

Homological Methods in Commutative Algebra

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Introduction

Professor Franke started the lecture giving an idea of what the Tor and Ext functors do. Let R be a commutative ring with 1. For an exact sequence of R -modules

$$0 \longrightarrow M' \longrightarrow M \longrightarrow M'' \longrightarrow 0$$

and T another R -module, the sequence

$$M' \otimes_R T \longrightarrow M \otimes_R T \longrightarrow M'' \otimes_R T \longrightarrow 0 \quad (1)$$

is exact but usually can't be extended by 0 on the left end. The same is true for

$$0 \longrightarrow \operatorname{Hom}_R(T, M') \longrightarrow \operatorname{Hom}_R(T, M) \longrightarrow \operatorname{Hom}_R(T, M'') \quad (2)$$

and

$$0 \longrightarrow \operatorname{Hom}_R(M'', T) \longrightarrow \operatorname{Hom}_R(M, T) \longrightarrow \operatorname{Hom}_R(M', T), \quad (3)$$

but again, they can't be extended by 0 on the right in general.

Example. Take $R = \mathbb{Z}$ and consider the exact sequence $0 \rightarrow \mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow 0$.

- (a) Let $T = \mathbb{Z}/2\mathbb{Z}$ in (1). Then $\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}/2\mathbb{Z} \simeq \mathbb{Z}/2\mathbb{Z}$ and $\mathbb{Z}/2\mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z}/2\mathbb{Z}$ is the zero morphism, showing that injectivity on the left end fails in (1).
- (b) Let $T = \mathbb{Z}/2\mathbb{Z}$ in (2). We claim that surjectivity fails on the right end. Indeed, if it was surjective, then $\operatorname{id}_{\mathbb{Z}/2\mathbb{Z}} \in \operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/2\mathbb{Z})$ would have to have a lift

$$\begin{array}{ccc} & & \mathbb{Z} \\ & \nearrow \text{dashed} & \downarrow \\ \mathbb{Z}/2\mathbb{Z} & \xlongequal{\quad} & \mathbb{Z}/2\mathbb{Z} \end{array}$$

which it hasn't as \mathbb{Z} is 2-torsion free and thus every morphism $\mathbb{Z}/2\mathbb{Z} \rightarrow \mathbb{Z}$ must be 0.

- (c) Let $T = \mathbb{Z}$ in (3). We claim that that surjectivity fails on the right end, or more specifically, that $\operatorname{id}_{\mathbb{Z}} \in \operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}, \mathbb{Z})$ has no preimage. Indeed, if $f \in \operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}, \mathbb{Z})$ is a preimage of $\operatorname{id}_{\mathbb{Z}}$, i.e. the composition $\mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z} \xrightarrow{f} \mathbb{Z}$ equals $\operatorname{id}_{\mathbb{Z}}$, then f must be given by $f(n) = \frac{n}{2}$ on $2\mathbb{Z}$, but this can't be extended to all of \mathbb{Z} , contradiction!

To handle this deficiency, one constructs *derived functors* Tor and Ext , which give rise to long exact sequences

$$\begin{aligned} \dots \longrightarrow \text{Tor}_2^R(M'', T) \longrightarrow \text{Tor}_1^R(M', T) \longrightarrow \text{Tor}_1^R(M, T) \longrightarrow \text{Tor}_1^R(M'', T) \\ \longrightarrow M' \otimes_R T \longrightarrow M \otimes_R T \longrightarrow M'' \otimes_R T \longrightarrow 0, \end{aligned}$$

as well as

$$\begin{aligned} 0 \longrightarrow \text{Hom}_R(T, M') \longrightarrow \text{Hom}_R(T, M) \longrightarrow \text{Hom}_R(T, M'') \\ \longrightarrow \text{Ext}_R^1(T, M') \longrightarrow \text{Ext}_R^1(T, M) \longrightarrow \text{Ext}_R^1(T, M'') \longrightarrow \text{Ext}_R^2(T, M') \longrightarrow \dots \end{aligned}$$

and

$$\begin{aligned} 0 \longrightarrow \text{Hom}_R(M'', T) \longrightarrow \text{Hom}_R(M, T) \longrightarrow \text{Hom}_R(M', T) \\ \longrightarrow \text{Ext}_R^1(M'', T) \longrightarrow \text{Ext}_R^1(M, T) \longrightarrow \text{Ext}_R^1(M', T) \longrightarrow \text{Ext}_R^2(M'', T) \longrightarrow \dots \end{aligned}$$

extending the open ends of (1), (2), and (3) respectively.

A highlight of this lecture will be *Serre's characterization of regularity*.

Theorem. *For a Noetherian local ring R with maximal ideal \mathfrak{m} and residue field k , the following are equivalent.*

- (a) $\dim_k \mathfrak{m}/\mathfrak{m}^2 = \dim R$ (i.e., R is regular).
- (b) There is some vanishing bound for $\text{Tor}_*^R(-, -)$.
- (c) ... and $\dim R$ is such a vanishing bound.
- (d) There is some vanishing bound for $\text{Ext}_R^*(-, -)$.
- (e) ... and $\dim R$ is again such a vanishing bound.

From this, one can deduce the following

Corollary. *If R is a regular Noetherian local ring and $\mathfrak{p} \in \text{Spec } R$, then $R_{\mathfrak{p}}$ is regular as well.*

We will also introduce the notion of *Cohen–Macaulay rings* and prove that they are *universally catenary* (which is quite a generalization of what we did in Algebra I, cf. [1, Theorem 10]).

Theorem. *If R is a regular Noetherian local ring or, more generally, a Cohen–Macaulay ring, then it is **universally catenary**: If A is an R -algebra of finite type and $X \subseteq Y \subseteq Z$ are irreducible closed subsets of $\text{Spec } A$, then*

$$\text{codim}(X, Y) + \text{codim}(Y, Z) = \text{codim}(X, Z) .$$

1. Tor and Ext of R -modules

From now on, unless otherwise stated, our rings are commutative with 1.

1.1. Injective and projective modules and properties of Ext_R^*

Proposition 1 (Baer's criterion). *For an R -module N , the following are equivalent.*

- (a) *The functor $\text{Hom}_R(-, N)$ is exact.*
- (b) *For any embedding $M' \hookrightarrow M$ of R -modules, $\text{Hom}_R(M, N) \rightarrow \text{Hom}_R(M', N)$ is surjective.*
- (c) *Property (b) holds for $R = M$. In other words, if $I \subseteq R$ is any ideal, then any morphism $I \rightarrow N$ of R -modules extends to a morphism $R \rightarrow N$.*

Remark 1. (a) Since there is a bijection

$$\begin{aligned} \text{Hom}_R(R, M) &\xrightarrow{\sim} M \\ (r \mapsto r \cdot m) &\longleftarrow m \\ \left(R \xrightarrow{\varphi} M\right) &\longmapsto \varphi(1), \end{aligned}$$

Proposition 1(c) can be reformulated as that any morphism $I \rightarrow N$ for $I \subseteq R$ an ideal has the form $i \mapsto i \cdot m$ for some $m \in M$.

- (b) Note that Proposition 1(c) is trivial when $I = 0$.
- (c) When $R = \mathbb{Z}$, every ideal $I \subseteq \mathbb{Z}$ has the form $n\mathbb{Z}$ for some $n \in \mathbb{Z}$ and a morphism $n\mathbb{Z} \xrightarrow{\varphi} N$ is uniquely determined by $\varphi(n)$. Thus, an extension $\hat{\varphi}$ of φ to \mathbb{Z} exists iff there is an element $\nu \in N$ such that $n \cdot \nu = \varphi(n)$ (in that case, put $\hat{\varphi}(1) = \nu$). Hence, Proposition 1(c) amounts to whether the abelian group N is *divisible*, that is, whether $N \xrightarrow{n} N$ is surjective for all $n \in \mathbb{Z}$ (also cf. Definition 2).

Definition 1. (a) An R -module is called **injective** if it satisfies the equivalent conditions from Proposition 1.

- (b) In an arbitrary category \mathcal{A} , an object I is called **injective** if for every monomorphism $X \hookrightarrow Y$, the induced map $\text{Hom}_{\mathcal{A}}(Y, I) \rightarrow \text{Hom}_{\mathcal{A}}(X, I)$ is surjective, that is, for every morphism $X \xrightarrow{\varphi} I$ there is a (usually non-unique) lift

$$\begin{array}{ccc} & I & \\ \varphi \uparrow & \nwarrow \exists \hat{\varphi} & \\ X & \hookrightarrow & Y \end{array}$$

Proof of Proposition 1. The implication $(b) \Rightarrow (c)$ is trivial. Let's prove $(c) \Rightarrow (b)$. Let $M \xrightarrow{f} N$ be a morphism of R -modules and consider

$$\mathfrak{M} = \{(Q, \varphi) \mid M \subseteq Q \subseteq M' \text{ and } \tilde{\varphi} \in \text{Hom}_R(Q, N) \text{ such that } \varphi|_M = f\}.$$

\mathfrak{M} becomes a partially ordered set via $(Q_1, \varphi_1) \preceq (Q_2, \varphi_2) \Leftrightarrow Q_1 \subseteq Q_2$ and $\varphi_2|_{Q_1} = \varphi_1$. Then it's easy to see that Zorn's lemma is applicable, hence \mathfrak{M} has a \preceq -maximal element (Q_*, φ_*) . If (c) is satisfied and $Q_* \subsetneq M'$, there is an $m \in M' \setminus L_*$. Let $I = \{r \in R \mid rm \in Q_*\}$ and let $I \xrightarrow{g} N$ be given by $g(r) = \varphi_*(rm)$. By (c) , there is a morphism $R \xrightarrow{\gamma} N$ extending g , i.e., a $\nu \in N$ such that $\varphi_*(rm) = r\nu$ when $r \in I$ (using Remark 1(a)). Let $\tilde{Q} = Q_* + Rm$ and $\tilde{\varphi}(m_* + rm) = \varphi_*(m_*) + r\nu$ for $m_* \in Q_*$ and $r \in R$, then it's easy to see that $\tilde{\varphi}$ is well-defined and $(Q_*, \varphi_*) \prec (\tilde{Q}, \tilde{\varphi})$, a contradiction.

The equivalence $(a) \Leftrightarrow (b)$ is easy to see as for any short exact sequence $0 \rightarrow X \rightarrow Y \rightarrow Z \rightarrow 0$, the sequence $0 \rightarrow \text{Hom}_R(Z, N) \rightarrow \text{Hom}_R(Y, N) \rightarrow \text{Hom}_R(X, N)$ is exact anyways and (b) implies exactness at the right end. *q.e.d.*

Definition 2. If R is a domain and M an R -module, then M is called **divisible** if $M \xrightarrow{r} M$ is surjective for all $r \in R \setminus \{0\}$

Corollary 1. (a) When R is a domain, the property from Proposition 1(c) for principal ideals I is equivalent to divisibility of N .

(b) Any injective module N is divisible in the following sense: If $r \in R$ is not a zero divisor, $N \xrightarrow{r} N$ is surjective.

(c) In particular, if N is injective and $S \subseteq R$ a multiplicative subset not containing zero divisors, then the morphism $N \rightarrow N_S$ to the localization of N at S is surjective.

Proof. Part (a) can be seen using the arguments from Remark 1(c). For (b), note that $R \xrightarrow{r} R$ is injective when r is no zero divisor, hence, for any $n \in N$, the morphism $\varphi \in \text{Hom}_R(R, N)$ given by $\varphi(1) = n$ extends to $\hat{\varphi} \in \text{Hom}_R(R, N)$ such that $\varphi = r\hat{\varphi}$. Then $\hat{\varphi}(1)$ is a preimage of n under $N \xrightarrow{r} N$. Part (c) follows from (b) and the universal property of localization. *q.e.d.*

Remark. Note that $R = \mathbb{Z}/p^2\mathbb{Z}$, for $p \in \mathbb{Z}$ a prime, is injective over itself, but $R \xrightarrow{p} R$ fails to be injective. Indeed, the only ideal of R where Baer's criterion is in question is $(p) \subseteq R$. We need to show that any R -morphism $(p) \rightarrow R$ extends to an R -morphism $R \rightarrow R$. But any $(p) \xrightarrow{\varphi} R$ maps p to the p -torsion part of R , i.e., to (p) itself, hence is given by $\varphi(p) = rp$ for some $r \in R$ and can be extended via $\hat{\varphi}$ given by $\hat{\varphi}(1) = r$. This shows that Corollary 1(b) is somewhat sharp.

Corollary 2. A module over a principal ideal domain is injective iff it is divisible.

Proof. Follows from Corollary 1(a). *q.e.d.*

Remark. The same holds for Dedekind domains, see Corollary 6 (which is not there yet).

Corollary 3. When R is a principal ideal domain, then any quotient of an injective module is injective again. The category of R -modules has **sufficiently many injective objects** in

the sense that for any object X there is a monomorphism $X \hookrightarrow I$ with I injective. Thus, any R -module X has an **injective resolution**, i.e., an exact sequence

$$0 \longrightarrow X \longrightarrow I^0 \longrightarrow I^1 \longrightarrow I^2 \longrightarrow \dots$$

with injective objects I^0, I^1, I^2, \dots . In fact, any R -module, for R a principal ideal domain, has an injective resolution $0 \rightarrow X \rightarrow I^0 \rightarrow I^1 \rightarrow 0$ of length 1.

Proof. The first assertion follows as the quotient of divisible modules is divisible again. Note that K/R is divisible, K being the quotient field of R , hence it is injective. If M is any R -module and $m \in M \setminus \{0\}$. We have to distinguish to cases.

Case 1. Suppose $\text{Ann}_R(m)$ is non-zero, i.e., $\text{Ann}_R(m) = (\alpha)$ for some $\alpha \in R \setminus \{0\}$ (remember we have a principal ideal domain). Then we have a morphism from $Rm \subseteq M$ to K/R given by $rm \mapsto \frac{r}{\alpha} \bmod R$ (note that modding out R is necessary for this to be well-defined – we couldn't just have used K). By injectivity of K/R , there is an extension $M \xrightarrow{\varphi_m} K/R$, satisfying $\varphi_m(m) \neq 0$. Let $I_m \subseteq K/R$ be the target of φ_m .

Case 2. If $\text{Ann}_R(m) = 0$, we get a morphism from $Rm \subseteq M$ to K instead, sending $rm \mapsto r$ (this time, using K doesn't cause problems thanks to $\text{Ann}_R(m) = 0$). By injectivity of K , this extends to a morphism $M \xrightarrow{\varphi_m} K$ such that $\varphi_m(m) \neq 0$. Let $I_m = K$ be the target of φ_m .

Now put $I = \prod_{m \in M \setminus \{0\}} I_m$. Then I is divisible (since every I_m is), hence injective, and $M \rightarrow I$, $\mu \mapsto (\varphi_m(\mu))_{m \in M \setminus \{0\}}$ is a monomorphism. As a quotient of $I^0 = I$, $I^1 = \text{coker}(M \rightarrow I^0)$ is injective as well, hence $0 \rightarrow M \rightarrow I^0 \rightarrow I^1 \rightarrow 0$ is an injective resolution of length 1. *q.e.d.*

Proposition 2 (a.k.a. “Satz 2”). *For any ring R , the category of R -modules has sufficiently many injective objects.*

Proof. This will follow from Lemma 1(b) and (c) below. *q.e.d.*

Remark. This holds in vast more generality, and in particular, Proposition 2 follows immediately from the following theorem, which, however, we are not going to prove in this lecture.

Theorem (Grothendieck). *Any AB5 category with a generator has sufficiently many injective objects.*

Lemma 1. *Let R be any ring.*

- (a) *The forgetful functor from $R\text{-Mod}$ to the category $\mathbb{Z}\text{-Mod}$ of abelian groups has a right-adjoint functor, namely $\text{Hom}_{\mathbb{Z}}(R, -)$. That is, there is a bijection*

$$\text{Hom}_{\mathbb{Z}}(M, A) \xrightarrow{\sim} \text{Hom}_R(M, \text{Hom}_{\mathbb{Z}}(R, A)) \quad (*)$$

for any R -module M and any abelian group A . Here, we equip $\text{Hom}_{\mathbb{Z}}(R, A)$ with an R -module structure via $(r \cdot \varphi)(x) = \varphi(xr)$ for $\varphi \in \text{Hom}_{\mathbb{Z}}(R, A)$ and $r, x \in R$.

- (b) *For any injective abelian group I , $\text{Hom}_{\mathbb{Z}}(R, I)$ is an injective R -module.*
- (c) *Let M be any R -module and I an abelian group and $M \xhookrightarrow{\varphi} I$ a monomorphism of abelian groups, then the R -morphism $M \rightarrow \text{Hom}_{\mathbb{Z}}(R, I)$ obtained by applying (*) is injective.*

Proof. Part (a). The proof given in the lecture was rather computational, so I decided to include a more elegant one. It is easy to see that $\text{Hom}_{\mathbb{Z}}(R, -)$ is indeed a functor $\mathbb{Z}\text{-Mod} \rightarrow R\text{-Mod}$. From the well-known tensor-hom adjunction we obtain a canonical bijection

$$\text{Hom}_{\mathbb{Z}}(M \otimes_R R, A) \xrightarrow{\sim} \text{Hom}_R(M, \text{Hom}_{\mathbb{Z}}(R, A)) .$$

But M is an R -module and so $M \otimes_R R \simeq M$ canonically, proving (*).

Part (b). Since the forgetful functor $R\text{-Mod} \rightarrow \mathbb{Z}\text{-Mod}$ clearly preserves injectivity of morphisms (i.e., monomorphisms), this comes down to the following more general fact about adjoint pairs of functors.

Fact 1. Let $\mathcal{A} \xrightleftharpoons[R]{L} \mathcal{B}$ be an adjoint pairs of functors. Suppose that L preserves monomorphisms. Then R preserves injective objects.

Proof of Fact 1. Let $I \in \text{Ob}(\mathcal{B})$ be injective and $X \hookrightarrow Y$ be a monomorphism in \mathcal{A} . By assumption, $LX \hookrightarrow LY$ is a monomorphism in \mathcal{B} . In the diagram

$$\begin{array}{ccc} \text{Hom}_{\mathcal{A}}(Y, RI) & \longrightarrow & \text{Hom}_{\mathcal{A}}(X, RI) \\ \downarrow \wr & & \downarrow \wr \\ \text{Hom}_{\mathcal{B}}(LY, I) & \longrightarrow & \text{Hom}_{\mathcal{B}}(LX, I) \end{array}$$

the lower horizontal arrow is surjective by injectivity of I , hence so is the upper horizontal arrow. *q.e.d.*

Back to the proof of Lemma 1 and let's prove (c). Let $M \xhookrightarrow{\varphi} I$ be a monomorphism of abelian groups. The corresponding morphism $M \xrightarrow{\psi} \text{Hom}_{\mathbb{Z}}(R, I)$ sends $m \in M$ to $\psi(m): R \rightarrow I$ given by $\psi(m)(r) = \varphi(rm)$. If $\psi(m)$ is the zero morphism for some $m \in M$, then $0 = \psi(m)(1) = \varphi(m)$, proving $m = 0$ by injectivity of φ . Then ψ is also injective. *q.e.d.*

A. Appendix – category theory corner

A.1. Derived functors and Ext_R^*

Definition 1. Let \mathcal{A} and \mathcal{B} be abelian categories (cf. [2, Definition A.1.4]). A **homological ∂ -functor** $F_*: \mathcal{A} \rightarrow \mathcal{B}$ is a sequence $(F_n)_{n \geq 0}$ of additive functors $\mathcal{A} \xrightarrow{F_i} \mathcal{B}$ together with a natural transformation $\partial = \partial_F: F_{i+1}(A'') \rightarrow F_i(A')$ on the category of short exact sequences on \mathcal{A} , such that the sequence

$$\begin{aligned} \dots \longrightarrow F_{i+1}(A'') \xrightarrow{\partial} F_i(A') \longrightarrow F_i(A) \longrightarrow F_i(A'') \xrightarrow{\partial} \dots \\ \dots \longrightarrow F_1(A'') \xrightarrow{\partial} F_0(A') \longrightarrow F_0(A) \longrightarrow F_0(A'') \longrightarrow 0. \end{aligned}$$

is exact whenever $0 \rightarrow A' \rightarrow A \rightarrow A'' \rightarrow 0$ is a short exact sequence in \mathcal{A} .

A **morphism** $F_* \xrightarrow{\varphi} G_*$ of homological ∂ -functors is a sequence $(\varphi_n)_{n \geq 0}$ of natural transformations $F_i \xrightarrow{\varphi_i} G_i$ such that for any short exact sequence $0 \rightarrow A' \rightarrow A \rightarrow A'' \rightarrow 0$ in \mathcal{A} the diagram

$$\begin{array}{ccc} F_{i+1}(A'') & \xrightarrow{\partial_F} & F_i(A') \\ \varphi_{i+1} \downarrow & & \downarrow \varphi_i \\ G_{i+1}(A'') & \xrightarrow{\partial_G} & G_i(A') \end{array}$$

commutes.

Similarly, a **cohomological ∂ -functor** $F^*: \mathcal{A} \rightarrow \mathcal{B}$ is a sequence $(F^n)_{n \geq 0}$ of additive functors $\mathcal{A} \xrightarrow{F^i} \mathcal{B}$ together with connecting morphism $\partial = \partial_F: F^i(A'') \rightarrow F^{i+1}(A')$ such that for a short exact sequence $0 \rightarrow A' \rightarrow A \rightarrow A'' \rightarrow 0$, the sequence

$$\begin{aligned} 0 \longrightarrow F^0(A') \longrightarrow F^0(A) \longrightarrow F^0(A'') \xrightarrow{\partial} F^1(A') \longrightarrow \dots \\ \dots \xrightarrow{\partial} F^i(A') \longrightarrow F^i(A) \longrightarrow F^i(A'') \xrightarrow{\partial} F^{i+1}(A') \longrightarrow \dots \end{aligned}$$

is required to be exact. And the notion of a **morphism** $F_* \xrightarrow{\varphi} G_*$ of cohomological ∂ -functors is defined in the obvious way.

Let $\mathcal{A} \xrightarrow{F} \mathcal{B}$ be a *right-exact* functor, i.e., for any short exact sequence $0 \rightarrow A' \rightarrow A \rightarrow A'' \rightarrow 0$, the sequence $FA' \rightarrow FA \rightarrow FA'' \rightarrow 0$ is exact. A **left-derived functor** of F is a homological functor L_*F from \mathcal{A} to \mathcal{B} with a natural isomorphism $L_0F \simeq F$ such that for any homological functor $\Phi_*: \mathcal{A} \rightarrow \mathcal{B}$, any natural transformation $\Phi_0 \rightarrow L_0F$ extends in a unique way to a morphism $\Phi_* \rightarrow L_*F$ of homological functors.

Similar, a functor $\mathcal{A} \xrightarrow{F} \mathcal{B}$ is *right-exact* functor if $0 \rightarrow FA' \rightarrow FA \rightarrow FA''$ is exact for any short exact sequence $0 \rightarrow A' \rightarrow A \rightarrow A'' \rightarrow 0$. A **right-derived functor** of F is a homological functor R^*F from \mathcal{A} to \mathcal{B} with a natural isomorphism $R^0F \simeq F$ such that for any homological functor $\Psi^*: \mathcal{A} \rightarrow \mathcal{B}$, any natural transformation $R^0F \rightarrow \Psi^0$ extends in a unique way to a morphism $R^*F \rightarrow \Psi^*$ of homological functors.

Remark 1. (a) It follows (by the usual Yoneda argument) that derived functors are unique up to unique isomorphism of (co)homological functors if they exist.

(b) If F is left-exact in the above sense, it preserves monomorphisms and it can be shown that $0 \rightarrow FX' \rightarrow FX \rightarrow FX''$ is exact even when only $0 \rightarrow X' \rightarrow X \rightarrow X''$ is exact (a nasty technical proof which won't appear here). Similar for right-exact functors.

(c) A generalized definition drops the exactness assumptions and requires $F \rightarrow L_0F$ with the universal property that any diagram

$$\begin{array}{ccc} F & \xrightarrow{\quad} & L_0F \\ & \searrow & \nearrow \\ & \Phi_0 & \end{array}$$

can be uniquely extended to a morphism $\Phi_* \rightarrow L_*F$ of homological functors. Similar for right-derived functors.

Example 1. If F is an exact functor, then left- and right-derived functors of F are given by $L_0F = R^0F = F$ and $L_iF = R^iF = 0$ for $i \geq 1$.

Definition 2. An object I in an abelian category \mathcal{A} is injective iff the following equivalent conditions hold.

(a) When $X \xrightarrow{\xi} Y$ is a monomorphism, then any morphism $X \xrightarrow{\iota} I$ extends to a morphism $Y \rightarrow I$.

(b) Any short exact sequence $0 \rightarrow I \rightarrow X \rightarrow X'' \rightarrow 0$ splits.

Proof. To see (a) \Rightarrow (b), extend id_I to $X \xrightarrow{\pi} I$, then π gives a split of the exact sequence (the argument used in the case of R -modules still works in arbitrary abelian categories).

For (b) \Rightarrow (a) consider $C = \text{coker}(X \xrightarrow{\iota \times \xi} I \oplus Y)$ and let $I \oplus Y \xrightarrow{p} C$ be the associated morphism. Let $i = \text{id}_I \times 0$ and $j = 0 \times \text{id}_Y$ be the canonical inclusions $I \rightarrow I \oplus Y$ and $Y \rightarrow I \oplus Y$. We claim that the composition

$$I \xrightarrow{i} I \oplus Y \xrightarrow{p} C$$

is a monomorphism. First note that $X \xrightarrow{\iota \times \xi} I \oplus Y$ is a monomorphism (since its composition with the projection to Y equals ξ , which was supposed to be monic), hence it's the kernel of its own cokernel as we are working in an abelian category and thus every monomorphism is an *effective monomorphism*, cf. [2, Definition A.1.3(d) and Definition A.1.4]. That is, $X = \ker(p)$.

Suppose now that $T \xrightarrow{\tau} I$ is a morphism satisfying $\pi i \tau = 0$, then $i \tau$ factors over $X = \ker(p)$. We thus have a diagram

$$\begin{array}{ccc} T & \xrightarrow{\tau} & I \\ \downarrow \vartheta & & \downarrow i \\ X & \xrightarrow{\iota \times \xi} & I \oplus Y \end{array} \quad (*)$$

Postcomposing with the canonical projection $I \oplus Y \xrightarrow{\pi} Y$ we see that $\pi i \tau = 0 \circ \tau = 0$, hence also $\xi \vartheta = 0$ as $(*)$ commutes and $\pi \circ (\iota \times \xi) = \xi$. But ξ is a monomorphism, hence $\vartheta = 0$. By $(*)$, this implies $\tau = 0$ as i is a monomorphism. This shows that α is indeed a monomorphism.

We thus obtain a short exact sequence

$$0 \longrightarrow I \longrightarrow C \longrightarrow \text{coker}(\alpha) \longrightarrow 0$$

which splits due to (b), i.e., $C \simeq I \oplus \text{coker}(\alpha)$. Let $C \xrightarrow{q} I$ be the associated projection. Consider the composition

$$Y \xrightarrow{j} I \oplus Y \xrightarrow{p} C \xrightarrow{q} I.$$

We claim that $v = -qpj$ is a morphism $Y \xrightarrow{v} I$ extending $X \xrightarrow{\iota} I$. We have $qp \circ (\iota \times \xi) = q \circ 0 = 0$ since C is precisely the cokernel of $\iota \times \xi$. Also $qp \circ (\iota \times 0) = \iota$ as $qpi = \text{id}_I$ by construction of q . Then

$$v\xi = -qpj\xi = -qp \circ (0 \times \xi) = qp \circ ((\iota \times 0) - (\iota \times \xi)) = \iota - 0 = \iota,$$

hence v has indeed the required property.

q.e.d.

Theorem A. *Let \mathcal{A} be an abelian category with sufficiently many injective objects.*

- (a) *Any left-exact functor $\mathcal{A} \rightarrow \mathcal{B}$ from \mathcal{A} to any abelian category \mathcal{B} has a right-derived functor.*
- (b) *Let $\Phi^*: \mathcal{A} \rightarrow \mathcal{B}$ be a cohomological functor, then Φ^* is a right-derived functor of Φ^0 iff $\Phi^i I = 0$ for any injective object $I \in \text{Ob}(\mathcal{A})$ and all $i \geq 1$.*
- (c) *Let $\mathcal{F}: 0 \rightarrow \Phi' \rightarrow \Phi \rightarrow \Phi'' \rightarrow 0$ be a sequence of left-exact functors $\mathcal{A} \rightarrow \mathcal{B}$ and functor morphisms between them such that $0 \rightarrow \Phi' I \rightarrow \Phi I \rightarrow \Phi'' I \rightarrow 0$ is exact when I is an injective object of \mathcal{A} . Then there is a unique sequence of natural transformations $R^i \Phi'' \xrightarrow{d_{\mathcal{F}}} R^{i+1} \Phi'$ such that*

$$\begin{aligned} 0 \longrightarrow \Phi' X \longrightarrow \Phi X \longrightarrow \Phi'' X \xrightarrow{d_{\mathcal{F}}} R^1 \Phi' X \longrightarrow \dots \\ \dots \longrightarrow R^{i-1} \Phi'' X \xrightarrow{d_{\mathcal{F}}} R^i \Phi' X \longrightarrow R^i \Phi X \longrightarrow R^i \Phi'' X \xrightarrow{d_{\mathcal{F}}} R^{i+1} \Phi' X \longrightarrow \dots \end{aligned}$$

is exact for arbitrary $X \in \text{Ob}(\mathcal{A})$ and such that the diagram

$$\begin{array}{ccc} R^i \Phi'' X'' & \xrightarrow{d_{\mathcal{F}}} & R^{i+1} \Phi' X'' \\ \downarrow \partial_{R^* \Phi''} & & \downarrow -\partial_{R^* \Phi'} \\ R^{i+1} \Phi'' X' & \xrightarrow{d_{\mathcal{F}}} & R^{i+2} \Phi' X' \end{array}$$

commutes. Note the minus sign on the right vertical arrow!

Bibliography

- [1] Nicholas Schwab; Ferdinand Wagner. *Algebra I by Jens Franke (lecture notes)*. GitHub: <https://github.com/Nicholas42/AlgebraFranke/tree/master/AlgebraI>.
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