

EE6424 Digital Audio Signal Processing

Part 2

Lecture 3:

Sampling and Quantization of Speech

Outline of lecture

- Digitization (Analog to digital)
 - Sampling (Continuous time \rightarrow Discrete time)
 - Quantization (Continuous amplitude \rightarrow Discrete amplitude)
 - Coding (Discrete amplitude \rightarrow binary digit)
- Scalar Quantization
 - Mechanism of scalar quantization
 - Quantization noise (SQNR)
 - Companding
- Vector Quantization
 - The LBG algorithm

EE6424 Part 3: Lecture 3.1

DIGITIZATION

Digital speech

- A speech signal, in the form of an **acoustic** sound pressure wave, can be changed into a **processable** object by converting it into an **electrical** signal using a microphone.
- The electrical signal is usually transformed from the **analog** into a **digital** signal prior to almost all speech processing.
- Speech coding, speech enhancement, speech and speaker recognition, among others, involve highly **sophisticated algorithms** which cannot otherwise be **realized** using analog techniques.
- Analog-to-digital (**A/D**) conversion, commonly referred to as the **digitization**, consists of three processes
 - **Sampling** (continuous time \rightarrow discrete time)
Sampling is the process of converting a **continuous** time signal as a **periodic sequence** of values.

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- **Quantization** (continuous amplitude → finite set of discrete values)

Quantization involves representing the sampled values by one from a finite set of **values**.

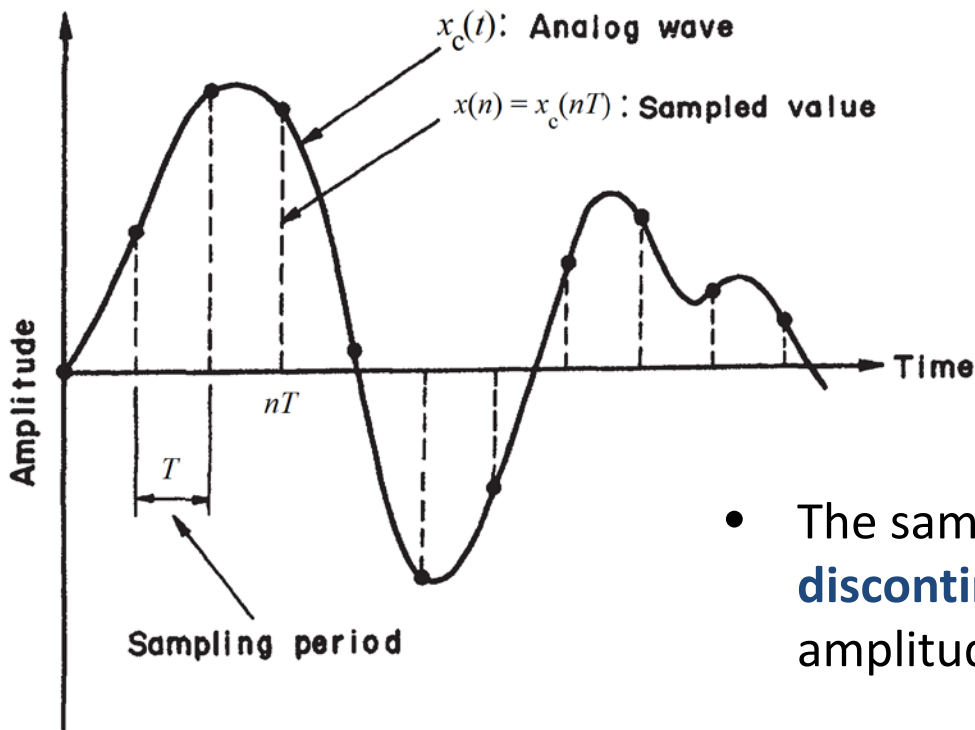
- **Coding** (finite set of binary codes)

Coding is concerned with the assignment of **binary codes** to each value in the finite set.

- The digitization process thus converts a **continuous-time continuous amplitude** speech signal into a **sequence** of **binary codes** (or **bit stream**).

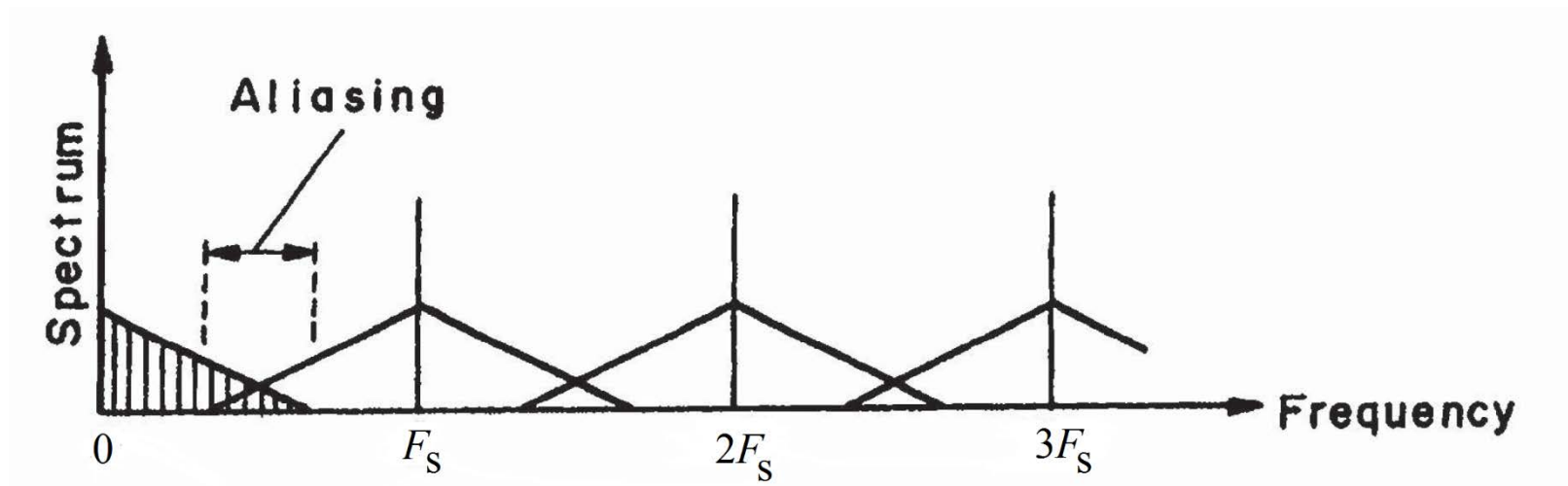
Sampling

- Given an analog input $x_c(t)$, the sampler produces a number $x(n) = x_c(nT)$ at a periodic time nT , where n is an integer (the discrete time index).



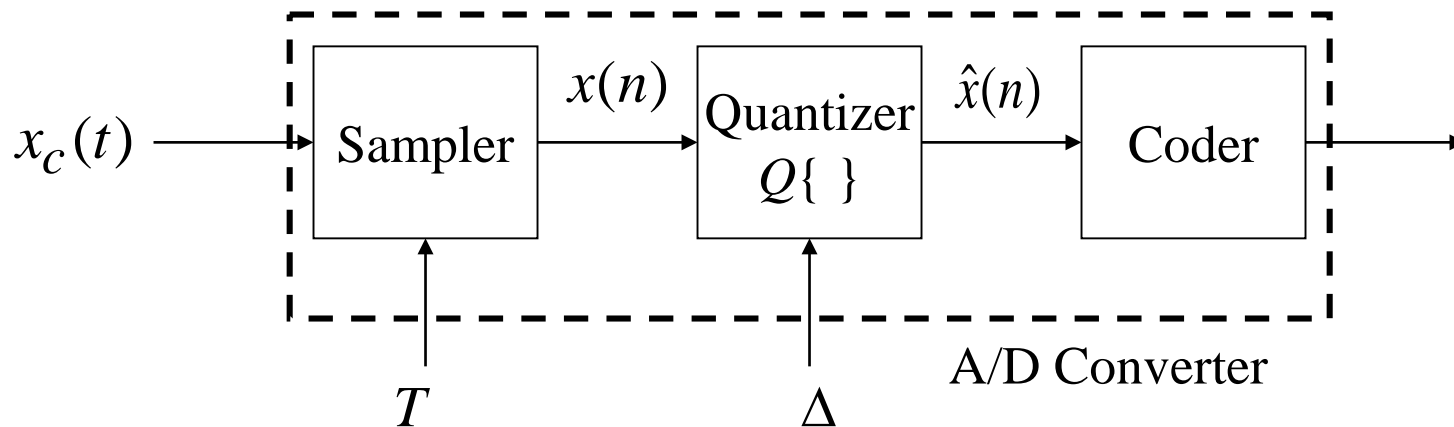
- T (seconds) is called the **sampling period**, while $F_s = 1/T$ (Hz) is referred to as the **sampling frequency**.
- The sampled signal $x(n)$, which is **discontinuous** in time but **continuous** in amplitude is called a **discrete-time signal**.

- Sampling a band-limited signal can be achieved without loss of information, as long as the **Shannon rule** is followed.
- The **sampling frequency** F_s must be at least **twice** as high as the highest frequency (Nyquist rate).
- Incorrect sampling (when signal bandwidth is larger than $F_s/2$), **aliasing distortion** occurs.



Quantization

- The **quantizer** takes the numbers $x(n)$ and assigned quantized values $\hat{x}(n)$ according to a **non-linear discrete-output mapping function** $Q\{\cdot\}$.
- Quantization resulted in **noise** being added to sampled signal $x(n)$ with continuous amplitude.
- Δ is the **quantization step-size**. The value is decided such that the SNR of the quantized signal is sufficiently large.



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- The coder assigns a **binary code** (quantization index) to each quantization level.
 - These **codewords** are chosen to correspond to the **quantized amplitudes** such that **arithmetic** can be done directly on the codewords.
 - The fine distinction between **quantized samples** and **coded samples** (i.e., base-10 versus base-2 numbers) could generally be ignored.
 - Speech signal represented by **binary coded quantized samples** is called **pulse-code modulation** (or just **PCM**) because binary numbers can be transmitted as on/off pulse amplitude modulation.

Bit rate

- Let B denotes the **number of bits** use to represent the **quantized samples** (i.e., the length of the codewords) and F_s the sampling rate in Hz (or samples per second).
- The **bit rate** (or data rate), measured in bits per second (bps) of a **sampled** and **quantized** speech signal is

$$I = B \times F_s$$

- The standard values for **sampling** and **quantizing** sound signals (singing, instrumental music) are $B = 16$ and $F_s = 44.1$ kHz or 48 kHz.

$$\text{bit rate} = 16 \times 44100 = 705,600 \text{ bps}$$

$$\text{bit rate} = 16 \times 48000 = 768,000 \text{ bps}$$

- This value is **more** than **adequate** and much more **than desired** for most **speech** applications.

Telephone vs. wideband speech

- Human voice ranges from **50 Hz** to **10 kHz**, with **99%** of voice information being below **4 kHz**.
- **Speech** signals are often sampled at **8 kHz**. A low-pass filter is used to **remove spectral components** above the frequency of interest (e.g., 4 kHz) to avoid aliasing distortion.
- This is usually done by sampling at a very **high sampling rate** and applying a **digital** low-pass filter (instead of an **analog** filter) before down-sampling.
- The telephone network limits the bandwidth of speech signals to between the ranges from **300 Hz** to **3400 Hz**. This is called the **telephone bandwidth**.
- **Wideband speech**, as opposed to telephone speech, uses a bandwidth of **50 Hz** to **7000 Hz** and a sampling frequency of 16 kHz.

EE6424 Part 3: Lecture 3.2

SCALAR QUANTIZATION

The process of quantization

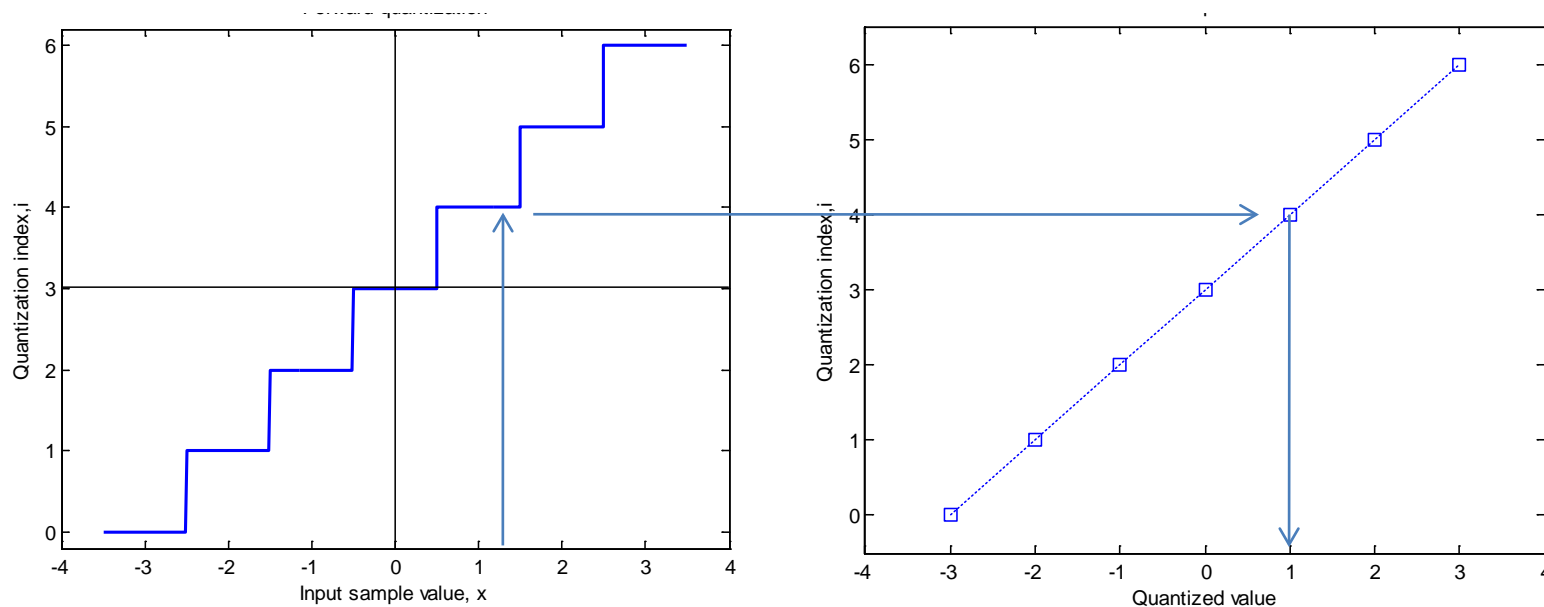
- Quantization is the process of converting samples of **discrete-time** signal (continuous amplitude) into a **digital** signal with **reduced resolution** (discrete amplitude).
- An analog sample can be considered as having **infinite resolution** as it requires infinite number of bits to represent.
- The use of **16 bits/sample** provides a quality that is considered high.
- **Human ear** is widely believed to be unable to perceive any loss of information with resolution higher than **24 bits/sample**.
- During quantization, the entire **continuous** amplitude range is divided into **finite number** of sub-ranges, referred to as the **quantization intervals**.

- The mechanism of quantization
 - Divide the real number line into **quantization intervals**. This determines the resolution.
 - Associate each interval with a quantization index, each corresponding to a **binary code**.
 - Map each interval to a **quantized value**, as given by a **quantization table**.

Input interval	Binary code	Quantized value
$[-3.5, -2.5)$	101	-3
$[-2.5, -1.5)$	110	-2
$[-1.5, -0.5)$	111	-1
$[-0.5, 0.5)$	000	0
$[0.5, 1.5)$	001	1
$[1.5, 2.5)$	010	2
$[2.5, 3.5)$	011	3

The notation $[a, b)$ is used to indicate an **interval** from a to b that is **inclusive** of a but **exclusive** of b .

- Each interval is defined by its left and right boundaries and associated with a **binary code** and a **quantized value** on the right panel. See example below for the case of 7 quantization intervals.
- Samples with their amplitudes fall into the same **quantization interval** are assigned the same **quantized values**.



Quantization step-size

- The quantized value is usually taken as the **middle point** in the quantization **interval**.
- The size of the quantization interval is referred to as the **quantization step size**

$$\Delta = b_{i+1} - b_i$$

- Here, b_i and b_{i+1} , for $i = 1, 2, \dots, M$, indicate the left and right **boundaries** of the i th quantization interval, and M is the **number of quantization levels**.
- When a signal is assumed to be quantized by B bits, the number of levels is usually set to

$$M = 2^B$$

- This ensures the most efficient use of the binary codes available.

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- Both quantization bits B and step size Δ are selected together to properly **cover the range of the signal**. For example, we could choose to cover 95% of a normal distribution.
 - Assuming that $|x(n)| \leq x_{\max}$, where the signal amplitude is bounded within $\pm x_{\max}$, we have

$$2x_{\max} = \Delta(2^B) \quad \text{💬}$$

- The quantization step size given the binary resources (usually in terms of bit rate) available:

$$\Delta = \frac{2x_{\max}}{2^B}$$

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- Alternatively, for a given quantization step size and signal amplitude, the quantization bits (i.e., resolution) B must satisfy

$$2x_{\max} = \Delta(2^B) \rightarrow B > \log_2 \left(\frac{2x_{\max}}{\Delta} \right)$$

- Another way to express the above condition is by taking the **round number** (B has to be an integer) towards plus infinity (e.g., the **ceil** function in MATLAB)

$$B = \left\lceil \log_2 \left(\frac{2x_{\max}}{\Delta} \right) \right\rceil$$

Quantization noise

- When a signal is digitized (sampling followed by quantization), samples are represented on a linear scale with **finite number of bits**, an irreversible **quantization noise** is introduced.
- **Quantization noise (error or distortion)** is defined as the difference between the continuous input $x(n)$ and quantized value $\hat{x}(n)$

amplitude

$$q(n) = \hat{x}(n) - x(n)$$

- Notice that the input $x(n)$ has continuous amplitude while the quantized value $\hat{x}(n)$ is discrete and is drawn from a finite set of values.
- The quantization process $Q\{\cdot\}$ distorts the input continuous values $x(n)$ by an **additive noise** $q(n)$:

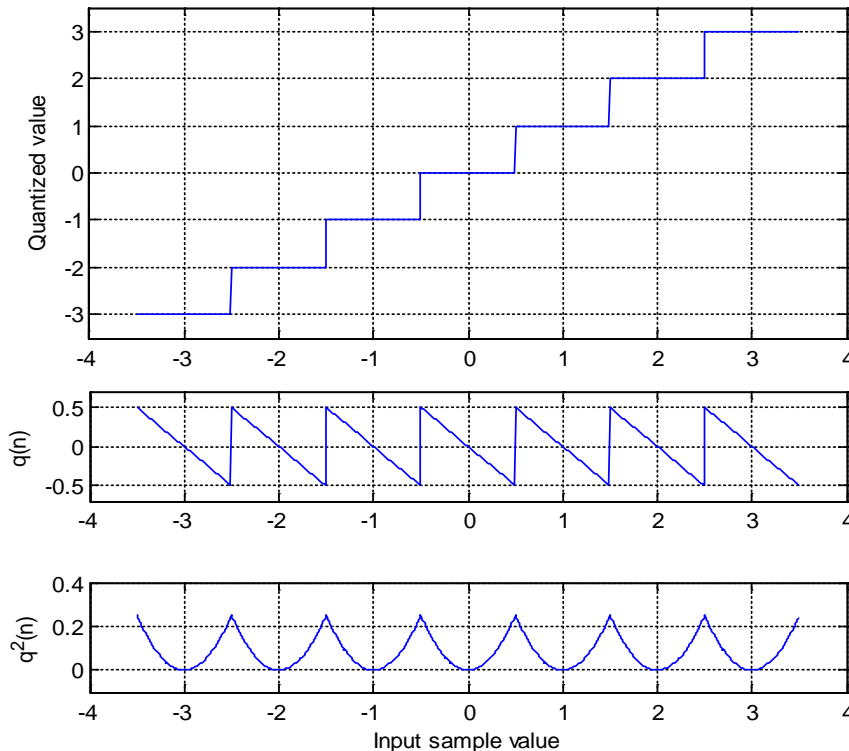
$$\hat{x}(n) = Q\{x(n)\} = x(n) + q(n)$$

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- The quantization error manifests itself as the presence of **noise over the signal**.
 - The **amplitude** of the quantization noise is determined by the **step size**, which in turn is determined by the **maximum amplitude** x_{\max} of the input signal and **quantization resolution** B .
 - Let the quantized value be at the middle of the quantization interval, and all quantization intervals have the same length (uniform quantization), the **quantization noise** satisfies:

$$-\frac{\Delta}{2} \leq q(n) \leq \frac{\Delta}{2}$$

Example

- The quantization step Δ is set to 1. Therefore the maximum value of quantization error $|q(n)|$ is $\Delta/2 = 0.5$.



- For each interval, the value of the quantization error goes from $\Delta/2 = 0.5$ to $-\Delta/2 = -0.5$.
- The quantization error is **uniformly distributed** from $\Delta/2 = 0.5$ to $-\Delta/2 = -0.5$.

Property of $q(n)$

- We assume that the **quantization noise** $q(n)$ as a **white noise** with the following properties

- **Stationary** and **white**

$$\gamma_{qq}(l) = E\{q(n)q(n-l)\} = 0 \quad \forall l \neq 0$$

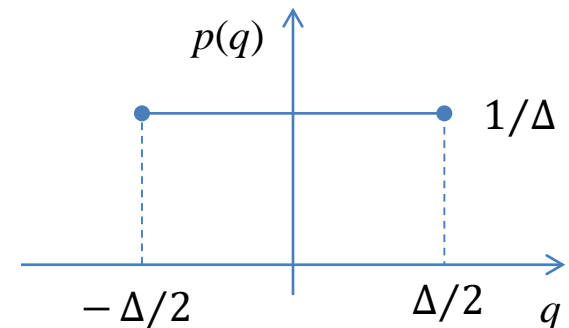
where $E\{x\}$ denotes the mean of x .

- **Uncorrelated** with the input (assume stationary input $x(n)$)

$$\gamma_{xq}(l) = E\{x(n)q(n-l)\} = 0 \quad \forall l$$

- **Uniformly** distributed

$$p(q) = \begin{cases} \frac{1}{\Delta} & \text{for } -\frac{\Delta}{2} \leq q(n) \leq \frac{\Delta}{2} \\ 0 & \text{otherwise} \end{cases}$$



Mean-square quantization error (MSQE)

- The **quantization error** $q(n)$ is **uniformly** distributed in the interval from $-\Delta/2$ to $\Delta/2$,
- Its **variance**, also referred to as the **mean-square quantization error** (MSQE) is computed (assuming zero mean) as follows

$$\begin{aligned}\sigma_q^2 &= E\{q^2\} = \int_{-\Delta/2}^{\Delta/2} q^2 p(q) dq = \int_{-\Delta/2}^{\Delta/2} q^2 \frac{1}{\Delta} dq = \frac{1}{\Delta} \int_{-\Delta/2}^{\Delta/2} q^2 dq \\ &= \frac{1}{\Delta} \left[\frac{(\Delta/2)^3}{3} - \frac{(-\Delta/2)^3}{3} \right] \\ &= \frac{\Delta^2}{24} + \frac{\Delta^2}{24} = \frac{\Delta^2}{12}\end{aligned}$$

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- Let

$$\Delta = \frac{2x_{\max}}{2^B}$$

- The **MSQE** becomes dependent on the **maximum amplitude** x_{\max} of the input signal and the **design parameter** B

$$\sigma_q^2 = \frac{\Delta^2}{12} = \left[\frac{2x_{\max}}{2^B} \right]^2 \frac{1}{12} = \left[\frac{4x_{\max}^2}{2^{2B}} \right] \frac{1}{12} = \frac{x_{\max}^2}{3 \times 2^{2B}}$$

Signal-to-quantization noise ratio

- The signal-to-quantization noise ratio (**SQNR** or simply **SNR**) is defined as the ratio between the variances of the input signal and the quantization error, as follows

$$\text{SQNR} = \frac{\sigma_x^2}{\sigma_q^2} = \frac{E\{x^2(n)\}}{E\{q^2(n)\}}$$

- We assume that the input $x(n)$ and error $q(n) = Q\{x(n)\} - x(n)$, have zero mean.
- The denominator, $E\{q^2(n)\}$, is also referred to as the mean-square quantization error (**MSQE**).

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- Using this result, the SQNR could be expressed as

$$\text{SQNR} = \sigma_x^2 \times \left[\frac{x_{\max}^2}{3 \times 2^{2B}} \right]^{-1} = \frac{3 \times 2^{2B}}{x_{\max}^2 / \sigma_x^2}$$

- It is customary to represent the SQNR in **dB scale** by taking $10 \times \log_{10}$

$$\begin{aligned} \text{SQNR} &= 10 \times \log_{10} \frac{\sigma_x^2}{\sigma_q^2} \text{ dB} \\ &= 10 \times \left[\log_{10} 3 + B \log_{10} 4 - 2 \log_{10} \frac{x_{\max}}{\sigma_x} \right] \\ &= 6.02 B + 4.77 - 20 \log_{10} \frac{x_{\max}}{\sigma_x} \end{aligned}$$

Loading factor

- The ratio x_{\max}/σ_x is referred to as the **loading factor** of the quantizer.
- For an input $x(n)$ with **uniform distribution**, the loading factor can be computed from the variance and the maximum amplitude (x_{\max} being also the peak signal amplitude)

$$\sigma_x^2 = \frac{(2x_{\max})^2}{12}$$

$$\sigma_x = \frac{2x_{\max}}{\sqrt{12}}$$

$$\text{Loading factor} = \frac{x_{\max}}{\sigma_x} = \frac{\sqrt{12}}{2} = 1.7$$

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- For **uniformly distributed input**, the last term in the SQNR formula is

$$20 \log_{10} \left(\frac{x_{\max}}{\sigma_x} \right) = 20 \log_{10} \left(\frac{\sqrt{12}}{2} \right) = 4.77$$

- The SQNR becomes

$$\begin{aligned} \text{SQNR} &= 6.02B + 4.77 - 4.77 \text{ dB} \\ &= 6.02B \text{ dB} \end{aligned}$$

- The above leads to the conclusion that **increasing the resolution by one bit increases the SNR by 6.02 dB.**
- The number of bits B must be decided so that the **SQNR** of the quantized signal is sufficiently large.

Overload factor

- For **non-uniform** input, it is common to assume a **loading factor of 4**

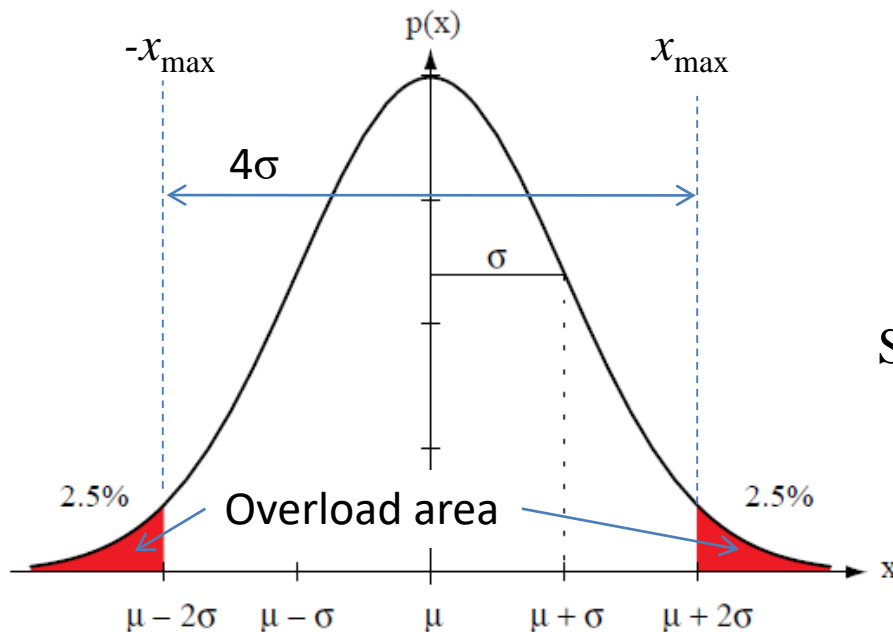
$$x_{\max} = 4\sigma_x$$

The maximum amplitude is four times the standard deviation of the input signal.

$$\begin{aligned}\text{SQNR} &= 6.02B + 4.77 - 20\log_{10} 4 \text{ dB} \\ &= 6.02B - 7.27 \text{ dB}\end{aligned}$$

- For the case when the amplitude distribution follows a **Gaussian** distribution, a **loading factor of 4** cover **99%** of the possible input values.
- The SQNR reduces as compared to **uniform** input (having a **smaller** loading factor of 1.7).

- The left over ≤1% with value $|x| > 4\sigma_x$, which falls into the **overload area**, will be mapped to the same quantized value as $\pm x_{\max}$.
- This introduces the so-called **overload error** (or noise), which added up to the quantization noise and thereby **reducing** the overall **SQNR**.



- This example shows for the case of $x_{\max} = 2\sigma_x$ with a loading factor of 2.

$$\begin{aligned} \text{SQNR} &= 6.02B + 4.77 - 20\log_{10} 2 \text{ dB} \\ &= 6.02B - 1.25 \text{ dB} \end{aligned}$$

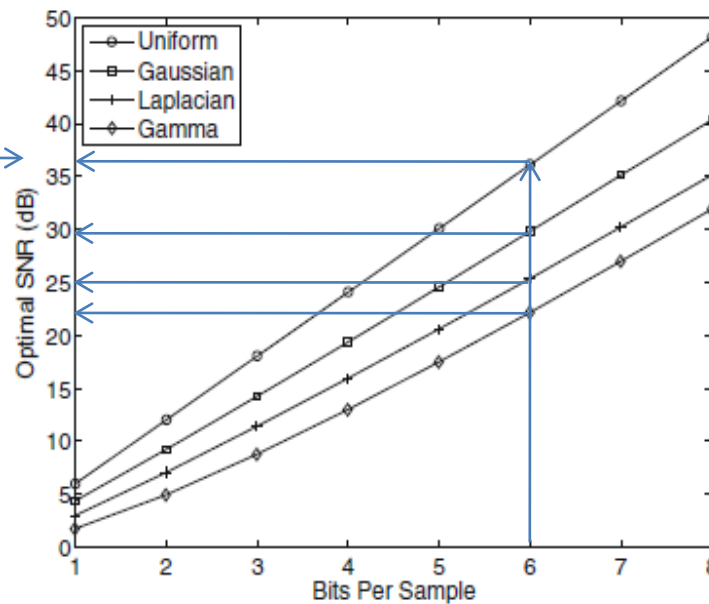
Loading factor vs. overload noise

- Most signals, speech especially, have a **wide dynamic range**. The amplitudes vary greatly between **voiced** and **unvoiced** sounds.
 - A **large loading factor** reduces the overload noise.
 - This **increases the quantization step size** $\Delta = 2x_{\max}/2^B$ and therefore the **quantization noise** (for the same B).
 - The quantization noise is the same whether the signal sample is large or small.
 - For a given peak-to-peak value, we could reduce the noise by **adding more bits**.
- Another alternative is use **log-scale quantization** via **companding**.
- In companding, signal amplitude is **compressed** or **warped** so as to approach to that of uniform distribution before **quantization**.

- Companding is motivated by the fact that **optimum SQNR** is obtained for **uniform input**. Deviation from uniform distribution reduces the SQNR.
- The following figure show the optimal SQNR for **uniform**, **Gaussian**, **Laplacian**, and **Gamma** distributions. [Source: Y. You, *Audio Coding: Theory and Applications*, Springer, 2010.]

$$6 \times 6.02 = 36.1 \text{ dB}$$

The SQNR is lower for the same number of bits used for non-uniform signal.



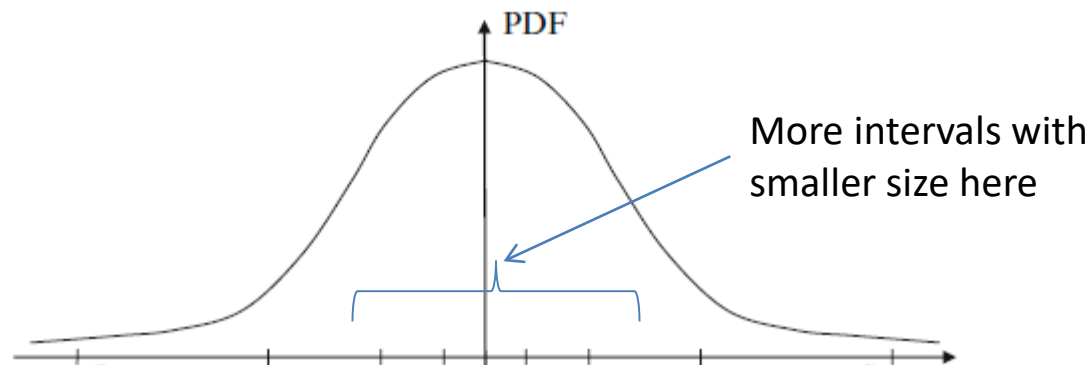
Comanding

- Comanding is commonly used in the telephone system.
- The amplitude of the signal is compressed by logarithmic transformation before **uniform quantization**.
- At the decoding stage, the amplitude is exponentially expanded.
- The combined process is called **comanding**:

Compressor $\rightarrow Q\{\cdot\} \rightarrow$ Expander

- The **effective decision boundaries** when seen from the expander output is **logarithmic**.
- The overall process can be seen as a **logarithmic scale** quantization as opposed to **linear scale** (or uniform) quantization.

- The distribution of speech samples follows a Laplacian (or Gaussian) distribution, with heavy **concentration** around the **mode**.
- The compressor **warps** the input distribution such that it is closer to a uniform distribution.
- The consequence is that **more** quantization steps with **smaller** size are placed in high density area around the mode.



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- Companding leads to a more **efficient** use of binary bits.
 - An **8-bit log PCM** could give the speech quality almost equivalent to a **12-bit linear PCM**.
 - From speech coding perspective, compression (reduction in the bit rate) is achieved by removing **statistical redundancy** due to **non-uniform** distribution of the input signal samples.
 - Two kinds of transformation formulae commonly used are
 - μ -law
 - A-law

The actual difference between the two is marginal.

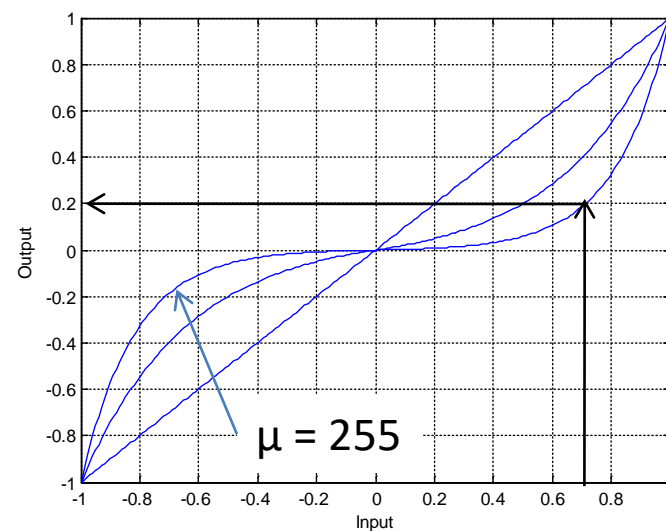
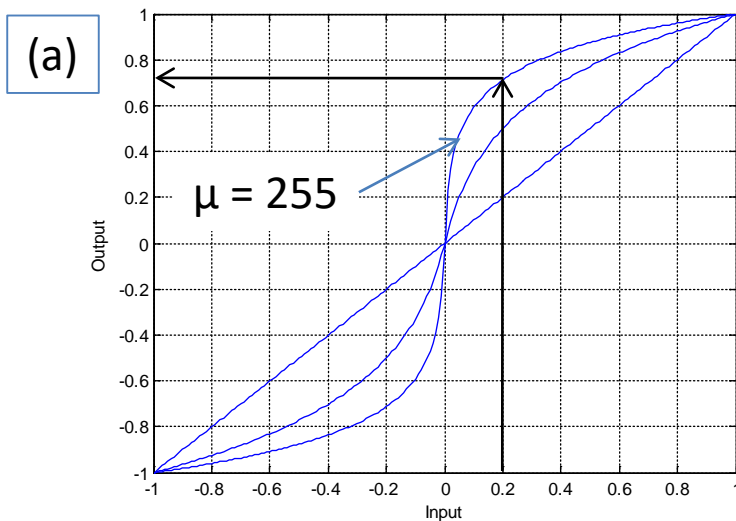
μ -law companding

- Compressor (a)

$$y = f(x) = \text{sign}(x) \frac{\ln(1 + \mu|x|)}{\ln(1 + \mu)}, \quad -1 \leq x \leq 1$$

- Expander (b)

$$x = f^{-1}(y) = \text{sign}(y) \frac{(1 + \mu)^{|y|} - 1}{\mu}, \quad -1 \leq y \leq 1$$



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- The larger μ becomes, the larger the amount of **amplitude compression**.
 - Typically, values between 100 and 500 are used for μ . In particular, 8-bit, $\mu = 256$, with sampling frequency at 8 kHz is commonly used for digital telephony.

EE6424 Part 3: Lecture 3.3

VECTOR QUANTIZATION

Vector quantization

- **Scalar quantization** (SQ) quantizes a signal **one sample** at a time. Furthermore, the mapping of a sample value is not influenced by previous or following sample values.
- **Vector quantization** (VQ) quantizes a block (or **vector**) of input samples each time, usually leading to a higher efficiency especially for correlated signals.
- Let \mathbf{x} be an N -dimensional vector (i.e., we are interested in quantizing N samples at a time):

$$\mathbf{x} = [x_0, x_1, \dots, x_{N-1}]^T$$

- Notice that \mathbf{x} as defined above is a **column** vector. The transpose operator T at the upper right corner turns the row vector (takes less writing space) into a column vector. This notation is commonly used in engineering to represent vector variables.

Quantization regions

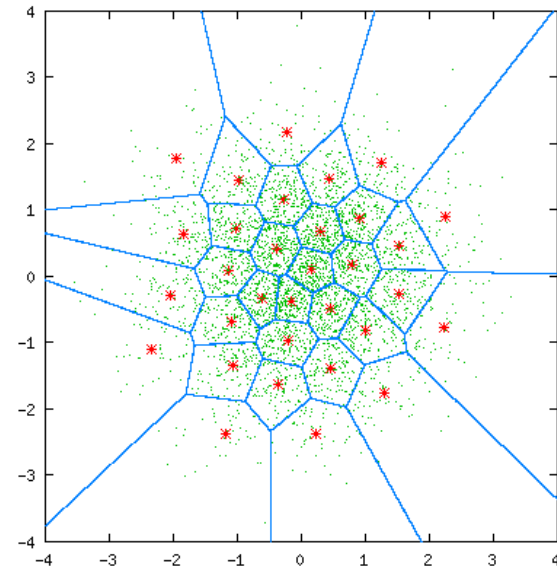
- The vector \mathbf{x} is drawn from a vector space Ω , which can be divided into a set of M **regions** $\{\delta_0, \delta_2, \dots, \delta_{M-1}\}$ in a **mutually exclusive** and **collectively exhaustive** way.

(a) The regions form the entire vector space.

$$\Omega = \bigcup_{i=0}^{M-1} \delta_i$$

(b) The regions are mutually exclusive (or **disjoint**).

$$\delta_i \cap \delta_j = \emptyset \quad \forall i \neq j$$



- The mutually exclusive property implies that a vector can fall to one and only one region among the M regions (similar to the case of SQ).

VQ codebook

- A representative vector \mathbf{r}_i is assigned to each region δ_i , $i = 0, 1, \dots, M-1$. The set of M representative vectors $\{\mathbf{r}_0, \mathbf{r}_1, \dots, \mathbf{r}_{M-1}\}$ are referred to as the **VQ codebook**.
- An input vector \mathbf{x} is quantized based on its distance $d(\mathbf{x}, \mathbf{r}_i)$ from the representative vectors \mathbf{r}_i as follows:

$$Q(\mathbf{x}) = \mathbf{r}_i \quad \text{if and only if} \quad d(\mathbf{x}, \mathbf{r}_i) < d(\mathbf{x}, \mathbf{r}_j) \quad \forall i \neq j$$

- The **quantized vector** is the representative vector \mathbf{r}_i of the region where the input sample falls into. In uniform SQ, the center of the interval is used as the quantized value.

VQ design

- The goal of VQ design is to find the set of regions $\{\delta_0, \delta_1, \dots, \delta_{M-1}\}$ and the codebook $\{\mathbf{r}_0, \mathbf{r}_1, \dots, \mathbf{r}_{M-1}\}$ that minimize the total quantization error over a training set $\{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_k, \dots, \mathbf{x}_L\}$ of L vectors:

$$Err = \sum_{k=1}^L d[\mathbf{x}_k, \hat{\mathbf{x}}(\mathbf{x}_k)]$$

- The distance or error is defined as the Euclidean distance of the input vector \mathbf{x}_k and the representative vector \mathbf{r}_i as follows

$$d(\mathbf{x}, \mathbf{r}_i) = \sum_{n=1}^N (x_n - r_{i,n})^2$$

- The **Linde-Buzo-Gray** (LBG) algorithm, also known as k-means algorithm, is commonly used to find the optimal VQ code book.

The LBG (k-means) algorithm

- Step 1: Initialize the VQ codebook (randomly)
- Step 2: Quantize each training vector \mathbf{x}_k using the current codebook

$$Q(\mathbf{x}_k) = \mathbf{r}_i \quad \text{if and only if} \quad d(\mathbf{x}_k, \mathbf{r}_i) < d(\mathbf{x}_k, \mathbf{r}_j) \quad \forall i \neq j$$

- Step 3: Update the VQ codebook $\{\mathbf{r}_0, \mathbf{r}_1, \dots, \mathbf{r}_{M-1}\}$, in which the new representative vectors are taken as the centroids of the regions. Here, L_i is the number of training samples assigned to the i th region in Step 2.

$$\mathbf{r}_i = \frac{1}{L_i} \sum_{\mathbf{x}_k \in \delta_i} \mathbf{x}_k, \quad i = 0, 1, \dots, M-1$$

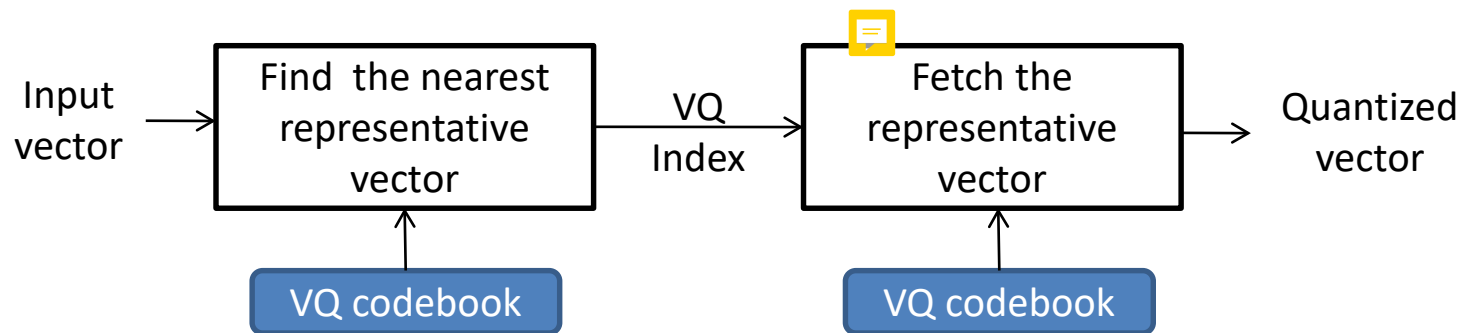
- Step 4: Calculate the total quantization error over all the L training samples:

$$Err = \sum_{k=1}^L d[\mathbf{x}_k, \hat{\mathbf{x}}(\mathbf{x}_k)]$$

Go to Step 2 if the change in Err is greater than the pre-determined threshold ε

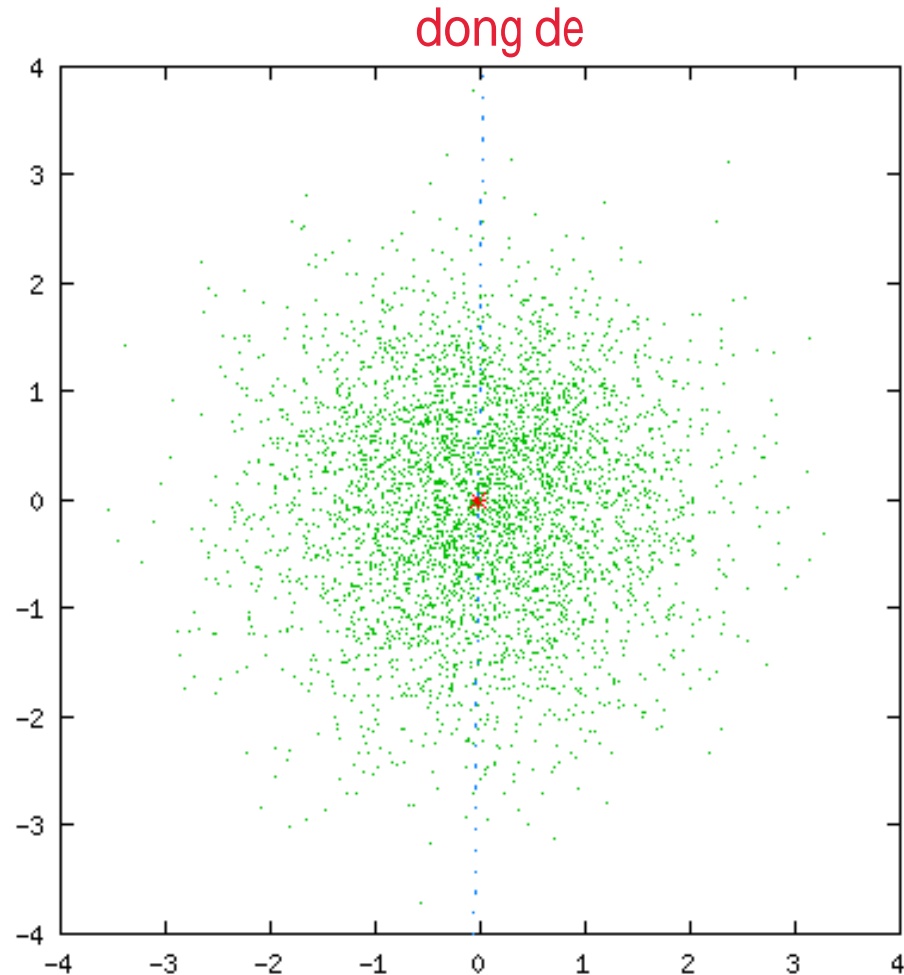
$$\frac{Err(t-1) - Err(t)}{Err(t)} > \varepsilon$$

- Step 5: Stop
- VQ is used, for example in speech coding, where blocks of samples are quantized. The indices are sent to the receiving end where quantized samples are recovered using the same codebook.



VQ Codebook example

- The following shows the VQ training process in the **two-dimensional** vector space.
- The red dots represent the **centroids** of the regions, and the region boundaries are represented as straight lines.
- **Training** data are represented as smaller dots in green
- Source: <http://www.data-compression.com/vq.shtml>



Summary

- **Sampling and quantization** of the input signal into **digital form** is the necessary process in any applications of digital signal processing
- Sampling is a time-domain operation whereby a **continuous** time signal is represented as a **periodic sequence** of numbers.
- Sampling a band-limited signal can be achieved without loss of information, as long as the **Shannon rule** is followed.
- Quantization involves representing the sampled values by one from a finite set of **values**.
- The quantized values could be represented as **binary codes** (assuming that we use binary number system as it commonly used nowadays in digital transmission system).

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- The quantization process $Q\{\cdot\}$ distorts the input continuous values $x(n)$ by an **additive noise** $q(n)$.
 - **Uniform** (or linear) quantization produces highest SQNR (1 bit \rightarrow 6dB) when the input signal has a **uniform** distribution.
 - For non-uniform input, **companding** could be used, by which statistical redundancy is reduced by warping the input samples toward uniform distribution.
 - Vector quantization (VQ) quantizes blocks of samples as opposed to scalar quantization (SQ) which quantizes the input signal one sample at a time.