

# UNITED STATES OFFICE OF PERSONNEL MANAGEMENT

Washington, DC 20415

Human Capital Data Management and Modernization

> HCDMM/DSA/PPSB/EM Reference # 2022-00300

November 17, 2021

Kiernan Nicholls Investigative Reporting Workshop 4400 Massachusetts Ave NW Washington, D.C. 20016

Dear Kiernan Nicholls:

This responds to your Freedom of Information Act request for federal employee data from the Enterprise Human Resource Integration-Statistical Data Mart and Central Personnel Data File. The data elements are contained in the accompanied file named: FOIA 2022-00300 NonDoD Data Record Format & Counts,xlsx.

For the information OPM is providing to you pursuant to your request, we have enclosed one .zip file consisting of 37 .txt data files and 1 Excel spreadsheet. The files have been zipped, encrypted and password-protected using WinZip version 23.0. The password will be provided to you separately. Please contact <a href="DataFOIA@opm.gov">DataFOIA@opm.gov</a> to receive the password. In your email, please include '2022-00300 Password' in the subject line. Once funds have been confirmed, we will release the password for you to access your data file.

The accompanying CD is comprised of the following files:

## Data files:

Non-DOD agencies: FOIA 2022-00300 Dynamics - NonDoD - FY 2017 Quarter 01.txt

FOIA 2022-00300 Dynamics – NonDoD – FY 2017 Quarter 02.txt FOIA 2022-00300 Dynamics – NonDoD – FY 2017 Quarter 03.txt FOIA 2022-00300 Dynamics – NonDoD – FY 2017 Quarter 04.txt FOIA 2022-00300 Dynamics – NonDoD – FY 2018 Quarter 01.txt FOIA 2022-00300 Dynamics – NonDoD – FY 2018 Quarter 02.txt

```
FOIA 2022-00300 Dynamics - NonDoD - FY 2018 Quarter 03.txt
FOIA 2022-00300 Dynamics - NonDoD - FY 2018 Quarter 04.txt
FOIA 2022-00300 Dynamics - NonDoD - FY 2019 Quarter 01.txt
FOIA 2022-00300 Dynamics - NonDoD - FY 2019 Quarter 02.txt
FOIA 2022-00300 Dynamics - NonDoD - FY 2019 Quarter 03.txt
FOIA 2022-00300 Dynamics - NonDoD - FY 2019 Quarter 04.txt
FOIA 2022-00300 Dynamics - NonDoD - FY 2020 Quarter 01.txt
FOIA 2022-00300 Dynamics - NonDoD - FY 2020 Quarter 02.txt
FOIA 2022-00300 Dynamics - NonDoD - FY 2020 Quarter 03.txt
FOIA 2022-00300 Dynamics - NonDoD - FY 2020 Quarter 04.txt
FOIA 2022-00300 Dynamics - NonDoD - FY 2021 Quarter 01.txt
FOIA 2022-00300 Status - NonDoD - 201609.txt
FOIA 2022-00300 Status - NonDoD - 201612.txt
FOIA 2022-00300 Status - NonDoD - 201703.txt
FOIA 2022-00300 Status - NonDoD - 201706.txt
FOIA 2022-00300 Status - NonDoD - 201709.txt
FOIA 2022-00300 Status - NonDoD - 201712.txt
FOIA 2022-00300 Status - NonDoD - 201803.txt
FOIA 2022-00300 Status - NonDoD - 201806.txt
FOIA 2022-00300 Status - NonDoD - 201809.txt
FOIA 2022-00300 Status - NonDoD - 201812.txt
FOIA 2022-00300 Status - NonDoD - 201903.txt
FOIA 2022-00300 Status - NonDoD - 201906.txt
FOIA 2022-00300 Status - NonDoD - 201909.txt
FOIA 2022-00300 Status - NonDoD - 201912.txt
FOIA 2022-00300 Status - NonDoD - 202003.txt
FOIA 2022-00300 Status - NonDoD - 202006.txt
FOIA 2022-00300 Status - NonDoD - 202009.txt
FOIA 2022-00300 Status - NonDoD - 202012.txt
FOIA 2022-00300 Status - NonDoD - 202103.txt
FOIA 2022-00300 Status - NonDoD - 202106.txt
```

#### **Extract Format:**

FOIA 2022-00300 NonDoD Data Record Format & Counts.xlsx

Please note that our data is limited to Executive Branch Federal civilian employees and excludes Intelligence Agencies because those entities no longer provide personnel records to OPM.

For those instances where data elements are not released, they are being withheld under FOIA Exemption 6; personnel and medical files and similar files, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy. 5 U.S.C. § 552(b)(6).

OPM withholds names and duty station location (i.e., State, City, County, CBSA, Locality Pay Area, Adjusted Basic Pay, and Law Enforcement Officer Geographic Area ID) of employees in sensitive occupations and at the Federal Bureau of Investigation, the Drug Enforcement Administration, the Bureau of Alcohol, Tobacco, Firearms and Explosives, the Alcohol and Tobacco Tax and Trade Bureau, the United States Secret Service, and all U.S. Attorneys' Offices. For these employees in security agencies or sensitive occupations, OPM will provide base pay and a separate total compensation field. The total compensation field will be the sum of adjusted basic pay and all award data elements, including those not otherwise releasable.

OPM also withholds nature of action codes describing adverse actions (Nature of Action Codes: 327-330, 336-338, 344-349, 358-360, 365, 381-386, 450,452, 710-715, 830-833, 888 and 897). OPM only releases non-performance rating-based awards. These awards actions (Nature of Action Codes: 841-844,849, 878, 887, and 889) are aggregated for the year and provided as a single amount in the Cumulative Award field.

For the State Department, personnel records for all employees in the Foreign Service pay plan are no longer provided to OPM (included until March 2006). If you would like this information, please contact:

U. S. Department of State
Bureau of Human Resources
Systems Oversight Division
2401 E Street NW, Room 800
Washington, D.C. 20522

Also, OPM withholds certain information at the request of various agencies and has therefore referred your request to those entities for direct response to you as follows:

### DEPARTMENT OF DEFENSE DATA

Office of Freedom of Information Act Executive Services Directorate ATTN: Stephanie Carr, FOIA, Suite 02F09-02 4800 Mark Center Drive Alexandria, VA 22350

### INTERNAL REVENUE SERVICE DATA

The extract excludes name for all employees of the Internal Revenue Service, in light of their Registered Pseudonym Program. We have referred your request for name data to the IRS for a direct response to you.

Internal Revenue Service Headquarters Disclosure Office Stop 211 2385 Chamblee Tucker Road Chamblee, GA 30341

# NATIONAL NUCLEAR SECURITY ADMINISTRATION

The extract excludes all pay grade and salary data for all employees of the National Nuclear Security Administration (NNSA), Department of Energy (DOE). We have referred your request as follows for this agency's pay information.

National Nuclear Security Administration FOIA/PA Officer NNSA/Office of the General Counsel P. O. Box 5400 Albuquerque, NM 87185-5400

## NATIONAL CREDIT UNION ADMINISTRATION

The extract excludes all pay grade and salary data for all employees of the National Credit Union Administration (NCUA). We have referred your request as follows for this agency's pay information.

National Credit Union Administration OGC/ FOIA 1775 Duke Street, Alexandria, VA, 22314

# FARM CREDIT ADMINISTRATION AND FARM CREDIT SYSTEM INSURANCE CORPORATION

The extract excludes all salary data for all employees of the Farm Credit Administration (FCA) and Farm Credit System Insurance Corporation (FCSIC). We have referred your request as follows for these agency's pay information.

Farm Credit Administration FOIA Officer 1501 Farm Credit Drive McLean, VA 22102 Any plans to use the names to distribute material to these Federal civilian employees are subject to a General Services Administration (GSA) Federal Property Management Regulation (41 CFR 101-20.308) which states: "...Commercial soliciting and vending of all kinds, displaying or distributing commercial advertising, or collecting private debts in or on GSA-controlled property is prohibited." Agencies are not required to deliver mail received in violation of this provision.

## Appeals

As this is a partial denial of your FOIA request, you have the right to appeal this determination. Pursuant to 5 CFR 294.110, you may appeal this response to the Office of Personnel Management Office of General Counsel. If you choose to appeal, the Office of General Counsel must receive your FOIA appeal no later than 90 days from the date of this letter.

Your appeal must be made in <u>writing</u>. You may submit your appeal and accompanying materials to the Office of General Counsel by mail, courier service, fax, or email. All communications concerning your appeal should be clearly marked with: "APPEAL UNDER FREEDOM OF INFORMATION ACT."

You must also include with your appeal copies of all correspondence between you and OPM concerning your FOIA request, including your original FOIA request, this response, and a statement as to why you believe the denying official erred within 90 days from the date of this letter.

Please include your name and daytime telephone number (or the name and telephone number of an appropriate contact), email address and fax number (if available) in case additional information or clarification is needed to review your appeal.

OPM FOIA Appeals

Office of General Counsel
U.S. Office of Personnel Management Room 7355
1900 E Street NW
Washington, DC 20415.

#### Additional Information

The Office of Government Information Services (OGIS) was created to offer mediation services to resolve disputes between FOIA requesters and federal agencies as a non-exclusive alternative to litigation. You may contact OGIS in any of the following ways:

The U.S. National Archives and Records Administration Office of Government Information Services 8601 Adelphi Road - OGIS College Park, MD 20740-6001 Telephone: 202-741-5770 or 1-877-684-6448

# Kiernan Nicholls

Fax: 202-741-5769OGIS Email: ogis@nara.gov

You may also seek additional assistance from OPM's Public Liaison. The OPM FOIA Public Liaison

can be reached as follows: Email: foia@opm.gov

Telephone Hotline: 202-606-1153

Sincerely,

EMILY NAIL Date: 2021.11.17 12:11:23 -05'00'

Emily Nail
Manager, Program and Policy Support Branch
Data Support and Analysis
Human Capital Data Management and Modernization

Enclosure