lectures
- Project Time
  - (Design Document)
  - (Project Checkpoint)
- Project Due

# Project Groups

- Phase 1 is an individual project
- Phases 2 to 5 are group projects
- Each group consists of 3 to 4 students
- Projects are designed to produce a compiler by the end of class
- Grading
  - All group members (mostly) get the same grade
  - Phase 1: Scanner/Parser
  - Phase 2: IR and Semantic Checks
  - Phase 3: x86 Code generator
  - Phase 4: Dataflow Analysis
  - Phase 5: Register Allocation + Optimizations
  - 5 turn-ins total

# Project Collaboration Policy

- Talk about anything you want with anybody
- Write all the code yourself
- Check with TAs before using specialized libraries designed to support compiler construction

# Quizzes

- Two In Class Quizzes
- 50 minutes each
- Book/Open Book Status TBD
- Quiz collaboration policy:
  - Do your quiz by yourself with no input from anyone else during the quiz



# Mini Quizzes

- Posted on Gradescope once every week
- Can help you check your understanding of the material
- Collaboration of any kind is OK
- This is in lieu of time consuming problem sets

# Grading Breakdown

- Project = 75% of total grade
  - Option A:  
10% Phase 1/2, 25% Phase 3/4, 40% Phase 5 Final Submission
  - Option B:  
75% Phase 5 Final Submission
  - We will take the **maximum** of option A or option B
- Quizzes = 20% total, 10% each
- Miniquizzes/Class participation = 5%

# More Course Stuff

- Blank page project – all the rope you want!
- Challenging project
- You are on your own!
- Project collaboration policy
  - Talk all you want about project
  - Write all of the your code yourself
- Accepted Languages
  - Java
  - Scala
  - Rust
  - Typescript
  - For other languages: talk to the TAs

# Why Study Compilers?

- Compilers enable programming at a high level language instead of machine instructions.
  - Malleability, Portability, Modularity, Simplicity, Programmer Productivity
  - Also Efficiency and Performance
- Indispensible programmer productivity tool
- One of most complex software systems to build

# Compilers Construction touches many topics in Computer Science

- Theory
  - Finite State Automata, Grammars and Parsing, data-flow
- Algorithms
  - Graph manipulation, dynamic programming
- Data structures
  - Symbol tables, abstract syntax trees
- Systems
  - Allocation and naming, multi-pass systems, compiler construction
- Computer Architecture
  - Memory hierarchy, instruction selection, interlocks and latencies, parallelism
- Security
  - Detection of and Protection against vulnerabilities
- Software Engineering
  - Software development environments, debugging
- Artificial Intelligence
  - Heuristic based search for best optimizations

# What a Compiler Does

- Input: High-level programming language
- Output: Low-level assembly instructions
- Compiler does the translation:
  - Read and understand the program
  - Precisely determine what actions it requires
  - Figure-out how to faithfully carry out those actions
  - Instruct the computer to carry out those actions

# Input to the Compiler

- Standard imperative language (Java, C, C++)
  - State
    - Variables,
    - Structures,
    - Arrays
  - Computation
    - Expressions (arithmetic, logical, etc.)
    - Assignment statements
    - Control flow (conditionals, loops)
    - Procedures

# Output of the Compiler

- State
  - Registers
  - Memory with Flat Address Space
- Machine code – load/store architecture
  - Load, store instructions
  - Arithmetic, logical operations on registers
  - Branch instructions



# Example (input program)

```
int sumcalc(int a, int b, int N)
{
    int i, x, y;
    x = 0;
    y = 0;
    for(i = 0; i <= N; i++) {
        x = x + (4*a/b)*i + (i+1)*(i+1);
        x = x + b*y;
    }
    return x;
}
```

# Example (Output assembly code)

```
sumcalc:
    pushq    %rbp
    movq     %rsp, %rbp
    movl     %edi, -4(%rbp)
    movl     %esi, -8(%rbp)
    movl     %edx, -12(%rbp)
    movl     $0, -20(%rbp)
    movl     $0, -24(%rbp)
    movl     $0, -16(%rbp)
.L2:      movl     -16(%rbp), %eax
    cmpl     -12(%rbp), %eax
    jg       .L3
    movl     -4(%rbp), %eax
    leal     0(,%rax,4), %edx
    leaq     -8(%rbp), %rax
    movq     %rax, -40(%rbp)
    movl     %edx, %eax
    movq     -40(%rbp), %rcx
    cltd
    idivl    (%rcx)
    movl     %eax, -28(%rbp)
    movl     -28(%rbp), %edx
    imull    -16(%rbp), %edx
    movl     -16(%rbp), %eax
    incl     %eax
    imull    %eax, %eax
    addl     %eax, %edx
    leaq     -20(%rbp), %rax
    addl     %edx, (%rax)
    movl     -8(%rbp), %eax
    movl     %eax, %edx
    imull    -24(%rbp), %edx
    leaq     -20(%rbp), %rax
    addl     %edx, (%rax)
    leaq     -16(%rbp), %rax
    incl     (%rax)
    jmp      .L2
.L3:      movl     -20(%rbp), %eax
    leave
    ret
```

```
.size    sumcalc, .-sumcalc
.section
.Lframe1:
    .long    .LECIE1-.LSCIE1
.LSCIE1:  .long    0x0
    .byte    0x1
    .string   ""
    .uleb128 0x1
    .sleb128 -8
    .byte    0x10
    .byte    0xc
    .uleb128 0x7
    .uleb128 0x8
    .byte    0x90
    .uleb128 0x1
    .align    8
.LECIE1:  .long    .LEFDE1-.LASFDE1
    .long    .LASFDE1-.Lframe1
    .quad    .LFB2
    .quad    .LFE2-.LFB2
    .byte    0x4
    .long    .LCFI0-.LFB2
    .byte    0xe
    .uleb128 0x10
    .byte    0x86
    .uleb128 0x2
    .byte    0x4
    .long    .LCFI1-.LCFI0
    .byte    0xd
    .uleb128 0x6
    .align    8
```

# Optimization Example

```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, y;
    x = 0;
    y = 0;
    for(i = 0; i <= N; i++) {
        x = x + (4*a/b)*i + (i+1)*(i+1);
        x = x + b*y;
    }
    return x;
}
```

```

pushq    %rbp
movq     %rsp, %rbp
movl     %edi, -4(%rbp)
movl     %esi, -8(%rbp)
movl     %edx, -12(%rbp)
movl     $0, -20(%rbp)
movl     $0, -24(%rbp)
movl     $0, -16(%rbp)
.L2:     movl     -16(%rbp), %eax
        cmpl     -12(%rbp), %eax
        jg       .L3
        movl     -4(%rbp), %eax
        leal     0(,%rax,4), %edx
        leaq     -8(%rbp), %rax
        movq     %rax, -40(%rbp)
        movl     %edx, %eax
        movq     -40(%rbp), %rcx
        cltd
        idivl    (%rcx)
        movl     %eax, -28(%rbp)
        movl     -28(%rbp), %edx
        imull    -16(%rbp), %edx
        movl     -16(%rbp), %eax
        incl     %eax
        imull    %eax, %eax
        addl     %eax, %edx
        leaq     -20(%rbp), %rax
        addl     %edx, (%rax)
        movl     -8(%rbp), %eax
        movl     %eax, %edx
        imull    -24(%rbp), %edx
        leaq     -20(%rbp), %rax
        addl     %edx, (%rax)
        leaq     -16(%rbp), %rax
        incl     (%rax)
        jmp      .L2
.L3:     movl     -20(%rbp), %eax
        leave
        ret

```

# Lets Optimize...

```
int sumcalc(int a, int b, int N)
{
    int i, x, y;
    x = 0;
    y = 0;
    for(i = 0; i <= N; i++) {
        x = x + (4*a/b)*i + (i+1)*(i+1);
        x = x + b*y;
    }
    return x;
}
```

# Constant Propagation

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
    x = x + b*y;  
}  
return x;
```

# Constant Propagation

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
    x = x + b*y;  
}  
return x;
```

# Constant Propagation

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
    x = x + b*0;  
}  
return x;
```



# Algebraic Simplification

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
    x = x + b*0;  
}  
return x;
```

# Algebraic Simplification

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
    x = x + b*0;  
}  
return x;
```

# Algebraic Simplification

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
    x = x;  
}  
return x;
```

# Copy Propagation

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
    x = x;  
}  
return x;
```

# Copy Propagation

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
    x = x;  
}  
return x;
```

# Copy Propagation

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
  
}  
return x;
```

# Common Subexpression Elimination

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
}  
return x;
```

# Common Subexpression Elimination

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
}  
return x;
```



# Common Subexpression Elimination

```
int i, x, y, t;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + (4*a/b)*i + t*t;  
}  
return x;
```

# Dead Code Elimination

```
int i, x, y, t;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + (4*a/b)*i + t*t;  
}  
return x;
```

# Dead Code Elimination

```
int i, x, y, t;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + (4*a/b)*i + t*t;  
}  
return x;
```

# Dead Code Elimination

```
int i, x, t;  
x = 0;  
  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + (4*a/b)*i + t*t;  
}  
return x;
```

# Loop Invariant Code Removal

```
int i, x, t;  
x = 0;  
  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + (4*a/b)*i + t*t;  
}  
return x;
```

# Loop Invariant Code Removal

```
int i, x, t;  
x = 0;  
  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + (4*a/b)*i + t*t;  
}  
return x;
```

# Loop Invariant Code Removal

```
int i, x, t, u;  
x = 0;  
u = (4*a/b) ;  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + u*i + t*t;  
}  
return x;
```

# Strength Reduction

```
int i, x, t, u;  
x = 0;  
u = (4*a/b);  
  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + u*i + t*t;  
  
}  
return x;
```



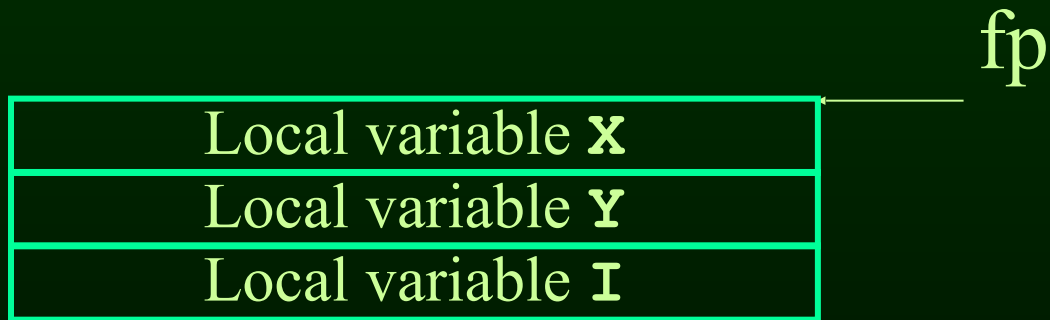
# Strength Reduction

```
int i, x, t, u;  
x = 0;  
u = (4*a/b) ;  
  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + u*i + t*t;  
  
}  
return x;
```

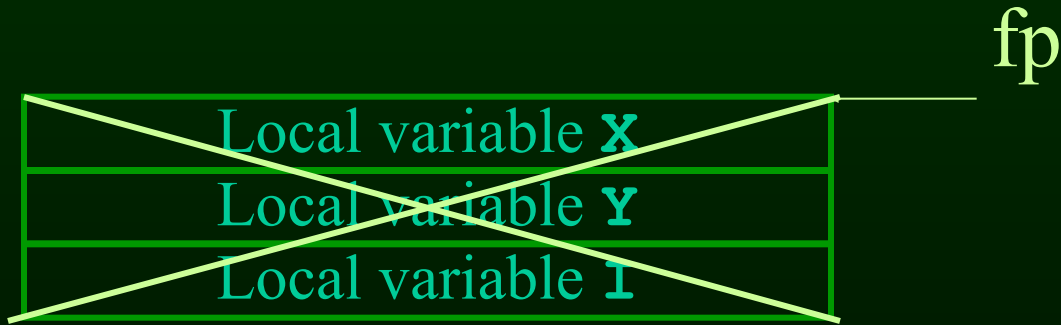
# Strength Reduction

```
int i, x, t, u, v;  
x = 0;  
u = (a<<2)/b);  
v = 0;  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + v + t*t;  
    v = v + u;  
}  
return x;
```

# Register Allocation



# Register Allocation



```
$r8d  = X  
$r9d  = t  
$r10d = u  
$ebx  = v  
$ecx  = i
```

# Optimized Example

```
int sumcalc(int a, int b, int N)
{
    int i, x, t, u, v;
    x = 0;
    u = ((a<<2)/b);
    v = 0;
    for(i = 0; i <= N; i++) {
        t = i+1;
        x = x + v + t*t;
        v = v + u;
    }
    return x;
}
```

## Unoptimized Code

```
    pushq   %rbp
    movq    %rsp, %rbp
    movl    %edi, -4(%rbp)
    movl    %esi, -8(%rbp)
    movl    %edx, -12(%rbp)
    movl    $0, -20(%rbp)
    movl    $0, -24(%rbp)
    movl    $0, -16(%rbp)
.L2:  movl    -16(%rbp), %eax
    cmpl    -12(%rbp), %eax
    jg      .L3
    movl    -4(%rbp), %eax
    leal    0(,%rax,4), %edx
    leaq    -8(%rbp), %rax
    movq    %rax, -40(%rbp)
    movl    %edx, %eax
    movq    -40(%rbp), %rcx
    cltd
    idivl    (%rcx)
    movl    %eax, -28(%rbp)
    movl    -28(%rbp), %edx
    imull    -16(%rbp), %edx
    movl    -16(%rbp), %eax
    incl     %eax
    imull    %eax, %eax
    addl     %eax, %edx
    leaq     -20(%rbp), %rax
    addl     %edx, (%rax)
    movl    -8(%rbp), %eax
    movl    %eax, %edx
    imull    -24(%rbp), %edx
    leaq     -20(%rbp), %rax
    addl     %edx, (%rax)
    leaq     -16(%rbp), %rax
    incl     (%rax)
    jmp     .L2
.L3:  movl    -20(%rbp), %eax
    leave
    ret
```

## Optimized Code

```
    xorl    %r8d, %r8d
    xorl    %ecx, %ecx
    movl    %edx, %r9d
    cmpl    %edx, %r8d
    jg      .L7
    sall    $2, %edi
.L5:  movl    %edi, %eax
    cltd
    idivl    %esi
    leal    1(%rcx), %edx
    movl    %eax, %r10d
    imull    %ecx, %r10d
    movl    %edx, %ecx
    imull    %edx, %ecx
    leal    (%r10,%rcx), %eax
    movl    %edx, %ecx
    addl    %eax, %r8d
    cmpl    %r9d, %edx
    jle     .L5
.L7:  movl    %r8d, %eax
    ret
```

### Inner Loop:

10\*mov + 5\*lea + 5\*add/inc  
+ 4\*div/mul + 5\*cmp/br/jmp  
= 29 instructions

Execution time = 43 sec

4\*mov + 2\*lea + 1\*add/inc +  
3\*div/mul + 2\*cmp/br/jmp  
= 12 instructions

Execution time = 17 sec

# Compilers Optimize Programs for...

- Performance/Speed
- Code Size
- Power Consumption
- Fast/Efficient Compilation
- Security/Reliability
- Debugging