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# **Modular Supervisory Control**

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**Nanyang Technological University**

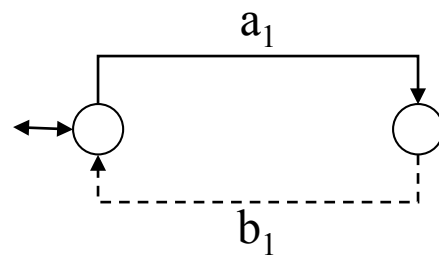
**Tel: +65 6790-6042, Email: [rsu@ntu.edu.sg](mailto:rsu@ntu.edu.sg)**

# Outline

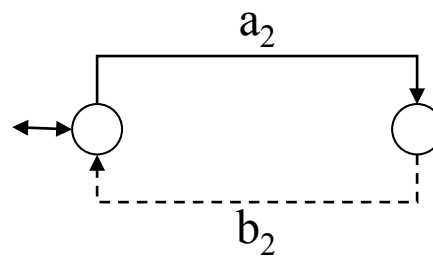
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- Motivation
- Ramadge-Wonham Modular Supervisory Control
- Queiroz-Cury Extension
- Coordinated Modular Supervisory Control
- Example
- Interface-based Approach
- Conclusions

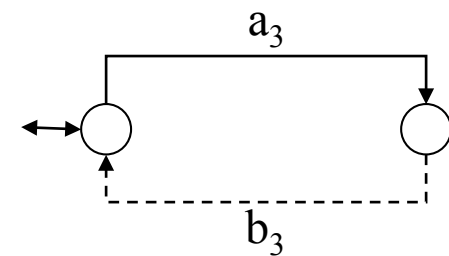
# Divide & Conquer



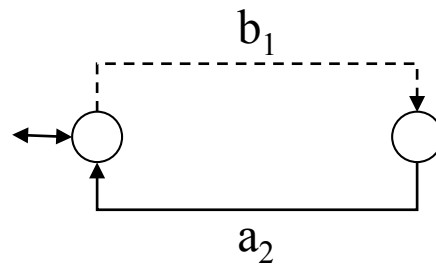
Machine  $G_1$



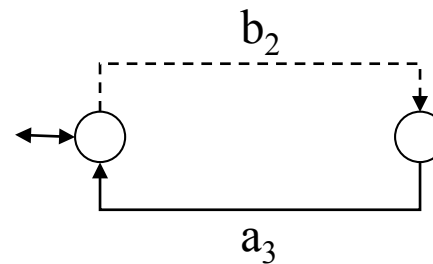
Machine  $G_2$



Machine  $G_3$



Specification  $R_1$



Specification  $R_2$

# Construct Local Supervisors (by TCT)

- $G = G_1 \times G_2 \times G_3$  ( $G = \text{Sync}(\text{Sync}(G_1, G_2), G_3)$  (8 ; 24))
- $\text{SPEC}_1 = \text{Selfloop}(R_1, \{a_1, a_3, b_2, b_3\})$  (2 ; 10)
- $\text{SPEC}_2 = \text{Selfloop}(R_2, \{a_1, a_2, b_1, b_3\})$  (2 ; 10)
- $\text{SUPER}_1 = \text{Supcon}(G, R_1)$  (12 ; 28)
- $\text{SUPER}_2 = \text{Supcon}(G, R_2)$  (12 ; 28)
- $\text{Nonconflict}(\text{SUPER}_1, \text{SUPER}_2) = \text{true}$
- $R = R_1 \times R_2$  ( $R = \text{Sync}(R_1, R_2)$  (4 ; 16))
- $\text{SUPER} = \text{Supcon}(G, R)$  (18 ; 32)
- $\text{Isomorph}(\text{SUPER}, \text{Sync}(\text{SUPER}_1, \text{SUPER}_2)) = \text{true}$

# What to Gain ?

- $\text{Minsuper} = \text{Supreduce}(G, \text{SUPER}, \text{SUPER}) \quad (4 ; 13)$
- $\text{Minsuper}_1 = \text{Supreduce}(G, \text{SUPER}_1, \text{SUPER}_1) \quad (2 ; 2)$
- $\text{Minsuper}_2 = \text{Supreduce}(G, \text{SUPER}_2, \text{SUPER}_2) \quad (2 ; 2)$
- $|A| :=$  the total number of states and transitions of A

$$|\text{SUPER}_1| + |\text{SUPER}_2| < |\text{SUPER}|$$

# Motivation of Modular Control

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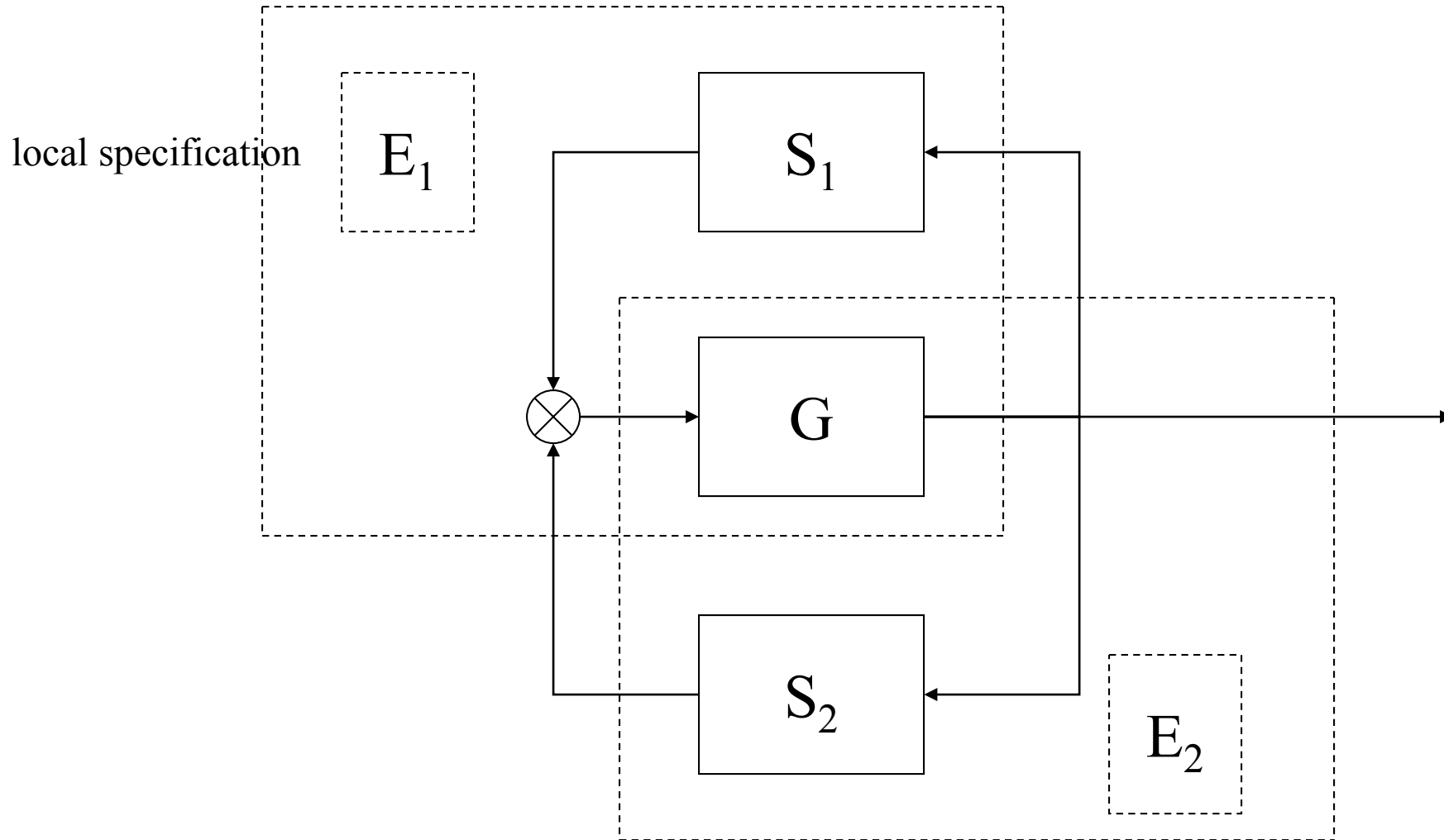
Reduce complexity by allocating control tasks to local supervisors!

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# Architecture of Modular Supervisory Control





# Composition of Local Supervisors (1)

- Recall that  $S$  is a *proper supervisor* of  $G$  if
  - $\overline{L_m(S) \cap L_m(G)}$  is controllable with respect to  $G$  and  $\Sigma_{uc}$ ,
  - $\overline{L_m(S) \cap L_m(G)} = L(S) \cap L(G)$ ,
  - $S$  is nonblocking, i.e.  $\overline{L_m(S)} = L(S)$ .
- Let  $S/G$  denote the supervision of  $S$  over  $G$ 
  - $L_m(S/G) := L_m(S) \cap L_m(G)$ ,
  - $L(S/G) := L(S) \cap L(G)$ .
- Given  $S_1$  and  $S_2$ , let  $S_1 \wedge S_2 := \text{reachable}(S_1 \times S_2)$

# Composition of Local Supervisors (2)

- Theorem 1 (Ramadge-Wonham)
  - Given two proper supervisors  $S_1$  and  $S_2$  of  $G$ , we have
$$L_m((S_1 \wedge S_2)/G) = L_m(S_1/G) \cap L_m(S_2/G)$$
$$L((S_1 \wedge S_2)/G) = L(S_1/G) \cap L_m(S_2/G)$$
  - Furthermore,  $S_1 \wedge S_2$  is a proper supervisor of  $G$  if and only if
    - $S_1 \wedge S_2$  is nonblocking
    - $L_m(S_1/G)$  and  $L_m(S_2/G)$  are nonconflicting, i.e.

$$\overline{L_m(S_1/G) \cap L_m(S_2/G)} = \overline{L_m(S_1/G)} \cap \overline{L_m(S_2/G)} = L(S_1/G) \cap L(S_2/G)$$

# Composition of Local Supervisors (3)

- Let  $C(G, E) := \{K \subseteq L_m(G) \cap L_m(E) \mid \overline{K} \Sigma_{uc} \cap L(G) \subseteq \overline{K}\}$ .
- Let  $\sup C(G, E)$  be the greatest element of  $C(G, E)$ .
- Theorem 2 (Wonham-Ramadge)
  - Given a plant  $G$  and two specifications  $E_1, E_2$ , if  $\sup C(G, E_1)$  and  $\sup C(G, E_2)$  are nonconflicting, then

$$\sup C(G, E_1 \times E_2) = \sup C(G, E_1) \cap \sup C(G, E_2)$$

# The General Procedure for RW Modular Design

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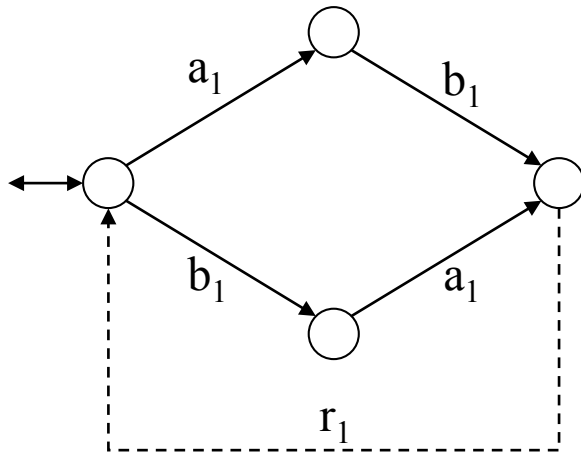
- Given  $G$  and  $E_1, E_2$
- $S_1 = \text{Supcon}(G, E_1)$
- $S_2 = \text{Supcon}(G, E_2)$
- $\text{Nonconflict}(S_1, S_2) = \text{true} ?$ 
  - If yes, then  $\{S_1 \text{ and } S_2\}$  is a modular supervisor of  $G$  w.r.t.  $E_1, E_2$
  - Otherwise, the problem is unsolvable by RW modular control theory
    - But we can compute a coordinator to solve the conflicting part of  $(S_1 \wedge S_2)/G$

# Inadequacy of RW Modular Control Theory (MCT)

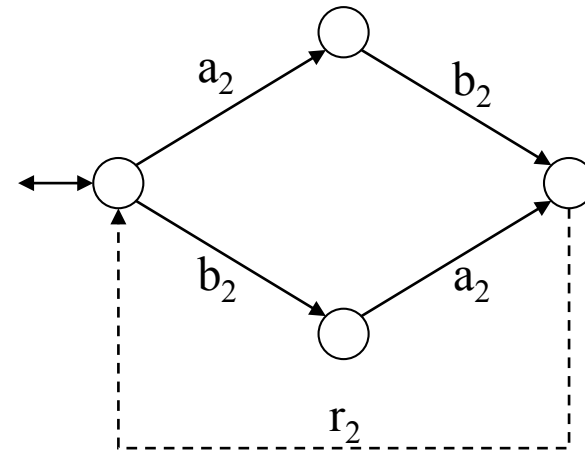
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- More on implementation simplicity than synthesis simplicity
  - It is computationally expensive to verify the condition  $\text{supC}(G, E_1)$  and  $\text{supC}(G, E_2)$  are nonconflicting
  - If the condition doesn't hold, RWMCT doesn't tell what to do next ?

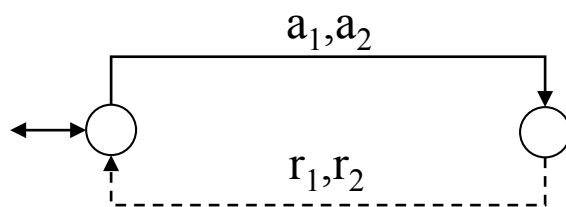
# Example – Resource Competition



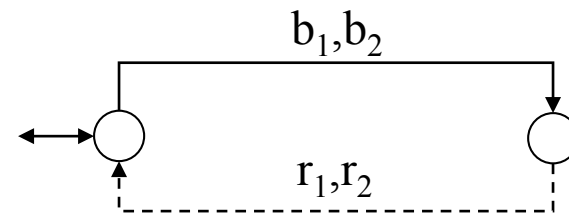
User 1:  $G_1$



User 2:  $G_2$



Resource A:  $R_A$



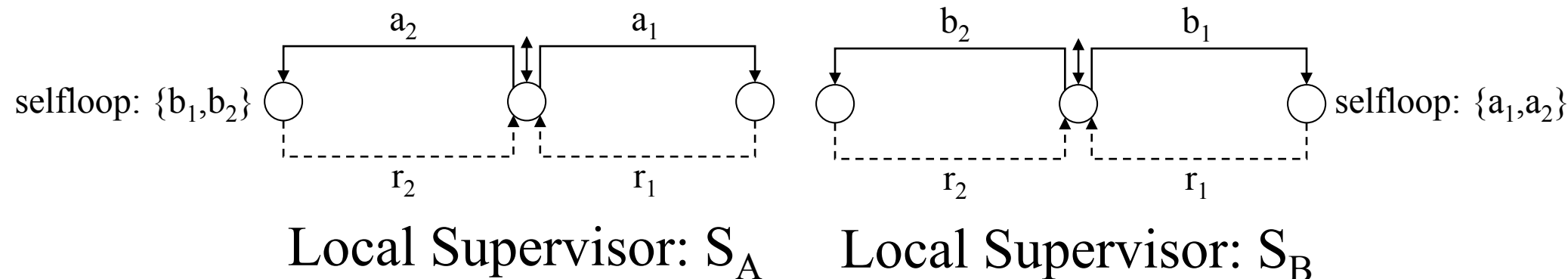
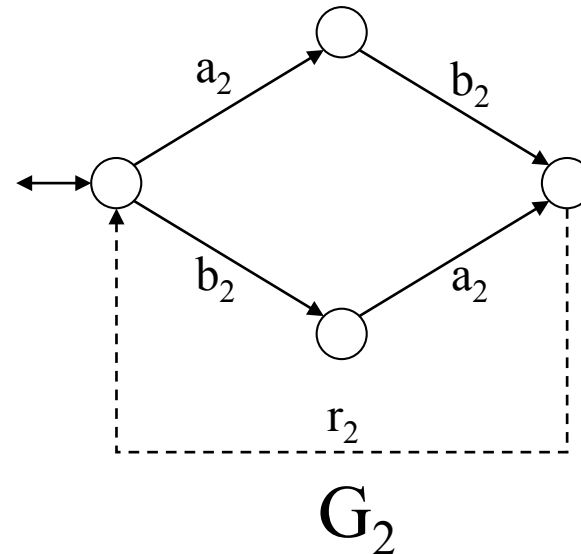
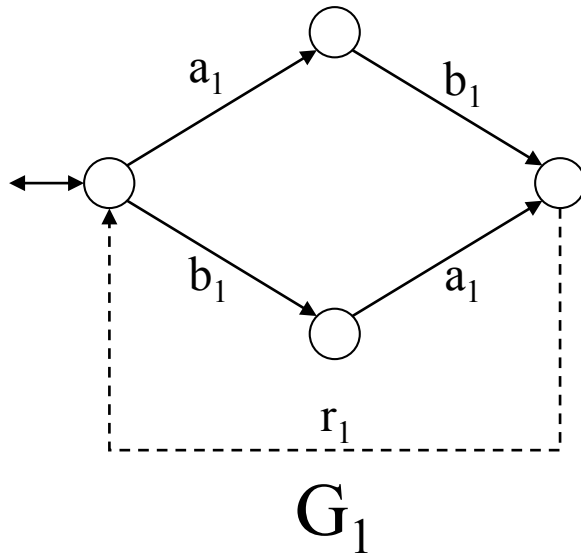
Resource B:  $R_B$

# Specification

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- Deadlock should not happen.

# A “Naive” Modular Supervisor





# Facts

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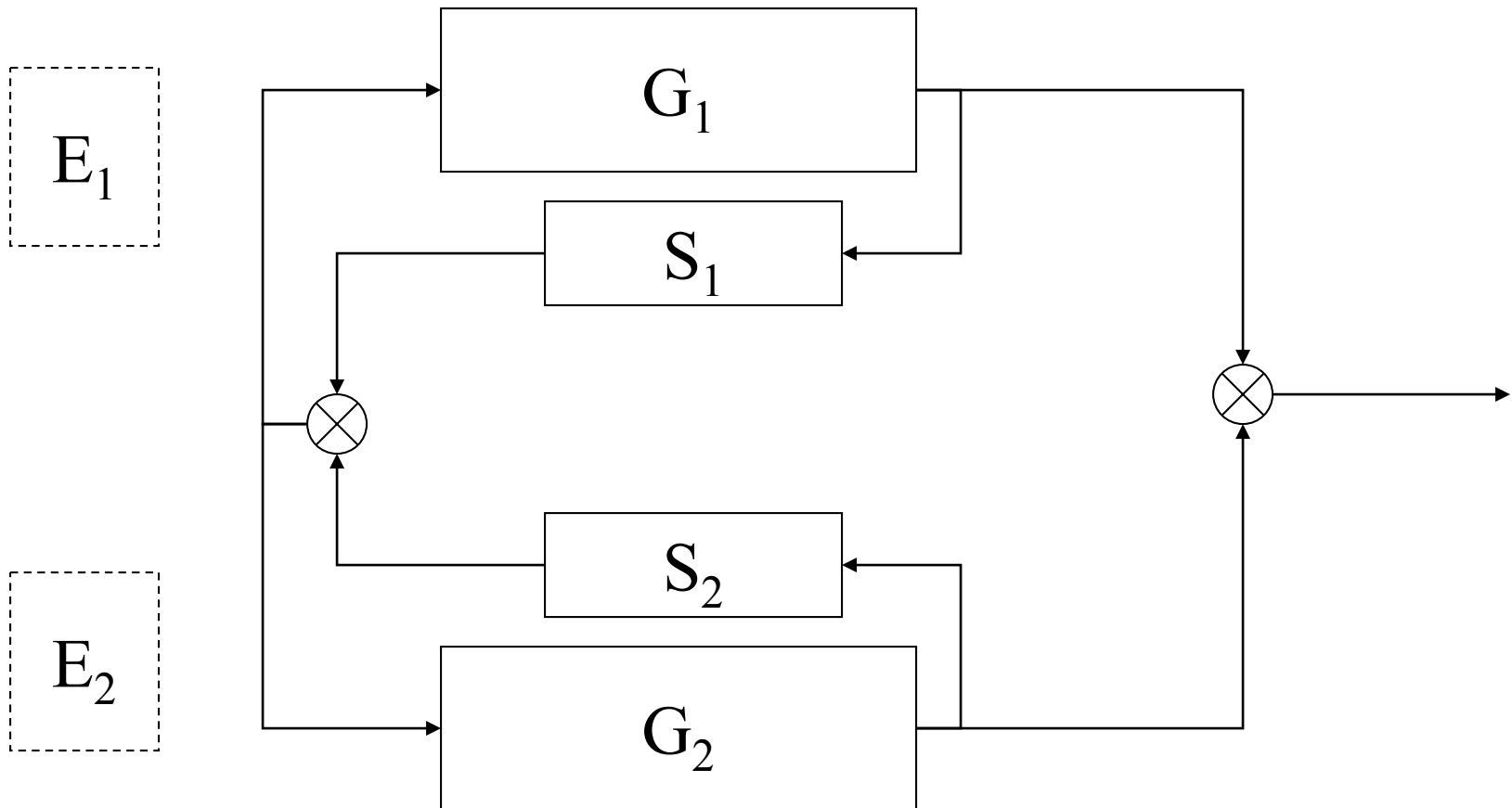
- $S_A$  is a proper supervisor of  $G_1 \times G_2 \times R_A$
- $S_B$  is a proper supervisor of  $G_1 \times G_2 \times R_B$
- Nevertheless,  $L_m(S_A)$  and  $L_m(S_B)$  are conflicting.
- We can check that  $G_1 \times G_2 \times R_A \times R_B \times S_A \times S_B$  has deadlock!

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# An Extended Architecture



# Main Result

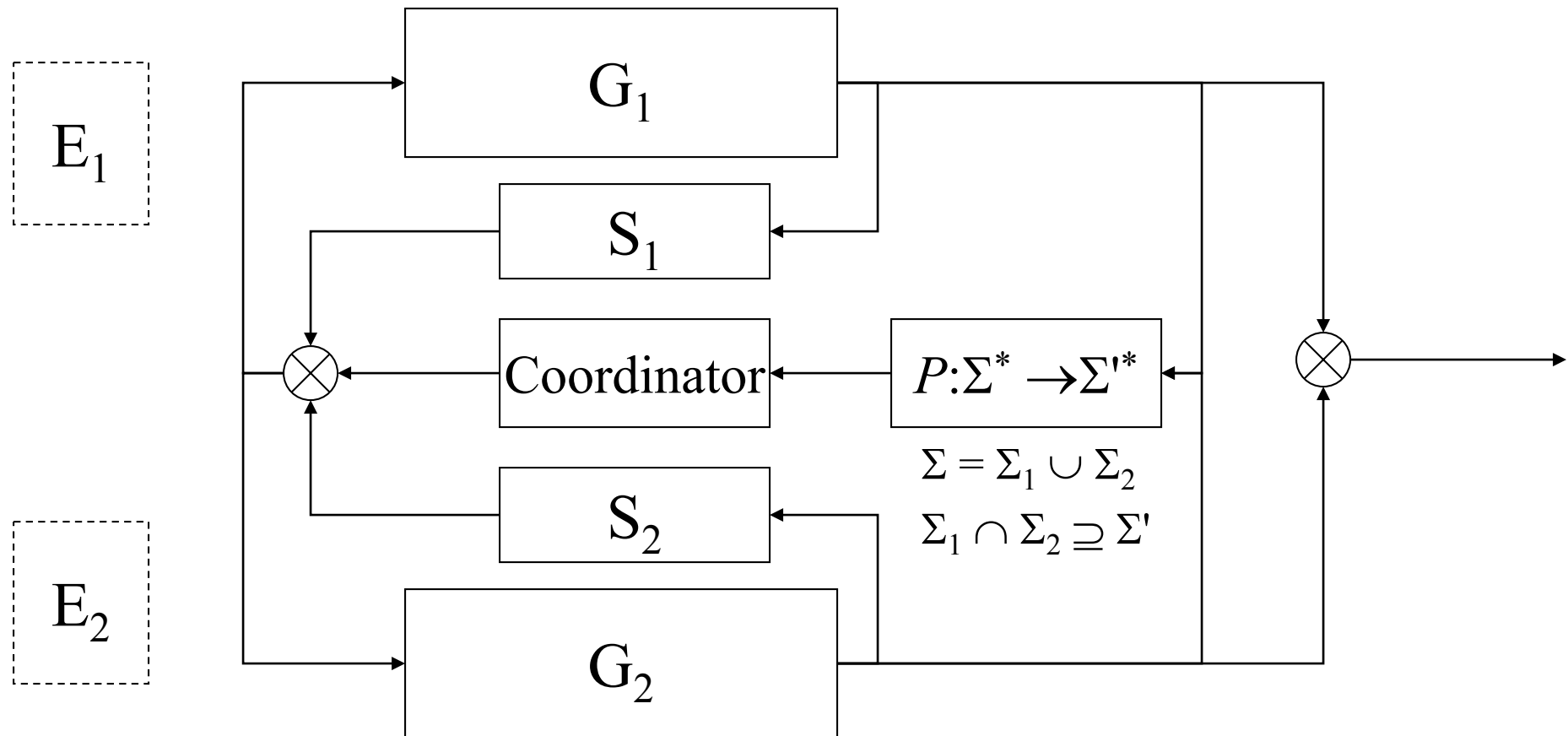
- (Product) Plant:  $\{G_i \in \phi(\Sigma_i) \mid i \in I \wedge (\forall j \in I) j \neq i \Rightarrow \Sigma_i \cap \Sigma_j = \emptyset\}$
- Specifications:  $\{E_i \in \phi(\Sigma_i) \mid i \in I\}$
- Let  $G = \times_{i \in I} G_i$  and  $E = \times_{i \in I} E_i$
- Let  $S_i$  be a proper supervisor of  $G_i$  with respect to  $E_i$
- Theorem 3 (Queiroz-Cury)
  - $\bigwedge_{i \in I} S_i$  is a proper supervisor of  $G$  with respect to  $E$  if  $\bigwedge_{i \in I} S_i$  is nonblocking and  $\{L_m(S_i/G_i) \mid i \in I\}$  is (synchronously) nonconflicting.
  - Furthermore, if  $\{\sup C(G_i, E_i) \mid i \in I\}$  is (synchronously) nonconflicting then
$$\sup C(G, E) = \parallel_{i \in I} \sup C(G_i, E_i)$$

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The inadequacy of RW modular control theory still exists!

But we can do something about it ...

# One Solution to The Inadequacy

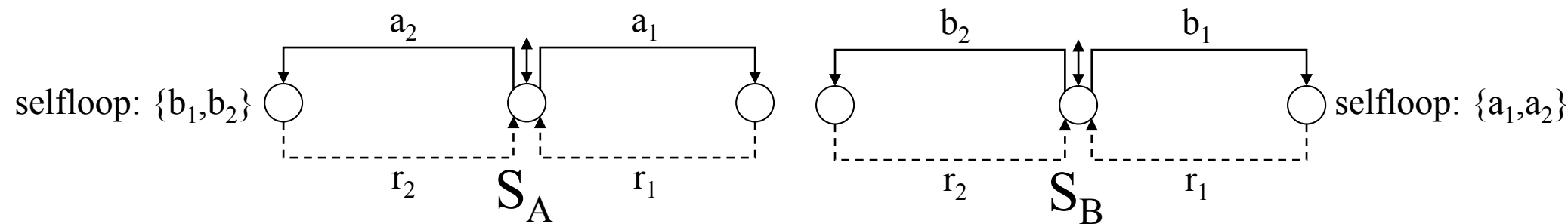
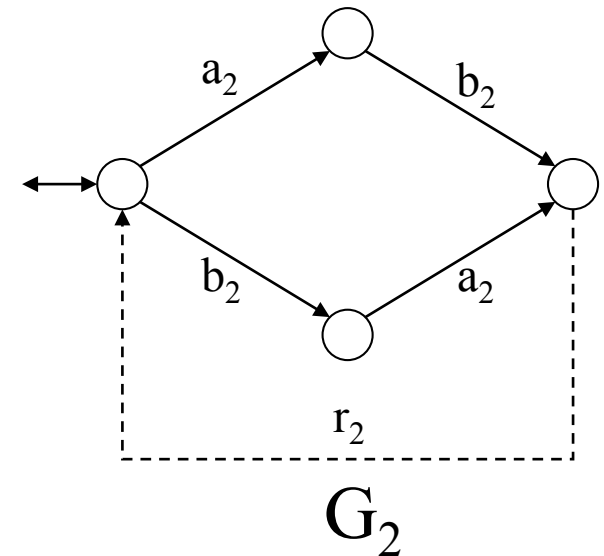
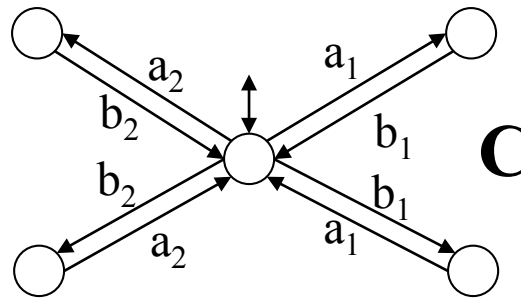
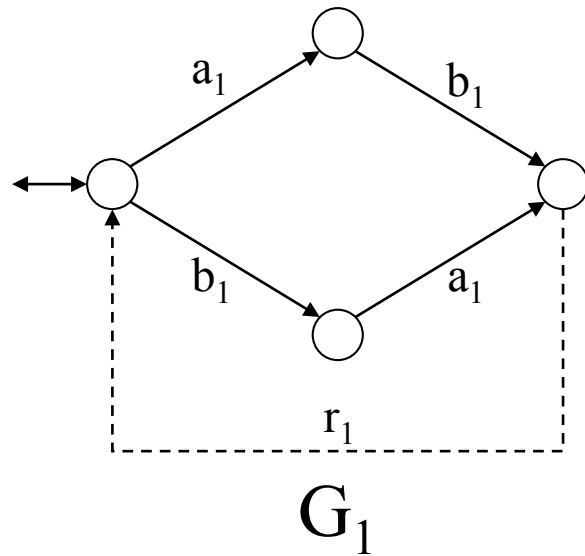


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# Example – Resource Competition Revisit





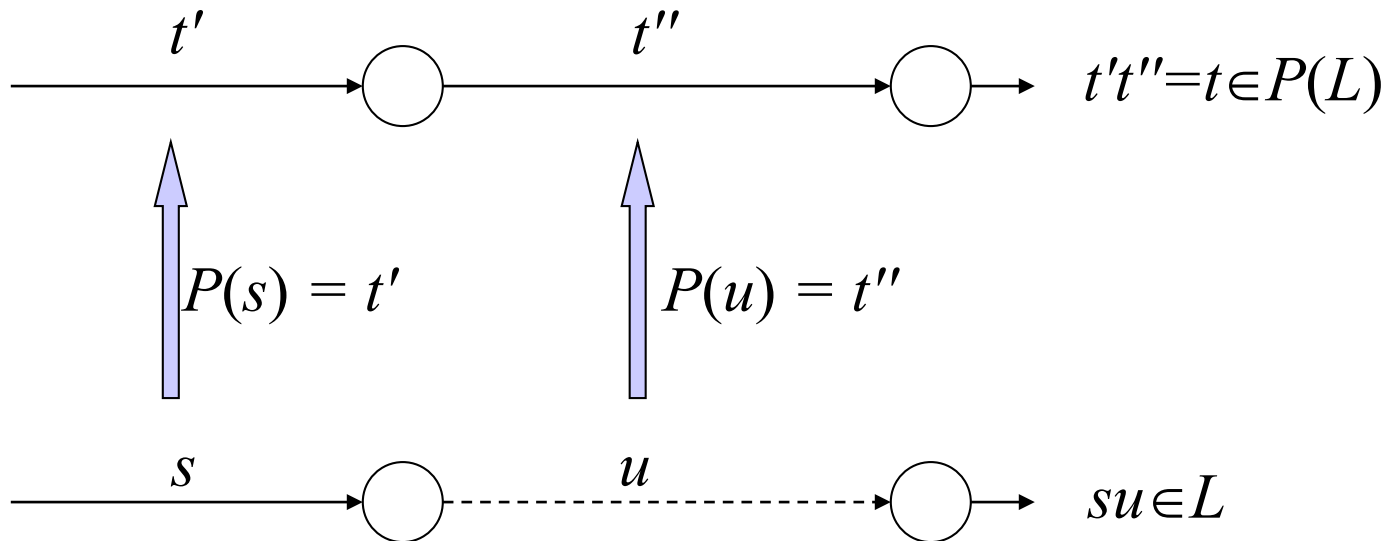
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$S_A \wedge S_B \wedge C$  is a proper supervisor of  $G_1 \times G_2 \times R_A \times R_B$

# The Concept of L-observer

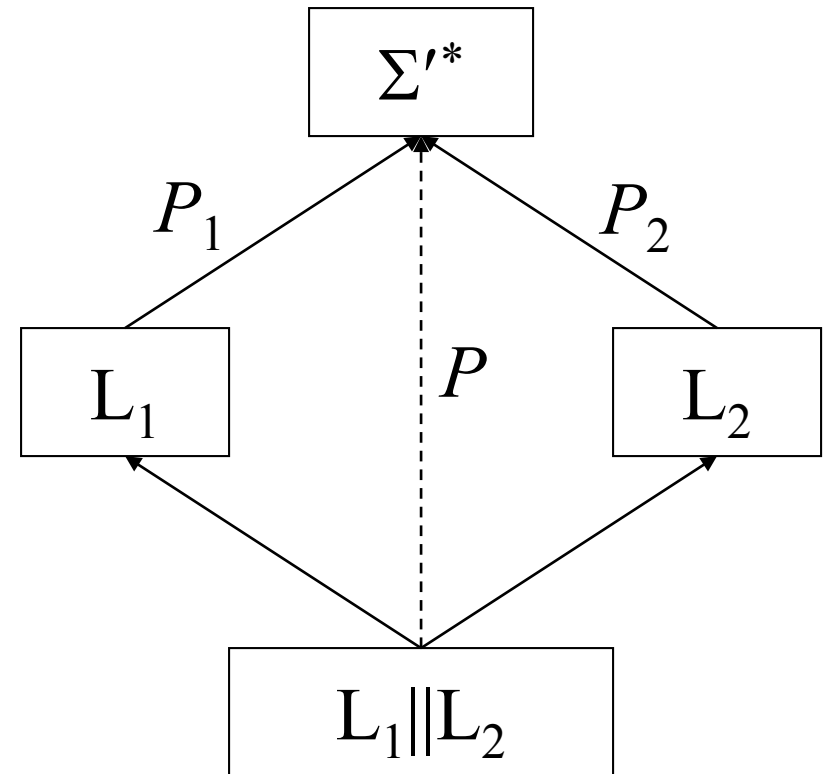
- Given  $L \subseteq \Sigma^*$  and  $\Sigma' \subseteq \Sigma$ , let  $P: \Sigma^* \rightarrow \Sigma'^*$  be the natural projection
- $P$  is called an *L-observer* if

$$(\forall t \in P(L)) (\forall s \in \bar{L}) P(s) \leq t \Rightarrow (\exists u \in \Sigma^*) su \in L \wedge P(su) = t$$



# The Main Property of L-observer (MPLO)

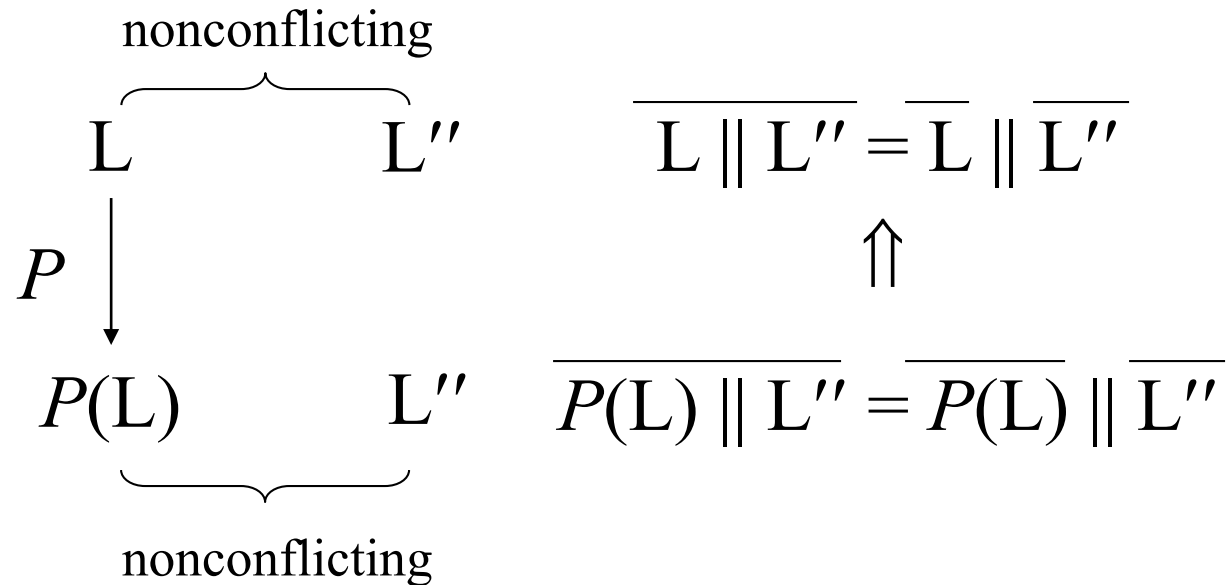
- $\Sigma_1 \cap \Sigma_2 \subseteq \Sigma' \subseteq \Sigma_1 \cup \Sigma_2$
- If
  - $P_1: \Sigma_1^* \rightarrow (\Sigma_1 \cap \Sigma')^*$  is  $L_1$ -observer
  - $P_2: \Sigma_2^* \rightarrow (\Sigma_2 \cap \Sigma')^*$  is  $L_2$ -observer
- then
  - $P: (\Sigma_1 \cup \Sigma_2)^* \rightarrow \Sigma'^*$  is  $L_1 \parallel L_2$ -observer



# Application of MPLO

- Given  $L \subseteq \Sigma^*$  and  $\Sigma' \subseteq \Sigma$ , let  $P: \Sigma^* \rightarrow \Sigma'^*$  be the L-observer.
- Let  $\Sigma'' \subseteq \Sigma'$  and  $L'' \subseteq \Sigma''^*$ , then

$P(L)$  and  $L''$  is nonconflicting  $\Leftrightarrow L$  and  $L''$  is nonconflicting



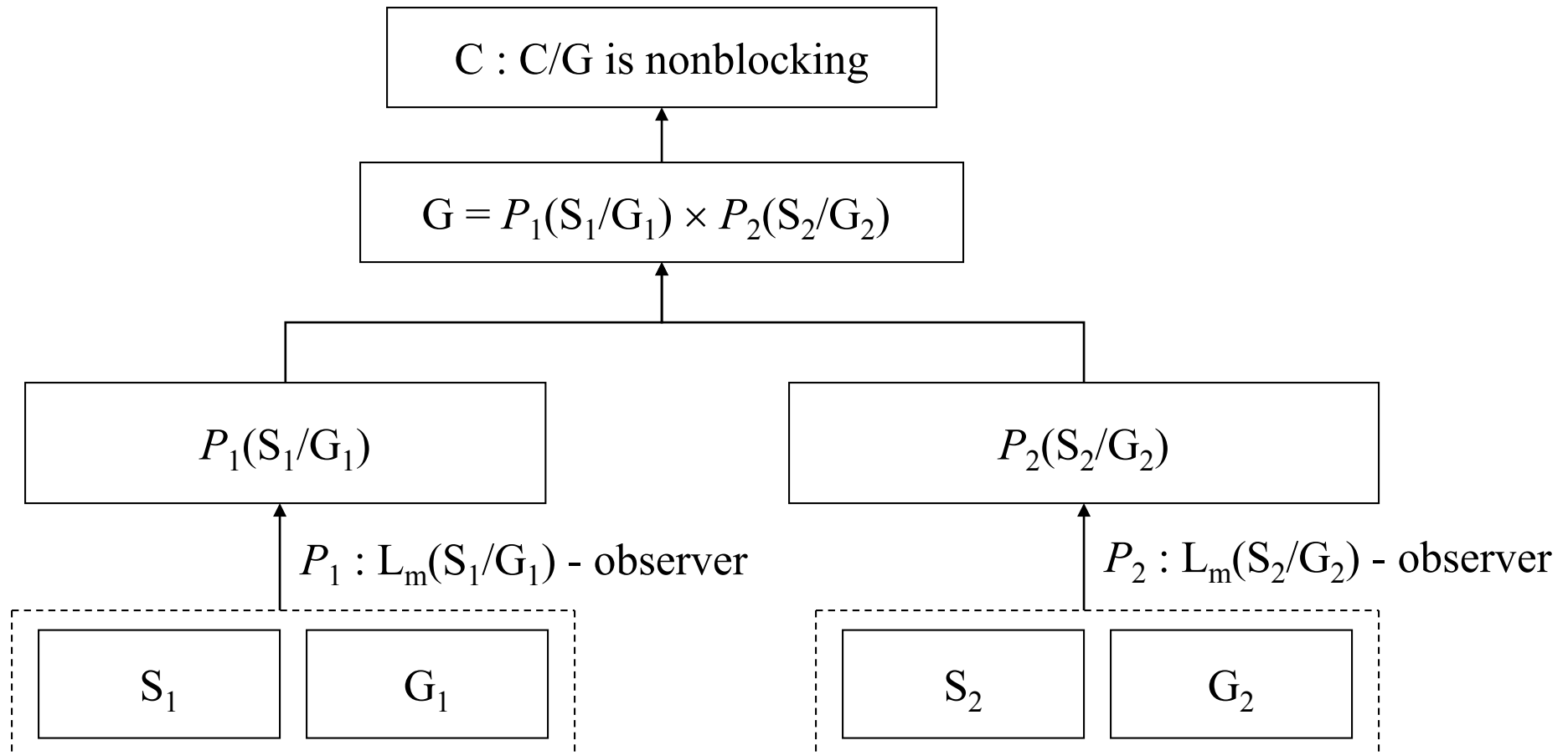
# Coordinated Modular Supervisory Control

- Given  $\Sigma$ , let  $\phi(\Sigma)$  denote the set of all FSAs over  $\Sigma$ .
- Given two alphabets  $\Sigma_1$  and  $\Sigma_2$ , let  $G_1 \in \phi(\Sigma_1)$  and  $G_2 \in \phi(\Sigma_2)$ .
- Let  $S_i$  be a proper supervisor of  $G_i$  ( $i=1,2$ ).
- Let  $\Sigma' \subseteq \Sigma_1 \cup \Sigma_2$  with  $\Sigma_1 \cap \Sigma_2 \subseteq \Sigma'$ .
- Suppose  $P_i: \Sigma_i^* \rightarrow (\Sigma_i \cap \Sigma')^*$  be an  $L_m(S_i/G_i)$ -observer, where  $i=1,2$ .
- Let  $P_1(S_1/G_1)$  denote an automaton, where
  - $L(P_1(S_1/G_1)) = P_1(L(S_1/G_1))$  and  $L_m(P_1(S_1/G_1)) = P_1(L_m(S_1/G_1))$
- Let  $G := P_1(S_1/G_1) \times P_2(S_2/G_2)$
- Compute a coordinator  $C \in \phi(\Sigma')$  such that  $C/G$  is nonblocking

## Theorem 4

- Given the above setup,  $S_1 \wedge S_2 \wedge C$  is a proper supervisor of  $G_1 \times G_2$ .

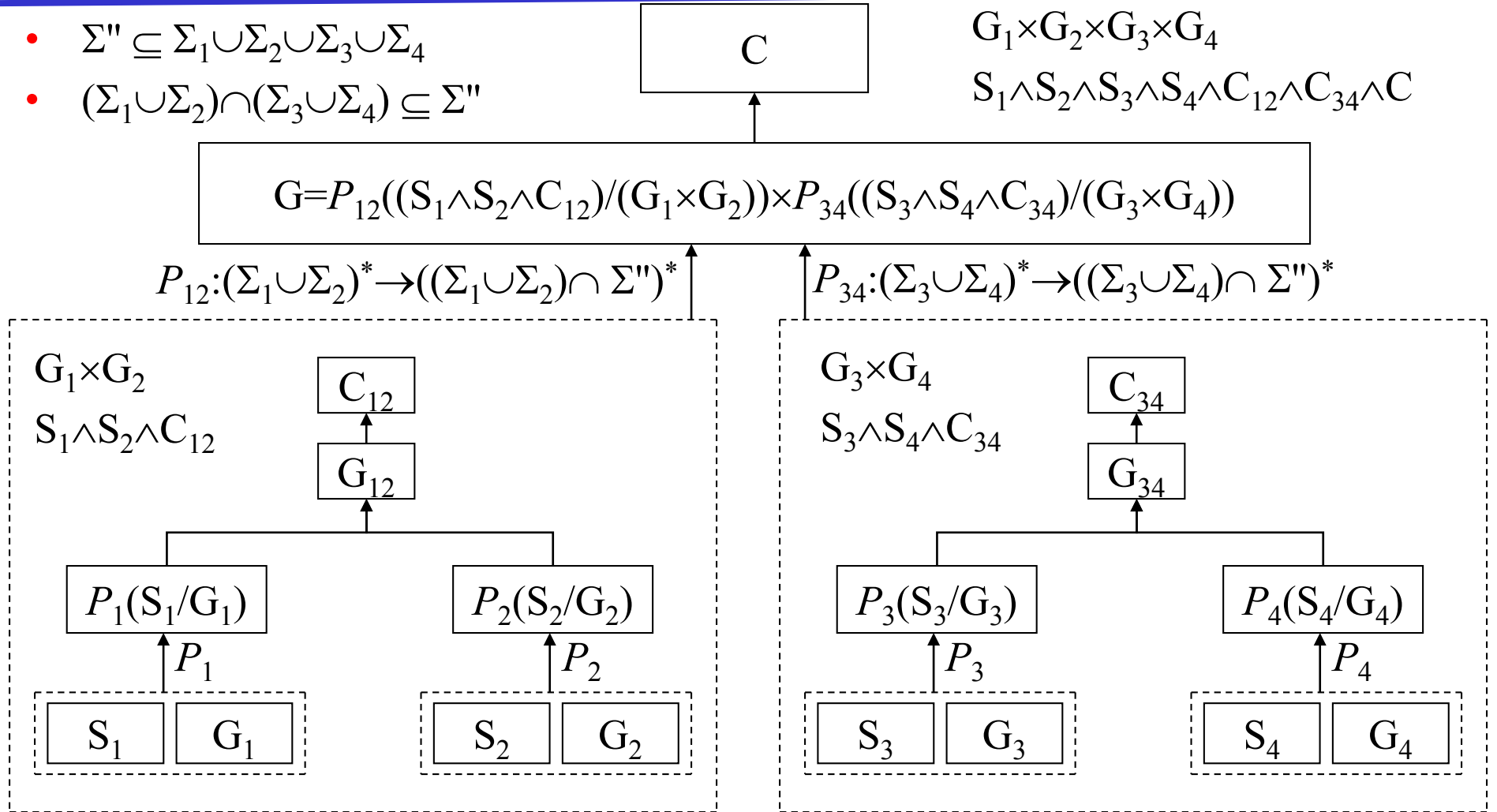
# Illustration of Coordinator Synthesis



# Multi-Level Coordinators

- $\Sigma'' \subseteq \Sigma_1 \cup \Sigma_2 \cup \Sigma_3 \cup \Sigma_4$

- $(\Sigma_1 \cup \Sigma_2) \cap (\Sigma_3 \cup \Sigma_4) \subseteq \Sigma''$



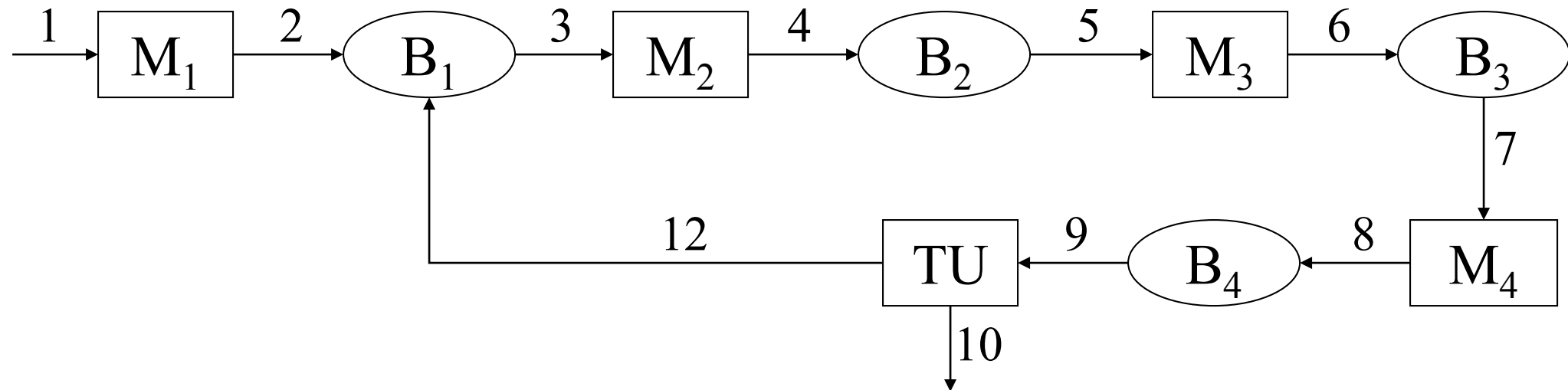
# Outline

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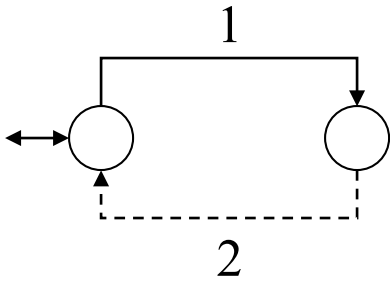
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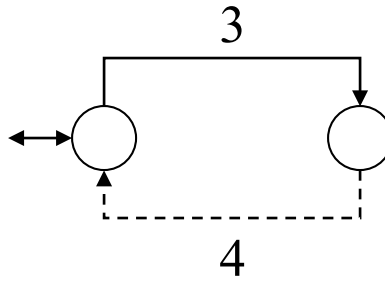
# Simple Transfer Line (STL)



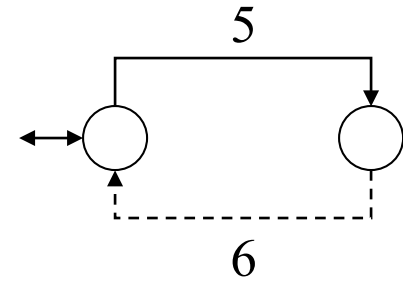
# Component Models



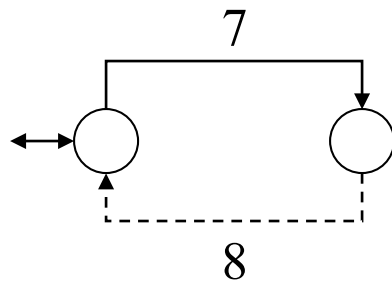
$M_1$



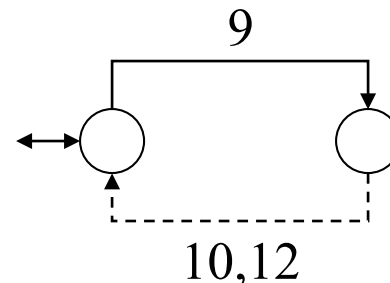
$M_2$



$M_3$

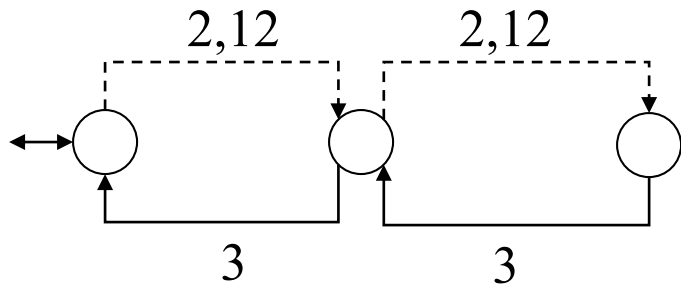


$M_4$

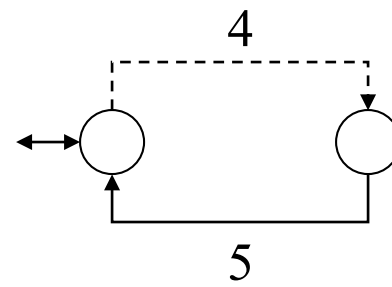


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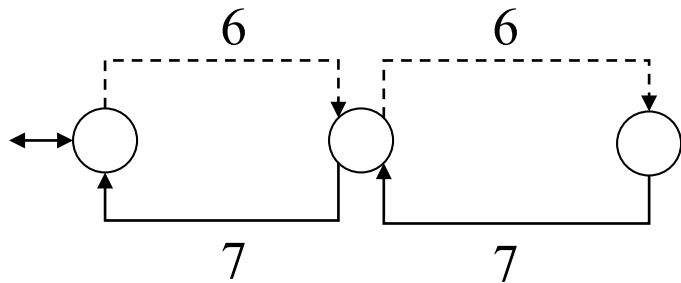
# Buffer Specifications



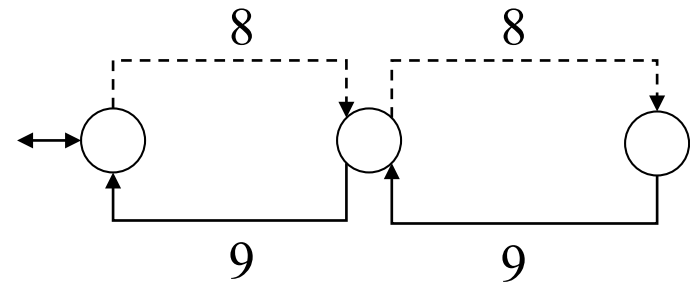
$B_1$



$B_2$

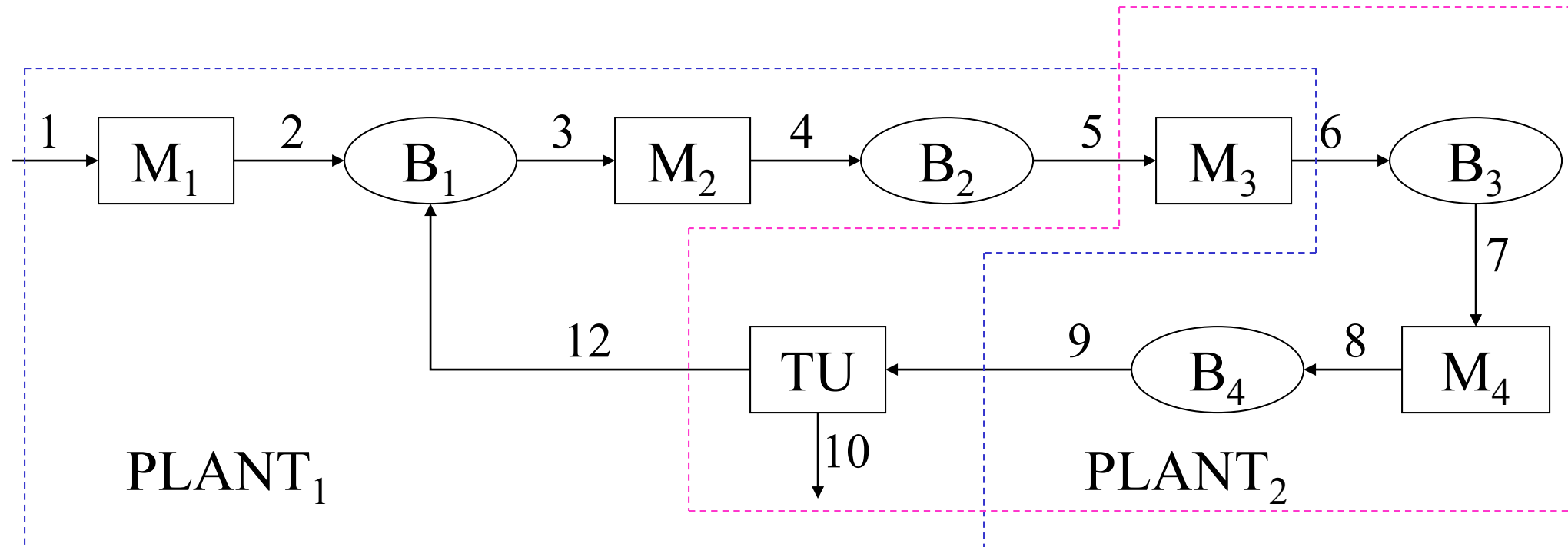


$B_3$



$B_4$

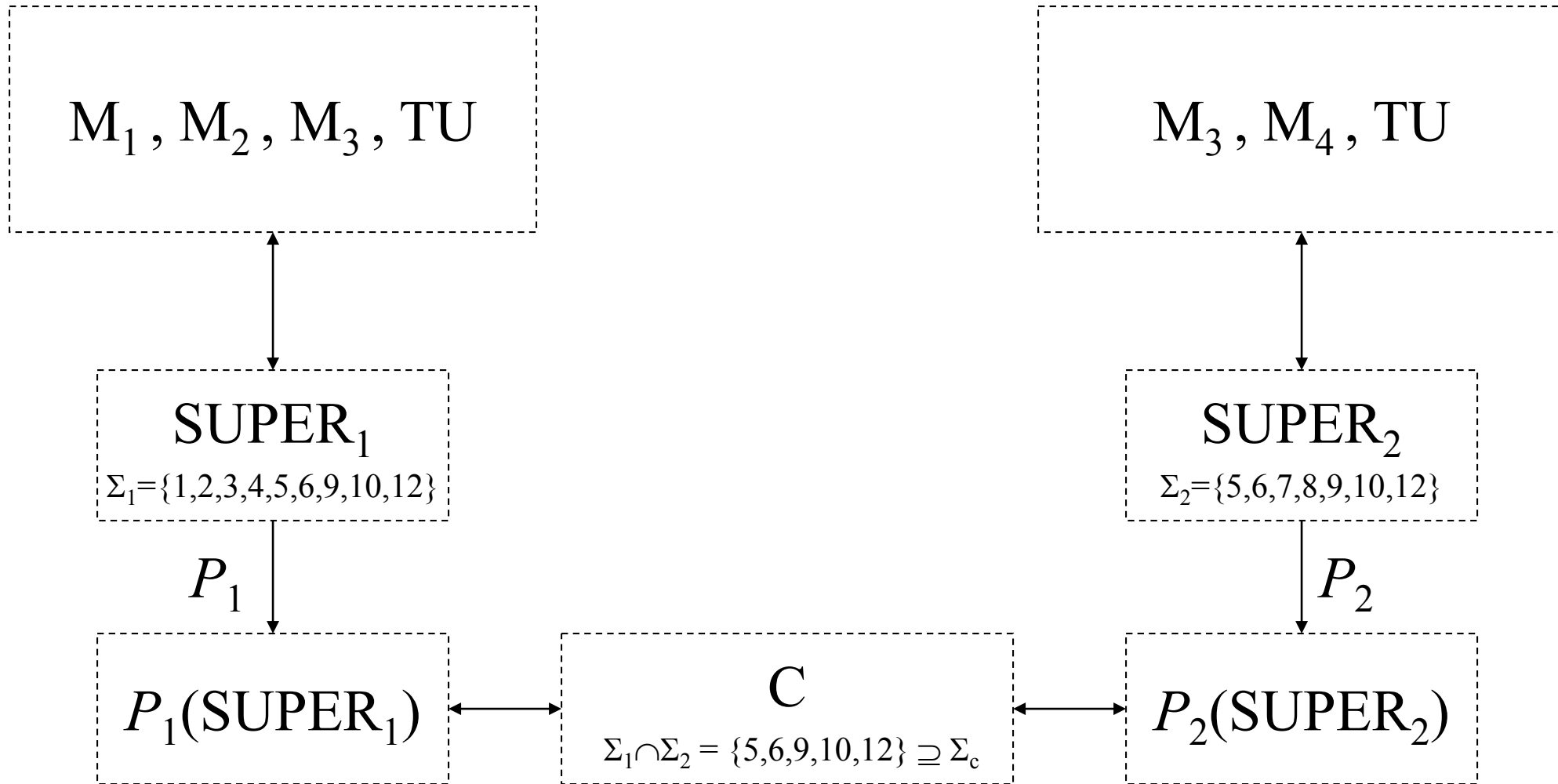
# Partition of STL



# Local Synthesis with TCT

- $\text{PLANT}_1 = M_1 \times M_2 \times M_3 \times \text{TU}$  (use Sync) (16 , 72)
- $\text{PLANT}_2 = M_3 \times M_4 \times \text{TU}$  (8 , 28)
- $\text{SPEC}_1 = \text{Selfloop}(\text{Sync}(B_1, B_2), \{1, 6, 9, 10\})$  (4 , 42)
- $\text{SPEC}_2 = \text{Selfloop}(\text{Sync}(B_3, B_4), \{5, 10, 12\})$  (9 , 51)
- $\text{SUPER}_1 = \text{Supcon}(\text{PLANT}_1, \text{SPEC}_1)$  (48 , 146)
- $\text{SUPER}_2 = \text{Supcon}(\text{PLANT}_2, \text{SPEC}_2)$  (50 , 137)
- $\text{SUPER}_1$  and  $\text{SUPER}_2$  are conflicting

# Create an Coordinator



# Coordinator Synthesis

- Preparation
  - Set the coordinator's alphabet as  $\Sigma_c = \{1,5,6,9,10,12\}$
  - We can check that both  $P_1$  and  $P_2$  are observers.
- Create local abstractions
  - $\text{PPLANT}_1 = \text{Project}(\text{SUPER}_1, \{1,5,6,9,10,12\})$  (14 , 40)
  - $\text{PPLANT}_2 = \text{Project}(\text{SUPER}_2, \{5,6,9,10,12\})$  (18 , 41)
- Create a specification SPEC, recognizing  $\Sigma_c^*$ .
- Synthesis
  - $\text{PPLANT} = \text{Sync}(\text{PPLANT}_1, \text{PPLANT}_2)$  (63 , 168)
  - $C = \text{Supcon}(\text{PPLANT}, \text{SPEC})$  (59 , 158)

# Verification

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- C,  $\text{SUPER}_1$  and  $\text{SUPER}_2$  are nonconflicting



# Monolithic Supervisor Synthesis

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- $\text{PLANT} = \text{Sync}(\text{PLANT}_1, \text{PLANT}_2)$  (32 , 176)
- $\text{SPEC} = \text{Selfloop}(\text{Sync}(\text{Sync}(\text{Sync}(\text{B}_1, \text{B}_2), \text{B}_3), \text{B}_4), \{1, 10\})$  (54 , 414)
- $\text{SUPER} = \text{Supcon}(\text{PLANT}, \text{SPEC})$  (568 , 1927)

$\text{Isomorphic}(\text{Sync}(\text{C}, \text{Sync}(\text{SUPER}_1, \text{SUPER}_2)), \text{SUPER}) = \text{true}$

# Comparison

- Monolithic Approach
  - Plant : (32 , 176)
  - Supervisor : (568 , 1927)
  - The largest intermediate computational result : (568 , 1927)
- Coordinated Modular Approach
  - Local Plants : (16 , 72) , (8 , 28)
  - Local Supervisors : (48 , 146) , (50 , 137)
  - Coordinator : (59 , 158)
  - The largest intermediate computational result : (63 , 168)

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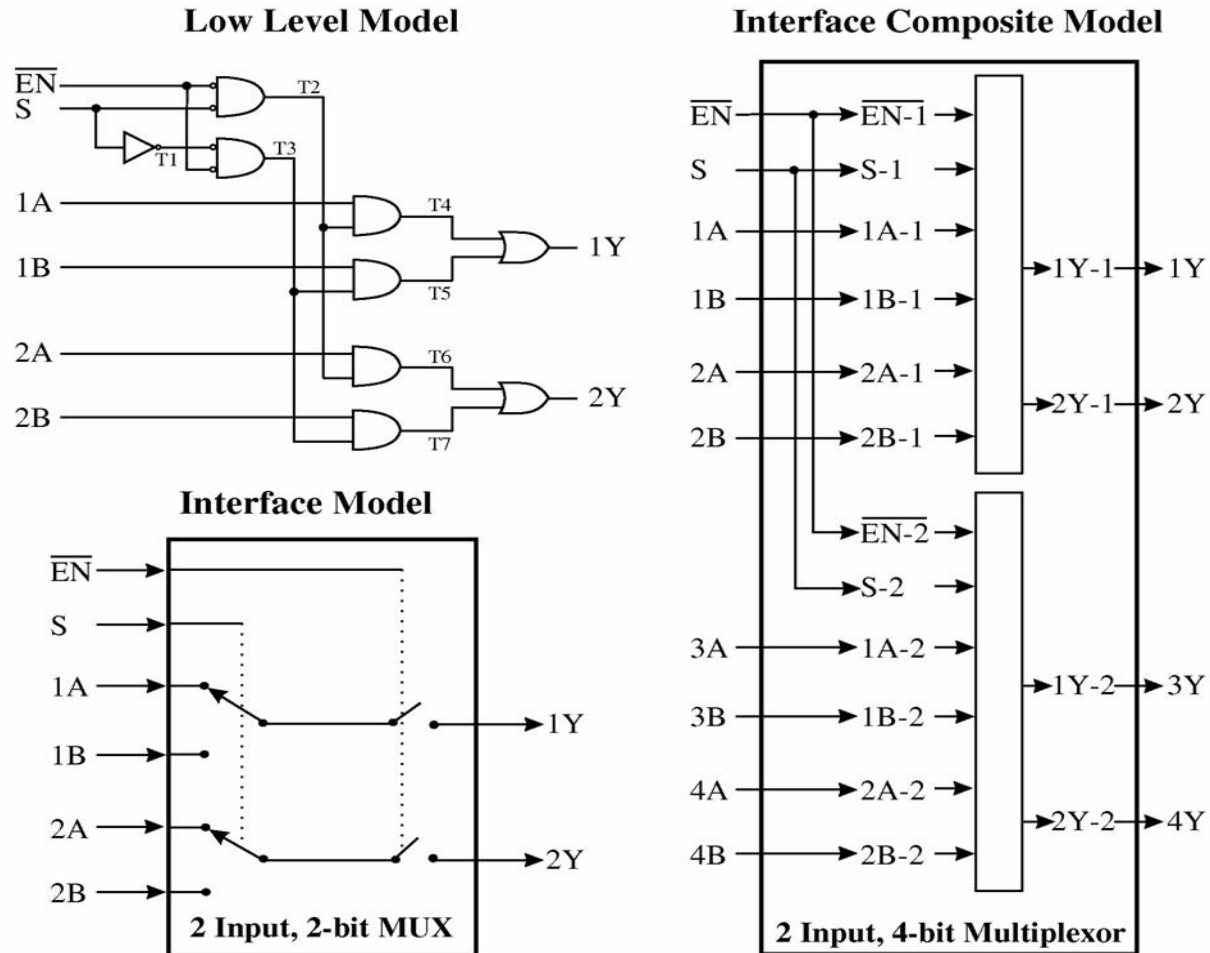
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# Motivations

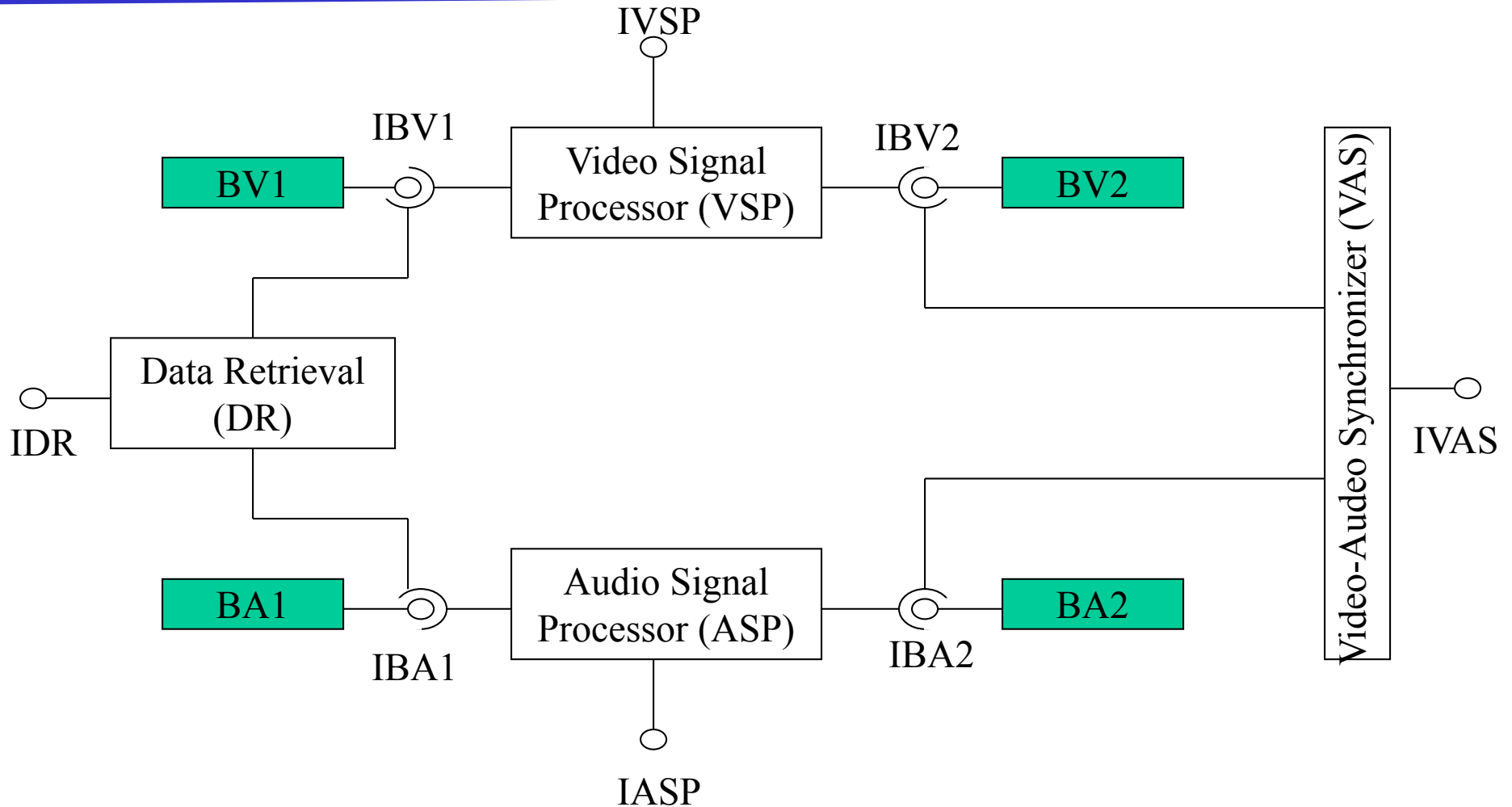
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- Each component has a fixed interface
- Each component's internal behaviour is unseen to outsiders
- Components communicate with each other through interfaces

# Example 1 – Digital Circuit



# Example 2 – Component-Based Software

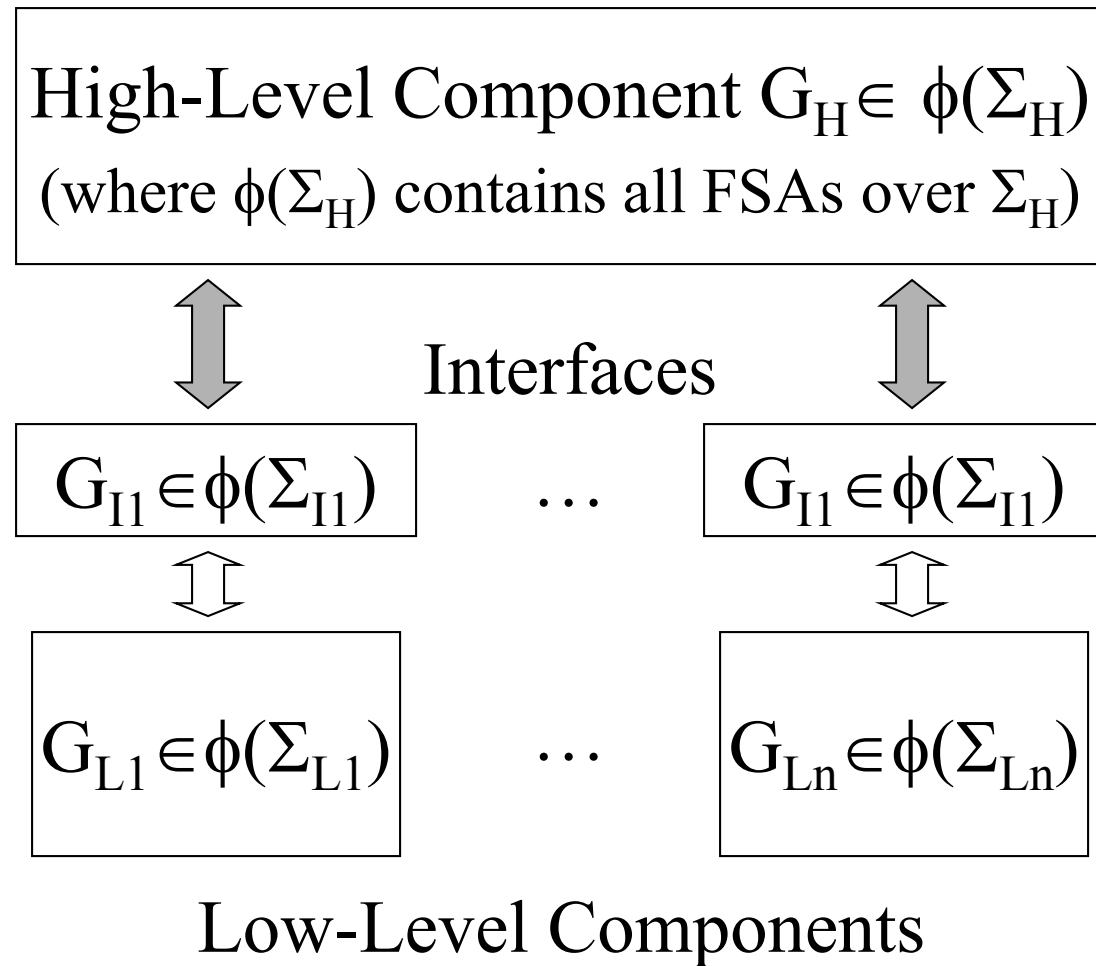


# Our Goal

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- Use interfaces to separate components, allowing local synthesis

# The System Architecture



- For any  $i, j \in \{H, L1, \dots, Ln\}$ 
  - $\Sigma_i = \Sigma_{i,c} \cup \Sigma_{i,uc}$
  - $i \neq j \Rightarrow \Sigma_{i,c} \cap \Sigma_{j,uc} = \emptyset$
- For any  $i, j \in \{L1, \dots, Ln\}$ 
  - $\Sigma_H \cap \Sigma_{Li} = \Sigma_{Ii}$
  - $i \neq j \Rightarrow \Sigma_{Li} \cap \Sigma_{Lj} = \Sigma_{Ii} \cap \Sigma_{Ij}$



# Separable Requirements

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- At the high level:  $E_H \in \phi(\Sigma_H)$
- At the low level:  $\{E_{L_i} \in \phi(\Sigma_{L_i}) \mid i=1, \dots, n\}$

A requirement can “touch” different components via interface events!

# A Supervisor Synthesis Problem

- Compute an interface-based modular (IBM) supervisor  $\{S_H, S_{L1}, \dots, S_{Ln}\}$ ,
  - Requirements:  $L_m(S_H/G_H) \subseteq L_m(E_H) \wedge (\forall i \in \{1, \dots, n\}) L_m(S_{Li}/G_{Li}) \subseteq L_m(E_{li})$
  - Nonblockingness :  $\overline{L_m(S/G)} = L(S/G)$  where
    - $L_m(G) = L_m(G_H) \parallel L_m(G_{L1}) \parallel \dots \parallel L_m(G_{Ln})$  and  $L(G) = L(G_H) \parallel L(G_{L1}) \parallel \dots \parallel L(G_{Ln})$
    - $L_m(S) = L_m(S_H) \parallel L_m(S_{L1}) \parallel \dots \parallel L_m(S_{Ln})$  and  $L(S) = L(S_H) \parallel L(S_{L1}) \parallel \dots \parallel L(S_{Ln})$
  - Controllability:  $L(S/G)\Sigma_{uc} \cap L(G) \subseteq L(S/G)$
  - Interface Invariance:

$$(\forall i \in \{1, \dots, n\}) P_i(L_m(S_{Li}/G_{Li})) = L_m(G_{li})$$

where  $P_i : \Sigma_{Li}^* \rightarrow \Sigma_{li}^*$  is an  $L_m(S_{Li}/G_{Li})$ -observer

## Theorem 1 :

Given  $\mathcal{G} = \{G_H, G_{Li}, G_{Ii} \mid i=1, \dots, n\}$  and  $\mathcal{E} = \{E_H, E_{Li} \mid i=1, \dots, n\}$ , the largest IBM supervisor, denoted as the supremal IBM supervisor, in terms of component-wise set inclusion exists.

# Local Supervisor Synthesis (1)

- At the high level
  - Plant :  $G = G_H \times G_{I1} \times \dots \times G_{In}$
  - Requirement :  $E_H$
  - Synthesize  $S_H \in \phi(\Sigma_H)$ , where
    - $L_m(S_H/G) \subseteq L_m(E_H)$
    - $\overline{L_m(S_H/G)} = L(S_H/G)$
    - $L(S_H/G)\Sigma_{H,uc} \cap L(G) \subseteq L(S_H/G)$

# Local Supervisor Synthesis (2)

- At the low level, for each local component  $G_{Li}$  ( $i \in \{1, \dots, n\}$ )
  - Plant :  $G_{Li}$
  - Requirement :  $E_{Li}$
  - Synthesize  $S_{Li} \in \phi(\Sigma_{Li})$ , where
    1.  $L_m(S_{Li}/G_{Li}) \subseteq L_m(E_{Li})$
    2.  $\overline{L_m(S_{Li}/G_{Li})} = L(S_{Li}/G_{Li})$
    3.  $L(S_{Li}/G_{Li})\Sigma_{Li,uc} \cap L(G_{Li}) \subseteq L(S_{Li}/G_{Li})$
    4.  $P_i(L_m(S_{Li}/G_{Li})) = L_m(G_{Li})$ , where  $P_i : \Sigma_{Li}^* \rightarrow \Sigma_{Li}^*$  is an  $L_m(S_{Li}/G_{Li})$ -observer

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## Theorem 2:

The largest language  $L_m(S_{Li}/G_{Li})$  satisfying conditions 1-4 exists.

( Why ? )

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- The largest language  $L_m(S_{Li}/G_{Li})$  in Theorem 2 is computable.

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### Theorem 3:

$\{S_H, S_{L1}, \dots, S_{Ln}\}$  is the supremal IBM supervisor w.r.t.  $\mathcal{G}$  and  $\mathcal{E}$ .



# Conclusions

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- Advantages of Modular Supervisory Control
  - It is easy to present a system in a modular way
  - It is computationally tractable compared to the monolithic approach
  - It possesses a certain level of implementation flexibility
- Disadvantage of Modular Supervisory Control
  - Modular control is more conservative than centralized control (why?)
  - The observer property is required during model abstraction