

Reference Manual for Interacting Quantum Impurity Systems Simulating Toolkit

Core Developers:

Li Huang † and Yilin Wang ‡

Key Contributors:

Zi Yang Meng $^{\ddagger,\flat}$ and Liang Du^{\sharp}

Directors and Supervisors:

Philipp Werner † and Xi Dai ‡

[†]Department of Physics, University of Fribourg, 1700 Fribourg, Switzerland

[‡]Beijing National Laboratory for Condensed Matter Physics, and Institute of Physics, Chinese Academy of Sciences, Beijing 100190, China

^bDepartment of Physics, University of Toronto, Toronto, Ontario M5S 1A7, Canada

Department of Physics, The University of Texas at Austin, Austin, Texas 78712, USA

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To my lovely wife X. Zhao

L. H

To my lovely girlfriend X.Y. Mao

Y.L. Wang

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INTRODUCTION

1.1 What's iOIST?

The Interacting Quantum Impurity Solver Toolkit (dubbed iQIST) is an open source software package aiming to provide a full, reliable, flexible, and powerful tool chain for various quantum impurity models. It contains a few continuous-time quantum Monte Carlo impurity solvers (hybridization expansion version), a Hirsch-Fye quantum Monte Carlo impurity solver, and numerous prep-processed and post-processed tools. The iQIST is an all-in-one package. With it you can solve quantum impurity models and analyyze the calculated results easily and efficiently.

1.2 Motivation

Dynamical mean-field theory (DMFT)? and its cluster extensions?? play a very important role in contemporary studies of correlated electron systems. The broad applications of this technique range from the study of Mott transitions??, unconventional superconductivity in Cu- and Fe-based superconductors??????, and non-Fermi liquid behaviors???, to the investigation of anomalous transport properties of transition metal oxides?. For many of these applications, DMFT is the currently most powerful and reliable (sometimes the only) technique available and has in many cases produced new physical insights. Furthermore, the combination of *ab initio* calculation method (such as density function theory) with DMFT? allows to compute the subtle electronic properties of realistic correlated materials, includ-

2 INTRODUCTION

ing partially filled 3d- and 4d-electron transition metal oxides, where lattice, spin and orbital degrees of freedom all coupled?

The key idea of DMFT is to map the original correlated lattice model into a quantum impurity model whose mean-field bath is determined self-consistently??? Thus, the central task of a DMFT simulation becomes the numerical solution of the quantum impurity problem. During the past several decades, many methods have been tested as impurity solvers, including the exact diagonalization (ED)?, equation of motion (EOM)?, Hubbard-I approximation (HIA)?, iterative perturbation theory (IPT)?, non-crossing approximation (NCA)???, fluctuation-exchange approximation (FLEX)??, and quantum Monte Carlo (QMC)??. Among the methods listed above, the QMC method has several very important advantages, which makes it so far the most flexible and widely used impurity solver. First, it is based on the imaginary time action, in which the infinite bath has been integrated out. Second, it can treat arbitrary couplings, and can thus be applied to all kinds of phases including the metallic phase, insulating state, and phases with spontaneous symmetry breaking. Third, the QMC method is numerically exact with a ``controlled" numerical error. In other words, by increasing the computational effort the numerical error of the QMC simulation can be systematically reduced. For these reasons, the QMC algorithm is considered as the method of choice for many applications.

Several QMC impurity solvers have been developed in the past three decades. An important innovation was the Hirsch-Fye QMC (HF-QMC) impurity solver??, in which the time axis is divided into small time steps and the interaction term in the Hamiltonian is decoupled on each time step by means of a discrete Hubbard-Stratonovich auxiliary field. HF-QMC has been widely used in the early studies of DMFT???, but is limited by the discretization on the time axis and also by the form of the electronic interactions (usually only density-density interactions can be treated). Recently, a new class of more powerful and versatile QMC impurity solvers, continuous-time quantum Monte Carlo (CT-QMC) algorithms, have been invented???????. In the CT-QMC impurity solvers, the partition function of the quantum impurity problem is diagrammatically expanded, and then the diagrammatic expansion series is evaluated by stochastic Monte Carlo sampling. The continuous-time nature of the algorithm means that operators can be placed at any arbitrary position on the imaginary time interval, so that time discretization errors can be completely avoided. Depending on how the diagrammatic expansion is performed, the CT-QMC approach can be further divided into interaction expansion (or weak coupling) CT-QMC (CT-INT)?, auxiliary field CT-QMC (CT-AUX)?, and hybridization expansion (or strong coupling) CT-QMC (CT-HYB)???.

1.3 Software architecture 3

At present, the CT-HYB is the most popular and powerful impurity solver, since it can be used to solve multi-orbital impurity model with general interactions at low temperature? . In single-site DMFT calculations, the computational efficiency of CT-HYB is much higher than that of CT-INT and HF-QMC, especially when the interactions are strong. However, in order to solve more complicated quantum impurity models (for example, five-band or seven-band impurity model with general interactions and spin-orbital coupling) efficiently, further improvements of the CT-HYB impurity solvers are needed. In recent years many tricks and algorithms have been developed to increase the efficiency and accuracy of original CT-HYB impurity solver, such as the truncation approximation?, Krylov subspace iteration?, orthogonal polynomial representation??, PS quantum number?, lazy trace evaluation and skip listing methods?, and matrix product state implementation? . As the state-of-the-art CT-HYB impurity solvers become more sophisticated and specialized, it is not easy anymore to master all their facets and build ones implementations from scratch. Hence, we believe that it is a good time to provide a CT-HYB software package for the DMFT community such that researchers can focus more on the physical questions, instead of spending much time on (re-)implementing efficient codes. In fact, there are some valuable efforts in this direction, such as TRIQS?, ALPS?, w2dynamics?, Haule's code?, etc. However, a flexible, extensible, and highly efficient CT-HYB impurity solver is still lacking. The purpose of this paper is to present our solution -- the open source iQIST software package -- which contains several well-implemented and thoroughly tested modern CT-HYB impurity solvers, and the corresponding pre- and post-processing tools.

1.3 Software architecture

1.4 Main features

The iQIST is a powerful software package. It consists of many components (We just call the executable program as component in iQIST). The main components of iQIST is the continuous-time quantum Monte Carlo impurity solvers. So far these impurity solvers support the following features:

* Density-density interaction * General interaction * SOC interaction * Hubbard model and Hubbard-Holstein model * Frequency-dependent interaction * Orthogonal polynomial representation * Kernel polynomial representation * Improved estimator for self-energy * Single-particle Green's function $G(i\omega_n)$ * Two-particle correlation function $\chi(\omega,\omega',\nu)$ * Local irre-

4 INTRODUCTION

ducible vertex function $\Gamma(\omega, \omega', \nu)$ * Self-energy function $\Sigma(i\omega_n)$ * Histogram of perturbation expansion order * Kinetic and potential energies * (Double) occupation numbers, magnetic moment * Atomic state probability * Spin-spin correlation function * Orbital-orbital correlation function * Autocorrelation function and autocorrelation time * Divide-and-conquer algorithm * Sparse matrix multiplication * Good quantum numbers * Skip listing trick * Lazy trace evaluation * Dynamical truncation approximation

1.5 Development history

v0.2.0 // Aug -----

```
* add bin/clean.sh * add doc/guide support in src/build/Makefile
   v0.1.9 // Aug 18, 2014 -----
   * make new building/compiling system (src/build). * make setup shell script (bin/). * update CSSL
code (src/common/s_vector.f90). * refine azalea code (src/ctqmc/azalea). * refine ctqmc api (src/ctqm-
c/api).
   v0.1.8 // Aug 4, 2014 -----
   * add pansy code (experimental). * add manjushaka code (experimental). * add jasmine code (ex-
perimental). * implement CSSL and CSML codes (experimental).
   v0.1.7 // Jul 2, 2014 -----
   * add entropy code. * add stochastic code. * add swing code. * add toolbox code.
   v0.1.6 // Jul 2, 2014 -----
   * add iris code.
   v0.1.5 // Jul 2, 2014 -----
   * add daisy code.
   v0.1.4 // Jul 2, 2014 -----
   * add lavender code.
   v0.1.3 // Jul 2, 2014 -----
   * add begonia code.
   v0.1.2 // Jul 2, 2014 -----
   * change the file mode for gardenia code.
   v0.1.1 // Jul 2, 2014 -----
   * change the file mode for azalea code.
```

v0.1.0 // Jul 2, 2014
* init the whole directory structure.
v0.0.0 // Jul 2, 2014
* init the project.

1.6 Policy and licences

The iQIST software package is released under the General Public Licence 3.0 (GPL) or later version. We are sorry. We DO NOT provide any technical support now. If you meet some problems when you are using iQIST. You can write a letter to us. But we can not guarantee we will reply you.

INSTALLATION

- 2.1 Obtain
- 2.2 Uncompress
- 2.3 Directory structures
- 2.4 Compiling environment

2.5 Compiling system

In order to compile and install iQIST correctly, you should ensure the following softwares are correctly installed and configured in your OS.

* Intel Fortran compiler * MPICH2 or OpenMPI * BLAS * LAPACK * Python 2.X * scipy, numpy, and f2py

The downloaded iQIST software package is likely a compressed file with zip or tar.gz suffix. The users should uncompress it at first. And then go to the iqist/src/build directory, edit the make.sys file to configure the compiling environment. Once the compiling environment is configured, please run the make command in the top-level directory of iQIST. After a few minutes (depending on the performance of compiling platform), the iQIST is ready for you. Note that all of the executable programs will be copied into the iqist/bin directory automatically. Please add this directory into the system environment

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variable PATH.

- 2.6 Build impurity solvers
- 2.7 Build auxiliary tools
- 2.8 Build documents
- 2.9 Build application programming interfaces

RUNNING

- 3.1 Configure your system
- 3.2 Create input files
- 3.3 Execute codes
- **3.4** Monitor and Profile

STANDARD INPUT FILES

- 4.1 solver.ctqmc.in
- 4.2 solver.eimp.in
- 4.3 solver.hyb.in
- 4.4 solver.anydos.in
- 4.5 solver.ktau.in
- 4.6 atom.cix

STANDARD OUTPUT FILES

- 4	.	•
5.1	Termina	ıl output

- **5.1.1** out.dat
- 5.2 File output
- 5.2.1 solver.green.dat
- 5.2.2 solver.green.bin
- 5.2.3 solver.weiss.dat
- 5.2.4 solver.hybrid.dat
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- 5.2.13 solver.twop.dat
- 5.2.14 solver.vrtx.dat

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Chapter 6

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6.2 issun

6.3 isspn

6.4 isbin

6.5 isort

6.6 isvrt

6.7 isscr

6.8 nband

6.9 nspin

6.10 norbs

6.11 ncfgs

6.12 nzero

6.13 nvect

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Chapter 7

AUXILIARY TOOLS

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- 7.2.2 Maximum entropy method: entropy2
- 7.2.3 Stochastic analytical continuation: sac
- 7.2.4 Analytical continuation for self-energy: swing
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- 7.2.6 toolbox/makedos
- 7.2.7 toolbox/makekra
- 7.2.8 toolbox/makescr
- 7.2.9 toolbox/makesig
- 7.2.10 toolbox/makestd
- 7.2.11 toolbox/maketau
- 7.2.12 toolbox/makeups
- 7.2.13 script/pysci.py
- 7.2.14 script/check.py

7.3 Parquet component

APPLICATION PROGRAMMING INTERFACES

- 8.1 Fortran binding
- 8.2 Python binding
- 8.3 iqist.py

iQIST IN ACTION

iQIST IN ACTION

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9.1.1	Hello iQIST!
9.1.2	Mott metal-insulator transition
9.2	Advanced applications I: Complex systems
9.2.1	General Coulomb interaction
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9.5 Practical exercises

9.5.1 Orbital-selective Mott transition in two-band Hubbard model

INSIDE iQIST

Chapter 10

INSIDE *i***QIST**

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10.1.1	Quantum impurity model
10.1.2	Principles of continuous-time quantum Monte Carlo algorithm
10.1.3	Hybridization expansion
10.1.4	Physical observables
10.1.5	Two-particle measurements and DMFT + Parquet formalism
10.2	Implementations and optimizations
10.2.1	Development platform
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10.2.6	Truncation approximation
10.2.7	Lazy trace evaluation

10.2.8 Divide-and-conquer and sparse matrix tricks

10.2.9 Parallelization

Appendix

A.1 TODO