

UNIT-I

Worst-case, Best-case & Average-case efficiencies

Sequential Search ( $A[0 \dots n-1], K$ )

1) Searches for a given values in a given array by

Sequential Search

1) Input: An array  $A[0 \dots n-1]$ , and a search key  $K$

1) Output: Returns the index of the first element of  $A$  that matches  $K$  or  $-1$  if there are no matching elements

$i = 0$

while  $i < n$  and  $A[i] \neq K$  do

$i = i + 1$

if  $i < n$  return  $i$

else return  $-1$

basic operation: comparison

worst case

i) no matching elements or

ii) ~~element~~ matching element present in the

last location of the list

Algorithm performs maximum number of key comparisons

$$C_{\text{worst}}(n) = n$$

Best-case

If the search key is present in the first location of the list, algorithm performs only one comparison.

$$C_{\text{best}}(n) = 1$$

Average-case

2 assumptions

1)  $P \rightarrow$  probability of successful search

$$(0 \leq P \leq 1)$$

2) the probability of the first match occurring in the  $i$ th position of the list is the same for every  $i$ .

$$C_{\text{avg}}(n) = \left[ 1 \cdot \frac{P}{n} + 2 \cdot \frac{P}{n} + \dots + n \cdot \frac{P}{n} \right] + n(1-P)$$

$$= \frac{P}{n} [1+2+\dots+n] + n[1-P]$$

$$= \frac{P}{n} \frac{n(n+1)}{2} + n[1-P] = \frac{P(n+1)}{2} + n[1-P]$$

$$C_{\text{avg}}(n) = \frac{P(n+1)}{2} + n(1-P)$$

case i)  $p=1$ , Successful Search

$$C_{avg}(n) = \frac{n+1}{2}$$

case ii)  $p=0$ , Unsuccessful Search

$$C_{avg}(n) = n$$

Asymptotic notations

$g(n) \rightarrow$  order of growth

Ex: 1.  $t(n) = 10n^2 + 5n = O(n^2)$

$$= \max(n^2, n) = O(n^2)$$

2.  $t(n) = 10 \log n + 5 = O(\log n)$

3.  $t(n) = 5 \log n + n \cdot \log n + 2^n$

$$= \max(\log n, n \cdot \log n, 2^n)$$

$$= O(2^n)$$

4.  $t(n) = 10 \log n + 5n = O(n)$

Big-oh  
O-notation

A function  $t(n)$  is said to be in  $O(g(n))$  denoted  $t(n) \in O(g(n))$ , if  $t(n)$  is bounded above by some constant multiple of  $g(n)$  for all large  $n$ , i.e., if there exist some positive constant  $c$  and some nonnegative integer  $n_0$  such that

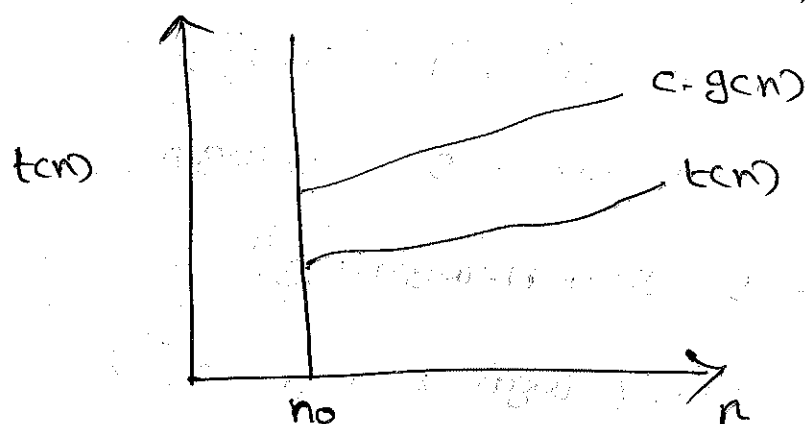
$$t(n) \leq c \cdot g(n) \text{ for all } n \geq n_0.$$

Ex:

$$100n + 5 \in O(n^2)$$

$$100n + 5 \leq 100n + n \quad (n \geq 5) = 101n \leq 101 \cdot n^2$$

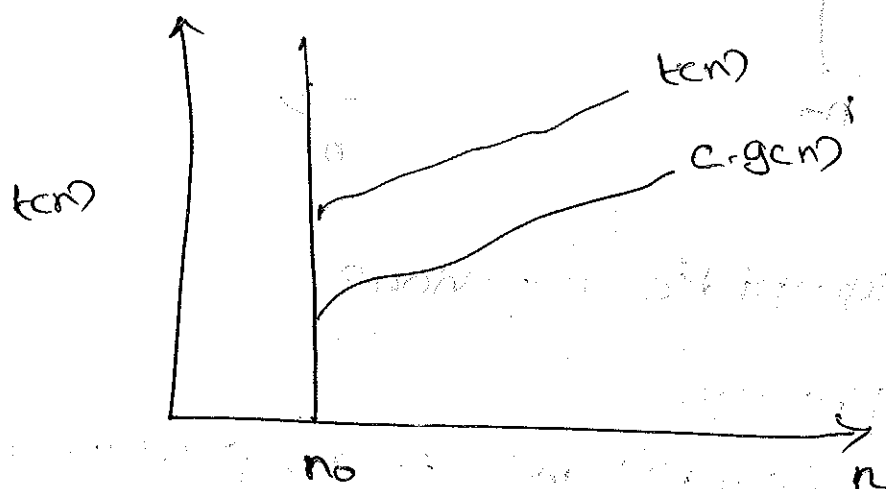
$$c = 101, n_0 = 5.$$



## $\Omega$ -notation Big-omega

A function  $t(n)$  is said to be in  $\Omega(g(n))$ , denoted  $t(n) \in \Omega(g(n))$ , if  $t(n)$  is bounded below by some positive ~~the~~ constant multiple of  $g(n)$  for all large  $n$ , i.e., if there exist some positive constant  $c$  and some non-negative integer  $n_0$  such that

$$t(n) \geq c \cdot g(n) \text{ for all } n \geq n_0.$$



Ex:  $n^3 \in \Omega(n^2)$ ,

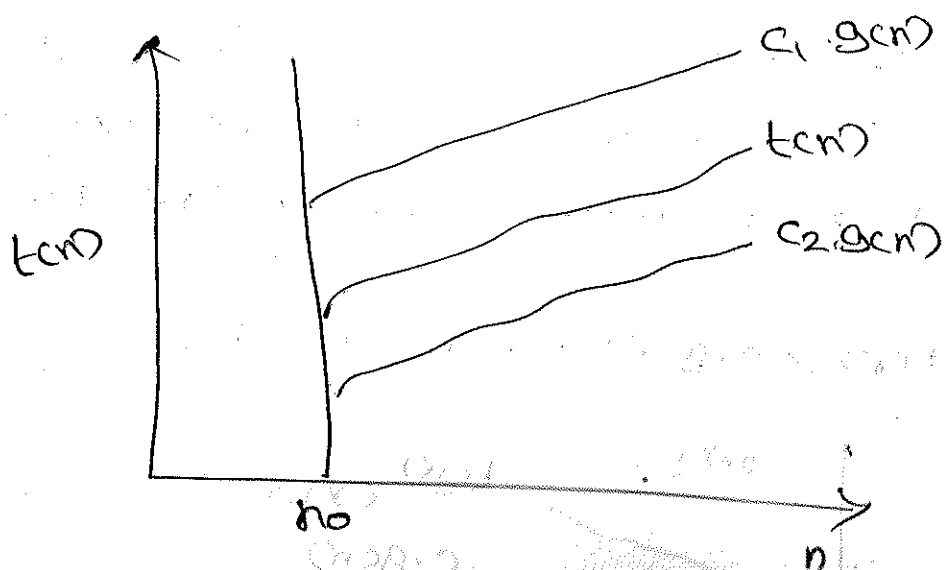
$$n^3 \geq n^2, n \geq 0.$$

## $\Theta$ notation Big Theta

A function  $t(n)$  is said to be in  $\Theta(g(n))$ , denoted  $t(n) \in \Theta(g(n))$ , if  $t(n)$  is bounded both above and below by some positive constant multiples of  $g(n)$  for all large  $n$ , i.e., if there exist some positive constant  $c_1$  and  $c_2$  and some non-negative integer  $n_0$  such that

$$c_1 g(n) \leq t(n) \leq c_2 g(n) \text{ for all } n \geq n_0.$$

$c_2 g(n) \leq t(n) \leq c_1 g(n)$  For all  $n \geq n_0$ .



properties of asymptotic notations

Sum Function property

1. If  $t_1(n) \in O(g_1(n))$  and  $t_2(n) \in O(g_2(n))$ ,

then

$$t_1(n) + t_2(n) \in O(\max\{g_1(n), g_2(n)\})$$

proof  $\rightarrow$   $t_1(n) \in O(g_1(n))$   
 $t_1(n) \leq c_1 g_1(n)$ , for all  $n \geq n_1$ .

$$t_2(n) \in O(g_2(n)),$$

$$t_2(n) \leq c_2 g_2(n), \text{ for all } n \geq n_2.$$

$$t_1(n) + t_2(n) \leq c_1 g_1(n) + c_2 g_2(n)$$

$$c_3 = \max\{c_1, c_2\}$$

$$n \geq \max\{n_1, n_2\}$$

$$\leq c_3 g_1(n) + c_3 g_2(n)$$

$$\leq c_3^2 [g_1(n) + g_2(n)]$$

$$\leq c_3 \cdot 2 \max \{g_1(n), g_2(n)\}$$

$$t_1 + t_2 \in O(\max \{g_1(n), g_2(n)\})$$

2. product of two functions property

$$\text{IP } t_1(n) = O(g_1(n)) \text{ and } t_2(n) = O(g_2(n))$$

$$\text{then } t_1(n) \times t_2(n) = O(g_1(n) \times g_2(n))$$

proof:

$$t_1(n) \leq c_1 g_1(n)$$

$$t_2(n) \leq c_2 g_2(n)$$

$$t_1(n) \times t_2(n) \leq c_1 g_1(n) \times c_2 g_2(n)$$

$$\leq c_3 (g_1(n) \times g_2(n))$$

$$t_1(n) \times t_2(n) = O(g_1(n) \times g_2(n))$$

3. Transitive property:

$$\text{IP } t(n) = O(g(n)) \text{ and } g(n) = O(h(n))$$

$$\text{then } t(n) = O(h(n))$$

4. Reflexivity

$$f(n) = O(f(n))$$

5. Transpose

order of growth

$$t(n) = 10n + 5n^2 = O(n^2)$$

$$t(n) = n$$

$$t(n) = n^2$$

computing time

n	$n^2$	$\lg n$
5	25	2
10	100	3

Basic asymptotic efficiency classes

class

name

1

constant

$\lg n$

logarithmic

$n$

linear

$n \cdot \lg n$

$n \cdot \lg n$

$n^2$

quadratic

$n^3$

cubic

$2^n$

exponential

$n!$

Factorial



# Mathematical analysis of non-recursive algorithms

1. pblm to find the largest element in a list of  $n$  numbers.

MaxElement ( $A[0..n-1]$ )

maxval =  $A[0]$

For  $i = 1$  to  $n-1$  do

if  $A[i] > \text{maxval}$

maxval =  $A[i]$

return maxval.

CCM  $\rightarrow$  number of times comparison is executed

$n \rightarrow$  input size

UP-10-1

$n = 4$

$$\text{CCM} = \sum_{i=1}^{n-1} 1 = n-1-1+1 = n-1 \rightarrow 1$$

$$\text{CCM} = n-1 = O(n)$$

$$\boxed{\text{CCM} = O(n)}$$

$\rightarrow n-1-1$

5
10
12
7

$$\boxed{\text{CCM} = \sum_{i=1}^n 1 = n-1-1+1 = n}$$

## General plan

1. decide input size
2. identify basic operation
3. find number of times basic operation is executed
4. Set up a sum expressing the number of times the basic operation is executed
5. find order of growth.

## 2. Element uniqueness problem

→ to check whether all the elements in a given array are distinct.

UniqueElements( $A[0 \dots n-1]$ )

for  $i = 0$  to  $n-2$  do

for  $j = i+1$  to  $n-1$  do

if  $A[i] = A[j]$  return false

return true



$$i=0 \text{ to } n-2$$

$$j=i+1 \text{ to } n-1$$

$$C_{\text{worst}}(n) = \sum_{i=0}^{n-2} \sum_{j=i+1}^{n-1} 1$$

A00	5
1	10
2	12
3	18
4	2
5	16

$$= \sum_{i=0}^{n-2} (n-1 - (i+1) + 1)$$

$$= \sum_{i=0}^{n-2} (n-1 - i) = \sum_{i=0}^{n-2} (n-1-i)$$

$$= \sum_{i=0}^{n-2} (n-1) - \sum_{i=0}^{n-2} i$$

$$1+2+3+\dots+n = \frac{n(n+1)}{2}$$

$$= \frac{n-2(n-2+1)}{2}$$

$$= \frac{(n-2)(n-1)}{2}$$

$$= n-1 \sum_{i=0}^{n-2} 1 - (0+1+\dots+(n-2))$$

$$= (n-1)(n-2-0+1) - \frac{(n-1)(n-2)}{2}$$

$$= n-1 \left[ (n-1) - \frac{(n-2)}{2} \right] = (n-1) \left[ \frac{2n-2-n+2}{2} \right]$$

$$= \frac{n(n-1)}{2}$$

$$C_{\text{worst}}(n) = \frac{n(n-1)}{2}$$

2. Given two  $n$  by  $n$  matrices  $A$  and  $B$ , Find  $C = AB$

Matrix Multiplication( $A[0 \dots n-1, 0 \dots n-1], B[0 \dots n-1, 0 \dots n-1]$ )

For  $i = 0$  to  $n-1$  do

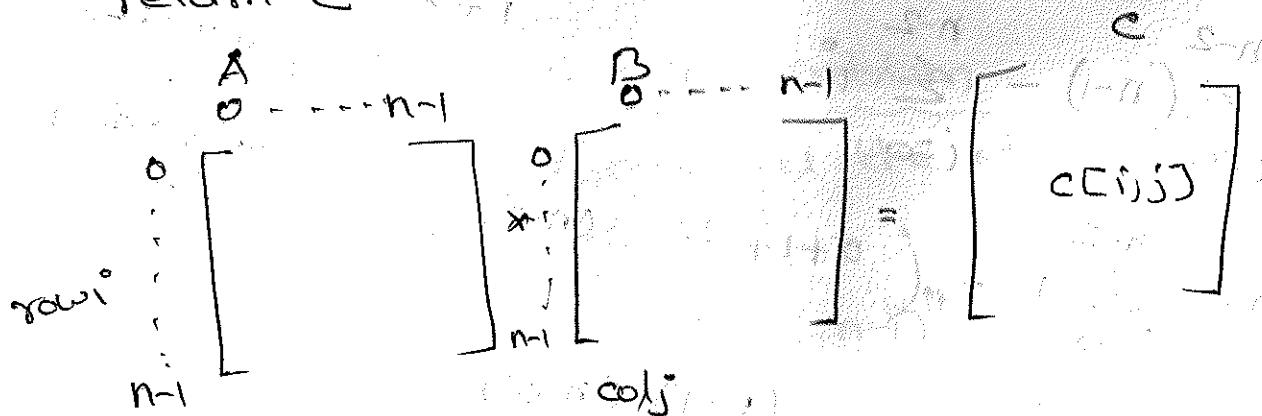
For  $j = 0$  to  $n-1$  do

$C[i, j] = 0$

For  $k = 0$  to  $n-1$  do

$C[i, j] = C[i, j] + A[i, k] \times B[k, j]$

return  $C$



Basic operation: multiplication  
performs

Algorithm only one multiplication for every value  
of  $i, j$  and  $k$ .

Total number of multiplications  $M(n)$  is  
expressed by the following triple sum:

$$M(n) = \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} \sum_{k=0}^{n-1} 1$$

$$= \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} [n-1-0+1] = \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} n$$

$$= n \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} 1$$

$$= n \sum_{i=0}^{n-1} [n-1-0+1]$$

$$= n \sum_{i=0}^{n-1} 1 = n^2 [n-1-0+1] = n^3$$

$$M(n) = n^3 = O(n^3)$$

$$T(n) = C_m M(n) + C_a A(n)$$

$$= C_m n^3 + C_a n^3$$

$$T(n) = (C_m + C_a) n^3$$

4) Find the number of binary digits in the binary representation of a positive decimal integer.

Binary (n)

count = 1

while n > 1 do

count = count + 1

n ←  $\lfloor n/2 \rfloor$

return count

$$\frac{n}{2^k} = 1$$

$$n = 2^k$$

$$\log n = \log_2 2^k = \boxed{k = \log n}$$

basic operation: comparison.

$$\begin{array}{r} n = 8 \\ 8 \div 2 = 4 \\ 4 \div 2 = 2 \\ 2 \div 2 = 1 \\ 1 \div 2 = 0 \end{array}$$

$$\frac{4}{2^2} = 1$$

$$\frac{8}{2^3} = 1$$

$$\textcircled{1} \quad n = \cancel{64} 2 = 10$$

$$c = 1 - \boxed{2} \\ n = 1$$

$$n = 8 \\ 1000$$

$$\textcircled{2} \quad n = 4 = 100$$

$$c = 1$$

$$c = 2$$

$$n = 2 \\ \boxed{c = 3}$$

$$\textcircled{3} \quad n = 8 = 1000$$

$$c = 2$$

$$n = 4$$

$$c = 3$$

$$n = 2$$

$$\boxed{c = 4} \quad n = 1$$

$\log n$  times the loop is repeated.

The number of times the comparison ( $n > 1$ ) will be executed is larger than the number of repetitions of the loop's body by exactly 1.

$$\boxed{C(n) = \log_2 n + 1 = O(\log n)}$$

$$n = 8$$

$$\frac{8}{2} > 1, \frac{4}{2} > 1, \frac{2}{2} > 1, \frac{1}{2} > 1, 4 \text{ comparisons,}$$

$$\frac{8}{2} = 1, \frac{4}{2} = 1, \frac{2}{2} = 1, \frac{1}{2} = 1$$

$$\log_2 8 = \log_2 2^3 = 3$$

$$\frac{8}{2}, \frac{4}{2}, \frac{2}{2}, \frac{1}{2}$$

## Mathematical Analysis of recursive algorithm

1. compute factorial  $n!$

$$n! = 1 \cdot \dots \cdot (n-1) \cdot n = (n-1)! \cdot n, n \geq 1$$

$F(n)$

if  $n = 0$  return 1

else return  $F(n-1) \times n$

$$F(n) = F(n-1) \cdot n, n > 0$$

$M(n) \Rightarrow$  no. of multiplication

basic operation multiplication.

$$M(n) = M(n-1) + 1, n > 0$$

$$M(0) = 0, \text{ if } n = 0 \text{ no multiplication.}$$

$$F(0) = 1, 0! = 1.$$

Method of backward substitution method,

$$M(n) = M(n-1) + 1 \rightarrow \textcircled{1}$$

$$M(n-1) = M(n-2) + 1 \rightarrow \textcircled{2}$$

$$M(n-2) = M(n-3) + 1 \rightarrow \textcircled{3}$$

Sub  $\textcircled{3}$  in  $\textcircled{2}$

$$M(n-1) = [M(n-3) + 1] + 1 = M(n-3) + 2 \rightarrow \textcircled{4}$$

Sub  $\textcircled{4}$  in  $\textcircled{1}$

$$M(n) = [M(n-3) + 2] + 1$$

$$M(n) = M(n-3) + 3$$

In general

$$M(n) = M(n-i) + i$$

Sub  $i=n$

$$M(n) = M(n-n) + n$$

$$M(n) = M(0) + n \quad [M(0) = 0]$$

$$\boxed{M(n) = n}$$

$$\boxed{M(n) = O(n)}$$

$$\boxed{5! = 5 \times 4 \times 3 \times 2 \times 1}$$

= 5 multiplications

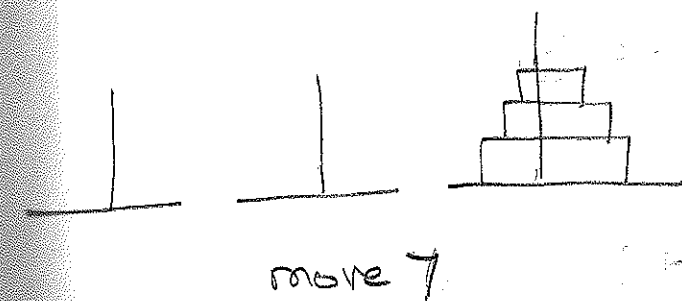
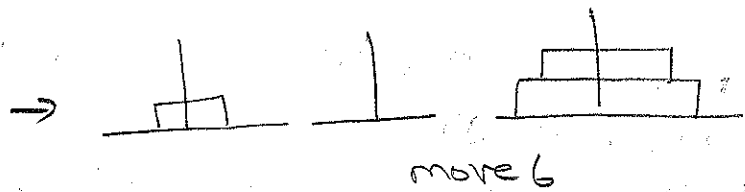
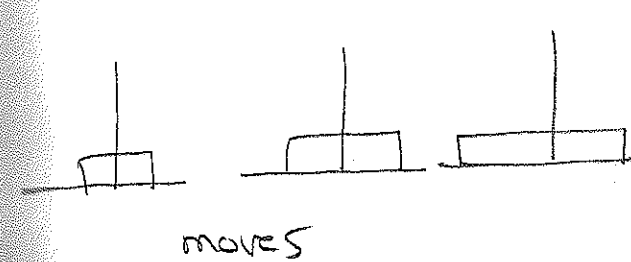
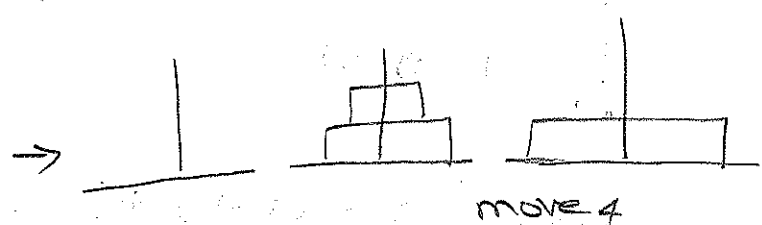
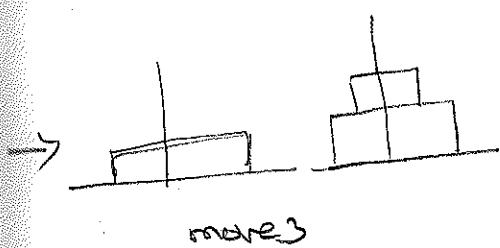
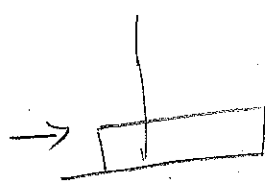
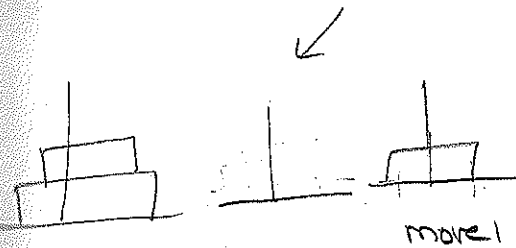
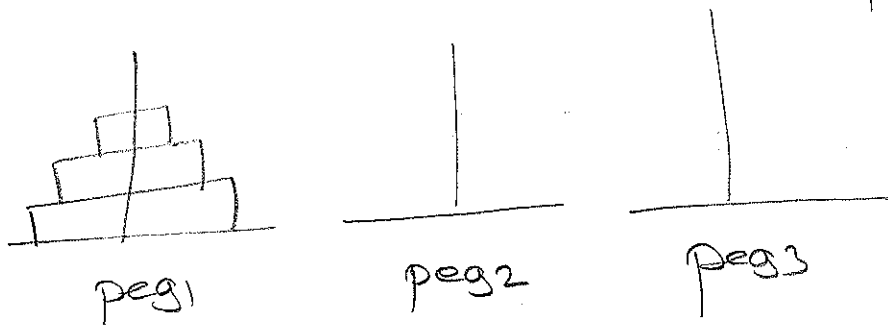


## 2. Tower of Hanoi puzzle.

1. only one disc can be moved at a time

2. Smaller placed on larger one, not vice versa.

3. move all disc from peg 1 to peg 3 using peg 2 as intermediate disc



no. of disc = 3.

$$\text{no. of moves} = 2^n - 1 = 2^3 - 1 = 7$$

$M(n) \Rightarrow$  total number of moves.

$$M(n) = M(n-1) + n + M(n-1), n > 1$$

Initial condition  
 $M(1) = 1.$

Recurrence relation is

$$\boxed{M(n) = 2M(n-1) + 1, n > 1}$$
$$M(1) = 1$$

$$M(n) = 2M(n-1) + 1 \rightarrow (1)$$

$$M(n-1) = 2M(n-2) + 1 \rightarrow (2)$$

$$M(n-2) = 2M(n-3) + 1 \rightarrow (3)$$

Sub (3) in (2)

$$M(n-1) = 2[2M(n-3) + 1] + 1$$

$$M(n-1) = 2^2 M(n-3) + 2 + 1 \rightarrow (4)$$

Sub (4) in (1)

$$M(n) = 2[2^2 M(n-3) + 2 + 1] + 1$$

$$= 2^3 M(n-3) + 2^2 + 2 + 1$$

Next

$$M(n) = 2^4 M(n-4) + 2^3 + 2^2 + 2 + 1$$

In general substitute

$$T(n) = 2^1 T(n-1) + 2^0 + 2^0 + \dots + 2^0 + 2^0$$

$$\sum_{i=0}^{n-1} 2^i = 2^n - 1$$

$$T(n) = 2 T(n-1) + 2^n - 1$$

Sub  $i = n-1$

$$T(n) = 2^{n-1} T(n-n+1) + 2^n - 1$$

$$= 2^{n-1} T(1) + 2^n - 1 \quad [T(1) = 1]$$

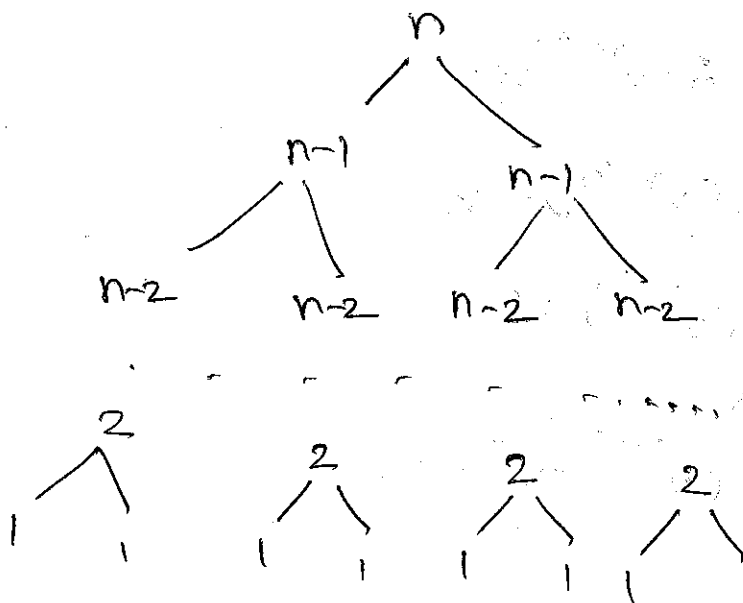
$$= 2^{n-1} \cdot 1 + 2^n - 1$$

$$= 2^n - 1$$

$$T(n) = 2^n - 1$$

$$T(n) = O(2^n)$$

Total recursive calls



2. Recursive algorithm to find number of <sup>binary</sup> digits in the binary representation of a decimal number.

BinRec(n)

if  $n = 1$  return 1

else return BinRec( $\lfloor n/2 \rfloor + 1$ )

basic operation: addition

$$A(n) = A(\lfloor n/2 \rfloor) + 1, \quad A(1) = 0$$

if  $n = 1$ , no addition.

$$n = 2^k, \quad k = \log n$$

$$A(2^k) = A(2^{k-1}) + 1, \quad A(2^0) = 0$$

$$A(2^{k-1}) = A(2^{k-2}) + 1$$

$$A(2^{k-2}) = A(2^{k-3}) + 1$$

$$A(2^{k-1}) = A(2^{k-3}) + 2$$

$$A(2^k) = A(2^{k-3}) + 3$$

In general

$$A(2^k) = A(2^{k-i}) + i$$

$$\text{sub } i = k$$

$$A(2^k) = A(2^{k-1}) + k$$

$$= A(2^0) + k \quad [A(0) = 0]$$

$$A(2^k) = k \quad [k = \log n, n = 2^k]$$

$$A(n) = \log n = O(\log n)$$

④ Fibonacci series Recurrence equation Solve the recurrence equation of the Fibonacci series  $T(n) = T(n-1) + T(n-2)$ , subject to  $T(0) = 0$  and  $T(1) = 1$

$$F(n) = F(n-1) + F(n-2), \quad n > 1$$

$$0 \quad 1 \quad 1 \quad 2 \quad 3 \quad 5 \quad 8 \quad 13 \quad \dots$$

$$F(0) = 0 \quad F(1) = 1$$

$$F(n) = F(n-1) + F(n-2), \quad n > 1$$

Initial condition,  $F(0) = 0$ ,  $F(1) = 1$

$$F(n) - F(n-1) - F(n-2) = 0$$

Sub  $F(n) = x^n$ , characteristic equation is

$$x^n - x^{n-1} - x^{n-2} = 0$$

divide by  $x^{n-2}$

$$\frac{x^n}{x^{n-2}} - \frac{x^{n-1}}{x^{n-2}} - \frac{x^{n-2}}{x^{n-2}} = 0$$

$$x^2 - x - 1 = 0$$

$$ax + bx + c = 0 \quad x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

$$a=1 \quad b=-1 \quad c=-1$$

$$x = \frac{1 \pm \sqrt{1 - 4 \cdot 1 \cdot (-1)}}{2}$$

$$x = \frac{1 \pm \sqrt{5}}{2}$$

$$x_1 = \frac{1 + \sqrt{5}}{2}$$

$$x_2 = \frac{1 - \sqrt{5}}{2}$$

$$FC(n) = \alpha x_1^n + \beta x_2^n$$

$$FC(n) = \alpha \left( \frac{1 + \sqrt{5}}{2} \right)^n + \beta \left( \frac{1 - \sqrt{5}}{2} \right)^n$$

Initial condition  $FC(0) = 0 \quad FC(1) = 1$

$$n=0 \quad FC(0) = \alpha \left( \frac{1 + \sqrt{5}}{2} \right)^0 + \beta \left( \frac{1 - \sqrt{5}}{2} \right)^0 = 0$$

$$\alpha + \beta = 0$$

$$n=1 \quad FC(1) = \alpha \left( \frac{1 + \sqrt{5}}{2} \right)^1 + \beta \left( \frac{1 - \sqrt{5}}{2} \right)^1 = 1$$

$$\alpha \left( \frac{1+\sqrt{5}}{2} \right)^1 + \beta \left( \frac{1-\sqrt{5}}{2} \right)^1 = 1$$

$$\begin{cases} \alpha + \beta = 0 & \text{--- (1)} \\ \alpha \left( \frac{1+\sqrt{5}}{2} \right) + \beta \left( \frac{1-\sqrt{5}}{2} \right) = 1 & \text{--- (2)} \end{cases}$$

From (1)  $\Rightarrow \beta = -\alpha \Rightarrow$  (3)

Sub (3) in (2)

$$\alpha \left( \frac{1+\sqrt{5}}{2} \right) - \alpha \left( \frac{1-\sqrt{5}}{2} \right) = 1$$

$$\cancel{\alpha/2} + \alpha \frac{\sqrt{5}}{2} - \cancel{\alpha/2} + \frac{\alpha\sqrt{5}}{2} = 1$$

$$\cancel{\alpha} \cdot \alpha \frac{\sqrt{5}}{2} = 1$$

$$\boxed{\alpha = 1/\sqrt{5}}$$

$$\boxed{\beta = -1/\sqrt{5}}$$

$$F(n) = \frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left( \frac{1-\sqrt{5}}{2} \right)^n$$

$$= \frac{1}{\sqrt{5}} \left( \left( \frac{1+\sqrt{5}}{2} \right)^n - \left( \frac{1-\sqrt{5}}{2} \right)^n \right)$$

$$F(n) = \frac{1}{\sqrt{5}} (\phi^n - \hat{\phi}^n)$$

$$\phi^n = \frac{1+\sqrt{5}}{2} = 1.618$$

$$\hat{\phi}^n = \frac{1-\sqrt{5}}{2} = -0.61 \quad [\text{between } -1 \text{ and } 0]$$

$F(n)$  grows exponentially.

$$F(n) = \frac{1}{\sqrt{5}} \phi^n$$

Algorithm

$F(n)$

if  $n \leq 1$  return  $n$

else return  $F(n-1) + F(n-2)$