



Chapter 2: Intro to Relational Model

Database System Concepts, 7th Ed.

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Outline

- Structure of Relational Databases
- Database Schema
- Keys
- Schema Diagrams
- Relational Query Languages
- The Relational Algebra



Example of a *Instructor* Relation

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>
10101	Srinivasan	Comp. Sci.	65000
12121	Wu	Finance	90000
15151	Mozart	Music	40000
22222	Einstein	Physics	95000
32343	El Said	History	60000
33456	Gold	Physics	87000
45565	Katz	Comp. Sci.	75000
58583	Califieri	History	62000
76543	Singh	Finance	80000
76766	Crick	Biology	72000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000

attributes
(or columns)

tuples
(or rows)



Attribute

(可以理解为**列**)

- The set of allowed values for each attribute is called the **domain** of the attribute (属性的主值)
- Attribute values are (normally) required to be **atomic**; that is, indivisible (可以理解为，数据库中的每个关系的每一列都应该是一个相对独立的属性)
- The special value **null** is a member of every domain. Indicated that the value is “unknown”
- The null value causes complications in the definition of many operations



Relations are Unordered

关系在数据可中的表中体现为无序的，但是在利用索引进行查找时可以是有序的(如B树hash等)

- Order of tuples is irrelevant (tuples may be stored in an arbitrary order)
- Example: *instructor* relation with unordered tuples

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>
22222	Einstein	Physics	95000
12121	Wu	Finance	90000
32343	El Said	History	60000
45565	Katz	Comp. Sci.	75000
98345	Kim	Elec. Eng.	80000
76766	Crick	Biology	72000
10101	Srinivasan	Comp. Sci.	65000
58583	Califieri	History	62000
83821	Brandt	Comp. Sci.	92000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
76543	Singh	Finance	80000



Database Schema

- Database schema -- 可以理解为数据库的框架 is the logical structure of the database.
- Database instance -- is a snapshot of the data in the database at a given instant in time.
- Example:
 - schema: *instructor (ID, name, dept_name, salary)*
 - Instance:

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>
22222	Einstein	Physics	95000
12121	Wu	Finance	90000
32343	El Said	History	60000
45565	Katz	Comp. Sci.	75000
98345	Kim	Elec. Eng.	80000
76766	Crick	Biology	72000
10101	Srinivasan	Comp. Sci.	65000
58583	Califieri	History	62000
83821	Brandt	Comp. Sci.	92000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
76543	Singh	Finance	80000



Keys

superkey即为某一行R中可以特定的索引出某一特定行的一些属性的集合(不一定只包含一个属性)

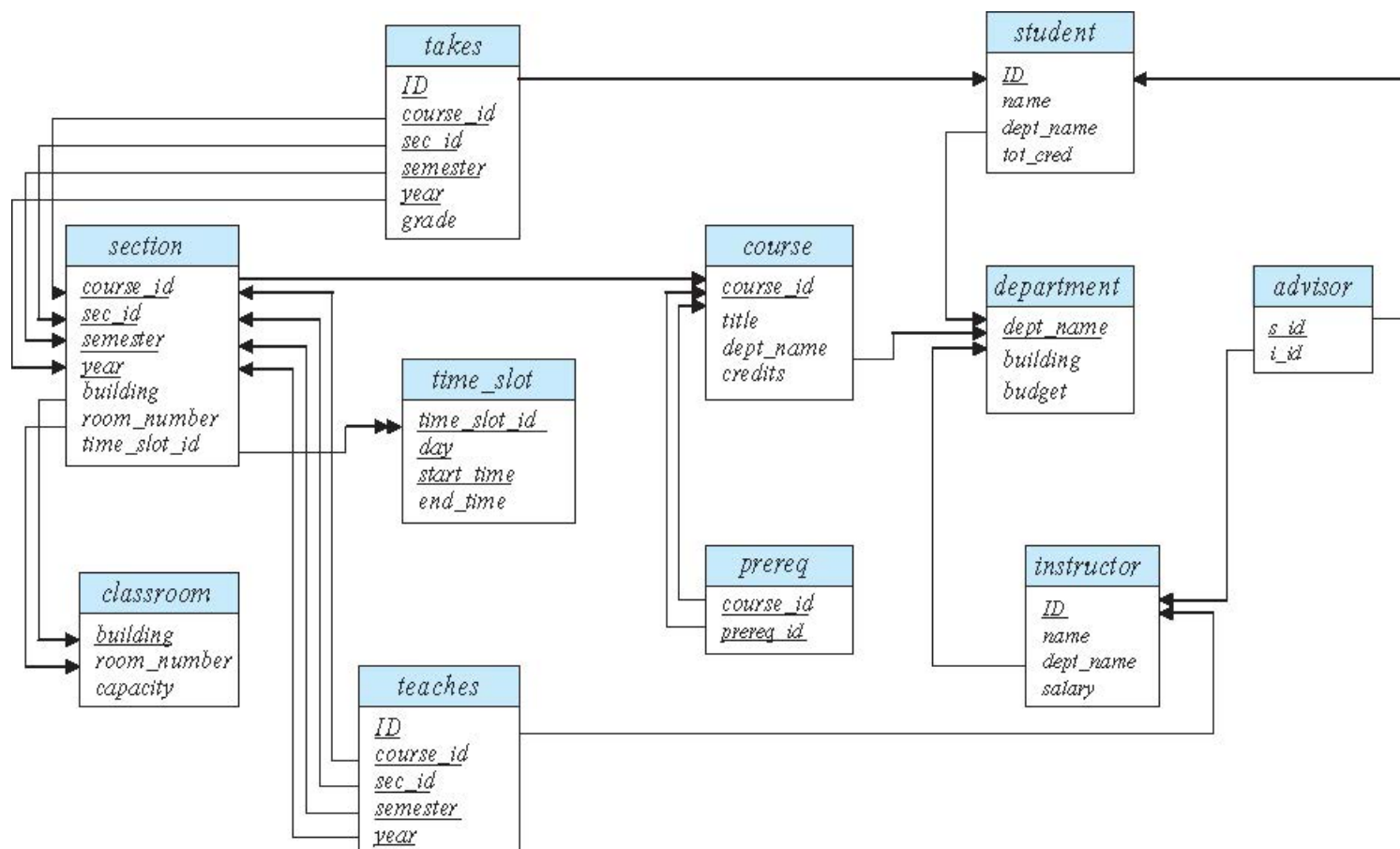
- Let $K \subseteq R$
- K is a **superkey** of R if values for K are sufficient to identify a unique tuple of each possible relation $r(R)$
 - Example: $\{ID\}$ and $\{ID, name\}$ are both superkeys of *instructor*.
- Superkey K is a **candidate key** if K is **minimal**
Example: $\{ID\}$ is a candidate key for *Instructor*

candidate key可以理解
为superkey中那些长度
为某个最小值的key的集
合
- One of the candidate keys is **selected to be the primary key**.
 - which one?
foreign key是从另外的
table中引用过来的key
(s)
- **Foreign key** constraint: Value in one relation must **appear in another**
 - **Referencing** relation
 - **Referenced** relation
 - Example – *dept_name* in *instructor* is a foreign key from *instructor* referencing *department*



Schema Diagram for University Database

加下划线的属性表示被选中作为一个key





Relational Query Languages

- Procedural versus non-procedural, or declarative
- “Pure” languages:
 - Relational algebra
 - Tuple relational calculus
 - Domain relational calculus
- The above 3 pure languages are equivalent in computing power
- We will concentrate in this chapter on relational algebra
 - Not turning-machine equivalent
 - Consists of 6 basic operations



Relational Algebra

(关系代数)

- A procedural language consisting of a set of operations that take one or two relations as input and produce a new relation as their result.
- Six basic operators
 - select: σ
 - project: Π
 - union: \cup
 - set difference: $-$
 - Cartesian product: \times
 - rename: ρ



Select Operation

- The **select** operation selects tuples that satisfy a given predicate.
- Notation: $\sigma_p(r)$
- p is called the **selection predicate** (选择谓词限制条件)
- Example: select those tuples of the *instructor* relation where the instructor is in the “Physics” department.
 - Query

$\sigma_{dept_name = \text{“Physics”}}(instructor)$

- Result

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>
22222	Einstein	Physics	95000
33456	Gold	Physics	87000



Select Operation (Cont.)

- We allow comparisons using

$=, \neq, >, \geq, <, \leq$

in the selection predicate.

- We can combine several predicates into a larger predicate by using the connectives:

\wedge (**and**), \vee (**or**), \neg (**not**) 用的是谓词逻辑

- Example: Find the instructors in Physics with a salary greater \$90,000, we write:

$\sigma_{dept_name = \text{"Physics"} \wedge salary > 90,000} (instructor)$

- Then select predicate may include comparisons between two attributes.
 - Example, find all departments whose name is the same as their building name:
 - $\sigma_{dept_name = building} (department)$



Project Operation (投影操作)

- A unary operation that returns its argument relation, with certain attributes left out.

就是选择符合条件的列，
并将重复的列删去

- Notation:

$$\Pi_{A_1, A_2, A_3 \dots A_k} (r)$$

where A_1, A_2 are attribute names and r is a relation name.

- The result is defined as the relation of k columns obtained by erasing the columns that are not listed
- Duplicate rows removed from result, since relations are sets



Project Operation (Cont.)

- Example: eliminate the *dept_name* attribute of *instructor*
- Query:

$\Pi_{ID, name, salary}(instructor)$

- Result:

<i>ID</i>	<i>name</i>	<i>salary</i>
10101	Srinivasan	65000
12121	Wu	90000
15151	Mozart	40000
22222	Einstein	95000
32343	El Said	60000
33456	Gold	87000
45565	Katz	75000
58583	Califieri	62000
76543	Singh	80000
76766	Crick	72000
83821	Brandt	92000
98345	Kim	80000



Composition of Relational Operations

- The result of a relational-algebra operation is relation and therefore of relational-algebra operations can be composed together into a **relational-algebra expression**.
- Consider the query -- Find the names of all instructors in the Physics department.

$$\Pi_{name}(\sigma_{dept_name = "Physics"}(instructor))$$

- Instead of giving the name of a relation as the argument of the projection operation, we give an expression that evaluates to a relation.



Cartesian-Product Operation

- The Cartesian-product operation (denoted by \times) allows us to combine information from any two relations.
- Example: the Cartesian product of the relations *instructor* and *teaches* is written as:

instructor \times *teaches*

- We construct a tuple of the result out of each possible pair of tuples: one from the *instructor* relation and one from the *teaches* relation (see next slide)
- Since the instructor *ID* appears in both relations we distinguish between these attribute by attaching to the attribute the name of the relation from which the attribute originally came.
 - *instructor.ID*
 - *teaches.ID*



The *instructor x teaches* table

虽然结果中有些数据可能不符合实际，但是可以通过之后的其他指令进行进一步的筛选。

<i>Instructor.ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>	<i>teaches.ID</i>	<i>course_id</i>	<i>sec_id</i>	<i>semester</i>	<i>year</i>
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2017
10101	Srinivasan	Comp. Sci.	65000	10101	CS-315	1	Spring	2018
10101	Srinivasan	Comp. Sci.	65000	10101	CS-347	1	Fall	2017
10101	Srinivasan	Comp. Sci.	65000	12121	FIN-201	1	Spring	2018
10101	Srinivasan	Comp. Sci.	65000	15151	MU-199	1	Spring	2018
10101	Srinivasan	Comp. Sci.	65000	22222	PHY-101	1	Fall	2017
...
...
12121	Wu	Finance	90000	10101	CS-101	1	Fall	2017
12121	Wu	Finance	90000	10101	CS-315	1	Spring	2018
12121	Wu	Finance	90000	10101	CS-347	1	Fall	2017
12121	Wu	Finance	90000	12121	FIN-201	1	Spring	2018
12121	Wu	Finance	90000	15151	MU-199	1	Spring	2018
12121	Wu	Finance	90000	22222	PHY-101	1	Fall	2017
...
...
15151	Mozart	Music	40000	10101	CS-101	1	Fall	2017
15151	Mozart	Music	40000	10101	CS-315	1	Spring	2018
15151	Mozart	Music	40000	10101	CS-347	1	Fall	2017
15151	Mozart	Music	40000	12121	FIN-201	1	Spring	2018
15151	Mozart	Music	40000	15151	MU-199	1	Spring	2018
15151	Mozart	Music	40000	22222	PHY-101	1	Fall	2017
...
...
22222	Einstein	Physics	95000	10101	CS-101	1	Fall	2017
22222	Einstein	Physics	95000	10101	CS-315	1	Spring	2018
22222	Einstein	Physics	95000	10101	CS-347	1	Fall	2017
22222	Einstein	Physics	95000	12121	FIN-201	1	Spring	2018
22222	Einstein	Physics	95000	15151	MU-199	1	Spring	2018
22222	Einstein	Physics	95000	22222	PHY-101	1	Fall	2017
...
...



Join Operation

- The Cartesian-Product

instructor X teaches

associates every tuple of *instructor* with every tuple of *teaches*.

- Most of the resulting rows have information about instructors who did NOT teach a particular course.
- To get only those tuples of “*instructor X teaches*” that pertain to instructors and the courses that they taught, we write:

$$\sigma_{instructor.id = teaches.id} (instructor \times teaches)$$

- We get only those tuples of “*instructor X teaches*” that pertain to instructors and the courses that they taught.
- The result of this expression, shown in the next slide



Join Operation (Cont.)

- The table corresponding to:

$\sigma_{instructor.id = teaches.id} (instructor \times teaches)$

<i>Instructor.ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>	<i>teaches.ID</i>	<i>course_id</i>	<i>sec_id</i>	<i>semester</i>	<i>year</i>
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2017
10101	Srinivasan	Comp. Sci.	65000	10101	CS-315	1	Spring	2018
10101	Srinivasan	Comp. Sci.	65000	10101	CS-347	1	Fall	2017
12121	Wu	Finance	90000	12121	FIN-201	1	Spring	2018
15151	Mozart	Music	40000	15151	MU-199	1	Spring	2018
22222	Einstein	Physics	95000	22222	PHY-101	1	Fall	2017
32343	El Said	History	60000	32343	HIS-351	1	Spring	2018
45565	Katz	Comp. Sci.	75000	45565	CS-101	1	Spring	2018
45565	Katz	Comp. Sci.	75000	45565	CS-319	1	Spring	2018
76766	Crick	Biology	72000	76766	BIO-101	1	Summer	2017
76766	Crick	Biology	72000	76766	BIO-301	1	Summer	2018
83821	Brandt	Comp. Sci.	92000	83821	CS-190	1	Spring	2017
83821	Brandt	Comp. Sci.	92000	83821	CS-190	2	Spring	2017
83821	Brandt	Comp. Sci.	92000	83821	CS-319	2	Spring	2018
98345	Kim	Elec. Eng.	80000	98345	EE-181	1	Spring	2017



Join Operation (Cont.)

- The **join** operation allows us to combine a select operation and a Cartesian-Product operation into a single operation.
- Consider relations $r(R)$ and $s(S)$
- Let “theta” be a predicate on attributes in the schema $R \cup S$. The join operation $r \bowtie_{\theta} s$ is defined as follows:

$$r \bowtie_{\theta} s = \sigma_{\theta}(r \times s)$$

- Thus

$$\sigma_{instructor.id = teaches.id}(instructor \times teaches)$$

- Can equivalently be written as

$$instructor \bowtie_{Instructor.id = teaches.id} teaches.$$



Union Operation

- The union operation allows us to combine two relations
- Notation: $r \cup s$
- For $r \cup s$ to be valid.
 1. r, s must have the same **arity** (same number of attributes)
 2. The attribute domains must be **compatible** (example: 2nd column of r deals with the same type of values as does the 2nd column of s)
(属性数量必须相同，并且相同位置(列)的属性内容要相同)
- Example: to find all courses taught in the Fall 2017 semester, or in the Spring 2018 semester, or in both

$$\Pi_{course_id} (\sigma_{semester="Fall" \wedge year=2017} (section)) \cup$$
$$\Pi_{course_id} (\sigma_{semester="Spring" \wedge year=2018} (section))$$



Union Operation (Cont.)

- Result of:

$$\Pi_{course_id} (\sigma_{semester="Fall" \wedge year=2017} (section)) \cup \\ \Pi_{course_id} (\sigma_{semester="Spring" \wedge year=2018} (section))$$

<i>course_id</i>
CS-101
CS-315
CS-319
CS-347
FIN-201
HIS-351
MU-199
PHY-101



Set-Intersection Operation

- The set-intersection operation allows us to find tuples that are in both the input relations.
- Notation: $r \cap s$
- Assume:
 - r, s have the *same arity*
 - attributes of r and s are compatible
- Example: Find the set of all courses taught in both the Fall 2017 and the Spring 2018 semesters.

$$\Pi_{\text{course_id}} (\sigma_{\text{semester}=\text{"Fall"} \wedge \text{year}=2017}(\text{section})) \cap \Pi_{\text{course_id}} (\sigma_{\text{semester}=\text{"Spring"} \wedge \text{year}=2018}(\text{section}))$$

- Result

<i>course_id</i>
CS-101



Set Difference Operation

- The set-difference operation allows us to find tuples that are in one relation but are not in another.
- Notation $r - s$ 结果是属于r但是不属于s的属性对应的列
- Set differences must be taken between **compatible** relations.
 - r and s must have the **same** arity
 - attribute domains of r and s must be compatible
- Example: to find all courses taught in the Fall 2017 semester, but not in the Spring 2018 semester

$$\Pi_{course_id}(\sigma_{semester="Fall" \wedge year=2017}(section)) - \Pi_{course_id}(\sigma_{semester="Spring" \wedge year=2018}(section))$$

<i>course_id</i>
CS-347
PHY-101



The Assignment Operation

- It is convenient at times to write a relational-algebra expression by assigning parts of it to temporary relation variables.
- The assignment operation is denoted by \leftarrow and works like assignment in a programming language.
- Example: Find all instructor in the “Physics” and Music department.

Physics $\leftarrow \sigma_{dept_name = \text{“Physics”}}(instructor)$

Music $\leftarrow \sigma_{dept_name = \text{“Music”}}(instructor)$

Physics \cup *Music*

- With the assignment operation, a query can be written as a sequential program consisting of a series of assignments followed by an expression whose value is displayed as the result of the query.



The Rename Operation

- The results of relational-algebra expressions do not have a name that we can use to refer to them. The rename operator, ρ , is provided for that purpose
- The expression:

$$\rho_x(E)$$

returns the result of expression E under the name x

- Another form of the rename operation:

$$\rho_{x(A1, A2, \dots, A_n)}(E)$$



Equivalent Queries

- There is more than one way to write a query in relational algebra.
- Example: Find information about courses taught by instructors in the Physics department with salary greater than 90,000
- Query 1

$\sigma_{dept_name = \text{"Physics"} \wedge salary > 90,000}(instructor)$

对于这些等价的query的形式，在一般情况下编译器会优先选择时间性能最好的方式。

- Query 2

$\sigma_{dept_name = \text{"Physics"}}(\sigma_{salary > 90,000}(instructor))$

- The two queries are not identical; they are, however, equivalent -- they give the same result on any database.



Equivalent Queries

- There is more than one way to write a query in relational algebra.
- Example: Find information about courses taught by instructors in the Physics department
- Query 1

$$\sigma_{dept_name = "Physics"}(instructor \bowtie_{instructor.ID = teaches.ID} teaches)$$

- Query 2

$$(\sigma_{dept_name = "Physics"}(instructor)) \bowtie_{instructor.ID = teaches.ID} teaches$$

- The two queries are not identical; they are, however, equivalent -- they give the same result on any database.



End of Chapter 2