# **Higher-order Cheeger Inequalities**

**Spectral Graph Theory** 

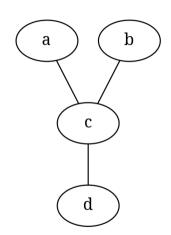
Matt Taylor
University of Bristol

March 31, 2022

• 
$$G = (V, E)$$

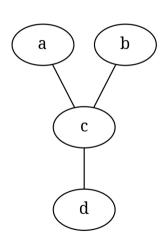
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e.g: V = {a, b, c, d}
E = {{a, c}, {b, c}, {c, d}}

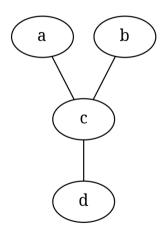
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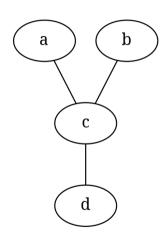


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- e.g:  $V = \{a, b, c, d\}$  (Let n = |V|.)

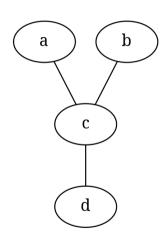
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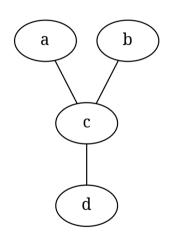
$$A = \begin{pmatrix} a & b & c & d \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 \\ c & 1 & 1 & 0 & 1 \\ d & 0 & 0 & 1 & 0 \end{pmatrix}$$



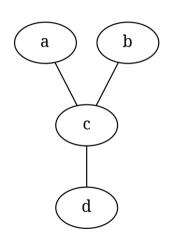
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$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}$$

$$D = \begin{matrix} a \\ b \\ c \\ d \end{matrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 3 & 0 \\ 0 & 0 & 0 & 1 \end{matrix}$$



$$L = D - A = \begin{pmatrix} a & b & c & d \\ 1 & 0 & -1 & 0 \\ 0 & 1 & -1 & 0 \\ -1 & -1 & 3 & -1 \\ d & 0 & 0 & -1 & 1 \end{pmatrix}$$



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Normalized:  $\mathcal{L} = D^{-1/2}LD^{-1/2}$ 

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### **Eigenvalues of Laplacian**

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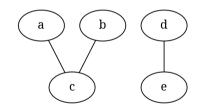
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### **Eigenvectors as an Optimization Problem**

Relationship between  $\lambda_2$  and arbitrary  $f \in \mathbb{R}^n$  (i.e  $f : V \to \mathbb{R}$ ).

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#### Courant-Fischer Theorem:

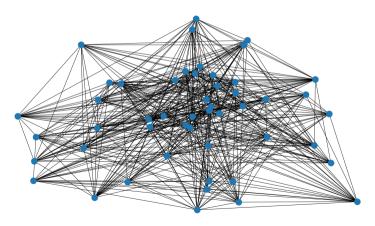
$$\lambda_2 = \min_{\mathbf{1} \perp f \in \mathbb{R}^n} \frac{\displaystyle\sum_{\{x,y\} \in E} (f(x) - f(y))^2}{\displaystyle\sum_{v \in V} f(v)^2}$$

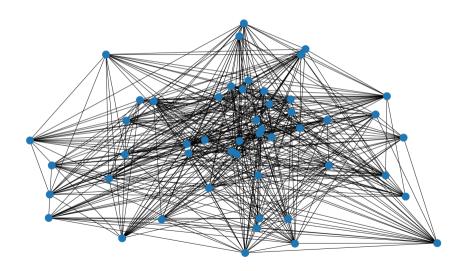
 $f_2$  is the vector which minimizes the above.

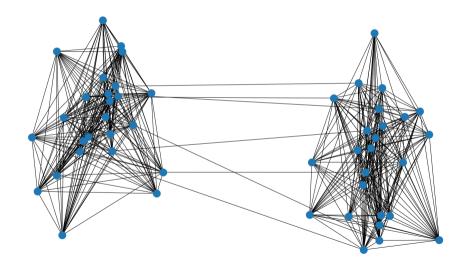
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### **Cheeger Constant**

$$h = \min_{S \subseteq V} \max\{\phi(S), \phi(S^c)\}$$
 where  $\phi(S) = \frac{\{\# \text{ edges leaving } S\}}{\{\# \text{ vertices in } S\}}$ 

h tells us how 'hard' the Graph is to bisect.

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Continuous case: [Cheeger'70].

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### **Higher-order Cheeger Inequalities**

Does this generalize for k > 2?

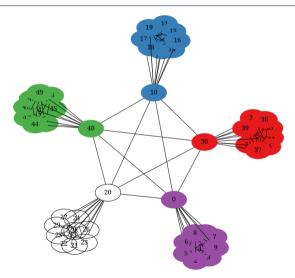
### **Higher-order Cheeger Inequalities**

Does this generalize for k > 2? Yes!

$$\frac{\lambda_k}{2} \le h_k \le O(k^2) \sqrt{\lambda_k}$$

[Lee, Oveis Gharan, Trevisan'14]

# *k*-clustering Problem



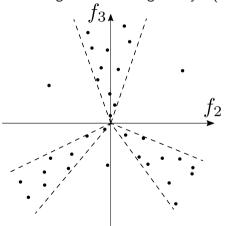
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Spectral embedding: 
$$F(v) = (f_2(v), f_3(v), \dots, f_k(v))$$

1. Regions of diameter  $\Delta$  contain less than  $\frac{1}{k(1-\Delta^2)}$  fraction of vertices

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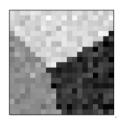
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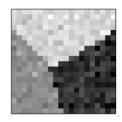
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- 3. Form the clustering from vertices within each region

# **Applications of Spectral Clustering**



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[Shi, Malik'00]

# Thank you for listening.



James Lee, Shayan Oveis Gharan, Luca Trevisan (2014)

Multi-way spectral partitioning and higher-order Cheeger inequalities

Journal of the ACM