

# **Algorithm Design VI**

Decompositions of Graphs

Guoqiang Li School of Software, Shanghai Jiao Tong University



### An Exercise



Let B be an  $n \times n$  chessboard, where n is a power of 2. Use a divide-and-conquer argument to describe how to cover all squares of B except one with L-shaped tiles. For example, if n=2, then there are four squares three of which can be covered by one L-shaped tile, and if n=4, then there are 16 squares of which 15 can be covered by 5 L-shaped tiles.

分治法:先将n x n的棋盘分为四个(n / 2) \* (n / 2)的子棋盘,在除去右下角的棋盘之外的三个棋盘中,递归的求解其需要的 L形状的个数,之后将这三个产生的那一个未被覆盖的格子通过旋转,拼接成一个新的L形状,因而,总的需要的L形状的个数为:4 \* N + 1,其中N为每一个子棋盘需要的L型个数。

**Decompositions of Graphs** 

## **Exploring Graphs**



一个EXPLORE算法是找到所有与参数节点v相通(有路径可以到达的)的节点。

## **Depth-First Search**



```
\begin{aligned} &\text{DFS}\left(G\right) \\ &\text{for } all \ v \in V \ \text{do} \\ &\mid \ visited(v) = false; \\ &\text{end} \\ &\text{for } all \ v \in V \ \text{do} \\ &\mid \ \text{if } \underbrace{not \ visited(v)}_{} \text{then } \text{Explore}\left(G, v\right); \\ &\text{end} \end{aligned}
```

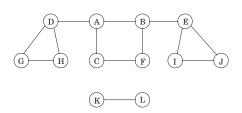


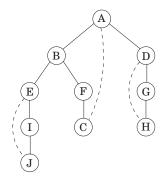
Those edges in G that are traversed by EXPLORE are tree edges.



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The rest are back edges.







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A connected component is a subgraph that is internally connected but has no edges to the remaining vertices.

When EXPLORE is started at a particular vertex, it identifies precisely the connected component containing that vertex.

Each time the DFS outer loop calls EXPLORE, a new connected component is picked out.



DFS is trivially adapted to check if a graph is connected.



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More generally, to assign each node v an integer ccnum[v] identifying the connected component to which it belongs.

```
\begin{aligned} & \texttt{PREVISIT}\left(v\right) \\ & ccnum[v] = cc; \end{aligned}
```

where cc needs to be initialized to zero and to be incremented each time the DFS procedure calls EXPLORE.

DFS每次调用都会找出一个完整的连通分量,所以一次新的对于EXPLORE的调用就将对应于一个新的连通分量



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```
PREVISIT (v)

pre[v] = clock;

clock + +;
```

```
POSTVISIT(v) post[v] = clock; clock + +;
```



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```

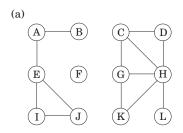
#### Lemma

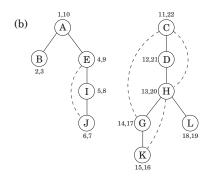
For any nodes u and v, the two intervals [pre(u), post(u)] and [pre(v), post(v)] are either disjoint or one is contained within the other.

包含的情况:从u出发可以到达v或者从v出发到达了u。对于第一种情况,由于递归,v进入对应的prevVi si t肯定会晚于u节点,而v节点的PostVi si t的时间一定会早于u节点。 不相交的情况:u节点与v节点之间没有路径联通。



23,24









DFS yields a search tree/forests.

root.



- root.
- · descendant and ancestor.



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- parent and child.



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- Tree edges are actually part of the DFS forest.



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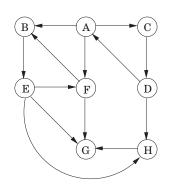
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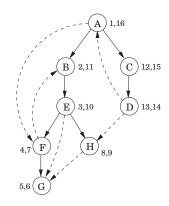


- root.
- descendant and ancestor.
- parent and child.
- Tree edges are actually part of the DFS forest. (tree edge: DFS遍历过程中会经过的边)
- Forward edges lead from a node to a nonchild descendant in the DFS tree. 点的后继节点)
- Back edges lead to an ancestor in the DFS tree.
- Cross edges lead to neither descendant nor ancestor.
  - 对于横跨边u->v,v一定是先被DFS访问的,不然的话这个就不是cross edge而是一个tree edge

# **Directed Graphs**







## **Types of Edges**



```
pre/post ordering for (u,v) Edge type \begin{bmatrix} u & [v & ]_v & ]_u & \text{Tree/forward} \\ [v & [u & ]_u & ]_v & \text{Back} \\ [v & ]_v & [u & ]_u & \text{Cross} \end{bmatrix}
```

## **Types of Edges**



```
pre/post ordering for (u, v)
                              Edge type
                             Tree/forward
                                Back
                                Cross
```

#### Q: Is that all?

- 是的。因为对于边u--->v不可能存在uuvv的时间情况。 证明: 对于边u-->v,有如下几种情况: 1. v是u的后继,则这条边是一个tree/forward edge,因而应该是uvvu 2. v是u的前驱,则这条边应该是一个back edge,因而应该是vuvu 3. v与u之间无path,则路径u-->v是一个cross edge,因而应该是vvuu,而不能是uuvv, 否则的话,若u先访问而见v有一条边,也应该是uvvu(情况1) 综上,是不存在uuvv这种情况的



### **Definition**

A cycle in a directed graph is a circular path

$$v_0 \to v_1 \to v_2 \to \dots v_k \to v_0$$



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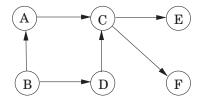
$$v_0 \to v_1 \to v_2 \to \dots v_k \to v_0$$

#### Lemma

A directed graph has a cycle if and only if its depth-first search reveals a back edge.

证明:1."=>":因为存在环路,所以有v0->v1->...vk->v0.设通过DFS当前访问到了节点vi(i <= k).则有之后的DFS路径为vi->vi+1 ->...v0->v1->...v(i-1)。所以可以发现,v(i-1)是vi的前驱,但是vi有一条到v(i-1)的边,则这条边就是一个back edge 2."<=":设这个back edge为u-->v。则根据back edge定义可以知道u是v的后继(不一定是直接后继)。所以存在路径v->v1->...->u 是节点v到节点u的tree edge path。所以这个路径与边u->v就构成了一个从u出发又回到u的环路,所以,综上,"<==>"(if and only if)成立







Linearization/Topologically Sort: Order the vertices such that every edge goes from a earlier vertex to a later one.



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DAG的线性序列/拓扑排序

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DFS tells us exactly how to do it: perform tasks in decreasing order of their post numbers.

The only edges (u, v) in a graph for which post(u) < post(v) are back edges, and we have seen that a DAG cannot have back edges.

证明:根据前述内容可以知道,拓扑排序的定义时使得每一条边u-->v,都有u的序列编号小于v的。而对于一个图中的edge,其post关系只有uvvu,vuuv,vvuu几种。而对于DAG,其中不存在back edge,所以只有uvvu和vvuu两种情况。所以在DAG中的任意一条边u-->v,都有u的post > v的post。所以通过对于post进行降序排列得到拓扑序列的方式是合理的



#### Lemma

In a DAG, every edge leads to a vertex with a lower post number.



There is a linear-time algorithm for ordering the nodes of a DAG.



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The vertex with the smallest post number comes last in this linearization, and it must be a sink - no outgoing edges.



There is a linear-time algorithm for ordering the nodes of a DAG. (就相当于进行了一次DFS并同时进行time的编号)

Acyclicity, linearizability, and the absence of back edges during a depth-first search - are the same thing.

The vertex with the smallest post number comes last in this linearization, and it must be a sink - no outgoing edges.

Symmetrically, the one with the highest post is a source, a node with no incoming edges.

sink:溯,只进不出 source:源,只出不进



#### Lemma

Every DAG has at least one source and at least one sink.



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#### Lemma

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The guaranteed existence of a source suggests an alternative approach to linearization:

- Find a source, output it, and delete it from the graph.
- 2 Repeat until the graph is empty.

使用邻接表较好,同时用一个队列来记录结果序列,这里的"删除"可以通过遍历要移除节点对应的领接表行来实现。即,将里面的每个节点的入度-1

# **Strongly Connected Components**

(强联通分量)

# **Defining Connectivity for Directed Graphs**



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Two nodes u and v of a directed graph are connected if there is a path from u to v and a path from v to u.

一个SCC可以理解为一个有向图的最大联通子图

This relation partitions V into disjoint sets that we call strongly connected components (SCC).

#### Lemma

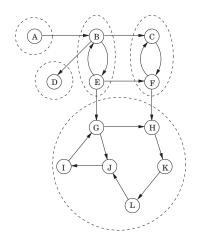
Every directed graph is a DAG of its SCC.

这个Lemma说的是将一个有向图中的每一个SCC都收缩为一个节点,则收缩之后的图为一个DAG。证明:直接反证。如果收缩之后的图不是DAG,则其中存在环路,则对于两个SCC,就说明其中里至少各存在一个点是相互联通的,所以这两个SCC本可以合并,而这与SCC的定义是矛盾的(因为此时SCC不再是最大的连通子图了)。所以一定是一个DAG

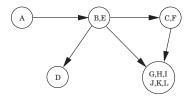
# **Strongly Connected Components**



(a)



(b)





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If we call explore on a node that lies somewhere in a sink SCC, then we will retrieve exactly that component.

We have two problems:

1 How do we find a node that we know for sure lies in a sink SCC?



#### Lemma

If the EXPLORE subroutine at node u, then it will terminate precisely when all nodes reachable from u have been visited.

这个与无向图是类似的,对于一个节点u的一次EXPLORE结果是返回所有u能到达的节点

If we call explore on a node that lies somewhere in a sink SCC, then we will retrieve exactly that component. (SCC)

We have two problems:

- 1 How do we find a node that we know for sure lies in a sink SCC?
- 2 How do we continue once this first component has been discovered?



#### Lemma

The node that receives the highest post number in a depth-first search must lie in a source SCC.



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#### Lemma

If C and C' are SCC, and there is an edge from a node in C to a node in C', then the highest post number in C is bigger than the highest post number in C'.



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#### Lemma

If C and C' are SCC, and there is an edge from a node in C to a node in C', then the highest post number in C is bigger than the highest post number in C'.

Hence the SCCs can be linearized by arranging them in decreasing order of their highest post numbers.

对于上述第二个lemma的证明:对于lemma中存在的C-->C'的边,设其为u-->v.则只有u先被访问与v先被访问两种情况。对于u先被访问的情况,在u通过边u-->v到达C'之后,v会将C'中所有点都访问完之后再返回点u(DFS算法),所以u的post num大于C'中任意一个post num, 而u是先被访问的,所以C的最大post一定会大于等于u的post,所以C的post\_max > C'的post\_max 对于v先被访问的情况,则u只能被后访问(因为存在u到v的一条边,且为一个DAG),所以C的post\_max一定大于C'的post\_max。同时,根据上述的Iemma2,还可以知道最大的post\_num对应的点一定在source SCC中,因为在对于DFS进行拓扑一定是从一个source SCC开始的。



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If we do a depth-first search of  $G^R$ , the node with the highest post number will come from a source SCC in  $G^R$ .



转置图:构成的SCC不变,但是post\_num会反过来,可以用转置图的方式来找到原图的sink SCC(即转置图中的source SCC) Consider the reverse graph  $G^R$ , the same as G but with all edges reversed.

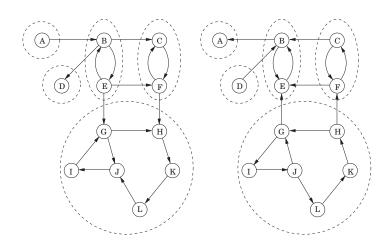
 $G^R$  has exactly the same SCCs as G.

If we do a depth-first search of  $G^R$ , the node with the highest post number will come from a source SCC in  $G^R$ .

It is a sink SCC in G.

# **Strongly Connected Components**







Once we have found the first SCC and deleted it from the graph, the node with the highest post number among those remaining will belong to a sink SCC of whatever remains of G.



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Therefore we can keep using the post numbering from our initial depth-first search on  $G^R$  to successively output the second strongly connected component, the third SCC, and so on.

## **The Linear-Time Algorithm**



1 Run depth-first search on  $G^R$ .

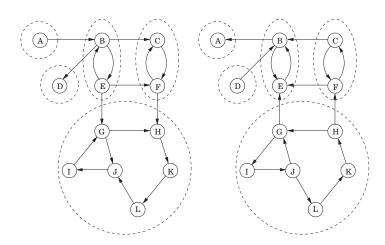
### The Linear-Time Algorithm



- 1 Run depth-first search on  $G^R$ .
- 2 Run the EXPLORE algorithm on G, and during the depth-first search, process the vertices in decreasing order of their post numbers from step 1.

# **Strongly Connected Components**





### **Think About**



How the SCC algorithm works when the graph is very, very huge?

### **Think About**



How about edges instead of paths?

# **Exercises**

#### **Exercises 1**



Suppose a CS curriculum consists of n courses, all of them mandatory. The prerequisite graph G has a node for each course, and an edge from course v to course w if and only if v is a prerequisite for w. Find an algorithm that works directly with this graph representation, and computes the minimum number of semesters necessary to complete the curriculum (assume that a student can take any number of courses in one semester). The running time of your algorithm should be linear.

考的是拓扑排序过程。就是在每次将最新的一批课程(即当前的sources)放入队列时将结果计数器加1,因为这些课程没有先修课程,可以一学期一起上完(0A0),所以当最终拓扑排序结束的时候就得到了最少需要的学期数量。

#### **Exercises 2**



Give an efficient algorithm which takes as input a directed graph G=(V,E), and determines whether or not there is a vertex  $s\in V$  from which all other vertices are reachable.

先找出SCC(O(N)), 在对于SCC收缩之后的DAG进行DFS(O(N)), 如果能找到DAG中的某一个点能到达其他所有的节点,则就存在这样的V,并且这个V可以是对应的DAG节点对应的SCC中的任意一个节点。