

Parametricity properties of purely functional code

“Theorems for free” demystified. A tutorial, with code examples in Scala

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Refactoring code by permuting the order of operations

- Expected properties of refactored code:

First extract user information, then convert stream to list; or first convert to list, then extract user information:

`db.getRows.toList.map(getUserInfo)` gives the same result as

`db.getRows.map(getUserInfo).toList`

$$\text{getRows} \circ \underline{\text{toList} \circ \text{getUserInfo}^{\uparrow \text{List}}} = \text{getRows} \circ \underline{\text{getUserInfo}^{\uparrow \text{Stream}} \circ \text{toList}}$$

First extract user information, then exclude invalid rows; or first exclude invalid rows, then extract user information:

`db.getRows.map(getUserInfo).filter(isValid)` gives the same result as

`db.getRows.filter(getUserInfo andThen isValid).map(getUserInfo)`

$$\begin{aligned} & \text{getRows} \circ \underline{\text{getUserInfo}^{\uparrow \text{Stream}} \circ \text{filt}(\text{isValid})} \\ &= \text{getRows} \circ \underline{\text{filt}(\text{getUserInfo} \circ \text{isValid}) \circ \text{getUserInfo}^{\uparrow \text{Stream}}} \end{aligned}$$

- These refactorings are guaranteed to be correct

Refactored code: further examples

Writing the previous examples as equations:

`def toList[A]: Stream[A] => List[A]` written as $\text{toList}^A : \text{Str}^A \rightarrow \text{List}^A$

$$\begin{array}{ccc} \text{Str}^A & \xrightarrow{\text{toList}^A} & \text{List}^A \\ \downarrow f^{\uparrow \text{Str}} & & \downarrow f^{\uparrow \text{List}} \\ \text{Str}^B & \xrightarrow{\text{toList}^B} & \text{List}^B \end{array}$$

$$_.\text{toList}.\text{map}(f) == _.\text{map}(f).\text{toList}$$

$$(f:A \rightarrow B)^{\uparrow \text{Str}} \circ \text{toList}^B = \text{toList}^A \circ f^{\uparrow \text{List}}$$

`def filt[A]: (A => Boolean) => Stream[A] => Stream[A]`

$$\begin{array}{ccc} \text{Str}^A & \xrightarrow{\text{filt}^A(f \circ p)} & \text{Str}^A \\ \downarrow f^{\uparrow \text{Str}} & & \downarrow f^{\uparrow \text{Str}} \\ \text{Str}^B & \xrightarrow{\text{filt}^B(p)} & \text{Str}^B \end{array}$$

$$\text{filt}^A : (A \rightarrow \mathbb{2}) \rightarrow \text{Str}^A \rightarrow \text{Str}^A$$

$$(f:A \rightarrow B)^{\uparrow \text{Str}} \circ \text{filt}^B(p:B \rightarrow \mathbb{2}) = \text{filt}^A(f \circ p) \circ f^{\uparrow \text{Str}}$$

- A transformation before `map` equals a transformation after `map`
- This is called a **naturality law**
- We expect it to hold if the code works the same way for all types

Naturality laws: equations

Naturality law for a function t is an equation involving an arbitrary function f that permutes the order of application of t and of a lifted f

$$\begin{array}{ccc} \text{List}^A & \xrightarrow{\text{headOpt}^A} & \text{Opt}^A \\ \downarrow f^{\uparrow \text{List}} & & f^{\uparrow \text{Opt}} \downarrow \\ \text{List}^B & \xrightarrow{\text{headOpt}^B} & \text{Opt}^B \end{array} \quad \begin{array}{l} \text{list.map}(f).\text{headOption} == \text{list.headOption.map}(f) \\ (f:A \rightarrow B)^{\uparrow \text{List}} \circ \text{headOpt} = \text{headOpt} \circ (f:A \rightarrow B)^{\uparrow \text{Opt}} \end{array}$$

- Lifting f before t equals to lifting f after t
 - Intuition: t rearranges data in a collection regardless of value types

Further examples:

- Reversing a list; $\text{reverse}^A : \text{List}^A \rightarrow \text{List}^A$

$$\begin{array}{l} \text{list.map}(f).\text{reverse} == \text{list.reverse.map}(f) \\ (f:A \rightarrow B)^{\uparrow \text{List}} \circ \text{reverse}^B = \text{reverse}^A \circ (f:A \rightarrow B)^{\uparrow \text{List}} \end{array}$$

- The pure method, $\text{pure}[A] : A \Rightarrow L[A]$. Notation: $\text{pu}_L : A \rightarrow L^A$

$$\begin{array}{l} \text{pure}(x).\text{map}(f) == \text{pure}(f(x)) \\ \text{pu}^A \circ (f:A \rightarrow B)^{\uparrow L} = f \circ \text{pu}^B \end{array}$$

Reasoning with naturality: Simplifying the pure method

The naturality law of `pure` for a functor L :

$$\begin{array}{ccc} A & \xrightarrow{\text{pu}_L} & L^A \\ \downarrow f & & \downarrow f^{\uparrow L} \\ B & \xrightarrow{\text{pu}_L} & L^B \end{array}$$

$$\text{pure}(a).\text{map}(f) == \text{pure}(f(a))$$

$$\text{pu}_L \circ f^{\uparrow L} = f \circ \text{pu}_L$$

Fix a value $b:B$ and set $f \triangleq 1 \rightarrow b$ and $A = \mathbb{1}$ in the naturality law:

$$\begin{array}{ccc} \mathbb{1} & \xrightarrow{\text{pu}_L} & L^{\mathbb{1}} \\ \downarrow 1 \rightarrow b & & \downarrow (1 \rightarrow b)^{\uparrow L} \\ B & \xrightarrow{\text{pu}_L} & L^B \end{array}$$

$$\text{pure}(()).\text{map}(_ \Rightarrow b) == \text{pure}(b)$$

$$\text{pu}_L \circ (1 \rightarrow b)^{\uparrow L} = (1 \rightarrow b) \circ \text{pu}_L$$

We have expressed `pure(b)` via a constant value `pure(())` of type `L[Unit]`

The naturality law of `pure` makes it equivalent to a “wrapped unit” value

This simplifies the definition of a `Pointed` typeclass:

```
abstract class Pointed[L[_]: Functor] { def wu: L[Unit] }
```

Examples: for `Option`, `wu = Some(())`. For `List`, `wu = List()`

Fully parametric code: example

Fully parametric code: works in the same way for all types

- Example of a fully parametric function:

```
def headOpt[A]: List[A] => Option[A] = {  
  case Nil => None  
  case head :: tail => Some(head)  
}
```

- The same code in the matrix notation:

$$\text{headOpt}^{\text{List}^A \rightarrow \mathbb{1} + A} \triangleq \begin{array}{c|cc} & \mathbb{1} & A \\ \hline \mathbb{1} & \text{id} & \mathbb{0} \\ \hline A \times \text{List}^A & \mathbb{0} & h \times t \rightarrow h \end{array}$$

where $\text{List}^A \triangleq \mathbb{1} + A \times \text{List}^A$ is a recursively defined type constructor

```
final case class List[A](x: Option[(A, List[A])])
```

- The fully parametric function `headOption` is a natural transformation between functors `List` and `Option`

Naturality laws express the programmer's intuition about the properties of fully parametric code

Conditions for code to be fully parametric

- All argument types are combinations of type parameters
- All type parameters are treated as unknown, arbitrary types
- No hard-coded values of specific types (`123: Int` or `"abc": String`)
- No side effects (printing, `var x`, mutating values, writing files, networking, starting or stopping new threads, etc.)
- No `null`, no throwing of exceptions, no run-time type comparison
- No run-time code loading, no external libraries with unknown code

Purely functional code is fully parametric if restricted to using only `Unit` type or type parameters (no specific types or values of specific types)

Purely functional programs are written using the 9 code constructions:

```
def fmap[A, B](f: A => B): List[(A, A)] => List[(B, B)] = { // 3
  case Nil => Nil
// 8 1 1,7
  case head :: tail => (f (head._1), f (head._2)) :: fmap(f)(tail)
// 8 6 2 4 6 5 2 4 6 7 9
}
```

- 1 Use `Unit` value (or a “named `Unit`”), e.g. `()`, `Nil`, or `None`. Notation: 1
- 2 Use bound variable (a given argument of the function). Notation: x
- 3 Create function: `{ x => expr(x) }`. Notation: $x \rightarrow \text{expr}(x)$
- 4 Use function: `f(x)`. Notation: $f(x)$ or $x \triangleright f$
- 5 Create tuple: `(a, b)`. Notation: $a \times b$
- 6 Use tuple: `p._1`. Notation: $\nabla_1 p$ or $p \triangleright \nabla_1$
- 7 Create disjunctive value: `Left[A, B](x)`. Notation: $x^A + 0^B$
- 8 Use disjunctive value: `{ case ... }` (pattern-matching); matrix code
- 9 Use recursive call: `fmap(f)(tail)`. Notation: $\overline{\text{fmap}}_{\text{List}}(f)(t)$

Summary of the type notation

The short type notation helps in symbolic reasoning about types

| Description | Scala examples | Notation |
|------------------------|--|--------------------------------------|
| Typed value | <code>x: Int</code> | x^{Int} or $x : \text{Int}$ |
| Unit type | <code>Unit, Nil, None</code> | 1 |
| Type parameter | <code>A</code> | A |
| Product type | <code>(A, B)</code> or <code>case class P(x: A, y: B)</code> | $A \times B$ |
| Co-product type | <code>Either[A, B]</code> | $A + B$ |
| Function type | <code>A => B</code> | $A \rightarrow B$ |
| Type constructor | <code>List[A]</code> | List^A |
| Universal quantifier | <code>trait P { def f[A]: Q[A] }</code> | $P \triangleq \forall A. Q^A$ |
| Existential quantifier | <code>sealed trait P[A]</code> <code>case class Q[A, B]() extends P[A]</code> | $P^A \triangleq \exists B. Q^{A,B}$ |

Example: Scala code `def flm(f: A => Option[B]): Option[A] => Option[B]`
is denoted by $\text{flm} : (A \rightarrow 1 + B) \rightarrow 1 + A \rightarrow 1 + B$

Naturality laws in typeclasses

Another use of naturality laws is when implementing typeclasses

- Typeclasses require type constructors with methods `map`, `filter`, `fold`, `flatMap`, `pure`, and others

To be useful for programming, the methods must satisfy certain laws

- `map`: identity, composition
- `filter`: identity, composition, partial function, naturality
- `fold` (traverse): identity, composition, naturality
- `flatMap`: identity, associativity, naturality
- `pure`: naturality

We need to check the laws when implementing new typeclass instances

Naturality laws and parametricity

- The **parametricity theorem** guarantees that all naturality laws hold as long as the method's code is purely functional
- This saves us time: *no need* to check the naturality laws

Using the parametricity theorem is difficult

- The “theorems for free” ([Reynolds](#); [Wadler](#)) approach needs to replace functions (one-to-one or many-to-one) by “relations” (many-to-many)
 - ▶ Derive a law with relation variables, then replace them by functions
- Alternative approach: analysis of dinatural transformations derives the naturality laws directly ([Bainbridge et al.](#); [Backhouse](#); [de Lataillade](#))
 - ▶ See also a [2019 paper](#) by Voigtländer
- Plan:
 - ▶ Introduce profunctors and dinatural transformations
 - ▶ Derive the naturality laws for dinatural transformations

Type constructors with two type parameters

In particular: bifunctors and profunctors

- In Scala syntax: `L[A, B]`. Example: `type L[A, B] = Either[(A, B), B]`
- In the type notation: $L^{A,B}$. Example: $L^{A,B} \triangleq A \times B + B$
- If a type constructor is **purely functional**, its type parameters will be either in covariant or in contravariant positions
- **Bifunctors**: both type parameters are always in covariant positions
 - ▶ Example: `L[A, B]` defined above is a bifunctor
 - ▶ Method `bimap[A, B, C, D](f: A => C, g: B => D): L[A, B] => L[C, D]`
 - ▶ Laws: identity and composition for `bimap`
- **Profunctors**: one type parameter contravariant, the other covariant
 - ▶ Example: `type P[X, Y] = Option[X] => (Y, Y)` or $P^{X,Y} \triangleq 1 + X \rightarrow Y \times Y$
 - ▶ Method `xmap[A, B, C, D](f: C => A, g: B => D): P[A, B] => P[C, D]`
 - ▶ Laws: identity and composition for `xmap`
- If `L[A, B]` is a functor separately in `A` and `B`, is it a bifunctor?
- If `P[A, B]` is contravariant in `A` and covariant in `B`, is it a profunctor?
- They are but only if all liftings in `A` commute with liftings in `B`
 - ▶ These are the “commutativity laws” of bifunctors and profunctors

Applying map to bifunctors and profunctors

The `map` method can be applied with respect to only one type parameter

- In a bifunctor $L[A, B]$, fix B . Denote the resulting functor by $L^{\bullet, B}$
 - ▶ In the Scala syntax with “kind projector”: $L[?, B]$
 - ▶ Lifting a function $f: U \rightarrow V$ is denoted by $f^{\uparrow L^{\bullet, B}}: L^{U, B} \rightarrow L^{V, B}$
 - ▶ If fixing A instead, a lifting is denoted by $f^{\uparrow L^{A, \bullet}}: L^{A, U} \rightarrow L^{A, V}$
 - ▶ **Commutativity law** for bifunctors: $f^{\uparrow L^{\bullet, B}} \circ (g: B \rightarrow C)^{\uparrow L^{V, \bullet}} = g^{\uparrow L^{U, \bullet}} \circ f^{\uparrow L^{\bullet, C}}$
- In a profunctor $P[A, B]$, fix B . The resulting *contrafunctor* is $P^{\bullet, B}$
 - ▶ Lifting a function $f: U \rightarrow V$ is denoted by $f^{\downarrow P^{\bullet, B}}: P^{V, B} \rightarrow P^{U, B}$
 - ▶ If fixing A instead, a lifting is denoted by $f^{\uparrow P^{A, \bullet}}: P^{A, U} \rightarrow P^{A, V}$
 - ★ For brevity, we may denote these liftings by $f^{\downarrow P}$ and $f^{\uparrow P}$ unambiguously
 - ▶ **Commutativity law** for profunctors: $f^{\downarrow P} \circ g^{\uparrow P} = g^{\uparrow P} \circ f^{\downarrow P}$

$$\begin{array}{ccc} P^{A, B} & \xrightarrow{\quad} & P^{C, B} \\ (g: B \rightarrow D)^{\uparrow P} \downarrow & \begin{array}{c} (f: C \rightarrow A)^{\downarrow P} \\ (f: C \rightarrow A)^{\downarrow P} \end{array} & \downarrow (g: B \rightarrow D)^{\uparrow P} \\ P^{A, D} & \xrightarrow{\quad} & P^{C, D} \end{array}$$

- Commutativity laws hold for *all* purely functional type constructors
 - ▶ It is not necessary to verify the bifunctor and profunctor laws!
- Proof is by induction on the type structure of $P^{X, Y}$

Proof of the composition law of xmap

If $P^{A,B}$ is a functor in A and a contrafunctor in B , define xmap by:

$$\begin{array}{ccc}
 P^{A,B} & \xrightarrow{(f:C \rightarrow A) \downarrow^P} & P^{C,B} \\
 & \searrow \text{xmap}(f)(g) \triangleq & \downarrow g \uparrow^P \\
 & & P^{C,D}
 \end{array}
 \quad \text{xmap}(f:C \rightarrow A)(g:B \rightarrow D) \triangleq f \downarrow^P \circ g \uparrow^P$$

The xmap composition law:

$$\text{xmap}(f_1)(g_1) \circ \text{xmap}(f_2)(g_2) = \text{xmap}(f_2 \circ f_1)(g_1 \circ g_2)$$

Proof uses the commutativity law, $f \downarrow^P \circ g \uparrow^P = g \uparrow^P \circ f \downarrow^P$, for f_2 and g_1 :

$$\begin{aligned}
 \text{xmap}(f_1)(g_1) \circ \text{xmap}(f_2)(g_2) &= f_1 \downarrow^P \circ \underline{g_1 \uparrow^P \circ f_2 \downarrow^P} \circ g_2 \uparrow^P \\
 &= \underline{f_1 \downarrow^P \circ f_2 \downarrow^P} \circ \underline{g_1 \uparrow^P \circ g_2 \uparrow^P} = (f_2 \circ f_1) \downarrow^P \circ (g_1 \circ g_2) \uparrow^P \\
 &= \text{xmap}(f_2 \circ f_1)(g_1 \circ g_2)
 \end{aligned}$$

Natural transformations

A **natural transformation** is a function t with type signature $F^A \rightarrow G^A$ that satisfies the naturality law $f^{\uparrow F} \circ t = t \circ f^{\uparrow G}$. Notation $t : F \rightsquigarrow G$

- Many standard methods have the form of a natural transformation
 - ▶ Examples: `headOption`, `lastOption`, `reverse`, `swap`, `map`, `flatMap`, `pure`
- If there are several type parameters, use one at a time:
 - ▶ For `flatMap`, denote $\text{flm} : (A \rightarrow M^B) \rightarrow M^A \rightarrow M^B$, fix A
 - ★ $\text{flm} : F^B \rightarrow G^B$ where $F^B \triangleq A \rightarrow M^B$ and $G^B \triangleq M^A \rightarrow M^B$
 - ▶ The naturality law $f^{\uparrow F} \circ \text{flm} = \text{flm} \circ f^{\uparrow G}$ then gives the equation

$$\text{flm}(p^{A \rightarrow M^B} \circ f^{\uparrow M}) = \text{flm}(p^{A \rightarrow M^B}) \circ f^{\uparrow M}$$

The naturality law for $t^A : F^A \rightarrow G^A$ when F^A, G^A are contrafunctors:

$$\begin{array}{ccc} F^A & \xrightarrow{t^A} & G^A \\ \downarrow (f^{B \rightarrow A})^{\downarrow F} & & \downarrow f^{\downarrow G} \\ F^B & \xrightarrow{t^B} & G^B \end{array} \qquad f^{\downarrow F} \circ t = t \circ f^{\downarrow G}$$

Mnemonic rule: if $t : F \rightsquigarrow G$ then the lifting to F is on the left, the lifting to G is on the right

Dinatural transformations and profunctors

Some methods do *not* have the type signature of the form $F^A \rightarrow G^A$

- `find[A]: (A => Boolean) => List[A] => Option[A]`
- `fold[A, B]: List[A] => B => (A => B => B) => B` with respect to B
 - ▶ The type parameter is in contravariant and covariant positions at once
 - ▶ This gives us neither a functor nor a contrafunctor
- Solution: use a profunctor $P^{X,Y}$ with equal type parameters: $P^{A,A}$

A **dinatural transformation** is a function t with type signature $P^{A,A} \rightarrow Q^{A,A}$ that satisfies the naturality law $f \downarrow^P \circ t \circ f \uparrow^Q = f \uparrow^P \circ t \circ f \downarrow^Q$ where $P^{X,Y}$ and $Q^{X,Y}$ are suitable profunctors

- All pure functions have the type signature of a dinatural transformation
- All naturality laws (also for `find`, `fold`) are derived in this way
- The corresponding naturality law is guaranteed by parametricity
- Proof of parametricity theorem is a direct proof that any pure function t satisfies its law, by induction on the code structure of t . The proof depends on the profunctor commutativity law and the lifting codes for $f \uparrow^P$ and $f \downarrow^P$.

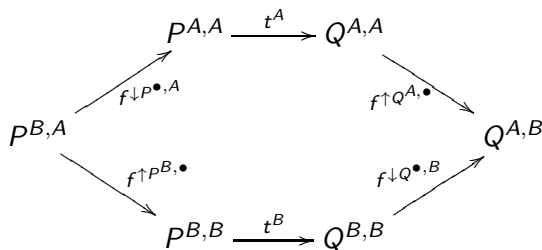
The naturality law for dinatural transformations

Given two profunctors $P^{X,Y}$ and $Q^{X,Y}$ and a function $t^A : P^{A,A} \rightarrow Q^{A,A}$

The naturality law is an equation for functions $P^{B,A} \rightarrow Q^{A,B}$:

$$f \downarrow^{P^\bullet, A} \circ t^A \circ f \uparrow^{Q^A, \bullet} \stackrel{!}{=} f \uparrow^{P^B, \bullet} \circ t^B \circ f \downarrow^{Q^\bullet, B}$$

Both sides must give the same result when applied to arbitrary $p : P^{B,A}$



This law reduces to natural transformation laws when P and Q are functors or contrafunctors

Example: writing the naturality law for `filter`

`def filter[A]: (A => Boolean) => F[A] => F[A]` for a filterable functor F

Notation: $\text{filt}^A : (A \rightarrow 2) \rightarrow F^A \rightarrow F^A$

Rewrite in the form of a dinatural transformation:

$$\text{filt}^A : P^{A,A} \rightarrow Q^{A,A} \quad , \quad P^{X,Y} \triangleq (X \rightarrow 2) \quad , \quad Q^{X,Y} \triangleq F^X \rightarrow F^Y$$

Write the code for the liftings using the specific types of P and Q :

$$\begin{aligned} (f:A \rightarrow B) \downarrow^{P^\bullet, A} &= p^{B \rightarrow 2} \rightarrow f \circ p \quad , \quad f \uparrow^{P^B, \bullet} = \text{id} \quad , \\ (f:A \rightarrow B) \downarrow^{Q^\bullet, B} &= q^{F^B \rightarrow F^B} \rightarrow f \uparrow^F \circ q \quad , \quad f \uparrow^{Q^A, \bullet} = q^{F^A \rightarrow F^A} \rightarrow q \circ f \uparrow^F \quad . \end{aligned}$$

Rewrite the naturality law $f \downarrow^{P^\bullet, A} \circ \text{filt}^A \circ f \uparrow^{Q^A, \bullet} \stackrel{!}{=} f \uparrow^{P^B, \bullet} \circ \text{filt}^B \circ f \downarrow^{Q^\bullet, B}$ as

$$(p \rightarrow f \circ p) \circ \text{filt}_F \circ (q \rightarrow q \circ f \uparrow^F) \stackrel{!}{=} \text{id} \circ \text{filt}_F \circ (q \rightarrow f \uparrow^F \circ q) \quad .$$

To simplify the form of the naturality law, apply both sides to an arbitrary value $p^{P^B, A} = p^{B \rightarrow 2}$

Evaluate the results and obtain the naturality law of `filter`,

$$\text{filt}_F(f \circ p) \circ f \uparrow^F \stackrel{!}{=} f \uparrow^F \circ \text{filt}_F(p)$$

Uniqueness of functor implementations

Statement 1: For any purely functional type constructor F^A covariant in A , there is a unique lawful and purely functional implementation of `fmap` with type signature `fmap[A, B]: (A => B) => F[A] => F[B]`

Statement 2: For any purely functional type constructor F^A contravariant in A , there is a unique lawful and purely functional implementation of `cmap` with type signature `cmap[A, B]: (B => A) => F[A] => F[B]`

- Note: many typeclasses may admit several lawful, purely functional, but non-equivalent implementations of a typeclass instance for the same type constructor `F[A]`. For example, `Filterable`, `Monad`, `Applicative` instances are not always unique. But instances are unique for the functor and contrafunctor type classes.

Proof of Statement 1 (uniqueness of functor instances)

For a given functor F , we can construct the “standard” fmap (denoted by $\dots^{\uparrow F}$) that is involved in the naturality laws. Suppose that there exists *another* lawful and purely functional implementation $\text{fmap}'(f)$:

$$\text{fmap}' : (A \rightarrow B) \rightarrow F^A \rightarrow F^B, \quad \text{fmap}'(f^{A \rightarrow B}) = ???^{F^A \rightarrow F^B}$$

We need to show that $\text{fmap}' = \text{fmap}$

By parametricity, fmap' has a naturality law with respect to B :

$$\text{fmap}'(f^{A \rightarrow B} \circ g^{B \rightarrow C}) \stackrel{!}{=} \text{fmap}'(f) \circ g^{\uparrow F} = \text{fmap}'(f) \circ \text{fmap}(g)$$

This suggests using the composition law for fmap' :

$$\text{fmap}'(f \circ g) = \text{fmap}'(f) \circ \text{fmap}'(g) \stackrel{!}{=} \text{fmap}'(f) \circ \text{fmap}(g)$$

Since $f^{A \rightarrow B}$ is arbitrary, we may choose $A = B$ and $f = \text{id}^{B \rightarrow B}$ to obtain

$$\underline{\text{fmap}'(\text{id})} \circ \text{fmap}'(g) = \text{fmap}'(g) \stackrel{!}{=} \underline{\text{fmap}'(\text{id})} \circ \text{fmap}(g) = \text{fmap}(g)$$

This must hold for arbitrary $g^{B \rightarrow C}$, which proves that $\text{fmap}'_F = \text{fmap}_F$

Plan for a proof of commutativity law for profunctors

- Main idea: induction on the type expression of a profunctor $P^{X,Y}$
- A purely functional $P^{X,Y}$ must be a combination of `Unit` type (`1`), parameters X and Y , products $A \times B$, co-products $A + B$, exponentials $A \rightarrow B$, and type recursion (use of P in its definition)
- For each of these cases, we need to show that the commutativity law holds given that it holds for all sub-expressions
 - ▶ Base case: show that the law holds for $P^{X,Y} \triangleq 1$ and $P^{X,Y} \triangleq Y$
 - ▶ Induction steps: if the law holds for $P^{X,Y}$ and $Q^{X,Y}$, show that it also holds for $P^{X,Y} + Q^{X,Y}$ and $P^{X,Y} \times Q^{X,Y}$ and $P^{Y,X} \rightarrow Q^{X,Y}$
 - ▶ Show that the law holds for a recursively defined $P^{X,Y} \triangleq S^{X,Y,P^{X,Y}}$ for a type constructor $S^{X,Y,R}$ contravariant in X , covariant in Y and R
 - ▶ We need to use the code of functor and contrafunctor instances for products, co-products, function types, and recursive types
- Example: For $R^{X,Y} \triangleq P^{X,Y} \times Q^{X,Y}$, the liftings to R are given by $f^{\uparrow R} \triangleq p \times q \rightarrow f^{\uparrow P}(p) \times f^{\uparrow Q}(q)$ and $f^{\downarrow R} \triangleq p \times q \rightarrow f^{\downarrow P}(p) \times f^{\downarrow Q}(q)$
 - ▶ Write $f^{\downarrow R}; g^{\uparrow R}$ explicitly using $f^{\downarrow P}$, $f^{\downarrow Q}$, $g^{\uparrow P}$, and $g^{\uparrow Q}$, and show that $f^{\downarrow R}; g^{\uparrow R} = g^{\uparrow R}; f^{\downarrow R}$ by assuming that the same law already holds for P and Q

Plan for a proof of parametricity theorem

- Need to prove the naturality law for $t^A : P^{A,A} \rightarrow Q^{A,A}$ written as

$$(f:A \rightarrow B) \downarrow^{P^\bullet, A} \circ t^A \circ f \uparrow^{Q^A, \bullet} = f \uparrow^{P^B, \bullet} \circ t^B \circ f \downarrow^{Q^\bullet, B}$$

- The code of t must be of the form $p \rightarrow \text{expr}$, where “expr” must be built up from the 9 purely functional code constructions
- Main idea: induction on the code of “expr”, assuming that the naturality law holds for all sub-expressions
- Example: induction step for code construction 3 (“create function”)
 - ▶ The code of t is $p \rightarrow z \rightarrow r$ and $Q^{X,Y} \triangleq Z^{Y,X} \rightarrow R^{X,Y}$
 - ▶ Inductive assumption is that any $x \rightarrow r$ satisfies the law; let $x = p \times z$
 - ▶ Assume that the law holds for $u \triangleq p \times z \rightarrow r$, $u : P^{A,A} \times Z^{A,A} \rightarrow R^{A,A}$
 - ▶ Derive the law for $t = p \rightarrow z \rightarrow u(p \times z)$ by a direct calculation
- There are some technical difficulties (dinatural transformations do not generally compose) but these difficulties can be overcome with tricks

- Purely functional code enables powerful mathematical reasoning:
 - ▶ Naturality laws can be used for guaranteed correct refactoring
 - ▶ Naturality laws allow us to reduce the number of type parameters
 - ▶ In typeclass instances, all naturality laws hold, no need to check
 - ▶ Functor, contrafunctor, and profunctor typeclass instances are unique
 - ▶ Bifunctors and profunctors obey the commutativity law
- Full details and proofs are in the free upcoming book (Appendix D)
 - ▶ Draft of the book: <https://github.com/winitzki/sofp>