

Algebra I

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1 The Hilbert Basis- and Nullstellensatz

1.1 Noetherian Rings

Definition 1.1.1. Let R be a ring, and $f_1, \dots, f_n \in R$, then

$$\langle f_1, \dots, f_n \rangle_R = \left\{ \sum_{i=1}^n \lambda_i f_i \mid \lambda_i \in R \right\} = \bigcap_{\substack{I \subseteq R, \\ I \text{ ideal}, \\ f_i \in I \forall i}} I.$$

This is called the *ideal* generated by the f_i and the f_i are called a *basis* or *generators* of I .

Remark 1.1.1. If I is not necessarily finite,

$$\langle f_i \mid i \in I \rangle_R = \left\{ \sum_{i \in I} \lambda_i f_i \mid \lambda_i = 0 \text{ for all but finitely many } i \right\} = \bigcap_{\substack{I \subseteq R, \\ I \text{ ideal}, \\ f_i \in I \forall i}} I.$$

Definition 1.1.2. Let k be a field, $I \subseteq k[T_1, \dots, T_n]$ an ideal, l a field extension of k . $x \in l^n$ is a zero of I iff $f(x_1, \dots, x_n) = 0$ for all $f \in I$.

Remark 1.1.2. x is a common zero of the $f_i \in k[X_1, \dots, X_n]$ iff x is a zero of the ideal generated by the f_i .

Proposition 1.1.1. For a ring R the following conditions are equivalent:

- a) Every ideal has a finite set of generators (i.e. is finitely generated).
- b) Every ascending chain $I_0 \subseteq I_1 \subseteq \dots$ of ideals in R terminates after finitely many steps, i.e. there is some $n \in \mathbb{N}$ such that $I_k = I_n$ for all $k \geq n$.
- c) Every non-empty set \mathfrak{M} of ideals in R has an \subseteq -maximal element I .

Definition 1.1.3. A ring with these properties is called *Noetherian*.

Example 1.1.1. Fields and principal ideal domains are Noetherian.

Theorem 1.1.1 (Hilbert's Basissatz). If R is Noetherian, $R[T_1, \dots, T_n]$ (with finite n !) is Noetherian.

Proof. The proof is recapitulated later on. □

Corollary 1.1.1 (of the Basissatz). *Every polynomial system of equations in finitely many variables over a field has finite subsystem with the same set of solutions.*

Theorem 1.1.2 (Hilbert's Nullstellensatz). *Let k be an algebraically closed field and I be a proper ideal of $k[X_1, \dots, X_n]$. Then I has a zero $x \in k^n$.*

Proof. This will be proofed in a few days. □

1.2 Modules over rings

Definition 1.2.1. An R -Module (where R is a ring) is an abelian group $(M, +)$ with an operation

$$\begin{aligned} \cdot : R \times M &\longrightarrow M \\ (r, m) &\longmapsto r \cdot m \end{aligned}$$

such that

$$\begin{aligned} r \cdot (s \cdot m) &= (r \cdot s) \cdot m \\ (r + s) \cdot m &= r \cdot m + s \cdot m \\ r \cdot (m + n) &= r \cdot m + r \cdot n \\ 1 \cdot m &= m. \end{aligned}$$

A morphism of R -Modules is a map $M \xrightarrow{f} N$ which is a homomorphism of abelian groups compatible with \cdot . A submodule of M is a subgroup $X \subseteq M$ of $(M, +)$ such that $R \cdot X \subseteq X$.

Example 1.2.1. The R -submodules of R are the ideals in R .

Proposition 1.2.1. *If $N \subseteq M$ is a R -submodule of the R -module M the quotient group M/N has a unique structure of an R -submodule such that the projection $M \xrightarrow{\pi} M/N$ is a morphism of R -modules, and for arbitrary R -modules T the map*

$$\begin{aligned} \text{Hom}_R(M/N, T) &\longrightarrow \{\tau \in \text{Hom}_R(M, T) \mid \tau|_N = 0\} \\ t &\longmapsto \tau = t \circ \pi \end{aligned}$$

is bijective, where t is surjective iff τ is and t is injective iff $\ker(\tau)$ equals N .

Remark 1.2.1. Two important corollaries are:

$$(M/L)/(N/L) \xleftarrow{\cong} M/N$$

for $M \supseteq N \supseteq L$ and, for submodules N and L of M

$$(N + L)/N \xleftarrow{\cong} L/(N \cap L)$$

where $N + L$ denotes the submodule $\{l + n \mid l \in L, n \in N\}$ of M .

Definition 1.2.2. If M and N are R -modules, $M \oplus N = \{(m, n) \mid m \in M, n \in N\} = M \times N$ equipped with component-by-component addition and scalar multiplication. This can be generalized to finitely many summands.

Example 1.2.2. $R^n = \{(r_i)_{i=1}^n \mid r_i \in R\}$ is an R -module.

Definition 1.2.3. If M is an R -module and $m_1, \dots, m_k \in M$, then the submodule generated by $\{m_i | 1 \leq i \leq k\}$ is

$$\left\{ \sum_{i=1}^k r_i \cdot m_i \mid r_i \in R \right\} = \bigcap_{\substack{X \subseteq M \\ X \text{ module} \\ \text{all } m_i \in X}} X$$

As was the case for Definition 1.1.1, this can be generalized to infinitely many generators. M is finitely generated iff there are $(m_i)_{i=1}^k$, $k \in \mathbb{N}$, $m_i \in M$ such that the submodules of M generated by the m_i equals M .

Proposition 1.2.2. Let $N \subseteq M$ be an R -submodule

- a) If M is finitely generated, M/N is finitely generated.
- b) If N and M/N are finitely generated, M is finitely generated.

Corollary 1.2.1. $M \oplus N$ is finitely generated iff M and N are. (Note that: $M \simeq M \oplus \{0\}$ and $(M \oplus N)/M \simeq N$)

Proposition 1.2.3. Let M be an R -module. The following properties are equivalent:

- a) Every submodule $N \subseteq M$ of M is finitely generated.
- b) Every ascending sequence $N_0 \subseteq N_1 \subseteq \dots$ of submodules of N terminates.
- c) Every non-empty set \mathfrak{M} of R -submodules of M has a \subseteq -maximal element.

Proof. **a) \rightarrow b)** Let $N_\infty = \bigcup_{i=0}^\infty N_i$, then this is a submodule, hence finitely generated by a). Let n_1, \dots, n_k , $k \in \mathbb{N}$, generate N_∞ and let j_i , for $1 \leq i \leq k$, be chosen such that $n_i \in N_{j_i}$ and let $l = \max\{j_i | 1 \leq i \leq k\}$, then $n_l = N_\infty$.

b) \rightarrow c) From b) we conclude, that in the \subseteq -ordered set \mathfrak{M} every ascending chain has an upper bound in \mathfrak{M} , namely the ideal, that terminates the chain. Therefore by Zorn's Lemma there is \subseteq -maximal element in \mathfrak{M} .

c) \rightarrow a) Let \mathfrak{M} be the set of finitely generated submodules of N . Since $\{0\} \subseteq N$ is a module, this set is not empty. Therefore there is a \subseteq -maximal submodule P in \mathfrak{M} generated by p_1, \dots, p_n . Therefore there is no $f \in N \setminus P$ such that $\langle p_1, \dots, p_n, f \rangle_R$ is a submodule of N since this would be a superset of P . Hence we have $N = P$ is finitely generated.

□

Definition 1.2.4. A module over a ring R is *Noetherian* iff the equivalent conditions above are fulfilled.

Remark 1.2.2. Sub- and quotient modules of Noetherian rings are Noetherian. If N is a submodule of M and if N and M/N are Noetherian, then M is Noetherian.

Proof. The first assertion follows easily from Proposition 1.2.2 and the characterization of *Noetherian modules* by Proposition 1.2.3a). For the last assertion, let N and M/N be Noetherian and $X \subseteq M$ be a submodule. Then $X \cap N$ is a submodule of N , thus finitely generated, and $X/(X \cap N) \simeq (X + N)/N$ is isomorphic to a submodule of M/N , thus finitely generated and X is finitely generated by Proposition 1.2.2. □

Remark 1.2.3. Any Noetherian module is finitely generated.

Proposition 1.2.4. For a ring R the following conditions are equivalent:

- a) R is Noetherian in the sense of definition 1.1.3.
- b) R is Noetherian as R -module.
- c) Any finitely generated R -module is Noetherian.

Proof. a) \leftrightarrow b) Follows from the definition.

c) \rightarrow b) Obvious, as R is a finitely generated R -module.

b) \rightarrow c) Induction on the number of generators of M . Let M be generated by m_1, \dots, m_k as an R -module and let R -modules generated by $< k$ elements be Noetherian, let $N = \sum_{i=1}^{k-1} R \cdot m_i = \left\{ \sum_{i=1}^{k-1} \rho_i \cdot m_i \mid \rho_i \in R \right\}$ be the submodule generated by the first $k-1$ of the m_i . By the induction hypothesis, N is Noetherian. The map $R \rightarrow M/N$ sending $r \in R$ to the image of $r \cdot m_k$ in M/N is surjective. This, M/N is isomorphic to a quotient of R , the Noetherian by Remark 1.2.2. Also by Remark 1.2.2, M is Noetherian. □

Definition 1.2.5. For a module M over a ring R , let $\text{Ann}(M)$ be $\{r \in R \mid r \cdot M = \{0\}\} = \{r \in R \mid r \cdot m = 0 \forall m \in M\}$. It is called the *annihilator* or *annulator* (?) of M .

Proposition 1.2.5. A module M over a ring R is Noetherian iff it is finitely generated and $R/\text{Ann}(M)$ is a Noetherian ring.

1.3 Proof of the Hilbert basis theorem

Proof. Let R be a Noetherian ring and $I \subseteq R[T]$ be an ideal. Let $R[T]_{\leq n}$ be the set of polynomials over R of degree smaller or equal to n . This is isomorphic to R^{n+1} ($1, \dots, T^n$ being free generators) as R -modules, thus Noetherian as an R -module (Proposition 1.2.4) which implies that $I_{\leq n} = I \cap R[T]_{\leq n}$ is a finitely generated R -module. Let I_n be $\{a_n \mid \sum_{i=0}^n a_i T^i \in I, \text{ for some } a_0, \dots, a_{n-1} \in R\}$. This is an ideal (R -submodule) of R , being the image of $I_{\leq n} \rightarrow R$ sending $\sum_{i=0}^n a_i T^i \in I_{\leq n}$ to a_n . We have $I_n \subseteq I_{n+1}$ as $T \cdot I_{\leq n} \subseteq I_{\leq n+1}$. As R is Noetherian this terminates at some $k \in \mathbb{N}$ with $I_n = I_k$ for $n \geq k$. Let f_1, \dots, f_A be generators of $I_{\leq k}$ as an R -module. We claim that they generate I as a $R[T]$ -module. Since they generate $I_{\leq k}$ as an R -module, their k -th coefficients $f_{i,k}$, $1 \leq i \leq A$, generate $I_n = I_k$, for $n \geq k$, as an R -module.

We show, by induction on n , that any $g \in I_{\leq n}$ belongs to $\langle f_1, \dots, f_A \rangle_{R[T]}$, establishing $I = \langle f_1, \dots, f_A \rangle_{R[T]}$. For $n \leq k$ we have $g \in I_{\leq k}$ and the assertion is obvious. Let $n > k$ let the assertion be valid for all $\tilde{g} \in I_{\leq n-1}$. Let $g = \sum_{i=1}^n g_i T^i$, $g_n = \sum_{i=1}^A \gamma_i f_{i,k}$, let $\tilde{g} = g - \sum_{i=1}^A \gamma_i T^{n-k} f_i$, then $\tilde{g} \in I_{\leq n}$ as the coefficients cancel. Thus, $\tilde{g} = \sum_{i=1}^A \rho_i f_i$ with $\rho_i \in R[T]$ by the induction assumption and $g = \sum_{i=1}^A (\gamma_i T^{n-k} + \rho_i) f_i = \langle f_1, \dots, f_A \rangle_{R[T]}$ as claimed.

Thus I is finitely $R[T]$ -generated. Since this holds for any $I \subseteq R[T]$, $R[T]$ is Noetherian. □

Corollary 1.3.1. As $R[X_1, \dots, X_{n+1}] \simeq (R[X_1, \dots, X_n])[X_{n+1}]$, it follows by induction that arbitrary finite polynomial rings over Noetherian rings are Noetherian.

1.4 Finiteness properties of R -algebras

Definition 1.4.1. Let R be a ring. An R -algebra is a ring A (commutative, with 1) together with a ring homomorphism $R \xrightarrow{\alpha} A$. The A becomes an R -module by $r \cdot a := \alpha(r) \cdot a$. We call A *finite over R* (or *finite as an R -algebra*) if it is finitely generated as an R -module. We call A of *finite type over R* if it is finitely generated as an R -algebra in the sense that there are $f_1, \dots, f_k \in A$, $k \in \mathbb{N}$, such that any R -subalgebra $B \subseteq A$ (i.e. any subring $B \subseteq A$ which is also a R -submodule, or, equivalently, a subring containing the image of α) containing the f_i must equal A .

Remark 1.4.1. If A is an R -algebra and $f_1, \dots, f_k \in A$, the following subsets of A coincide:

- $\left\{ \sum_{d \in \mathbb{N}^k} r_d f_1^{d_1} \cdots f_k^{d_k} \mid r_d \in R, r_d \neq 0 \text{ only for finitely many } d \right\}$
- The image of the ring homomorphism $R[X_1, \dots, X_k] \rightarrow A$ sending $p \in R[X_1, \dots, X_k]$ to $p(f_1, \dots, f_k)$.
- The intersection of all R -subalgebras of A containing the f_i .

Thus, an R -algebra A is of finite type iff it is isomorphic to a quotient of $R[X_1, \dots, X_k]$ by some ideal I for finite k .

Remark 1.4.2. a) Obviously, if $f_1, \dots, f_i \in A$ generate A as an R -module, they generate it as an R -algebra. Thus any finite R -algebra is of finite type. On the other side, when $R \neq \{0\}$ and $n > 0$, $R[X_1, \dots, X_n]$ is an R -algebra of finite type that is not finitely generated as an R -module.

b) Obviously, if L/K is a field extension then L is a finite K -algebra iff the field extension is finite. The fact that this still holds if L is a K -algebra of finite type turns out to be essentially equivalent to the Nullstellensatz.

Proposition 1.4.1. Let R be a ring, A an R -algebra. Any A -algebra B becomes an R -algebra by composition for the homomorphisms.

- If A is finite over R , it is of finite type over R . ✓ (trivial)
- (transitivity of finiteness) If B is finite over A and A finite over R , then B is finite over R .
- If B over A and A over R are of finite type, then B is of finite type over R .
- An algebra of finite type over a Noetherian ring is a Noetherian ring.

Proof. a) trivial

- If $(b_i)_{i=1}^m$ generate B as an A -module and $(a_j)_{j=1}^n$ generate A as an R -module, the $\beta_{i,j} = a_j \cdot b_i$ generate B as an R -module: Let $b \in B$, then $b = \sum_{i=1}^m \alpha_i b_i$ (with $\alpha_i \in A$) and each α_i can be written as $\alpha_i = \sum_{j=1}^n r_{i,j} a_j$ then $b = \sum_{i=1}^m \sum_{j=1}^n r_{i,j} \beta_{i,j}$.
- Let $(b_i)_{i=1}^m$ generate B as an A -module and $(a_j)_{j=1}^n$ generate A as an R -module, then B is generated by $(a_1, \dots, a_n, b_1, \dots, b_m)$ as an R -algebra. Let $\beta \in B$, then $\beta = P(b_1, \dots, b_m) = \sum_{\alpha \in \mathbb{N}^m} p_\alpha b_1^{\alpha_1} \cdots b_m^{\alpha_m}$ with $p_\alpha \in A$ which can be written $p_\alpha = q_\alpha(a_1, \dots, a_n)$ with $q_\alpha \in R[X_1, \dots, X_n]$, $q_\alpha = \sum_{\gamma \in \mathbb{N}^n} q_{\alpha,\gamma} a_1^{\gamma_1} \cdots a_n^{\gamma_n}$. Let

$$r(X_1, \dots, X_m, Y_1, \dots, Y_n) = \sum_{(\alpha, \gamma) \in \mathbb{N}^{m+n}} q_{\alpha, \gamma} X_1^{\alpha_1} \cdots X_m^{\alpha_m} \cdot Y_1^{\gamma_1} \cdots Y_n^{\gamma_n},$$

then $R(b_1, \dots, b_m, a_1, \dots, a_n) = \beta$ establishing our claim that $\{a_j\} \cup \{b_i\}$ generate B as an R -algebra.

- d) Note that the quotient of a Noetherian ring by an ideal stays Noetherian: The preimage of an infinitely ascending chain of ideals of the quotient ring would be an infinitely ascending chain of ideals of the original ring. Now if $a_1, \dots, a_m \in A$ generate A as an R -algebra, then

$$\begin{aligned} R[X_1, \dots, X_m] &\longrightarrow A \\ P &\longmapsto P(a_1, \dots, a_m) \end{aligned}$$

is surjective and A is isomorphic to a quotient of $R[X_1, \dots, X_m]$, which by the Basissatz is Noetherian if R is. □

Proposition 1.4.2 (Artin-Tate). *Let R be a Noetherian ring, A an R -algebra of finite type and $B \subseteq A$ an R -subalgebra such that A is finite over B . Then B is an R -algebra of finite type.*

Proof. Let $(a_i)_{i=1}^n$ generate A as an R -algebra and let $(\alpha_j)_{j=1}^n$ generate it as a B -module. We have expressions

$$a_i = \sum_{j=1}^n b_{i,j} \alpha_j \quad (*)$$

$$\alpha_k \cdot \alpha_k = \sum_{j=1}^n \beta_{j,k,l} \alpha_j. \quad (**)$$

Let $\tilde{B} \subseteq B$ be the R -algebra generated by the $b_{i,j}$ and the $\beta_{j,k,l}$. It is of finite type over R thus Noetherian. Let $\tilde{A} \subseteq A$ be the \tilde{B} -submodule generated by the $(\alpha_k)_{k=1}^n$. It is a subring by $(**)$ and contains the a_i by $(*)$ and is an R -algebra because \tilde{B} is. Then $\tilde{A} = A$ and A is finite over \tilde{B} . Since \tilde{B} is Noetherian and $B \subseteq A$ is a \tilde{B} -subalgebra and B is finitely generated as \tilde{B} -module (\tilde{B} being Noetherian), hence B is of finite type over \tilde{B} (Proposition 1.4.1a), hence B is of finite type over R (Proposition 1.4.1c) □

Proposition 1.4.3 (Eakin-Nagata). *Let A be a Noetherian ring and $B \subseteq A$ be a subring such that A is finite over B . Then B is Noetherian.*

Remark 1.4.3. See Matsumura, CRT, for Eakin-Nagata.

1.5 The notion of integrity and the Noether Normalization Theorem

Remark of the author: It's called integrity not entireness...

Definition 1.5.1. Let $A \subseteq B$ be a ring extension. We call $b \in B$ integral/ganz over A if it satisfies an equation

$$b^n + \sum_{i=0}^{n-1} a_i b^i = 0$$

with $a_i \in A$. We call B over A integral, if every element of B is integral.

Remark 1.5.1. It is not really necessary to assume $A \rightarrow B$ to be injective.

Proposition 1.5.1. a) $b \in B$ is integral over A iff there is an intermediate ring $A \subseteq C \subseteq B$ containing b which is finite over A . If b_1, \dots, b_n are finitely many elements of B which are integral over A , then there is an A -subalgebra $A \subseteq C \subseteq B$ which is finite over A and containing all b_i .

b) The elements of B which are integral over A form a subring of B , the integral closure of A in B .

c) If C/B and B/A are integral, C/A is integral.

d) Let B/A be integral (where it is essential that A is a subring of B). If B is a field, then A is a field.

Proof. a) Let b_1, \dots, b_n be integral over A and let C be the subring generated over A by $b_1^{\alpha_1} \cdot \dots \cdot b_n^{\alpha_n}$ with $\alpha \in \mathbb{N}^n$. Each b_i satisfies an equation $b_i^{D_i} = \sum_{j=0}^{D_i-1} a_{i,j} \cdot b_i^j$ with $a_{i,j} \in A$. Then it follows by induction on k that b_i^k is an A -linear combination of b_i^j with $0 \leq j < D_i$. It follows that C is generated as an A -module by $\{\prod_{i=1}^n b_i^{e_i} | 0 \leq e_i < D_i\}$ and C is as desired. This is the second assertion of a), which contains one direction of the first as a special case. For the other direction let $C \subseteq B$ be an A -subalgebra which is finitely generated, e.g. by $(\gamma_i)_{i=1}^n$, as an A -module. Let $b \in C$, $b\gamma_i = \sum_{j=1}^n m_{j,i} \gamma_j$ with $m_{j,i} \in A$. The matrix $M = (m_{i,j})_{i=1}^n_{j=1}^n$ satisfies its own characteristic equation by Cayley-Hamilton: $M^n = \sum_{i=0}^{n-1} p_i M^i$ with $p_i \in A$. Since b^j in C can be expressed by M^j (in the sense that

$$\begin{array}{ccccc} (a_1, \dots, a_n) & A^n & \xrightarrow{M^j} & A^n & (a_1, \dots, a_n) \\ \downarrow & \gamma \downarrow & & \downarrow \gamma & \downarrow \\ \sum a_i \gamma_i & C & \xrightarrow{b^j} & C & \sum a_i \gamma_i \end{array}$$

commutes) it follows, that $b^n \cdot c = \sum_{i=0}^{n-1} p_i b^i c$ (first for $c = \gamma_i$, then all of C). Taking $c = 1$ shows $b^n = \sum_{i=0}^{n-1} p_i b^i$ as stated.

b) If C is as in A and contains b_1, b_2 , then it contains $b_1 \pm b_2$ and $b_1 \cdot b_2$, showing that these are integral over A .

c) Let, more generally, B/A be integral and $c \in C$ integral over B . It satisfies an equation $c^d = \sum_{i=0}^{d-1} \beta_i c^i$ with $\beta_i \in B$. By a), there is an A -subalgebra $\tilde{B} \subseteq B$ which is finite over A and contains the β_i . Then c is integral over \tilde{B} , hence by a) there is a \tilde{B} -subalgebra $\tilde{C} \subseteq C$ containing c and finite over \tilde{B} . Now \tilde{C}/A is finite by Proposition 1.4.1b), hence c is integral over A by a).

d) Suppose that B is a field and let $a \in A \setminus \{0\}$. Since B/A is integral, we can find $\alpha_0, \dots, \alpha_{n-1} \in A$ such that

$$(a^{-1})^n + \sum_{i=0}^{n-1} \alpha_i \cdot (a^{-1})^i = 0.$$

But then

$$a^{-1} = a^{n-1} (a^{-1})^n = - \sum_{i=0}^{n-1} \alpha_i \cdot a^{n-1} \in A.$$

So every element of $A \setminus \{0\}$ is a unit and A is a field.

□

Remark 1.5.2. Cayley-Hamilton (similar to other determinant identities) can be derived from the case of algebraically closed fields by embedding integer domains into the algebraic closures of their quotient fields. For arbitrary rings R (possibly with zero divisors) one may consider the surjective ring homomorphism

$$\begin{aligned}\mathbb{Z}[X_r : r \in R] &\longrightarrow R \\ X_r &\longmapsto r\end{aligned}$$

and then reduce to the case of integer domains which was done above.

Corollary 1.5.1. *A ring extension is finite iff it is integral and of finite type.*

Remark 1.5.3. Algebraic independence over k means that

$$\sum_{\alpha \in \mathbb{N}^n} \lambda_{\alpha_1, \dots, \alpha_n} a_1^{\alpha_1} \cdots a_n^{\alpha_n} = 0$$

implies that each $\lambda_{\alpha_1, \dots, \alpha_n} = 0$. Equivalently, the ring homomorphism

$$\begin{aligned}k[X_1, \dots, X_n] &\longrightarrow k[a_1, \dots, a_n] \\ X_i &\longmapsto a_i\end{aligned}$$

is injective, hence $k[X_1, \dots, X_n] \simeq k[a_1, \dots, a_n]$ as k -algebras.

Theorem 1.5.1. *Let k be a field, A a k -algebra of finite type over k . Then there are over k algebraically independent $a_1, \dots, a_n \in A$ such that $A/k[a_1, \dots, a_n]$ is integral.*

Proof. Since A is of finite type over k , we can choose a_1, \dots, a_n such that A is integral over $k[a_1, \dots, a_n]$ (e.g. choose the a_i as generators of A as k -algebra). We may choose a minimal n such that this is possible. We claim

* Let $x_1, \dots, x_n \in A$ such that A is integral over $k[x_1, \dots, x_n]$ and n is minimal having this property that such x_i exist. Then the x_i are algebraically independent over k .

Write $x^\alpha = \prod_{i=1}^n x_i^{\alpha_i}$ for short. Suppose that

$$\sum_{\alpha \in \mathbb{N}_0^n} \lambda_\alpha \cdot x^\alpha = 0 \tag{\#}$$

where

$$S := \{\alpha \in \mathbb{N}_0^n \mid \lambda_\alpha \neq 0\}$$

is finite but not empty. Let $y_1 = x_1$ and $y_k = x_k + y_1^{d_k}$ (the d_i will be chosen later on). Since the x_i can be recovered from the y_i , we have $k[x_1, \dots, x_n] = k[y_1, \dots, y_n]$. The idea is to choose the d_i such that y_1 is integral over $k[y_2, \dots, y_n]$. Then A is integral over $k[y_2, \dots, y_n]$, contradicting the minimality of n .

Let $\omega_d(\alpha) = \alpha_1 + \sum_{i=2}^n d_i \cdot \alpha_i$. The summands can be expressed as

$$\begin{aligned}\lambda_\alpha x^\alpha &= \lambda_\alpha y_1^{\alpha_1} \cdot \prod_{i=2}^n (y_i - y_1^{d_i})^{\alpha_i} \\ &= \pm \lambda_\alpha y_1^{\omega_d(\alpha)} + \sum_{j=0}^{\omega_d(\alpha)-1} Q_{\alpha,j}(y_2, \dots, y_n) y_1^j\end{aligned}$$

if all d_k are positive. Here $Q_{\alpha,j}$ denotes some polynomial.

If d_2, \dots, d_n can be chosen in such a way that

$$\omega_d : S \longrightarrow \mathbb{N}$$

has a unique maximum $\alpha^* \in S$, the relation $\#$ becomes

$$0 = \lambda_{\alpha^*} y_1^{\omega_d(\alpha^*)} + \sum_{j=0}^{\omega_d(\alpha^*)-1} Q_j(y_2, \dots, y_n) y_1^j$$

proving, that y_1 is integral over $k[y_2, \dots, y_n]$.

Now d_2, \dots, d_n can be chosen in several ways. For example, take

$$A = \max \{l \in \mathbb{N} : \text{there is } \alpha \in S \text{ such that } l = \alpha_i \text{ for some } i\}$$

and chose $d_i = (A+1)^{i-1}$. Then ω_d is injective since the $(A+1)$ -adic representation of an integer is unique. \square

1.6 Proof of the Nullstellensatz and some consequences

Theorem 1.6.1. *Let L/K be a field extension such that L is a K -algebra of finite type. Then L/K is finite.*

Proof. By Noether's Normalization Theorem (Theorem 1.5.1) there are $y_1, \dots, y_n \in L$ algebraically independent over K such that L is integral over $K[y_1, \dots, y_n]$. By Proposition 1.5.1 d), $K[y_1, \dots, y_n]$ is a field. But as y_1, \dots, y_n are algebraically independent, $K[y_1, \dots, y_n]$ is isomorphic to the polynomial ring $K[X_1, \dots, X_n]$, which is only a field for $n = 0$. Thus L/K is integral (i.e. algebraic) and since the extension is finitely generated it must be finite. \square

Remark 1.6.1. When K is uncountable and $\lambda \in L$ non-algebraic over K , the subfield $K(\lambda)$ is isomorphic to $K(X)$, the field of rational functions over K , which has uncountable dimension as a K -vector space as the $\frac{1}{X-\gamma}$, $\gamma \in K$, are linearly independent. But the dimension (as a K -vector space) of a K -algebra must be countable, as there are only countable many monomials in finitely many elements.

Corollary 1.6.1. *Let k be a field, $\mathfrak{m} \subseteq k[X_1, \dots, X_n]$ a maximal ideal, then it's residue field $k[X_1, \dots, X_n]/\mathfrak{m}$ is a finite field extension of k .*

Proof. Indeed, it is generated by $X_1 + \mathfrak{m}, \dots, X_n + \mathfrak{m}$ and thus finite over k . \square