SCL-90: AN OUTPATIENT PSYCHIATRIC RATING SCALE—PRELIMINARY REPORT*

Leonard R. Derogatis, Ph.D.,**
Ronald S. Lipman, Ph.D.,***
and Lino Covi. M.D.**

The SCL-90 is a self-report clinical rating scale oriented toward the symptomatic behavior of psychiatric outpatients. It is comprised of 90 items which reflect nine primary symptom dimensions believed to underly the majority of symptom behaviors observed in this class of patients. Of the 90 items, a small number fall outside the principal dimensional framework; these items refer primarily to disturbances in appetite and sleep. The primary symptom dimensions measured by the SCL-90 are the nine symptom constructs given below:

- I. Somatization
- II. Obsessive-Compulsive
- III. Interpersonal Sensitivity
- IV. Depression
- V. Anxiety
- VI. Hostility
- VII. Phobic Anxiety
- VIII. Paranoid Ideation
 - IX. Psychoticism

Dimensions I-V have been empirically established and validated in a series of clinical investigations involving over 2,500 individual patients. Major studies in this series are listed in Appendix IV (Bibliography.) Formal assessments of the various forms of reliability and validity as well as the factorial invariance of these dimensions have been completed and are being prepared for publication (1).

Dimensions VI-IX represent new dimensions that are being integrated with the five previous measures to

provide a broader, more adequate representation of the outpatient symptom domain. A brief description of the constructs defined by these dimensions and, in several cases, a short synopsis of the development and rationale basic to each follow below. This information is provided so that the user will gain a better understanding of the scope and meaning of the SCL-90 clinical profile. A copy of the SCL-90 self-report rating scale is provided in Appendix I.

- I. Somatization: The items comprising this dimension reflect distress arising from perceptions of bodily dysfunction. Complaints focused on cardiovascular, gastrointestinal, respiratory, and other systems with strong autonomic mediation are included. Headaches, backaches, and pain and discomfort localized in the gross musculature are also represented, as are other somatic equivalents of anxiety.
- II. Obsessive-Compulsive: The items that form the basis for this dimension reflect behaviors that are closely identified with the clinical syndrome of this name. The focus of this measure is on thoughts, impulses, and actions that are experienced as unremitting and irresistible by the individual but are of an ego-alien or unwanted nature. Behaviors indicative of a more general cognitive difficulty (e.g., mind going blank—trouble remembering, also load on this dimension.

III. Interpersonal Sensitivity: The symptoms that are fundamental to this factor focus on feelings of personal inadequacy and inferiority, particularly in comparison with other individuals. Self-deprecation, feelings of uneasiness, and marked discomfort during interpersonal interactions are characteristic of persons with high levels of Interpersonal Sensitivity. Feelings of acute self-consciousness and negative expectancies re-

^{*}The numbers in parenthesis in the text are the references on pages 16-17.

^{**}Johns Hopkins University School of Medicine, Baltimore, Maryland.

^{***}Psychopharmacology Research Branch, National Institute of Mental Health, Rockville, Maryland.

7

garding interpersonal communications are also typical sources of distress.

IV. Depression: The scales subsumed under the depression dimension reflect a broad range of the concomitants of the clinical depressive syndrome. Symptoms of dysphoric affect and mood are represented, as are signs of withdrawal of interest in activities, lack of motivation, and loss of vital energy. The dimension mirrors feelings of hopelessness and futility as well as other cognitive and somatic correlates of depression, and several items are included concerning suicidal ideation.

V. Anxiety: The Anxiety dimension subsumes a set of symptoms and behaviors usually associated clinically with high manifest anxiety. General indicators, such as restlessness, nervousness, and tension are included in this dimension, as are additional somatic signs, e.g., "trembling." Items touching on free floating anxiety and panic attacks are also represented in this dimension, and an item on feelings of dissociation is included. The SCL-90 Anxiety dimension has been augmented beyond the construct as it was defined in the previous SCL. (See Appendix II—Factorial Composition.)

VI. Hostility: It has been consistently observed that the presence of anger and hostile behavior act as important determinants in a variety of clinical decisions with psychiatric outpatients (e.g., diagnosis, treatment assignment, disposition). This fact has led to the inclusion of a formal Hostility dimension in the SCL-90. The present dimension is organized around three categories of hostile behavior: thoughts, feelings, and actions. Typical items cover feelings of annoyance and urges to break things, as well as frequent arguments and uncontrollable temper outbursts.

VII. Phobic Anxiety: The scales that comprise this dimension primarily reflect symptoms that have been observed with high incidence in conditions termed phobic anxiety states or agoraphobia (2,3). Fears of a phobic nature oriented towards travel, open spaces, crowds, or public places and conveyances are represented by this dimension. In addition, several scales representing social phobic behavior have been included.

VIII. Paranoid Ideation: The present dimension of Paranoid Ideation derives from the notion that paranoid behavior is best considered from a syndromal point of view. The authors have adopted the position advocated by Swanson et al. (4) that paranoid phenomena are most effectively conceived as a mode of

thinking. Accordingly, items have been developed based on the primary characteristics of paranoid thought. Swanson et al. (4) list projective thinking, hostility, suspiciousness, centrality, delusions, loss of autonomy, and grandiosity as cardinal paranoid characteristics. Within the limitations imposed by a self-report format, scales are designed to reflect these manifestations.

IX. Psychoticism: Since psychotic behaviors are observed in the outpatient setting and play a critical role in administrative and treatment decisions when manifest, a Psychoticism dimension was developed for the SCL-90. The approach taken in building this scale involved sampling from a broad spectrum of psychotic behaviors. Florid symptomatology as well as behaviors typically viewed as more oblique, less ostensive indicators of the psychotic process are included. Four items reflect Schneiderian first-rank symptoms of schizophrenia: auditory hallucinations, thought broadcasting, external thought control, and external thought insertion (5.6.7). In addition, less definitive signs of psychotic behavior as well as indications of a schizoid life style are also represented. This broad-band approach is believed to have the greatest potential sensitivity and usefulness, particularly because of the selfreport format of the instrument.

The factorial composition of the SCL-90, in terms of the items that subsume the nine primary symptom dimensions, is given in Appendix II. As mentioned previously, dimensions VI–IX are presently assigned provisional status since validation studies for these dimensions are still in progress. Preliminary data on several small normative groups have been compiled in profile form in Appendix III (Symptom Profiles). SCL-90 symptom profiles (unscaled) for several representative outpatients are given together with brief clinical narratives and SCL-90 summary Indices of Distress [i.e., General Symptomatic Index (GSI), Positive Symptom Distress Level (PSDL), and Positive Symptom Total (PST)].

Areas of Utilization: The SCL-90 has been designed as a general measure of psychiatric outpatient symptomatology for use in both clinical and research situations. It normally requires 20 minutes of patient time and five minutes of a technician's time for administration. No professional time is necessary for the administration of the instrument, although the doctor/therapist may easily incorporate administration of the SCL-90 into his personal assessment procedures.

The SCL-90 is an instrument well suited for use in research protocols where the major criterion of interest involves assessment of an outpatient symptomatic configuration. Relative brevity and ease of administration allow the SCL-90 to be effectively utilized in comparative treatment studies which involve repeated assessments of the symptom picture across time. The high test-retest and interrater reliabilities of Dimensions I–V (1) are also expected to characterize the new dimensions, thereby providing the clinical investigator with a consistent basis for evaluating treatment differences.

The SCL-90 has been developed with primary emphasis on validity as a criterion measure in clinical drug trials where the principal focus centers on the relative efficacy of psychotherapeutic agents. Dimensions I–V have previously been shown to be sensitive indicators of treatment effects with a wide range of psychotherapeutic drugs (e.g., major tranquilizers, minor tranquilizers, and antidepressants). Refinements in these scales, augmented by the new Dimensions VI–IX, have been accomplished with an expectancy of enhancing the instrument's sensitivity to drug effects.

Beyond the capacity to reflect pharmacologic effects, Dimensions I–V have also been shown to be sensitive to a wide variety of nonpharmacologic factors in the treatment setting (see Appendix IV—Bibliography). It is anticipated that the methodological revisions and substantive extensions incorporated into the SCL-90 will enhance this sensitivity to nondrug influences as well.

Although designed primarily for use with outpatients, the SCL-90 may also be found valid and useful in certain specified inpatient settings. Raskin et al. (8) found a modified version of the prior SCL to be a sensitive indicator of drug effects in the NIMH-PRB inpatient studies of depression. Validation studies of the SCL-90 with inpatient samples are presently in progress and will contribute to a normative file for selected psychiatric inpatients. Modified administrative formats (e.g., verbal presentation) are under evaluation in the inpatient setting.

The nature and ease of administration coupled with the broad range of symptom constructs comprising the SCL-90 suggest the instrument may have a high potential in clinical and research settings other than those directly involved in the assessment of pharmacological treatments. Clinical screening functions and criterion measurement in a wide variety of outpatient situations should prove amenable to the use of the SCL-90.

Scale Characteristics: The SCL-90 is comprised of 90 distinct items each of which is rated on a five-point scale of distress ranging from not at all to extremely. Under conditions of typical administration, the patient is instructed by the technician how to complete the

form. Questions concerning procedure or interpretation are resolved by the technician; however, the technician in no way interferes with the self-rating characteristics of the procedures (see Appendix I—Instructions). In those special instances when an external observer is rating the patient on the SCL-90 (e.g., doctor, social worker, and psychiatric nurse), ratings should be made in terms of manifest behaviors and/or complaints. Inferences about symptoms or distress where there is no explicit behavior or verbal referent on the part of the patient should be minimized.

The SCL-90 has been provided with a flexible time context so that different temporal limits may be used. This will also facilitate research concerning the effects of different temporal references on the nature of the symptomatic picture. Under standard conditions, however, the time context used with the SCL-90 is seven days. A number of other rating scales use the one-week rating period as standard; a more extensive rationale for selection of the seven-day reference period has been given by Hamilton (9).

In developing items, an attempt was made to use the most fundamental phrasing available; only basic words which still allow retention of the meaning of the item were selected. The *Thorndike-Lorge Word Book of 30,000 Words* (10) was used to equate the vocabulary levels of the nine dimensions and the overall verbal level of the instrument. In spite of this basic vocabulary, some patients' literacy levels will be too low to validly complete the form. In cases of marginal literacy, interpretations should be made cautiously, and the resulting profiles should probably be assigned a conditional status.

The selection of five-point rating scales for each symptom reflects the observation that the reliability of rating scales tends to be proportional to the number of scale points provided (within certain limits). This has been documented by both the psychometric theory (11) and the information theory (12). The minimum number of items subsumed under any one of the primary dimensions is six, in keeping with recent observations concerning the relationship between factorial invariance and the number of items per factor (13).

Developmental History: The immediate precursor to the SCL-90 was a rating scale termed the Symptom Distress Checklist (SCL). The SCL is comprised of 58 items which tend to focus on conventional neurotic symptoms and are rated on a four-point scale of distress. A series of factor-analytic studies of both psychiatrist ratings (14) and patient self-ratings (15) on the SCL isolated five primary symptom dimensions underlying the scale. Construct validity has been

demonstrated for these dimensions (16), and factorial invariance has been shown for this dimensional set regarding patient social status, doctor rating versus patient rating, and diagnostic class (see Appendix IV—Bibliography).

The SCL was developed principally as a criterion measure in psychotherapeutic drug trials. It has been shown to have high sensitivity and predictive validity in this regard (17,18). In addition, numerous extrinsic factors, e.g., doctors' attitude toward medication and patients' perception of doctor warmth (19), have been reflected by scores on the primary SCL dimensions. A consistent typology of anxious neurotic patients (20) has also been developed in terms of the SCL symptom scales.

Slight variations in the number and content of the scales have resulted in several other versions of the SCL (8.21). These instruments have very similar formats and tend to be highly compatible regarding the underlying dimensions they reflect. Also, a brief version (35-item) of the SCL has been used primarily by investigators in the Early Clinical Drug Evaluation Units (ECDEU) program sponsored by the Psychopharmacology Research Branch of NIMH. Most of these alternate versions may be traced back to a prototype Discomfort Scale developed by Parloff (22) and further elaborated by Frank (23). The Discomfort Scale was based to an appreciable extent on symptoms taken from the Cornell Medical Index and continues to be used as a criterion measure in studies of psychotherapy.

A bibliography documenting a representative sample of the research done with the SCL is presented in Appendix IV. Studies are arranged under headings which convey principal areas of relevance; although some studies are listed under multiple headings. This bibliography is not intended to be exhaustive but rather indicative of the nature and scope of the research done with the SCL.

Purpose of the Preliminary Report: By definition, a preliminary report cannot be a definitive document, such as a manual or a normative monograph. Standardization procedures and normative studies as well as estimates of reliability and validity are still under development. Due to the large amount of research previously done with the SCL, the SCL-90 is progressing well at this stage.

We have already compiled much information on five of the nine primary symptom dimensions of the SCL-90. A formal presentation of norms for these scales as well as verification of their reliability and validity is presently being made available (1). The *new* dimensions of Hostility and Phobic Anxiety have also received at least qualified empirical confirmation: the former in a series of factor-analytic studies with depressed outpatients (as yet unpublished data), the latter in a number of empirical investigations by Marks (2). Research to verify the validity of the Paranoid Ideation and Psychoticism dimensions is in progress.

Although the compilation of relevant psychometric information contributes to our confidence in the ultimate value of the SCL-90, it is somewhat ancillary to the basic reason for this report. The principal purpose of the preliminary report is to announce the development and availability of the SCL-90 and to provide the clinical research community with details concerning the nature, format, and rationale of the SCL-90 in an early developmental stage. In this manner, colleagues will have the opportunity to contribute to constructive input of a clinical-rational nature and/or to introduce empirically-based modifications before the format becomes finalized. We have also attempted to provide an initial statement of intent for future reference. It explains the constructs we purport to measure and the specific set of clinical behaviors which serve to operationally define those constructs.

References

- Derogatis, L. R., Rickels, K., Lipman, R. S., et al.
 The Symptom Distress Checklist (SCL): A measure of primary neurotic symptom dimensions.
 (To be published)
- Marks, I. M. Fears and Phobias. New York: Academic Press, 1969.
- 3. Marks, I. M. The classification of phobic disorders. Brit. J. Psychiat., 116:377-386, 1970.
- 4. Swanson, D. W., Bohnert, P. J., and Smith, J. A. *The Paranoid*. Boston: Little, Brown and Company, 1970.
- Schneider, K. Clinical Psychopathology, M. W. Hamilton (trans.). New York: Grune and Stratton, Inc., 1959.
- Mellor, C. S. First rank symptoms of schizophrenia. Brit. J. Psychiat., 117:15-23, 1970.
- Taylor, M. A. Schneiderian first-rank symptoms and clinical prognostic features of schizophrenia. Arch. Gen. Psychiat., 26:64-67, 1972.
- 8. Raskin, A., Schulterbrandt, J. G., Reatig, N., and McKeon, J. J. Differential response to chlorpromazine, imipramine and placebo. *Arch. Gen. Psychiat.*, 23:164–173, 1970.
- Hamilton, M. The Hamilton Depression Scale. In: Guy, W., and Bonato, R. ECDEU Assessment Man-

- ual. Rockville, Md.: National Institute of Mental Health, 1970.
- 10. Thorndike, E. L., and Lorge, I. The Teacher's Word Book of 30,000 Words. New York: Bureau of Publications, 1944.
- 11. Guilford, J. P. Psychometric Methods. New York: McGraw-Hill, 1954.
- 12. Garner, W. R. Rating scales, discriminability, and information transmission. *Psychol. Rev.*, 67:343-352, 1960.
- Gorsuch, R. L. A comparison of biquartimin maxplane, promax, and varimax. Educ. Psychol. Meas., 30:861-872, 1970.
- Lipman, R. S., Rickels, K., Covi, L., et al. Factors of symptom distress: Doctor ratings of anxious neurotic patients. Arch. Gen. Psychiat., 21:328-338, 1969.
- Derogatis, L. R., Lipman, R. S., Covi, L., et al. Neurotic symptom dimensions as perceived by psychiatrists and patients of various social classes. Arch. Gen. Psychiat., 24:454-464, 1971.
- Derogatis, L. R., Lipman, R. S., Covi, L, et al.
 Dimensions of outpatient neurotic pathology:
 Comparison of a clinical vs. an empirical assessment. J. Consult. Clin. Psychol., 34:164-171, 1970.
- 17. Uhlenhuth, E. H., Rickels, K., Fisher, S., et al. Drug, doctor's verbal attitude and clinical setting

- in the symptomatic response to pharmacotherapy. Psychopharmacologia (Berl.), 9:392-418, 1966.
- Lipman, R. S., Park, L. C., and Rickels, K. Paradoxical influence of a therapeutic side-effect interpretation. Arch. Gen. Psychiat., 15:462-474, 1966.
- 19. Rickels, K., Lipman, R. S., Park, L. C., et al. Drug, doctor warmth and clinic setting in the symptomatic response to minor tranquilizers. *Psychopharmacologia* (Berl.), 20:128-152, 1971.
- Derogatis, L. R., Lipman, R. S., and Covi, L. A typology of anxious neurotics. Paper presented at the Eastern Psychological Association Annual Meeting, Boston, 1972.
- Rickels, K., Lipman, R. S., Garcia, C. R., et al.
 Evaluating clinical improvement in anxious outpatients: A comparison of normal and treated neurotic patients. Amer. J. Psychiat., 128:1005-1009, 1972.
- Parloff, M. B., Kelman, H. C., and Frank, J. D. Comfort, effectiveness and self-awareness as criteria of improvement in psychotherapy. Amer. J. Psychiat., 111:343-351, 1954.
- 23. Frank, J. D., Gliedman, L. H., Imber, S. D., Nash, E. H., and Stone, A. R. Why patients leave psychotherapy. A.M.A. Arch. Neurol. Psychiat., 77:283-299, 1957.

APPENDIX I

SCL90: Instructions for Administration

The SCL-90 is a self-report, clinical symptom rating scale oriented towards use with psychiatric outpatients. The instrument should be completed by the patient, unless special or unusual circumstances indicate otherwise and may be easily and effectively administered by a trained technician. Instructions for the technician/administrator may be delineated as follows:

- I. Instructions to the Technician/Administrator
 - A. Patient ID No., Visit No., and Rater Code (see below), located in the right-hand shaded area on pages 1 and 2, should be filled in by the technician/administrator where these are not precoded. Corresponding Patient ID No. should also be filled in on the accompanying DDS (Demographic Data Sheet).

Check to see that the *time referent*, located in the shaded box under "Instructions," is filled in (e.g., 7 days).

B. Rater

Right-hand Rater Code has conventionally been designated as "01" when it is a patient self-rating; when the rater is other than the patient, the rater's assigned Code No. is used. Left-hand Rater Code has conventionally been left blank for patient self-ratings; when the rater is other than the patient, the rater's name (e.g., Dr. Smith) is inserted.

- C. Location should be filled out explicitly by the technician/administrator, if feasible (e.g., Hopkins Out-Patient Department, etc.).
- D. Technician/Administrator should always indicate his/her initials.
- E. S. No. is only appropriate where specified.

II. Instructions to Patient

A. Introduction

The SCL-90 may be introduced to the patient as part of the facility's attempt to understand the problems of the patient, or it may be explained directly as part of a research project for which their assistance in filling out the form is requested. Both methods have proven quite successful.

Stress completion of the SCL-90 as quickly as possible, while waiting to be seen. The patient should also work *independently* without discussing the items with spouse, family members, etc.

- B. Name and Date should be filled out by the patient.
- C. Instructions should be read and carefully explained to the patient by the technician/administrator, with particular attention being given to the Example and Definition of the Five Scale Points (see IV). Stress completion of all items, noting two pages of the form.
- III. Technician/Administrator should check for any missed items upon completion of the checklist before patient leaves the area.
- IV. Definition of Scale Points
 - Not At All (0)—Patient reports no distress associated with the particular symptom.
 - A Little Bit (1)—Patient is aware of some distress associated with the symptom, but it is infrequent and of low intensity.
 - Moderately (2)—Patient experiences distress associated with the symptom in a somewhat regular manner and it is of mild or moderate intensity.
 - Quite A Bit (3)—Patient experiences distress associated with the symptom with regularity, and it is of moderate to high intensity.
 - Extremely (4)—Patient experiences extreme distress associated with the symptom, due to frequency, intensity, or a combination of both.

	<u> </u>		DQ	NOT	MARK	IN T	HE SI	HADED	AREA	BELO	DW .	
		:: 0 ::	::1::	2::	:: 3 ::	4	<u> </u>	:: 5 ::	:: 6 ::	== 7 ::	::8::	::9:
NAME:		ı					-	::5::				- 1
LOCATION DATE		:: 0 ::	zota:	:: 2 ::	:: 3 ::	4 :	ď	:: 5 ::	:: 6 :	7	·8-	::9:
					3		ĩñ	::5::				
RATER TECH: S.NO		::0::	ee t ee	:-2-:	::3::	::4::	ž	::5::	::6:	:: 7 :	::8:	::9:
REMARKS		::0::	::4::	::2::	::3::	::4:	띪	::5::	:: 6 :	7	:: 8 :	-:9:
		:: :0 ::	ted to	::2::	:: 3 ::	=: 4 ::	Æ	::5::	:: 6 :	== 7 :=	:: 8 :	::9:
		·					σÕ					

INSTRUCTIONS

Below is a list of problems and complaints that people sometimes have. Please read each one carefully. After you have done so, please fill in one of the numbered spaces to the right that best describes. HOW MUCH THAT PROBLEM HAS BOTHERED OR DISTRESSED YOU DURING THE PAST INCLUDING TODAY Mark only one numbered space for each problem and do not skip any items. Make your marks carefully using a No 2 pencil DO NOT USE A BALLPOINT PEN If you change your mind, erase your first mark completely. Please do not make any extra marks on the sheet. Please read the example below before beginning.

	EXAMP	ı E	-	<u></u>		
F	HOW MUCH WERE YOU BOTHERED BY	1 NO7 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	A LITTLE BIT	-MODERATE	QUITE A	-EXTREMELY
1	Backaches	::0:		:: 2 :	::3:	{ ∵4:
		Ą	LITTLE	47E	4	ME
ŀ	HOW MUCH WERE YOU BOTHERED BY	1.00 L	7. 4.	\$ \frac{1}{2}	OUTE BIT	EXIME LY
1	Headaches	::0:	::::	::2:	::3:	::4:
2.	Nervousness or shakiness inside	::0:	::‡:	::2:	::3:	::4:
3	Unwanted thoughts, words, or ideas that won't leave your mind	::0:	2::4:2	::2:	::3:	::4:
4	Faintness or dizziness	::0:	::#.	::2:	::3:	::4:
5	Loss of sexual interest or pleasure	::0:	::\$::	::2:	::3:	::4:
6	Feeling critical of others	::0:	::‡:	::2:	∷3:	::4:
7	The idea that someone else can control your thoughts	::0 :	::#:	::2:	::3:	::4:
8	Feeling others are to blame for most of your troubles	::0:	::#:	::2:	:-3:	::4:
9	Trouble remembering things	::0:	:::	::2:	::3:	::4:
10	Worried about sloppiness or carelessness	::0:	:::::	::2:	::3:	::4:
11	Feeling easily annoyed	_				
	or irritated	::0:	::#:	::2:	:3:	::4:
12	Pains in heart or chest	::0:	::#:	::2:	:-3-	:::4:
13	Feeling afraid in open spaces or on the streets	::0:	-s.#=	::2"	::3:	::4:
14	Feeling low in energy or slowed down	::0:	122#2	::2:	:::3:	:::4:
15	Thoughts of ending your life	::0:	:::::	::2:	::3:	::4:
						•
16	Hearing voices that other people do not hear	T- 0T	1074.7	::2:	:::3:	:::4:
17	Trembling	::0:	::::	-:2:	:::3:	::4:
18.	Feeling that most people cannot be trusted	::0:	::: ::	::2:	::3:	:::4:

- <u>C</u>	0							PA	GE	1
-	DO				HE SI	HADED		BELO		.,
= 0		::2::	:: 3 ::	== 4 =	ENT	:: 5 ::	:: 6 ::	== ? ==	22 8 22	::9:
∷.0		::2::	::3::	::4::	PATIE	-= 5 -:	::6:	7	:: 6 :	::9:
==0	: ::1::	::2::	:: 3 ::	-:4::	<u>à.</u>	:: 5 ::	::6:	7-:	& -	::9:
∷•0		::2::	::3::	:: 4 ::	ΣΞ	:: 5 ::	:: 6 :	:: 7 ::	:: & :	:: 9 :
=:0	·	-:2-:	::3::	:: 4 ::		::5::	::6:	:: Z :	::8:	::9:
1::0		::2::	::3::	::4:	RATER	:: 5 ::	::6:	==7==	:: & :	´== 9 :
-:-0	2 22122	::2::	::3::	:: 4 ::		::5::	:: 6 :	==7::	::8:	::9:
1_					σŠ					
TION ase	I <u>S</u> read eac	h one	carefu	lly. A	fter yo	u have	done	so, pl	ease fi	II
СН	THAT PE	ROBLE	м на	s BO	THERE	D OR	DIST	RESSE	D YOU	اِ
only	one numb	ered	space	for ea	ch pro	blem a	nd do	not s	kip an	у
А В	ALLPOINT	PEN	lf :	you ch	ange	your mi	nd, e	rase y	our firs	ŧ
ase n	ead the	examp	le belo	w befo	ore beç	jinning.				
					-			خ		
t	OW MU BOT	CH W	VERE D BY	YOU		NOT AT	A LITTLE	MODERATE	AUTE BIT A	EXTREME
10	Page 27	natita					(((1
19	Poor ap					::0::	:::::::	::2::	::3:	::4:
20	Crying e	asily				:: 0 .:	::4::	::2::	::3::	::4::
21.	Feeling with the					::0::	::4::	::2::	::3::	::4::
22	Feeling or caug		ng trapp	ed		: :0 : :	::1::	::2::	::3::	::4::
23	Suddenly	/ scare	ed for r	no rea:	son	::0:	::1::	2	::3::	::4::
24	Temper you coul	outburs id not	sts that control	t		::0::	:=1::	::2:	::3::	::4:
25	Feeling of your	afraid hou s e	to go o alone	out		::0::	22477	:: 2 ::	::3::	4::
26	Blaming	yours	eif for	things		::0::	4	-: 2 :-	::3::	::4:
27	Pains in	lower	back			::0::	::4::	::2:	::3::	::4:
28	Feeling things d		d in ge	tting		:: 0 ::	redr:	::2::	::3::	:: 4 :
29.	Feeling	lonely				::0::		.:. 2 .:	3:	.: 4 :
30	Feeling	biue				::0::	::4::	::2:	::3:	-:4
31.	Worrying		much a	about	things	::0::	::4::	::2::	:=3::	-:4:
32	Feeling					::0::	::4::	:.2:	::3:	-:4
	Feeling					::0::	22422	::2:	::3:	::4:
34	Your fee			asily l	nurt	::0:	::4::	-:2:	::3:	::4:
35.	Other pro				of	::0:	::‡:	::2:	::3:	::4
36.	Feeling stand yo					::0:	::#:	::2:	::3:	::4
37.	Feeling unfriendl					::0:	s:d::	::2:	::3:	::4
	Having to insure				lowly	::0:	::4:.	::2:	::3:	::4
38						::0:	::4::	2:	::3:	::4
38	Heart p	oundin	g or ra	acing						
	Heart p					::0:	::#:	::2:	::3:	::4

	· ·						DO NOT MARK IN THE SHADED AREA BELOW
Γ_							1:0:1 171:1 172:1 173:1 174:1 E 15:1 176:1 177:1 178:1 179:1
NA	ME						100 110 122 130 140 H 150 160 170 180 190
LO	CATION.		DA	ATE:			::00:: :::1::: ::2:: ::35:: ::4::
L						- W	- 100m mbs 12m 13m 154m 15m 15m 16m 17m 158m 159m
ŀ	HOW MUCH WERE YOU BOTHERED BY	I NOT A LLA	1 A LITTLE	A TELY	A LINE	· Experience	1000 10112 1220 1330 1340 1000 1000 1000 1000 1000 1000 1000
41	Feeling inferior to others	! ::• 0 ::.	::::	l ∷2::	1:5:	::4:	
42	Soreness of your muscles	:: 0 ::	::4::	::2:	··3·:	:-4:	<u> </u>
40	F (11)						1000 Maria M
43	Feeling that you are watched or talked about by others	::0::	::#::	: : 2 : :	::3::	::4::	HOW MUCH WERE YOU TO THE BOTHERED BY
44	Trouble falling asleep	:: 0 ::	12422	::2::	::3::	::4::	69 Feeling very self-conscious
45.	Having to check and double-						with others : Ora inter 12m inter inter
46	Check what you do	::0::	22 4 22	:-2::	::3::	::4::	70 Feeling uneasy in crowds,
40	Difficulty making decisions	::0::	::4::	2::	3	::4:	such as shopping or at a movie ::::::::::::::::::::::::::::::::::::
47.	Feeling afraid to travel on buses, subways, or trains	::0:	::4::	-:2	::3::	::4::	71 Feeling everything is an effort :: 12:: ::2::
48.	Trouble getting your breath	::0::	::1::	- ::2::	::3::	::4:	2. Spans of this of panie
49	Hot or cold spells	::0::	::4::	-:2::	-: 3 ::	::4::	73 Feeling uncomfortable about eating or drinking in public ::0:: ::4:: ::2:: ::3:: ::4::
50	Having to avoid certain						74 Getting into frequent arguments :: :::::::::::::::::::::::::::::::::
	things, places, or activities because they frighten you	::0::	::1::	2	::3::	::4::	
51	Your mind going blank	::0::	::\$::	::2::	::3:::	::4:	75 Feeling nervous when you are left alone ::0: ::4:: ::2:: ::3:: ::4::
52	Numbness or tingling in parts of your body	::0::	11411	::2:	::3:	4	76 Others not giving you proper credit for your achievements ∷O∷ ∷‡∷ ∷2: ∷3: ∷4:
53	A lump in your throat	::0::	::4::	::2::	::3:	::4:	77 Feeling lonely even when
54	Feeling hopeless						you are with people ::0: ::4:: ::2: ::3:: ::4:
	about the future	:0:	::\$::	2:	::3:::	::4::	78 Feeling so restless you
55	Trouble concentrating	::0::	::‡::	2	3:-	1141	couldn't sit still ::0:: ::1:: ::2: ::3: ::4::
56	Feeling weak in parts of your body	::0::	20400	:-2:	::3:	::4::	79 Feelings of worthlessness ::0: ::‡: ::2: ::3: ::4:
57	Feeling tense or keyed up	::0::	::4::	2:	::3::	::4:	80 Feeling that familiar things
58	Heavy feelings in your						are strange or unreal ::0: ::‡: ::2: ::3: ::4:
59	arms or legs	::0::		-2	3:	::4:	81 Shouting or throwing things ::0: .:4: ::2: ::3: ::4:
60	Thoughts of death or dying Overeating		11411	::2::	::3::	::4:	82 Feeling afraid you will faint in public ::0: ::4: ::2: ::3: ::4:
٠.							83 Feeling that people will take
61	Feeling uneasy when people are watching or talking about you	::0:	:-#:	::2::	∷ 5 ∶	:.4:	advantage of you if you let them ::0: ::‡: ::2: ::3: :::4:
62	Having thoughts that						84 Having thoughts about sex
	are not your own	:· 0 :	2:47	:-2:	::3:	::4	that bother you a lot ::0: ::‡: ::2: ::3. ::4:
63	Having urges to beat, injure, or harm someone	:: 0 :	::4:2				85 The idea that you should be
64	Awakening in the early morning		::#:			::4:	punished for your sins ::0: ::4: :2: ::3: ::4:
	Having to repeat the same	•	- 47				86 Feeling pushed to
	actions such as touching, counting, washing	::0:	:: ‡ :	::2:	51 .K 5	::4:	
	•	-		٠.			87 The idea that something serious is wrong with your body ::0: .:±: -:2: -:3:4-
66	Sleep that is restless or disturbed	::0:	::#:	::2:	:: % :	:: : :::	your body :: 0: .:4: ::2: ::3: .:4:
		•	•	£.	3-		88. Never feeling close to another person ::D: ::::::::::2: :::3: :::::::::::::::
67	Having urges to break or smash things	::0:	::#:	::2:	::3:	1:#·	
		-	•		. J.		89 Feelings of guilt ::0: .::‡: ::2: .::3: :::4:
68	Having ideas or beliefs that others do not share	::0:	::4::	::2:	::3:	::4:	90 The idea that something is wrong with your mind ::0: ::4: .:2: ::3: ::4:

APPENDIX II

SCL-90-Factorial Composition

I.	Somatizat	tion (N=12)	Item No.	Item
	Item No.	Item	14	Feeling low in energy or slowed down
	42	Soreness of your muscles	15	Thoughts of ending your life
	52	Numbness or tingling in parts of your	20	Crying easily
		body	22	Feeling of being trapped or caught
	58	Heavy feelings in your arms or legs	26	Blaming yourself for things
	56	Weakness in parts of your body	29	Feeling lonely
	12	Pains in heart or chest	30	Feeling blue
	49	Hot or cold spells	31	Worrying too much about things
	27	Pains in lower back	32	Feeling no interest in things
	48	Trouble getting your breath	54	Feeling hopeless about the future
	4	Faintness or dizziness	71	Feeling everything is an effort
	53	A lump in your throat	79	Feelings of worthlessness
	1	Headaches		0
	40	Nausea or upset stomach	V. Anxiety ((N=10)
П. О	Obsessive-C	Compulsive $(N=10)$	2	Nervousness or shakiness inside
	45	Having to check and double-check	17	Trembling
	10	what you do	23	Suddenly scared for no reason
	38	Having to do things very slowly to	33	Feeling fearful
	00	insure correctness	39	Heart pounding or racing
	51	Your mind going blank	57	Feeling tense or keyed up
	9	Trouble remembering things	72	Spells of terror and panic
	46	Difficulty making decision	78	Feeling so restless you can't sit
	55	Trouble concentrating		still
	10	Worried about sloppiness or careless-	80	Feeling that familiar things are
	10	ness		strange or unreal
	28	Feeling blocked in getting things done	86	Feeling pushed to get things done
	65	Having to repeat the same actions,		
	0.5	i.e., counting, washing	VI. Anger-Ho	stility (N=6)
	3	Unwanted thoughts, etc., that won't	11	Feeling easily annoyed or irritated
		leave your mind	24	Temper outbursts you can not control
	_	·	63	Having urges to beat, injure, or harm
111.		onal Sensitivity (N=9)		someone
	6	Feeling critical of others	67	Having urges to break or smash things
	21	Feeling shy or uneasy with the op-	74	Getting into frequent arguments
		posite sex	81	Shouting or throwing things
	34	Your feelings being easily hurt		
	36	Feeling others do not understand you	VII. Phobic A	nxiety (N=7)
	27	or are unsympathetic	13	Feeling afraid in open spaces or on
	37	Feeling that people are unfriendly or		the streets
	41	dislike you	25	Feeling afraid to go out of your house
	41	Feeling inferior to others		alone
	61	Feeling uneasy when people are watching or talking about you	47	Feeling afraid to travel on buses, sub-
	69	Feeling very self-conscious with others	=-	ways, or trains
	73	Feeling uncomfortable about eating	70	Feeling uneasy in crowds, such as
		or drinking in public		shopping or at a movie
7 3 7	D :	· -	75	Feeling nervous when you are left
1 V.		n (N=13)		alone
	5	Loss of sexual interest or pleasure	82	Feeling afraid you will faint in public

Item No.	Item	Item No.	Item
50	Having to avoid certain things, etc.,		private thoughts
Will b	because they frighten you	62	Having thoughts that are not your own
VIII. Paranoid	Ideation (N=6) Feeling others are to blame for most	77	Feeling lonely even when you are with people
18	of your troubles Feeling that most people cannot be	84	Having thoughts about sex that bother you a lot
43	trusted Feeling that you are watched or	85	The idea that you should be punished for your sins
68	talked about by others Having ideas or beliefs that others do not share	87	The idea that something serious is wrong with your body
76		88	Never feeling close to another person
	Others not giving you proper credit for your achievements	90	The idea that something is wrong with your mind
83	Feeling that people will take advantage		with your mind
	of you if you let them		Additional Scales
IV b		19	Poor appetite
IX. Psychotic		60	Overeating
7	The idea that someone else can con-	44	Trouble falling asleep
	trol your thoughts	64	Awakening in the early morning
16	Hearing voices that other people do	66	Sleep that is restless or disturbed
	not hear	59	Thoughts of death or dying
35	Other people being aware of your	89	Feelings of guilt

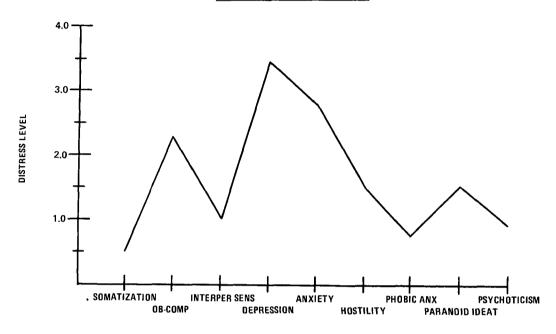
APPENDIX III: SYMPTOMATIC PROFILES

SCL-90 Symptom Dimensions			Emergency Outpati N =	ents	Acute Ala Mala N =		Obese Normal Females N = 48	
	X*	σ**	$\overline{\mathbf{x}}$	σ	\overline{x}	σ	$\overline{\mathbf{x}}$	σ
1. Somatization	1.05	.97	.99	.81	1.23	.83	.72	.58
II. ObComp.	1.51	1.01	1.69	.98	1.18	.78	.96	.65
III. Interp. Sens.	1.40	.96	1.94	1.06	.96	.73	.96	.80
IV. Depression	1.84	1.05	2.19	.92	1.50	.73	1.12	.88
V. Anxiety	1.51	1.00	1.83	.98	1.24	.78	.83	.67
VI. Hostility	1.29	1.05	1.55	.98	.83	.73	.68	.49
VII. Phobic Anxiety	.88	.97	1.02	.96	.69	.82	.48	.58
VIII. Paranoid Ideat.	1.34	1.08	1.57	.72	1.32	.89	.73	.57
IX. Psychoticism	.99	.84	1.34	.81	.76	.52	.43	.44
GŚI	1.35	.82	1.60	.69	1.14	.62	.81	.52
PSDL	2.23	.70	2.35	.48	2.30	.55	1.70	.53
PST	50.98	19.67	59.58	18.03	43.48	17.59	40.10	17.76

^{*}Mean

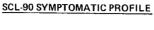
^{**}Sigma

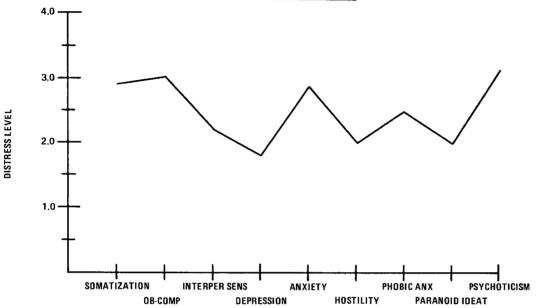
SCL-90 SYMPTOMATIC PROFILE



		RAW SCORE DATA	
	l.	SOMATIZATION	.5
NAME:	II.	OB-COMP	2.3
	III.	INTERP. SENS.	1.0
DATE: 3/7/72	IV.	DEPRESSION	3.4
	V.	ANXIETY	2.7
	VI.	HOSTILITY	1.5
LOCATION: HOPKINS OPD	VII.	PHOBIC ANX.	.7
	VIII.	PARAN. IDEAT.	1.5
DIAGNOSIS: DEPRESSIVE NEUROSIS	IX.	PSYCHOTICISM	.9
	GENE	RAL SYMPTOMATIC	
CLINICAL NARRATIVE:		INDEX=	1.7

This 26 yr. old, white female was referred from another service where she was seen initially for a physical condition. Although interview suggests a depressive "style of life" for this patient, the present episode is described as relatively acute. Primary symptoms involve dysphoric affect with marked feelings of hopelessness, suicidal thoughts, and manifest resentment. Panic attacks and generally high anxiety levels are also in evidence.





RAW SCORE DATA

	I.	SOMATIZATION	2.9
	II.	OB-COMP	3.0
NAME:	111.	INTERP. SENS.	2.2
	IV.	DEPRESSION	1.8
DATE: 3/7/72	V.	ANXIETY	2.9
	VI.	HOSTILITY	2.0
	VII.	PHOBIC ANX.	2.5
LOCATION: HOPKINS OPD	VIII.	PARAN. IDEAT.	2.0
	IX.	PSYCHOTICISM .	3.2
DIAGNOSIS: CHRONIC UNDIFFERENTIATED			
SCHIZOPHRENIC	GENE	RAL SYMPTOMATIC	
		INDEX=	2.4

CLINICAL NARRATIVE:

This 24 yr. old, black female presents with notable disturbances in cognitive functioning and associative processes. In addition, there are indications of hallucinations with delusional thought patterns. Somatic concern is high and probably incorporated into the delusions, with suggestions of both free floating and phobic anxiety at elevated levels. Paranoid ideation is present to a moderate degree.

DEP

HOS

РНОВ

ANX

PAR

⊢ PSY

.5

SOM

O-C

I-S

NORMATIVE GROUP PROFILES

APPENDIX IV: BIBLIOGRAPHY

Symptom Distress Checklist (SCL)

A. Scale Evolution

- Derogatis, L. R., Lipman, R. S., Covi, L., et al. Neurotic symptom dimensions as perceived by psychiatrists and patients of various social classes. Arch. Gen. Psychiat., 24:454-464, 1971.
- Derogatis, L. R., Lipman, R. S., Covi, L., et al. Dimensions of outpatient neurotic pathology: Comparison of a clinical vs. an empirical assessment. J. Consult. Clin. Psychol., 34:164-171, 1970.
- 3. Lipman, R. S., Rickels, K., Covi, L., et al. Factors of symptom distress: Doctor ratings of anxious neurotic outpatients. Arch. Gen. Psychiat., 21:328-338, 1969.
- Lipman, R. S., Covi, L., Rickels, K., et al. Selected measures of change in outpatient drug evaluation. Psychopharmacology: A Review of Progress 1957-1967. PHS Pub. No. 1836, U.S. Government Printing Office, Washington, D.C., 1968.
- Williams, H. V., Lipman, R. S., Rickels, K., et al. Replication of symptom distress factors in anxious neurotic outpatients. Multivar. Behav. Res., 3:199-212, 1968.
- Mattsson, N. B., Williams, H. V., Rickels, K., et al. Dimensions of symptom distress in anxious neurotic outpatients. *Psychopharm.* Bull., 5(1):19-32, 1969.

B. Drug Sensitivity

- Rickels, K., Lipman, R. S., Park, L. C., et al. Drug, doctor warmth, and clinic setting in the symptomatic response to minor tranquilizers. *Psychopharmacologia (Berl.)*, 20: 128-152, 1971.
- Lipman, R. S., Park, L. C., and Rickels, K. Paradoxical influence of a therapeutic sideeffect interpretation. Arch. Gen. Psychiat., 15:462-474, 1966.
- 3. Uhlenhuth, E. H., Rickels, K., Fisher, S., et al. Drug, doctor's verbal attitude and clinic setting in the symptomatic response to pharmacotherapy. Psychopharmacologia (Berl.), 9:392-418, 1966.
- 4. Covi, L., Lipman, R. S., Pattison, J. H., et al. Length of treatment with chlordiazepoxide
- *Indicates paper is cited under more than one heading.

- and response to its sudden withdrawal [In press, Psychopharmacologia (Berl.)]
- 5.* Hesbacher, P. T., Rickels, K., Hutchison, J., et al. Setting, patient, and doctor effects on drug response in neurotic patients: II. Differential improvement. Psychopharmacologia (Berl.), 18:209-226, 1970.
- Covi, L., Park, L. C., Lipman, R. S., et al. Withdrawal of meprobamate and chlordiazepoxide in anxious outpatients. In: Cole, J. O., and Wittenborn, J. R. (eds.), Drug Abuse: Social and Psychopharmacological Aspects. Springfield, Illinois: Charles C Thomas, 1969.
- 7. Rickels, K. Drugs in the treatment of neurotic anxiety and tension: Controlled studies. In: *Psychiatric Drugs*. New York: Grune and Stratton, Inc., 1966.

C. Sensitivity to Extrinsic Factors (Non-Drug)

- 1. Derogatis, L. R, Covi, L., Lipman, R. S., et al. Social class and race as mediator variables in neurotic symptomatology. Arch. Gen. Psychiat., 25:31-40, 1971.
- Hesbacher, P. T., Rickels, K., and Goldberg,
 D. Neurotic symptoms in general practice:
 Clarification of the relationships of sex, race,
 and social class. (In press)
- Hesbacher, P. T., Rickels, K., Hutchinson, J., et al. Setting, patient, and doctor effects on drug response in neurotic patients: II. Differential improvement. Psychopharmacologia (Berl.), 18:209-226, 1970.
- Lipman, R. S., Uhlenhuth, E. H., Rickels, K., et al. Medication attitudes and drug response. Dis. Nerv. Syst., 30:454-459, 1969.
- 5. Uhlenhuth, E. H., and Covi, L. Subjective change with initial interview. Amer. J. Psychother., 23:415-429, 1969.
- McNair, D. M., Kahn, R. J., and Droppleman, L. F. Patient acquiescence and drug effects. In: Rickels, K. (ed.), Non-Specific Factors in Drug Therapy. Springfield, Illinois: Charles C Thomas, 1968.
- Rickels, K., and Anderson, F. L. Attrited and completed lower socioeconomic class clinic patients in psychiatric drug therapy. Comp. Psychiat., 8:90-99, 1967.
- 8. Lipman, R. S., Rickels, K., Uhlenhuth, E. H., et al. Neurotics who fail to take their drugs.

- Brit. J. Psychiat., 111 (480):1043–1049, 1965.
- 9. Rickels, K. Some comments on non-drug factors in psychiatric drug therapy. *Psychosomatics*, 5:303-309, 1965.
- 10. Uhlenhuth, E. H., Park, L. C., Lipman, R. S., et al. Dosage deviation and drug effects in drug trials. J. Nerv. Ment. Dis., 141:95-99, 1965.
- 11. Fisher, S., Cole, J. O., Rickels, K., et al. Drug-set interaction: The effect of expectations on drug response in outpatients. Neuro-psychopharm., 3:149-156, 1964.

D. Content Validity

 Derogatis, L. R., Lipman, R. S., Covi, L., et al. Dimensions of outpatient neurotic pathology: Comparison of a clinical vs. an empirical assessment. J. Consult. Clin. Psychol., 34:164-171, 1970.

E. Construct Validity

- Rickels, K., Lipman, R. S., Garcia, C. R., et al. Evaluating clinical improvement in anxious outpatients: A comparison of normal and treated neurotic patients. Amer. J. Psychiat., 128:1005-1009, 1972.
- Rickels, K., Garcia, C. R., and Fisher, E. A measure of emotional distress in private gynecologic practice. *Obstet. Gynecol.*, 38: 139– 146, 1971.
- 3.* Derogatis, L. R., Lipman, R. S., Covi, L., et al. Dimensions of outpatient neurotic

- pathology: Comparison of a clinical vs. an empirical assessment. *J. Consult. Clin. Psychol.*, 34:164–171, 1970.
- 4. Park, L. C., Uhlenhuth, E. H., Lipman, R. S., et al. A comparison of doctor and patient improvement ratings in a drug (meprobamate) trial. Brit. J. Psychiat., 111:534-540, 1965.

F. Reliability

 Derogatis, L. R., Rickels, K., Lipman, R. S., et al. The Symptom Distress Checklist (SCL): A measure of primary neurotic symptom dimensions. (To be published)

G. Factorial Invariance

- Derogatis, L. R., Lipman, R. S., Covi, L., et al. Factorial invariance of neurotic symptom dimensions in anxious and depressive neuroses. Arch. Gen. Psychiat., 1972. (In press)
- 2.* Derogatis, L. R., Lipman, R. S., Covi, L., et al. Neurotic symptom dimensions as perceived by psychiatrists and patients of various social classes. Arch. Gen. Psychiat., 24:454-464, 1971.

H. Additional Studies

1. Derogatis, L. R., Lipman, R. S., and Covi, L. A typology of anxious neurotics. Paper read at the 43rd Annual Meeting of the Eastern Psychological Association, Boston, 1972.