

第 4 章 薛定谔方程与定态波函数

4. 1. 一维定态问题

4. 2. 谐振子

4.1 一维定态问题

$$i\hbar \frac{\partial \psi(x,t)}{\partial t} = \left(-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + U(x,t) \right) \psi(x,t)$$

令 $\psi(x,t) = \psi(x)f(t)$ 对时间求导 $\rightarrow \frac{\partial \psi(x,t)}{\partial t} = \psi(x) \frac{\partial f(t)}{\partial t}$

对空间二阶导数 $\rightarrow \frac{\partial^2 \psi(x,t)}{\partial x^2} = f(t) \frac{\partial^2 \psi(x)}{\partial x^2}$

将其代入薛定谔方程, 得

$$\rightarrow i\hbar \psi(x) \frac{\partial f(t)}{\partial t} = \left(-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + U(x) \right) \psi(x) f(t) \quad \text{移动同类} \rightarrow i\hbar \frac{\partial f(t)}{f(t) \partial t} = \left(-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + U(x) \right)$$

$$\rightarrow i\hbar \frac{\partial f(t)}{f(t) \partial t} = \left(-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + U(x) \right) = E \quad \text{施密特算符}$$

- 一个是变量为 t 的方程

$$i\hbar \frac{\partial f(t)}{\partial t} = E \quad \text{积分} \rightarrow f = A e^{-\frac{i}{\hbar} E t}$$

A是待定复常数， E有能量量纲，以后可知是粒子的能量：动能 + 势能)

- 一个是变量为 x 的方程

$$i\hbar \frac{\partial f(t)}{\partial t} = \left(-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + U(x) \right) = E \quad \rightarrow \left(-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + U(x) \right) \psi(x) = E \psi(x)$$

$$\rightarrow \hat{H} \psi(x) = E \psi(x)$$

同时可以知道，在定态的条件下波函数与时间 t 无关

其解 $\psi(x)$ 与粒子所处的条件外力场有关。 $|\psi(x, t)|^2 \propto \left| \psi(x) e^{-\frac{i}{\hbar} E t} \right|^2 = |\psi(x)|^2$

即定态时，概率密度可以用 $|\psi(x)|^2$ 来表示， $\psi(x)$ 称为定态波函数，

1. 无限深方势阱中的粒子

金属中自由电子的运动, 是被限制在一个有限的范围称为束缚态。作为粗略的近似, 我们认为这些电子在一维无限深方势阱中运动:

它的势能函数为

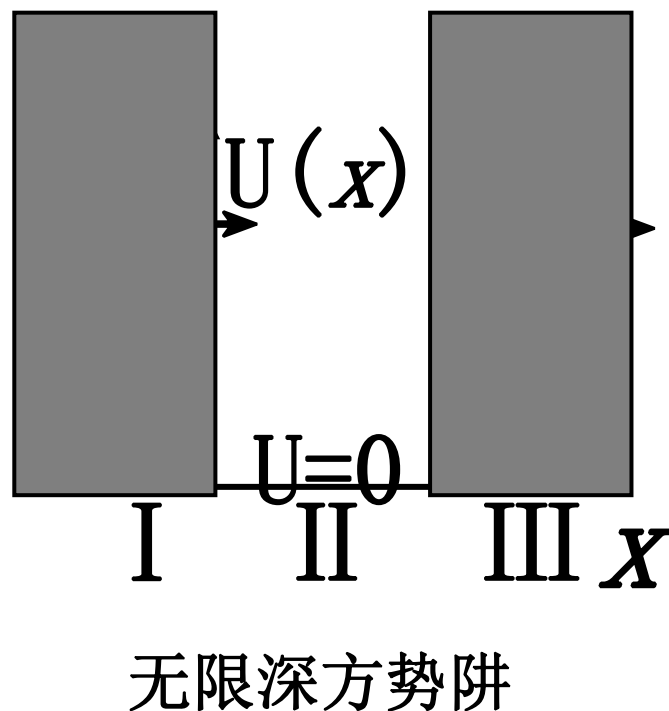
$$U(x) = \begin{cases} 0, & 0 \leq x \leq a \\ \infty, & |x| > a \end{cases}$$

按照一维定态薛谔定方程

$$\left(-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + U(x) \right) \psi(x) = E \psi(x)$$

由于在I、III两区的 $U(x) = \infty$, 显然应 $\psi_I = 0$; $\psi_{III} = 0$, 否则方程就无意义了。

注意边界条件!



由于II区的 $U(x) = 0$ ，因此该区薛定谔方程为

$$\left(-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + U(x) \right) \psi(x) = E \psi(x)$$

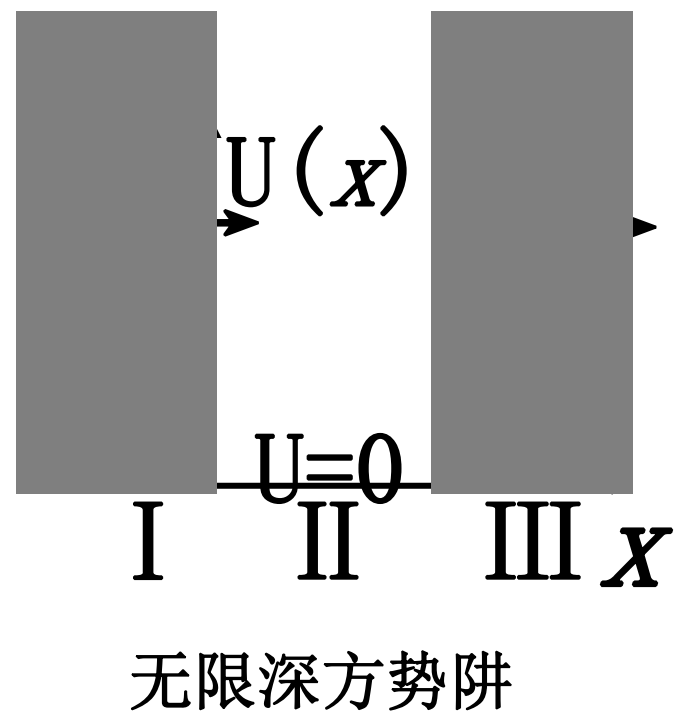
$$U=0 \rightarrow -\frac{\hbar^2}{2m} \frac{\partial^2 \psi(x)}{\partial x^2} = E \psi(x)$$

$$\text{令 } \rightarrow k^2 = \frac{2m}{\hbar^2} E \rightarrow \frac{\partial^2 \psi(x)}{\partial x^2} = -k^2 \psi(x)$$

$$\rightarrow \psi(x) = A \sin(kx + \theta)$$

$\psi(x)$ 在 $x=0$ 和 $x=a$ 处必须连续

$$\rightarrow \begin{cases} A \sin(\theta) = 0, \\ A \sin(ka + \theta) = 0, \end{cases} \rightarrow \begin{cases} \theta = 0 \\ ka = n\pi \end{cases} \rightarrow \psi_o = A \sin \frac{n\pi}{a} x$$



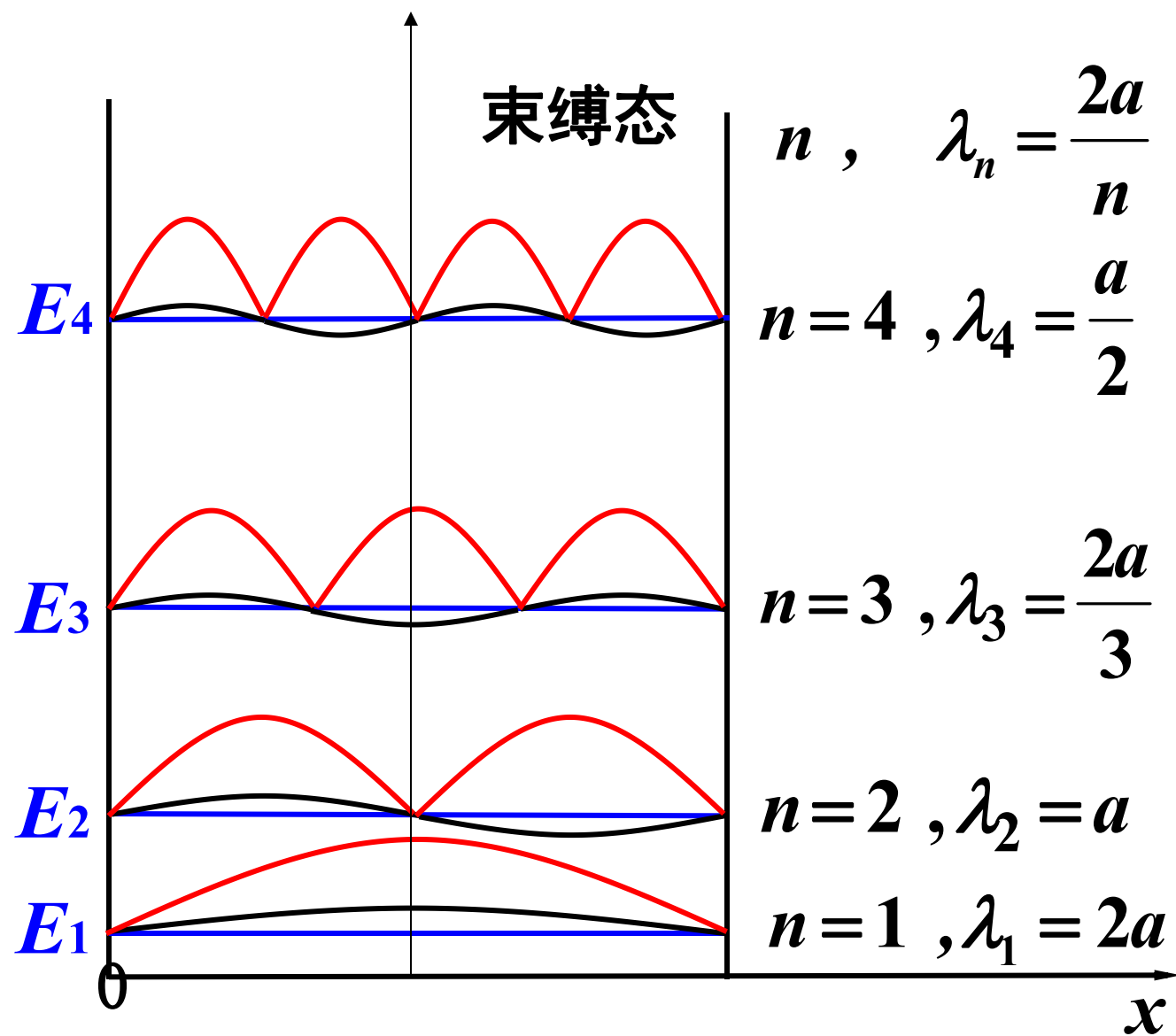
归一化条件 $\rightarrow \int_0^{2a} (\psi_o)^2 dx = \int_0^{2a} \left(A \sin \frac{n\pi}{a} x \right)^2 = 1 \quad A = \sqrt{\frac{2}{a}}$

$$\rightarrow \begin{cases} \psi_o = A \sin \frac{n\pi}{a} x & 0 \leq x \leq a \\ \psi_o = 0 & x \geq a, x \leq 0 \end{cases}$$

此处的 k^2 就相当于波中的波矢 $2\pi/\lambda$

$$\text{令 } \rightarrow k^2 = \frac{2m}{\hbar^2} E \rightarrow \text{代入得 } \rightarrow \frac{2m}{\hbar^2} E = \frac{n^2 \pi^2}{a^2} \rightarrow \text{得 } E = \frac{\hbar^2 n^2 \pi^2}{2ma^2}$$

由每个能量本征波函数所描述的粒子的状态，是能量有确定值的状态称为粒子的能量本征态。



注意，由于波函数的周期性，其波的形状应该是个驻波。

例题1:在一维无限深势阱中运动的微观粒子，它的定态波函数如图a, 对应的能量为4eV。如它的一个波函数为b, 它的总能量为多少?粒子的零点能为多少?

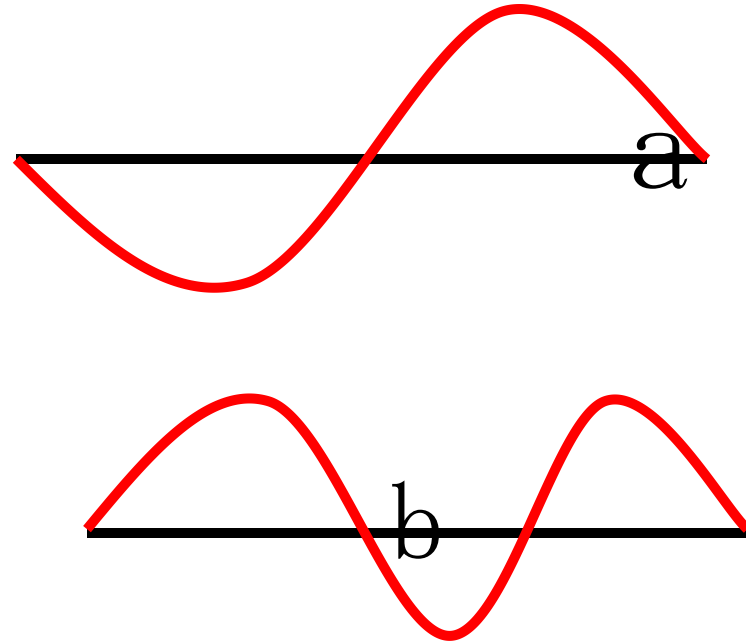
解:

$$E = n^2 \frac{\pi^2 \hbar^2}{2ma^2} = n^2 E_0,$$

$$4 = 2^2 \frac{\pi^2 \hbar^2}{2ma^2} = 4E_0$$

$$E = n^2 \frac{\pi^2 \hbar^2}{2ma^2}$$

$$E_3 = 3^2 E_0 = 9$$



例题2：计算微观粒子出现概率最大的位置？

$$\psi(x, t)_n = \sqrt{\frac{2}{L}} \cos\left(\frac{n\pi x}{L}\right) e^{-\frac{a_n i}{\hbar} t} \quad n = 1, 2, 3, 4, 5, \dots$$

解：

1) 概率分布函数 $F(x, t) = \psi^*(x, t)_n \psi(x, t)_n = \frac{2}{L} \cos^2\left(\frac{n\pi x}{L}\right)$

2) 最大概率位置

$$\frac{\partial F(x, t)}{\partial x} = \frac{\partial}{\partial x} \left[\frac{2}{L} \cos^2\left(\frac{n\pi x}{L}\right) \right] = \left| \frac{4}{L} \frac{n\pi}{L} \cos\left(\frac{n\pi x}{L}\right) \sin\left(\frac{n\pi x}{L}\right) \right| = 0$$

$$\left| \frac{4}{L} \frac{n\pi}{L} \cos\left(\frac{n\pi x}{L}\right) \sin\left(\frac{n\pi x}{L}\right) \right| = \left| \frac{2n\pi}{L^2} \sin\left(\frac{2n\pi x}{L}\right) \right| = 0 \quad x = 0, \frac{L}{2}, L$$

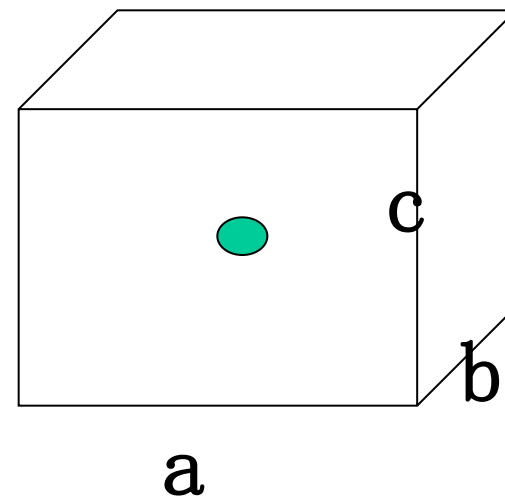
2. 三维无限深方势阱

三维无限深方势阱（考虑一个粒子被囚禁在一个长方体盒子内，盒内 $U=0$ ，盒外 $U=+\infty$ ，求能量本征值与本征函数）

解：
$$\left(-\frac{\hbar^2}{2m} \nabla^2 + U(x, y, z) \right) \psi(x, y, z) = E \psi(x, y, z)$$

$$U=0 \rightarrow \left(-\frac{\hbar^2}{2m} \nabla^2 \right) \psi(x, y, z) = E \psi(x, y, z)$$

存在 $\rightarrow \psi(x, y, z) = \psi(x)\psi(y)\psi(z)$



$$-\frac{\hbar^2}{2m} \left(\psi(y)\psi(z) \frac{\partial^2 \psi(x)}{\partial x^2} + \psi(x)\psi(z) \frac{\partial^2 \psi(y)}{\partial y^2} + \psi(x)\psi(y) \frac{\partial^2 \psi(z)}{\partial z^2} \right) = E \psi(x)\psi(y)\psi(z)$$
$$\rightarrow -\frac{\hbar^2}{2m} \left(\frac{\partial^2 \psi(x)}{\psi(x) \partial x^2} + \frac{\partial^2 \psi(y)}{\psi(y) \partial y^2} + \frac{\partial^2 \psi(z)}{\psi(z) \partial z^2} \right) = E$$

$$\text{有: } k^2 = \frac{2m}{\hbar^2} E$$

对于这种指数形式的相加，可以通过转化为独立变量相乘的方式来进行降维分别处理。

$$\text{有: } k^2 = \frac{2m}{\hbar^2} E \rightarrow k^2 = k_x^2 + k_y^2 + k_z^2$$

$$\rightarrow \left(\frac{\partial^2 \psi(x)}{\psi(x) \partial x^2} + \frac{\partial^2 \psi(y)}{\psi(y) \partial y^2} + \frac{\partial^2 \psi(z)}{\psi(z) \partial z^2} \right) = -k^2 = -(k_x^2 + k_y^2 + k_z^2)$$

$$\rightarrow \begin{cases} \left(\frac{\partial^2 \psi(x)}{\psi(x) \partial x^2} \right) = -k_x^2 \\ \left(\frac{\partial^2 \psi(y)}{\psi(y) \partial y^2} \right) = -k_y^2 \\ \left(\frac{\partial^2 \psi(z)}{\psi(z) \partial z^2} \right) = -k_z^2 \end{cases} \quad \text{分别求解} \rightarrow \begin{cases} \psi(x) = \sqrt{\frac{2}{a}} \sin \frac{n\pi}{a} x, & k_x = \frac{n\pi}{a} \\ \psi(y) = \sqrt{\frac{2}{b}} \sin \frac{m\pi}{b} y, & k_y = \frac{m\pi}{b} \\ \psi(z) = \sqrt{\frac{2}{c}} \sin \frac{l\pi}{c} z, & k_z = \frac{l\pi}{c} \end{cases}$$

$$\psi_{n,m,l}(x, y, z) = \psi(x)\psi(y)\psi(z) = \sqrt{\frac{8}{abc}} \sin\left(\frac{n\pi}{a}x\right) \sin\left(\frac{m\pi}{b}y\right) \sin\left(\frac{l\pi}{c}z\right)$$

由此可以知道，
一个粒子在三维
空间中出现的概
率与所处空间的
尺寸有关。

利用: $k^2 = \frac{2m}{\hbar^2} E \rightarrow k^2 = k_x^2 + k_y^2 + k_z^2$

类似三维矢量的叠加，可以理解
为三维空间各个
方向的波矢量的
对应波矢的叠加

利用 $\rightarrow \begin{cases} \psi(x) = \sqrt{\frac{2}{a}} \sin \frac{n\pi}{a} x, & k_x = \frac{n\pi}{a} \\ \psi(y) = \sqrt{\frac{2}{b}} \sin \frac{m\pi}{b} y, & k_y = \frac{m\pi}{b} \\ \psi(z) = \sqrt{\frac{2}{c}} \sin \frac{l\pi}{c} z, & k_z = \frac{l\pi}{c} \end{cases}$

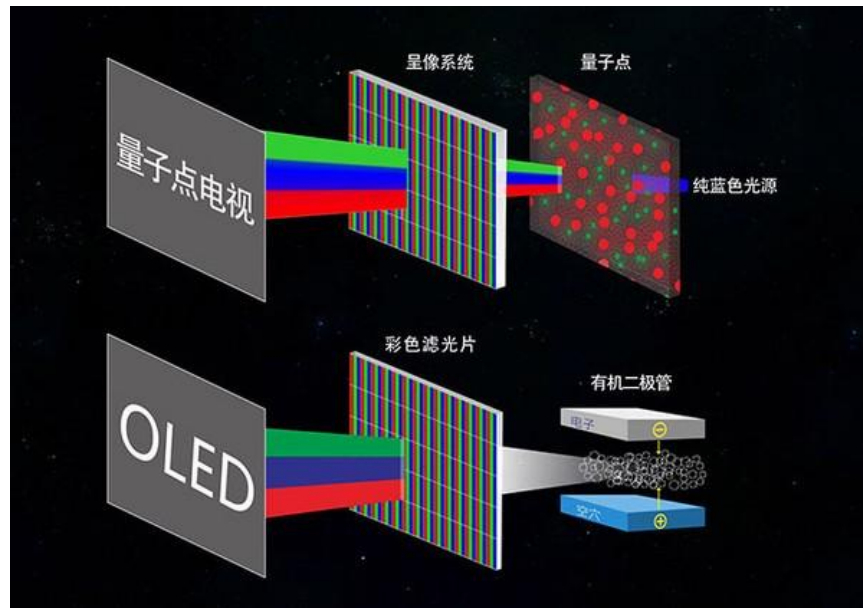
$$E_{n,m,l} = \frac{\hbar^2}{2m} (k_x^2 + k_y^2 + k_z^2) = \frac{\hbar^2}{2m} \left[\left(\frac{n\pi}{a} \right)^2 + \left(\frac{m\pi}{b} \right)^2 + \left(\frac{l\pi}{c} \right)^2 \right] \quad (n, l, m = 1, 2, 3, \dots)$$

量子点的典型应用

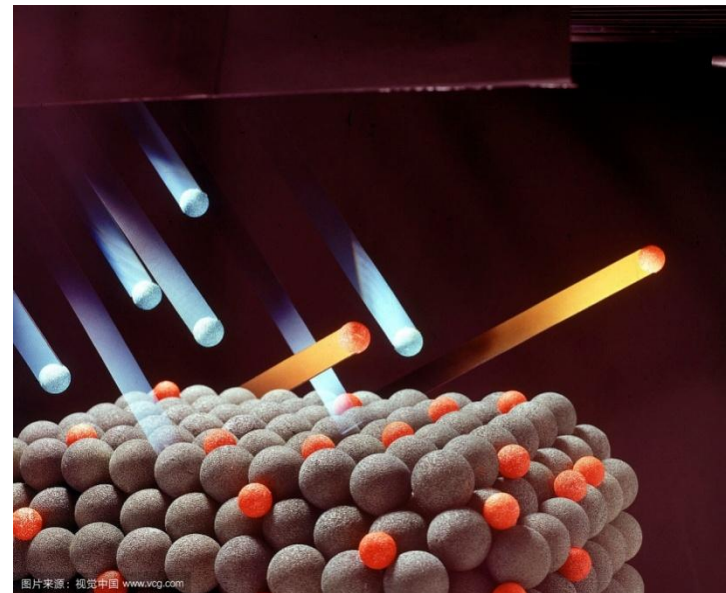
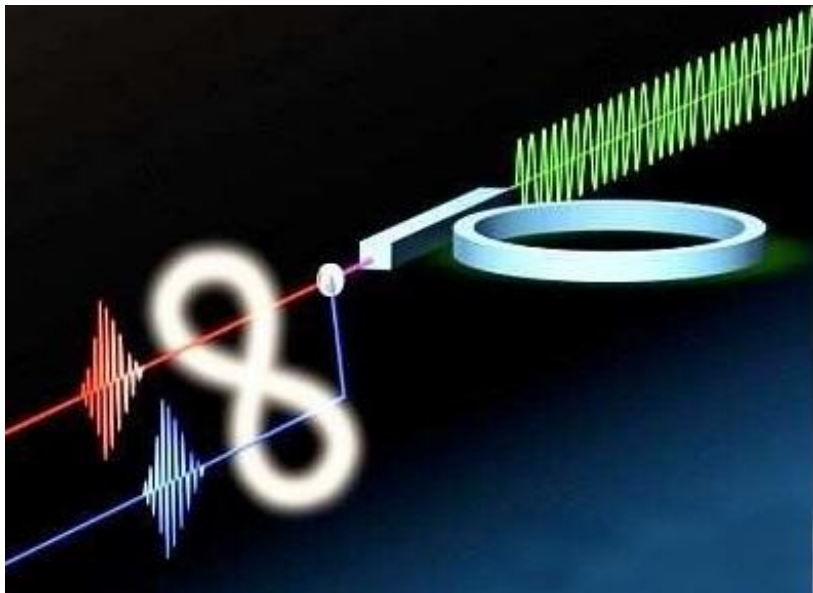


$$E_{n,m,l} = \frac{\hbar^2}{2m} (k_x^2 + k_y^2 + k_z^2) = \frac{\hbar^2}{2m} \left[\left(\frac{n\pi}{a} \right)^2 + \left(\frac{m\pi}{b} \right)^2 + \left(\frac{l\pi}{c} \right)^2 \right] \quad (n, l, m = 1, 2, 3, \dots)$$

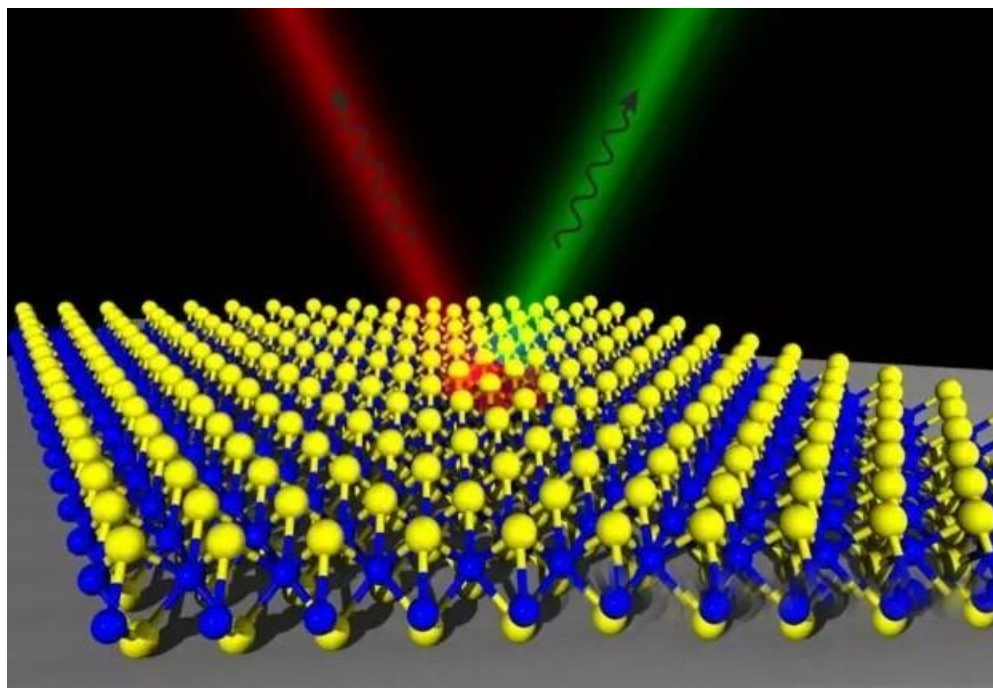
量子点电视



光子的分离



新型二维材料



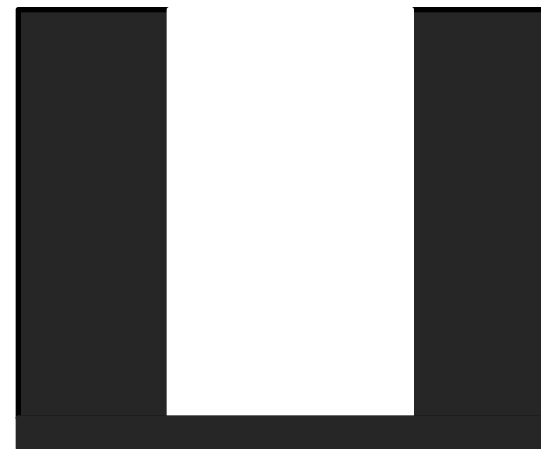
3. 一维有限深方势阱（一）

$$\left(-\frac{\hbar^2 d^2}{2m dx^2} + U_x \right) \psi(x) = E_x \psi(x) \quad \boxed{U > E \text{ 恒成立}}$$

$$\begin{cases} \frac{d^2 \psi(x)}{dx^2} = -\frac{2m}{\hbar^2} E_x \psi(x) & -a \leq x \leq a \\ \frac{d^2 \psi(x)}{dx^2} = -\frac{2m}{\hbar^2} (E_x - U_x) \psi(x) & x \leq -a, x \geq a \end{cases}$$

$$\begin{cases} \alpha = \sqrt{\frac{2m_0}{\hbar^2} E_x} \\ \beta = \sqrt{\frac{2m_0}{\hbar^2} (U_0 - E_x)} \end{cases} \rightarrow \begin{cases} \frac{d^2 \psi(x)}{dx^2} = -\alpha^2 \psi(x) & -a \leq x \leq a \\ \frac{d^2 \psi(x)}{dx^2} = -\beta^2 \psi(x) & x \leq -a, x \geq a \end{cases}$$

$$\text{一般解} \rightarrow \begin{cases} \psi(x) = A_1 e^{-i\beta x} + A e^{i\beta x} & x < -a, \\ \psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x} & -a < x < a \\ \psi(x) = A_2 e^{-i\beta x} + A' e^{i\beta x} & x > a \end{cases}$$



$$E < U_0, \beta = i\sqrt{\frac{2m_0}{\hbar^2}(U_0 - E_x)} \rightarrow \begin{cases} \psi(x) = A_1 e^{-i\beta x} + A e^{i\beta x} \\ \psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x} \\ \psi(x) = A e^{-i\beta x} + A_2 e^{i\beta x} \end{cases} \rightarrow \begin{cases} \psi(x) = A_1 e^{\beta x} & x < -a, \\ \psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x} & -a < x < a \\ \psi(x) = A_2 e^{-\beta x} & x > a \end{cases}$$

$$\text{对应的导数} \rightarrow \begin{cases} \psi'(x) = A_1 \beta e^{\beta x} & x < -a, \\ \psi'(x) = i\alpha A_0 e^{i\alpha x} - i\alpha B_0 e^{-i\alpha x} & -a < x < a \\ \psi'(x) = -\beta A_2 e^{-\beta x} & x > a \end{cases}$$

可以直接省去两项的原因：波函数的平方描述的是自由粒子出现在某个位置的概率，并且概率一定是一个有限数值，故波函数中不能出现无穷项。

$$\begin{pmatrix} x = -a \\ x = a \end{pmatrix} \rightarrow \begin{cases} \psi(-a) = A_1 e^{\beta a} \\ \psi(-a) = A_0 e^{-i\alpha a} + B_0 e^{i\alpha a} \\ \psi(a) = A_0 e^{i\alpha a} + B_0 e^{-i\alpha a} \\ \psi(a) = A_2 e^{-\beta a} \end{cases}$$

函数连续，导数不一定连续？？？

$$\rightarrow \begin{cases} A_1 e^{-\beta a} = A_0 e^{-i\alpha a} + B_0 e^{i\alpha a} = 2A_0 \cos \alpha a \\ A_2 e^{-\beta a} = A_0 e^{i\alpha a} + B_0 e^{-i\alpha a} = 2A_0 \cos \alpha a \end{cases}$$

由于波函数有固定的周期，故其函数应该是驻波的形式，同时可以得出，
A1 = A2

$$\text{对应的导数} \rightarrow \begin{cases} \psi'(x) = A_1 \beta e^{\beta x} & x < -a, \\ \psi'(x) = i\alpha A_0 e^{i\alpha x} - i\alpha B_0 e^{-i\alpha x} & -a < x < a \\ \psi'(x) = -\beta A_2 e^{-\beta x} & x > a \end{cases}$$

$$\begin{bmatrix} x = -a \\ x = a \end{bmatrix} \rightarrow \begin{cases} \psi'(-a) = A_1 \beta e^{-\beta a} \\ \psi'(-a) = i\alpha A_0 e^{-i\alpha a} - i\alpha B_0 e^{i\alpha a} \\ \psi'(a) = i\alpha A_0 e^{i\alpha a} - i\alpha B_0 e^{-i\alpha a} \\ \psi'(a) = -\beta A_2 e^{-\beta a} \end{cases} \rightarrow \begin{cases} A_1 \beta e^{-\beta a} = i\alpha A_0 e^{-i\alpha a} - i\alpha A_0 e^{i\alpha a} = -\alpha 2 \sin \alpha a \\ -\beta A_2 e^{-\beta a} = i\alpha A_0 e^{i\alpha a} - i\alpha A_0 e^{-i\alpha a} = -\alpha A_0 2 \sin \alpha a \end{cases}$$

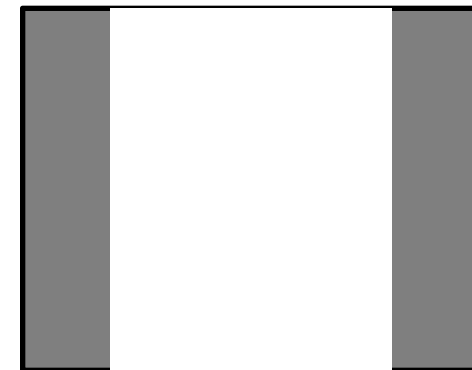
$$\begin{cases} \begin{cases} A_1 e^{-\beta a} = A_0 e^{-i\alpha a} + B_0 e^{i\alpha a} = 2 A_0 \cos \alpha a \\ A_2 e^{-\beta a} = A_0 e^{i\alpha a} + B_0 e^{-i\alpha a} = 2 A_0 \cos \alpha a \end{cases} \\ \begin{cases} A_1 \beta e^{-\beta a} = i\alpha A_0 e^{-i\alpha a} - i\alpha A_0 e^{i\alpha a} = -\alpha 2 A_0 \sin \alpha a \\ -\beta A_2 e^{-\beta a} = i\alpha A_0 e^{i\alpha a} - i\alpha A_0 e^{-i\alpha a} = -\alpha A_0 2 \sin \alpha a \end{cases} \end{cases} \rightarrow \begin{cases} \cos \alpha a = -\frac{\alpha \sin \alpha a}{\beta} \\ \cos \alpha a = \frac{\alpha \sin \alpha a}{\beta} \end{cases}$$

$$\rightarrow \tan \alpha a = \pm \frac{\beta}{a} \quad \text{能量本质方程} \rightarrow \tan \alpha a = \pm \frac{\beta}{a}$$

3. 一维有限深方势阱（二）

$$\left(-\frac{\hbar^2 d^2}{2mdx^2} + U_x \right) \psi(x) = E_x \psi(x)$$

$$\begin{cases} \psi(x) = A_1 e^{\beta x} & x < -a, \\ \psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x} & -a < x < a \\ \psi(x) = A_2 e^{-\beta x} & x > a \end{cases} \rightarrow \begin{cases} \alpha = \sqrt{\frac{2m_0}{\hbar^2} E_x} \\ \beta = \sqrt{\frac{2m_0}{\hbar^2} (U_0 - E_x)} \end{cases}$$



$$\begin{cases} \psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x} \\ \psi'(x) = i\alpha A_0 e^{i\alpha x} - i\alpha B_0 e^{-i\alpha x} \end{cases} \begin{bmatrix} x = -a \\ x = a \end{bmatrix} \rightarrow \begin{cases} \begin{cases} \psi(-a) = A_0 e^{-i\alpha a} + B_0 e^{i\alpha a} \\ \psi'(-a) = i\alpha A_0 e^{-i\alpha a} - i\alpha B_0 e^{i\alpha a} \end{cases} \\ \begin{cases} \psi(a) = A_0 e^{i\alpha a} + B_0 e^{-i\alpha a} \\ \psi'(a) = i\alpha A_0 e^{i\alpha a} - i\alpha B_0 e^{-i\alpha a} \end{cases} \end{cases}$$

矩阵 $\rightarrow \begin{bmatrix} \psi(-a) \\ \psi'(-a) \end{bmatrix} = \begin{bmatrix} e^{-i\alpha a} & e^{i\alpha a} \\ i\alpha e^{-i\alpha a} & -i\alpha e^{i\alpha a} \end{bmatrix} \begin{bmatrix} A_0 \\ B_0 \end{bmatrix}$

$$\begin{cases} A_0 = \frac{i\alpha \psi(a) + \psi'(a)}{2i\alpha} e^{-i\alpha a} \\ B_0 = \frac{i\alpha \psi(a) - \psi'(a)}{2i\alpha} e^{i\alpha a} \end{cases} \rightarrow \begin{bmatrix} A_0 \\ B_0 \end{bmatrix} = \frac{1}{2i\alpha} \begin{bmatrix} i\alpha e^{-i\alpha a} & e^{-i\alpha a} \\ i\alpha e^{i\alpha a} & -e^{i\alpha a} \end{bmatrix} \begin{bmatrix} \psi(a) \\ \psi'(a) \end{bmatrix}$$

$$\begin{bmatrix} \psi(-a) \\ \psi'(-a) \end{bmatrix} = \begin{bmatrix} e^{-i\alpha a} & e^{i\alpha a} \\ i\alpha e^{-i\alpha a} & -i\alpha e^{i\alpha a} \end{bmatrix} \begin{bmatrix} A_0 \\ B_0 \end{bmatrix} \quad \begin{bmatrix} A_0 \\ B_0 \end{bmatrix} = \frac{1}{2i\alpha} \begin{bmatrix} i\alpha e^{-i\alpha a} & e^{-i\alpha a} \\ i\alpha e^{i\alpha a} & -e^{i\alpha a} \end{bmatrix} \begin{bmatrix} \psi(a) \\ \psi'(a) \end{bmatrix}$$

$$\rightarrow \begin{bmatrix} \psi(-a) \\ \psi'(-a) \end{bmatrix} = \frac{1}{2i\alpha} \begin{bmatrix} e^{-i\alpha a} & e^{i\alpha a} \\ i\alpha e^{-i\alpha a} & -i\alpha e^{i\alpha a} \end{bmatrix} \begin{bmatrix} i\alpha e^{-i\alpha a} & e^{-i\alpha a} \\ i\alpha e^{i\alpha a} & -e^{i\alpha a} \end{bmatrix} \begin{bmatrix} \psi(a) \\ \psi'(a) \end{bmatrix}$$

$$\text{展开} \rightarrow \begin{bmatrix} \psi(-a) \\ \psi'(-a) \end{bmatrix} = \frac{1}{2i\alpha} \begin{bmatrix} \psi(a) \\ \psi'(a) \end{bmatrix} \begin{bmatrix} i\alpha e^{-2i\alpha a} + i\alpha e^{2i\alpha a} & e^{-2i\alpha a} - e^{2i\alpha a} \\ -\alpha^2 e^{-2i\alpha a} + \alpha^2 e^{2i\alpha a} & i\alpha e^{-2i\alpha a} + i\alpha e^{2i\alpha a} \end{bmatrix} \begin{bmatrix} \psi(a) \\ \psi'(a) \end{bmatrix}$$

$$\text{化简} \rightarrow \begin{bmatrix} \psi(-a) \\ \psi'(-a) \end{bmatrix} = \frac{1}{\alpha} \begin{bmatrix} \cos 2\alpha a & -\frac{1}{a} \sin 2\alpha a \\ \alpha \sin 2\alpha a & \cos 2\alpha a \end{bmatrix} \begin{bmatrix} \psi(a) \\ \psi'(a) \end{bmatrix}$$

$$\begin{cases} \psi(x) = A_1 e^{\beta x} & x < -a, \\ \psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x} & -a < x < a \\ \psi(x) = A_2 e^{-\beta x} & x > a \end{cases} \rightarrow \begin{cases} \begin{cases} \psi(-a) = A_1 e^{-a\beta} \\ \psi'(-a) = A_1 \beta e^{a\beta} \end{cases} \\ \begin{cases} \psi(a) = A_2 e^{-\beta a} \\ \psi'(a) = -\beta A_2 e^{-\beta a} \end{cases} \end{cases}$$

$$\rightarrow \begin{cases} \begin{cases} \psi(-a) = A_1 e^{-a\beta} \\ \psi'(-a) = A_1 \beta e^{-a\beta} \end{cases} \\ \begin{cases} \psi(a) = A_2 e^{-\beta a} \\ \psi'(a) = -\beta A_2 e^{-\beta a} \end{cases} \end{cases} \quad \begin{bmatrix} x = -a \\ x = a \end{bmatrix} \rightarrow \begin{cases} \begin{bmatrix} \psi(-a) \\ \psi'(-a) \end{bmatrix} = A_1 e^{-a\beta} \begin{bmatrix} 1 \\ \beta \end{bmatrix} \\ \begin{bmatrix} \psi(a) \\ \psi'(a) \end{bmatrix} = A_2 e^{-\beta a} \begin{bmatrix} 1 \\ -\beta \end{bmatrix} \end{cases}$$

$$\begin{bmatrix} \psi(-a) \\ \psi'(-a) \end{bmatrix} = \frac{1}{\alpha} \begin{bmatrix} \cos 2\alpha a & -\frac{1}{a} \sin 2\alpha a \\ \alpha \sin 2\alpha a & \cos 2\alpha a \end{bmatrix} \begin{bmatrix} \psi(a) \\ \psi'(a) \end{bmatrix} \rightarrow A_1 e^{-a\beta} \begin{bmatrix} 1 \\ \beta \end{bmatrix} = \frac{A_2 e^{-\beta a}}{\alpha} \begin{bmatrix} \cos 2\alpha a & -\frac{1}{a} \sin 2\alpha a \\ \alpha \sin 2\alpha a & \cos 2\alpha a \end{bmatrix} \begin{bmatrix} 1 \\ -\beta \end{bmatrix}$$

$$\rightarrow \begin{bmatrix} -\beta & 1 \end{bmatrix} \begin{bmatrix} 1 \\ \beta \end{bmatrix} = \frac{1}{\alpha} \begin{bmatrix} -\beta & 1 \end{bmatrix} \begin{bmatrix} \cos 2\alpha a & -\frac{1}{a} \sin 2\alpha a \\ \alpha \sin 2\alpha a & \cos 2\alpha a \end{bmatrix} \begin{bmatrix} 1 \\ -\beta \end{bmatrix} \rightarrow \frac{1}{\alpha} \begin{bmatrix} -\beta & 1 \end{bmatrix} \begin{bmatrix} \cos 2\alpha a & -\frac{1}{a} \sin 2\alpha a \\ \alpha \sin 2\alpha a & \cos 2\alpha a \end{bmatrix} \begin{bmatrix} 1 \\ -\beta \end{bmatrix} = 0$$

$$\rightarrow -\beta \cos 2\alpha a + \alpha \sin 2\alpha a - \frac{\beta^2}{\alpha} \sin 2\alpha a - \beta \cos 2\alpha a = 0$$

$$\rightarrow \tan 2\alpha a = \frac{2\alpha\beta}{\alpha^2 - \beta^2} \rightarrow \frac{2 \tan \alpha a}{1 - \tan^2 \alpha a} = \frac{2\alpha\beta}{\alpha^2 - \beta^2}$$

$$\rightarrow 2\alpha\beta - 2\alpha\beta \tan^2 \alpha a = 2\alpha^2 \tan \alpha a - 2\beta^2 \tan \alpha a$$

$$\rightarrow 2\alpha\beta - 2\alpha\beta \tan^2 \alpha a = 2\alpha^2 \tan \alpha a - 2\beta^2 \tan \alpha a$$

$$\rightarrow \alpha\beta \tan^2 \alpha a + \alpha^2 \tan \alpha a - \beta^2 \tan \alpha a - \alpha\beta = 0$$

$$\tan \alpha a = \frac{-(\alpha^2 - \beta^2) \pm \sqrt{(\alpha^2 - \beta^2)^2 + 4\alpha^2 \beta^2}}{2\alpha\beta} = \frac{\beta}{\alpha}$$

$$\text{解集} \rightarrow \begin{cases} f(E) = \tan \alpha a = \tan \sqrt{\frac{2m_0}{\hbar^2} E_x} a \\ f(E) = \frac{\sqrt{(U_0 - E_x)}}{\sqrt{E_x}} \end{cases} \quad \begin{cases} a = \sqrt{\frac{2m_0}{\hbar^2} E_x} \\ \beta = \sqrt{\frac{2m_0}{\hbar^2} (U_0 - E_x)} \end{cases}$$

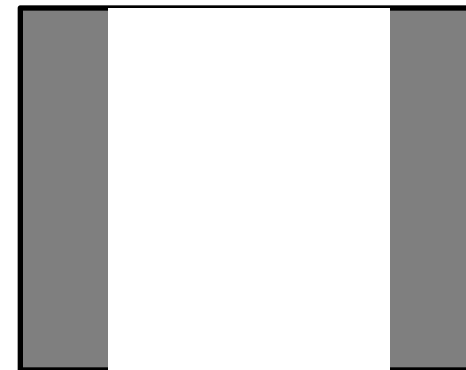
$$\text{能量本征值} \rightarrow 2\alpha a = n\pi + \arctan \frac{\beta}{\alpha}$$

$$\text{能量本征值} \rightarrow \sqrt{\frac{2m_0}{\hbar^2} E_x} a = n\pi + \arctan \frac{\beta}{\alpha}$$

解集 $\rightarrow \begin{cases} f(E) = \tan \alpha a = \tan \sqrt{\frac{2m_0}{\hbar^2} E_x} \alpha \\ f(E) = \frac{\sqrt{(U_0 - E_x)}}{\sqrt{E_x}} \end{cases}$

得本质波函数 $\rightarrow \begin{cases} \psi(x) = A_1 e^{\beta x} & x < -a, \\ \psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x} & -a < x < a \\ \psi(x) = A_2 e^{-\beta x} & x > a \end{cases}$

归一化条件 $\rightarrow \begin{cases} \psi(x) = A_1 e^{\beta x} & x < -a, \\ \psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x} & -a < x < a \\ \psi(x) = A_2 e^{-\beta x} & x > a \end{cases}$



4. 一维周期性有限深方势阱（二）

(了解为主)

$$\left(-\frac{\hbar^2 d^2}{2mdx^2} + U\right)\psi(x) = E\psi(x)$$



$$\begin{cases} \alpha = \sqrt{\frac{2m}{\hbar^2} E} \\ \beta = \sqrt{\frac{2m}{\hbar^2} (E - U_0)} \\ \beta_0 = \sqrt{\frac{2m}{\hbar^2} (U_1 - E)}, \end{cases} \rightarrow \begin{cases} \psi(x) = F_0 e^{\beta_0 x} & U = U_1 & x \leq 0 \\ \psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x} & U = 0 & 0 \leq x \leq b \\ \psi(x) = C_0 e^{i\beta x} + D_0 e^{-i\beta x} & U = U_0 & b \leq x \leq 2b \\ \psi(x) = A_1 e^{i\alpha x} + B_1 e^{-i\alpha x} & U = 0 & 2b \leq x \leq 3b \\ \psi(x) = A_N e^{-\beta_0(x-2Nb)} & U = U_1 & x \geq 2Nb \end{cases}$$

$$0 \leq x \leq b \rightarrow \begin{cases} \psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x} \\ \psi'(x) = i\alpha A_0 e^{i\alpha x} - i\alpha B_0 e^{-i\alpha x} \end{cases} \quad x=0 \rightarrow \begin{cases} \psi(0) = A_0 + B_0 \\ \psi'(0) = i\alpha A_0 - i\alpha B_0 \end{cases} \quad \begin{bmatrix} \psi(0) \\ \psi'(0) \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ i\alpha & -i\alpha \end{bmatrix} \begin{bmatrix} A_0 \\ B_0 \end{bmatrix}$$

$$x = b \rightarrow \begin{cases} \psi(b) = A_0 e^{i\alpha b} + B_0 e^{-i\alpha b} \\ \psi'(b) = i\alpha A_0 e^{i\alpha b} - i\alpha B_0 e^{-i\alpha b} \end{cases} \rightarrow \begin{cases} A_0 = \frac{i\alpha \psi(b) + \psi'(b)}{2i\alpha} e^{-i\alpha b} \\ B_0 = \frac{i\alpha \psi(b) - \psi'(b)}{2i\alpha} e^{i\alpha b} \end{cases}$$




$$\rightarrow \begin{bmatrix} A_0 \\ B_0 \end{bmatrix} = \frac{1}{2i\alpha} \begin{bmatrix} i\alpha e^{-i\alpha b} & e^{-i\alpha b} \\ i\alpha e^{i\alpha b} & -e^{i\alpha b} \end{bmatrix} \begin{bmatrix} \psi(b) \\ \psi'(b) \end{bmatrix} \rightarrow \begin{bmatrix} \psi(0) \\ \psi'(0) \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ i\alpha & -i\alpha \end{bmatrix} \begin{bmatrix} A_0 \\ B_0 \end{bmatrix}$$

$$\rightarrow \begin{bmatrix} \psi(0) \\ \psi'(0) \end{bmatrix} = \frac{1}{2i\alpha} \begin{bmatrix} 1 & 1 \\ i\alpha & -i\alpha \end{bmatrix} \begin{bmatrix} i\alpha e^{-i\alpha b} & e^{-i\alpha b} \\ i\alpha e^{i\alpha b} & -e^{i\alpha b} \end{bmatrix} \begin{bmatrix} \psi(b) \\ \psi'(b) \end{bmatrix} \rightarrow \begin{bmatrix} \psi(0) \\ \psi'(0) \end{bmatrix} = \begin{bmatrix} \cos \alpha b & -\frac{1}{\alpha} \sin \alpha b \\ \alpha \sin \alpha b & \cos \alpha b \end{bmatrix} \begin{bmatrix} \psi(b) \\ \psi'(b) \end{bmatrix}$$

相同的处理方法 $\rightarrow \begin{bmatrix} \psi(b) \\ \psi'(b) \end{bmatrix} = \begin{bmatrix} \cos \beta b & -\frac{1}{\beta} \sin \beta b \\ \beta \sin \beta b & \cos \beta b \end{bmatrix} \begin{bmatrix} \psi(b+b) \\ \psi'(b+b) \end{bmatrix}$

$$\rightarrow \begin{bmatrix} \psi(0) \\ \psi'(0) \end{bmatrix} = \begin{bmatrix} \cos \alpha b & -\frac{1}{\alpha} \sin \alpha b \\ \alpha \sin \alpha b & \cos \alpha b \end{bmatrix} \begin{bmatrix} \cos \beta b & -\frac{1}{\beta} \sin \beta b \\ \beta \sin \beta b & \cos \beta b \end{bmatrix} \begin{bmatrix} \psi(b+b) \\ \psi'(b+b) \end{bmatrix}$$

$$\begin{bmatrix} \psi(0) \\ \psi'(0) \end{bmatrix} = \begin{pmatrix} \cos \alpha b \cos \beta b - \frac{\beta}{\alpha} \sin \alpha b \sin \beta b & -\frac{1}{\beta} \cos \alpha b \sin \beta b - \frac{1}{\alpha} \sin \alpha b \cos \beta b \\ \alpha \sin \alpha b \cos \beta b + \beta \cos \alpha b \sin \beta b & -\frac{\alpha}{\beta} \sin \alpha b \sin \beta b + \cos \alpha b \cos \beta b \end{pmatrix}^N \begin{bmatrix} \psi(N(b_1 + b_2)) \\ \psi'(N(b_1 + b_2)) \end{bmatrix}$$


$$\begin{cases} m_{11} = \cos \alpha b \cos \beta b - \frac{\beta}{\alpha} \sin \alpha b \sin \beta b, \\ m_{12} = -\frac{1}{\beta} \cos \alpha b \sin \beta b - \frac{1}{\alpha} \sin \alpha b \cos \beta b \\ m_{21} = \alpha \sin \alpha b \cos \beta b + \beta \cos \alpha b \sin \beta b \\ m_{22} = -\frac{\alpha}{\beta} \sin \alpha b \sin \beta b + \cos \alpha b \cos \beta b \end{cases} \rightarrow M^N = \begin{bmatrix} \cos \alpha b \cos \beta b - \frac{\beta}{\alpha} \sin \alpha b \sin \beta b & -\frac{1}{\beta} \cos \alpha b \sin \beta b - \frac{1}{\alpha} \sin \alpha b \cos \beta b \\ \alpha \sin \alpha b \cos \beta b + \beta \cos \alpha b \sin \beta b & -\frac{\alpha}{\beta} \sin \alpha b \sin \beta b + \cos \alpha b \cos \beta b \end{bmatrix}^N = \begin{bmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{bmatrix}^N$$

$$M^N = \begin{bmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{bmatrix}^N = \begin{bmatrix} m_{11} U_{N-1}(\chi) - U_{N-2}(\chi) & m_{12} U_{N-1}(\chi) \\ m_{21} U_{N-1}(\chi) & m_{22} U_{N-1}(\chi) - U_{N-2}(\chi) \end{bmatrix}$$

$$\begin{cases} U_N(\chi) = \frac{\sin(N+1) \arccos \chi}{\sqrt{1-\chi^2}} \\ \chi = \frac{1}{2}(m_{11} + m_{22}) = \frac{1}{2} \left(\left(\cos \alpha b \cos \beta b - \frac{\beta}{\alpha} \sin \alpha b \sin \beta b \right) + \left(-\frac{\alpha}{\beta} \sin \alpha b \sin \beta b + \cos \alpha b \cos \beta b \right) \right) \end{cases}$$

$$\begin{bmatrix} \psi(0) \\ \psi'(0) \end{bmatrix} = \begin{bmatrix} m_{11}U_{N-1}(\chi) - U_{N-2}(\chi) & m_{12}U_{N-1}(\chi) \\ m_{21}U_{N-1}(\chi) & m_{22}U_{N-1}(\chi) - U_{N-2}(\chi) \end{bmatrix} \begin{bmatrix} \psi(N(b_1 + b_2)) \\ \psi'(N(b_1 + b_2)) \end{bmatrix}$$



$$\begin{cases} U_N(\chi) = \frac{\sin(N+1)\arccos\chi}{\sqrt{1-\chi^2}} \\ \chi = \frac{1}{2}(m_{11} + m_{22}) = \frac{1}{2}\left(\left(\cos\alpha b \cos\beta b - \frac{\beta}{\alpha}\sin\alpha b \sin\beta b\right) + \left(-\frac{\alpha}{\beta}\sin\alpha b \sin\beta b + \cos\alpha b \cos\beta b\right)\right) \end{cases}$$

5. 隧道效应（势垒贯穿）

自由粒子处遇到的势是有限高和有限宽的势垒：

$$U(x) = \begin{cases} U_0, & 0 < x < a \\ 0, & x < 0, x > a \end{cases}$$

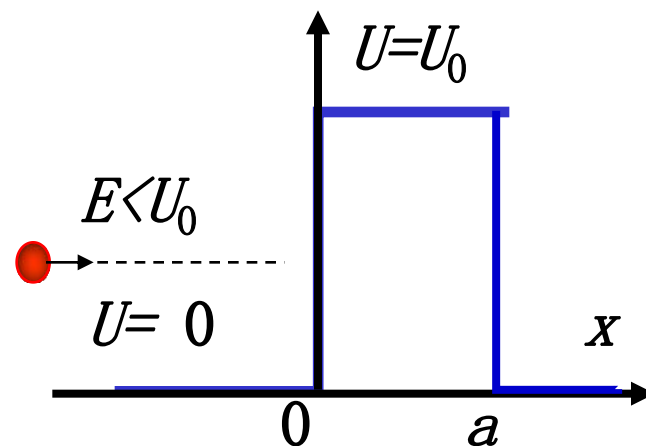
解：
$$-\frac{\hbar^2}{2m} \frac{\partial^2 \psi(x)}{\partial x^2} + U(x)\psi(x) = E\psi(x)$$

$$U(x) = \begin{cases} U_0, & 0 < x < a \\ 0, & x < 0, x > a \end{cases}$$

$$\begin{cases} \psi_1'' + k_1^2 \psi_1 = 0 & x < 0 & I & \text{区} \\ \psi_2'' + k_2^2 \psi_2 = 0 & 0 < x < a & II & \text{区} \\ \psi_3'' + k_3^2 \psi_3 = 0 & x > a & III & \text{区} \end{cases}$$

$$\rightarrow \begin{cases} \psi_1(x) = Ae^{+ikx} + Be^{-ikx} \\ \psi_2(x) = De^{-k'x} + Fe^{+k'x} \\ \psi_3(x) = Ce^{+ikx} \end{cases} \quad 0 \leq x \leq a$$

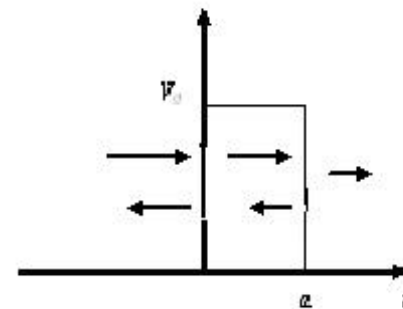
利用波函数的有限性来省略一些参数



$$\left\{ \begin{aligned} k &= \sqrt{\frac{2mE}{\hbar^2}} \\ k' &= \sqrt{\frac{2m(U_0 - E)}{\hbar^2}} \end{aligned} \right. \leftarrow$$

●确定系数

利用波函数及微商连续条件



$$\rightarrow \begin{cases} \psi_1(x) = Ae^{+ikx} + Be^{-ikx} \\ \psi_2(x) = De^{-k'x} + Fe^{+k'x} \end{cases} \quad 0 \leq x \leq a \quad \rightarrow \begin{cases} \psi_1(0) = Ae^{+ik0} + Be^{-ik0} \\ \psi_2(0) = De^{-k'0} + Fe^{+k'0} \end{cases} \quad 0 \leq x \leq a$$

$$\begin{cases} \psi_1(0) = \psi_2(0) \\ \left(\frac{d\psi_1}{dx} \right)_{x=0} = \left(\frac{d\psi_2}{dx} \right)_{x=0} \end{cases} \rightarrow \begin{cases} Ae^{+ik0} + Be^{-ik0} = De^{-k'0} + Fe^{+k'0} \\ kA - kB = k'D - k'F \end{cases}$$

$$\rightarrow \begin{cases} \psi_2(x) = De^{-k'x} + Fe^{+k'x} \\ \psi_3(x) = Ce^{+ikx} \end{cases} \quad 0 \leq x \leq a \quad \begin{cases} (\psi_2)_{x=a} = (\psi_3)_{x=a} \\ \left(\frac{d\psi_2}{dx} \right)_{x=a} = \left(\frac{d\psi_3}{dx} \right)_{x=a} \end{cases} \rightarrow \begin{cases} De^{ik'a} + Fe^{-ik'a} = Ce^{ika} \\ k'De^{ik'a} - k'Fe^{-ik'a} = kCe^{ika} \end{cases}$$

$$\begin{cases} A + B = D + F \\ kA - kB = k'D - k'F \\ De^{ik'a} + Fe^{-ik'a} = Ce^{ika} \\ k'De^{ik'a} - k'Fe^{-ik'a} = kCe^{ika} \end{cases} \quad \text{解方程组得:} \quad \rightarrow \begin{cases} C = \frac{4kk'e^{-ika}}{(k+k')^2 e^{-ik'a} - (k-k')^2 e^{ik'a}} A \\ B = \frac{2i(k^2 - k'^2) \sin ak'}{(k-k')^2 e^{ik'a} - (k+k')^2 e^{-ik'a}} A \end{cases}$$

4. 透射系数和反射系数

透射系数：透射波几率流密度与入射波几率流密度之比称为透射系数 $D = J_D/J_I$ 其物理意义是：描述贯穿到 $x > a$ 的 III 区中的粒子在单位时间内流过垂直 x 方向的单位面积的数目与入射粒子（在 $x < 0$ 的 I 区）在单位时间内流过垂直于 x 方向单位面积的数目之比。

II 反射系数：反射波几率流密度与入射波几率流密度之比称为反射系数 $R = J_R/J_I$

几率流密度矢量：
$$\vec{J} = \frac{i\hbar}{2\mu} [\psi \nabla \psi^* - \psi^* \nabla \psi]$$

$$\vec{J} = \frac{i\hbar}{2\mu} \left[\psi \frac{d}{dx} \psi^* - \psi^* \frac{d}{dx} \psi \right]$$

则入射波几率流密度

$$J_I = \frac{i\hbar}{2\mu} \left[A e^{ik_1 x} \frac{d}{dx} A^* e^{-ik_1 x} - A^* e^{-ik_1 x} \frac{d}{dx} A e^{ik_1 x} \right] = \frac{k_1 \hbar}{\mu} |A|^2$$

反射波 $\psi = A' \exp[-ik_1 x]$ ，所以反射波几率流密度：
$$J_R = -\frac{k_1 \hbar}{\mu} |B|^2$$

对透射波 $\psi = C \exp[ik_1 x]$ ，所以透射波几率流密度：
$$J_D = \frac{k_1 \hbar}{\mu} |C|^2$$

$$C = \frac{4k_1 k_2 e^{-ik_1 a}}{(k_1 + k_2)^2 e^{-ik_2 a} - (k_1 - k_2)^2 e^{ik_2 a}} A \quad B = \frac{2i(k_1^2 - k_2^2) \sin k_2 a}{(k_1 + k_2)^2 e^{-ik_2 a} - (k_1 - k_2)^2 e^{ik_2 a}} A$$

于是透射系数为:

$$D = \frac{J_D}{J_I} = \frac{|C|^2}{|A|^2} = \frac{4k_1^2 k_2^2}{(k_1^2 - k_2^2)^2 \sin^2 k_2 a + 4k_1^2 k_2^2}$$

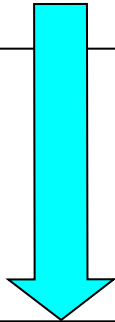
同理得反射系数:

$$R = \frac{J_R}{J_I} = \frac{|B|^2}{|A|^2} = \frac{(k_1^2 - k_2^2)^2 \sin^2 k_2 a}{(k_1^2 - k_2^2)^2 \sin^2 k_2 a + 4k_1^2 k_2^2}$$

由以上二式显然有 $D+R=1$, 说明入射粒子一部分贯穿势垒到 $x > a$ 的III区, 另一部分则被势垒反射回来。

例1：入射粒子为电子。

设 $E=1\text{eV}$, $V_0 = 2\text{eV}$,
 $a = 2 \times 10^{-8} \text{ cm} = 2\text{\AA}$,
算得 $D \approx 0.51$ 。



若 $a=5 \times 10^{-8} \text{ cm} = 5 \text{\AA}$,
则 $D \approx 0.024$, 可见
透射系数迅速减小。

例2：入射粒子换成质子。

质子与电子质量比

$$\mu_p / \mu_e \approx 1840。$$

对于 $a = 2 \text{\AA}$

$$\text{则 } D \approx 2 \times 10^{-38}。$$

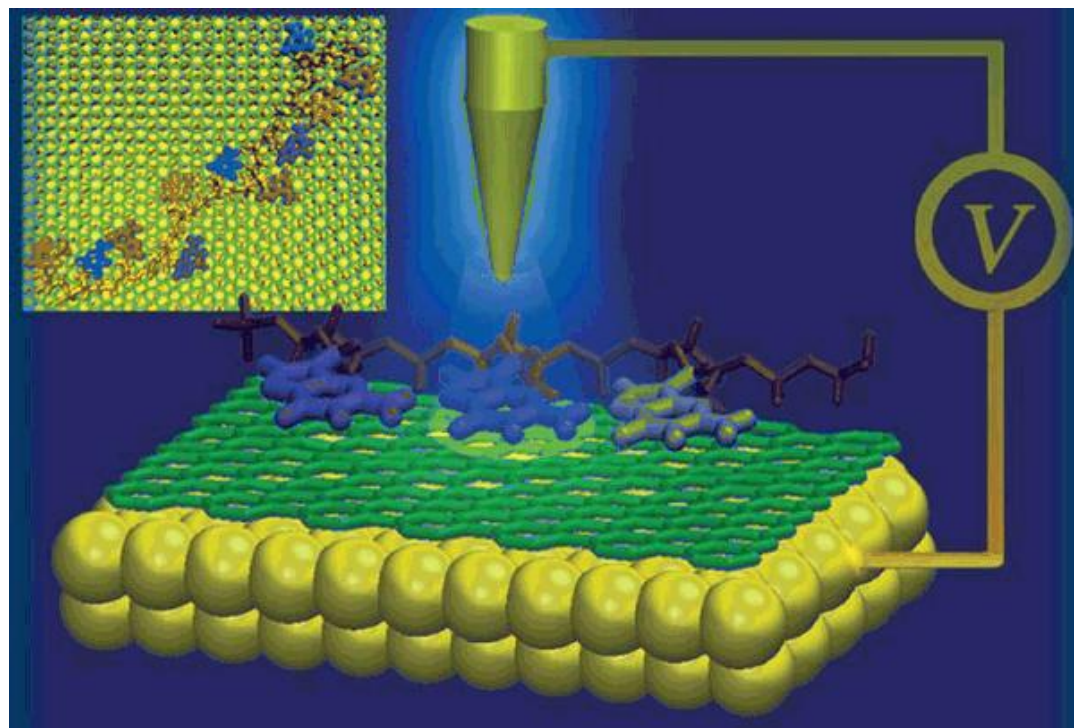
可见透射系数明显的依赖于
粒子的质量和势垒的宽度。

● 扫描隧穿显微镜 (STM)

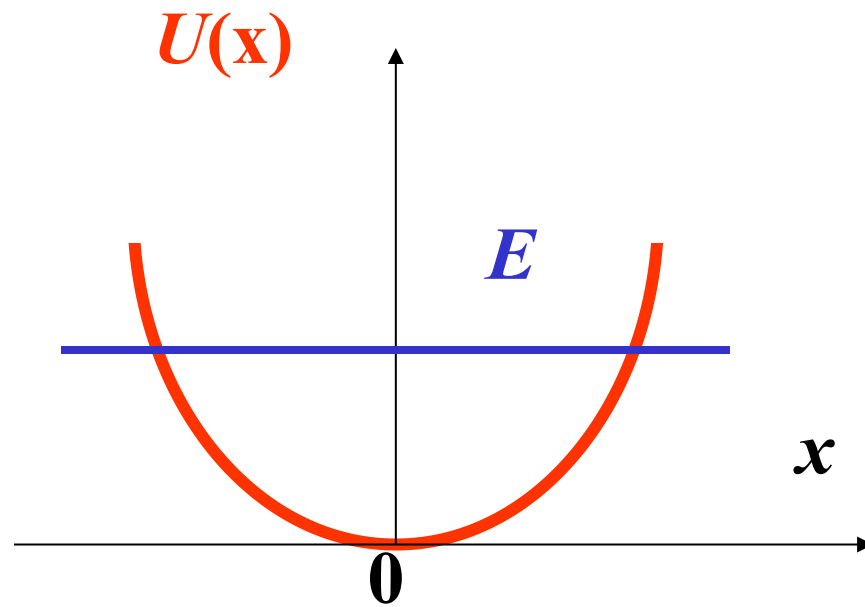
(Scanning Tunneling Microscope)

是观察固体表面原子情况的超高倍显微镜。

➤ 原理 隧道电流 i 与样品和针尖间的距离 S 关系极为敏感。



4.2 线性谐振子



4.2 线性谐振子

在经典力学中，当质量为 μ 的粒子，受弹性力 $F = -kx$ 作用，由牛顿第二定律可以写出运动方程为：

$$\mu \frac{d^2 x}{dt^2} = -kx \rightarrow x'' + \omega^2 x = 0 \text{ 其中 } \omega = \sqrt{\frac{k}{\mu}} \rightarrow U_{\text{势能}} = \frac{1}{2} kx^2 = \frac{1}{2} \mu \omega^2 x^2$$

线性谐振子的 Hamilton量： $\rightarrow \hat{H}_{\text{哈密顿算符}} = \frac{\hat{p}^2}{2\mu_{\text{(谐振子质量)}}} + \frac{1}{2} \mu \omega^2 x^2 = -\frac{\hbar^2}{2\mu} \frac{d^2}{dx^2} + \frac{1}{2} \mu \omega^2 x^2$

则Schrodinger 方程可写为：

$$\rightarrow \left\{ \frac{\hbar^2}{2\mu} \frac{d^2}{dx^2} + [E - \frac{1}{2} \mu \omega^2 x^2] \right\} \psi(x) = 0$$

为简单计，引入无量纲变量 ξ 代替 x ，令： $\xi = \alpha x$ 其中 $\alpha = \sqrt{\frac{\mu \omega}{\hbar}}$ ，则方程可改写为：

$$\rightarrow \frac{d^2 \psi(\xi)}{d\xi^2} + [\lambda - \xi^2] \psi(\xi) = 0, \text{ 其中 } \lambda = \frac{2E}{\hbar \omega}$$

$$\rightarrow \frac{d^2\psi(\xi)}{d\xi^2} + [\lambda - \xi^2]\psi(\xi) = 0, \text{ 其中 } \lambda = \frac{2E}{\hbar\omega}$$

1. 渐近解: 为求解方程, 我们先看一下它的渐近解, 即当 $\xi \rightarrow \pm\infty$ 时波函数 ψ 的行为。在此情况下, $\lambda \ll \xi^2$, 于是方程变为:

$$\rightarrow \frac{d^2\psi_{\infty}}{d\xi^2} - \xi^2\psi_{\infty} = 0 \quad \rightarrow \psi_{\infty} = C_1 e^{-\frac{\xi^2}{2}} + C_2 e^{\frac{\xi^2}{2}}$$

根据波函数有限条件, $C_2 = 0$, 所以: $\rightarrow \psi_{\infty} = C_1 e^{-\frac{\xi^2}{2}}$

求方程 $\frac{d^2\psi}{d\xi^2} + [\lambda - \xi^2]\psi = 0$ 的波函数 ψ , 在无穷远处有 $\psi_{\infty} = e^{-\xi^2/2}$ 渐近形式, 自然会令:

$$\psi(\xi) = H(\xi) e^{-\xi^2/2}$$

• 其中 $H(\xi)$ 必须满足波函数的单值、有限、连续的标准条件。即:

① 当 ξ 有限时, $H(\xi)$ 有限;

② 当 $\xi \rightarrow \infty$ 时, $H(\xi)$ 的行为要保证 $\psi(\xi) \rightarrow 0$ 。

$$\psi(\xi) = H(\xi)e^{-\xi^2/2} \text{ 代入 } \rightarrow \frac{d^2\psi}{d\xi^2} + [\lambda - \xi^2]\psi(x) = 0$$

$$\rightarrow \frac{d^2H}{d\xi^2} - 2\xi \frac{dH}{d\xi} + (\lambda - 1)H = 0$$

试探解:

$$1) \text{ 如果 } H(\xi) = a_0 \text{ (常数), 代入 } \rightarrow \frac{d^2H}{d\xi^2} - 2\xi \frac{dH}{d\xi} + (\lambda - 1)H = 0$$

$$\text{必然有 } \rightarrow \lambda = 1 \rightarrow \lambda = \frac{2E}{\hbar\omega} \rightarrow E_{\text{本征能量}} = \frac{1}{2}\hbar\omega$$

$$H(\xi) = a_0 \rightarrow \text{对应波函数 } \psi(\xi) = a_0 e^{-\xi^2/2}$$

$$\text{再根据定义 } \xi = \alpha x \text{ 其中 } \alpha = \sqrt{\frac{\mu\omega}{\hbar}}, \text{ 则波函数 } \psi(x) = a_0 e^{-\frac{\mu\omega}{2\hbar}x^2}$$

$$\text{归一化: } \int_{-\infty}^{\infty} (\psi(x))^2 dx = \int_{-\infty}^{\infty} a_0^2 e^{-\frac{\mu\omega}{\hbar}x^2} dx = 1$$

$$\text{同理: } \int_{-\infty}^{\infty} (\psi(y))^2 dy = \int_{-\infty}^{\infty} a_0^2 e^{-\frac{\mu\omega}{\hbar}y^2} dy = 1$$

$$\rightarrow \int_{-\infty}^{\infty} a_0^2 e^{-\frac{\mu\omega}{\hbar}x^2} dx \int_{-\infty}^{\infty} a_0^2 e^{-\frac{\mu\omega}{\hbar}y^2} dy = a_0^4 \int_0^{\infty} e^{-\frac{\mu\omega}{\hbar}r^2} r dr \int_0^{2\pi} d\theta = a_0^4 \left(\frac{\hbar}{2\mu\omega} \right) 2\pi = 1$$

$$a_0^2 = \sqrt{\frac{\mu\omega}{\hbar\pi}} \quad a_0^2 = \sqrt{\frac{\mu\omega}{\hbar\pi}} \rightarrow \psi_0(x) = \sqrt[4]{\frac{\mu\omega}{\hbar\pi}} e^{-\frac{\mu\omega}{2\hbar}x^2}$$

$$\text{则 } E_{0\text{本征能量}} = \frac{1}{2} \hbar\omega \rightarrow \psi_0(x) = \sqrt[4]{\frac{\mu\omega}{\hbar\pi}} e^{-\frac{\mu\omega}{2\hbar}x^2}$$

$$2) \text{ 如果 } H(\xi) = a_1 \xi, \text{ 带入 } \rightarrow \frac{d^2 H}{d\xi^2} - 2\xi \frac{dH}{d\xi} + (\lambda - 1)H = 0$$

$$\rightarrow -2\xi a_1 + (\lambda - 1)a_1 \xi = 0 \rightarrow \lambda = 3 \quad \lambda = 3 \rightarrow \lambda = \frac{2E}{\hbar\omega} \rightarrow E_{\text{本征能量}} = \frac{3}{2}\hbar\omega$$

$$H(\xi) = a_1 \xi \rightarrow \text{对应波函数 } \psi(\xi) = a_1 \xi e^{-\xi^2/2}$$

$$\text{再根据定义 } \xi = \alpha x \text{ 其中 } \alpha = \sqrt{\frac{\mu\omega}{\hbar}}, \text{ 则波函数 } \psi(x) = a_1 \alpha x e^{-\frac{\mu\omega}{2\hbar}x^2}$$

$$\int_{-\infty}^{\infty} (\psi(\xi))^2 dx = \int_{-\infty}^{\infty} (a_1 \alpha x)^2 e^{-\frac{\mu\omega}{\hbar}x^2} dx = 1$$

$$\rightarrow (a_1 \alpha)^2 \int_{-\infty}^{\infty} x^2 e^{-\frac{\mu\omega}{\hbar}x^2} dx = (a_1 \alpha)^2 \left[\left(-\frac{\hbar}{2\mu\omega} \right) x d \left(e^{-\frac{\mu\omega}{\hbar}x^2} \right) \right]_{-\infty}^{+\infty} + \frac{\hbar}{2\mu\omega} \int_{-\infty}^{\infty} e^{-\frac{\mu\omega}{\hbar}x^2} dx = 1$$

$$\rightarrow (a_1 \alpha)^2 \left[0 + \frac{\hbar}{2\mu\omega} \int_{-\infty}^{\infty} e^{-\frac{\mu\omega}{\hbar}x^2} dx \right] = (a_1 \alpha)^2 \left[\frac{\hbar}{2\mu\omega} \int_{-\infty}^{\infty} e^{-\frac{\mu\omega}{\hbar}x^2} dx \right] = 1$$

$$\int_{-\infty}^{\infty} e^{-\frac{\mu\omega}{\hbar}x^2} dx \int_{-\infty}^{\infty} e^{-\frac{\mu\omega}{\hbar}y^2} dy = \int_0^{\infty} e^{-\frac{\mu\omega}{\hbar}r^2} r dr \int_0^{2\pi} d\theta = 2\pi \left(\frac{\hbar}{2\mu\omega} \right) = \frac{\pi\hbar}{\mu\omega} \rightarrow \int_{-\infty}^{\infty} e^{-\frac{\mu\omega}{\hbar}x^2} = \sqrt{\frac{\pi\hbar}{\mu\omega}}$$

$$\int_{-\infty}^{\infty} e^{-\frac{\mu\omega}{\hbar}x^2} = \sqrt{\frac{\pi\hbar}{\mu\omega}} \rightarrow (a_1\alpha)^2 \left[\frac{\hbar}{2\mu\omega} \int_{-\infty}^{\infty} e^{-\frac{\mu\omega}{\hbar}x^2} dx \right] = 1 \rightarrow (a_1\alpha)^2 \left[\frac{\hbar}{2\mu\omega} \sqrt{\frac{\pi\hbar}{\mu\omega}} \right] = 1$$

$$(a_1\alpha)^2 \left[\frac{\hbar}{2\mu\omega} \sqrt{\frac{\pi\hbar}{\mu\omega}} \right] = 1 \rightarrow a_1\alpha = \sqrt{\frac{2\mu\omega}{\hbar}} \sqrt{\frac{\mu\omega}{\pi\hbar}}$$

$$a_1\alpha = \sqrt{\frac{2\mu\omega}{\hbar}} \sqrt{\frac{\mu\omega}{\pi\hbar}} \text{ 代入 } \psi(x) = a_1\alpha x e^{-\frac{\mu\omega}{2\hbar}x^2} \rightarrow \psi_1(x) = \sqrt{\frac{2\mu\omega}{\hbar}} \sqrt{\frac{\mu\omega}{\pi\hbar}} x e^{-\frac{\mu\omega}{2\hbar}x^2}$$

$$E_1 = \frac{3}{2}\hbar\omega \rightarrow \psi_1(x) = \sqrt{\frac{2\mu\omega}{\hbar}} \sqrt{\frac{\mu\omega}{\pi\hbar}} x e^{-\frac{\mu\omega}{2\hbar}x^2}$$

3) 如果 $H(\xi) = a_0 + a_2 \xi^2$ (常数), 带入 $\rightarrow \frac{d^2 H}{d\xi^2} - 2\xi \frac{dH}{d\xi} + (\lambda - 1)H = 0$

$$\rightarrow 2a_2 - 4\xi^2 a_2 + (\lambda - 1)(a_0 + a_2 \xi^2) = 0$$

$$\rightarrow \begin{cases} -4\xi^2 a_2 + (\lambda - 1)a_2 \xi^2 = 0 \\ 2a_2 + (\lambda - 1)a_0 = 0 \end{cases} \rightarrow \lambda = 5, a_2 = -2a_0 \quad \lambda = 5 \rightarrow \lambda = \frac{2E}{\hbar\omega} \rightarrow E_2 = \frac{5}{2}\hbar\omega$$

$$\lambda = 5, a_2 = -2a_0 \text{ 代入 } \rightarrow H(\xi) = a_0 + a_2 \xi^2 \rightarrow H(\xi) = a_0 (1 - 2\xi^2)$$

$$H(\xi) = a_0 (1 - 2\xi^2) \rightarrow \psi(\xi) = a_0 (1 - 2\xi^2) e^{-\xi^2/2}$$

再根据定义 $\xi = \alpha x$ 其中 $\alpha = \sqrt{\frac{\mu\omega}{\hbar}}$, 则波函数 $\psi(x) = a_0 (1 - 2\alpha x^2) e^{-\frac{\mu\omega}{2\hbar}x^2}$

利用归一化方法得到 $\rightarrow \psi(x) = \sqrt{\frac{\mu\omega}{2\hbar\sqrt{\pi}}} \left(\frac{2\mu\omega}{\hbar}x^2 - 1 \right) e^{-\frac{\mu\omega}{2\hbar}x^2}$

$$E_2 = \frac{5}{2}\hbar\omega \rightarrow \psi(x) = \sqrt{\frac{\mu\omega}{2\hbar\sqrt{\pi}}} \left(\frac{2\mu\omega}{\hbar}x^2 - 1 \right) e^{-\frac{\mu\omega}{2\hbar}x^2}$$

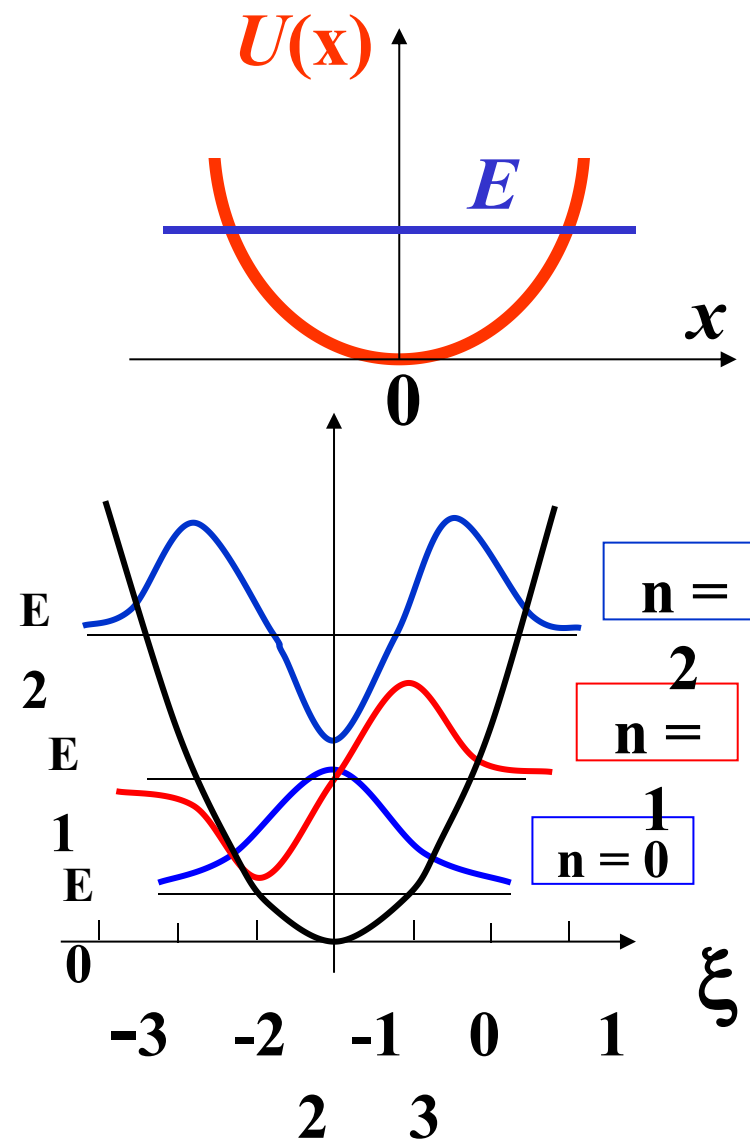
可以尝试猜测出最终结果, 若的最高次数为 n , 则对应的本征能量位 $(2^*n+1)/2^* \hbar\omega$

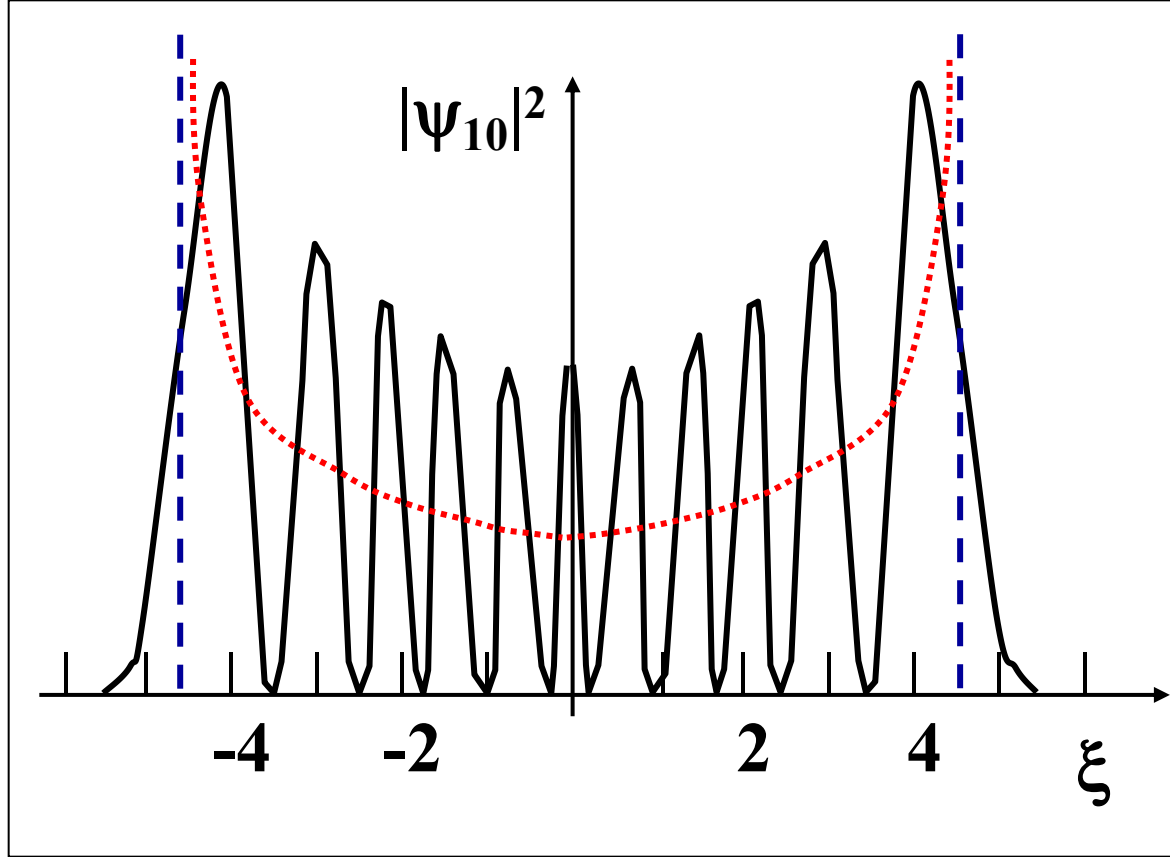
总结

$$\rightarrow \left\{ \frac{\hbar^2}{2\mu} \frac{d^2}{dx^2} + [E - \frac{1}{2} \mu \omega^2 x^2] \right\} \psi(x) = 0$$

$$\left\{ \begin{array}{l} E_0 = \frac{1}{2} \hbar \omega \rightarrow \psi_0(x) = \sqrt[4]{\frac{\mu \omega}{\hbar \pi}} e^{-\frac{\mu \omega}{2\hbar} x^2} \\ E_1 = \frac{3}{2} \hbar \omega \rightarrow \psi_1(x) = \sqrt{\frac{2\mu \omega}{\hbar}} \sqrt{\frac{\mu \omega}{\pi \hbar}} x e^{-\frac{\mu \omega}{2\hbar} x^2} \\ E_2 = \frac{5}{2} \hbar \omega \rightarrow \psi(x) = \sqrt{\frac{\mu \omega}{2\hbar \sqrt{\pi}}} \left(\frac{2\mu \omega}{\hbar} x^2 - 1 \right) e^{-\frac{\mu \omega}{2\hbar} x^2} \\ E_n \dots \dots \end{array} \right.$$

最终图像中的波峰数量正比于n，且两边峰更高，中间峰更矮。





从此图可以进行预测，当n足够大的时候最终的图像为一个抛物线的形状。

例1. 求三维谐振子能级，并讨论它的简并情况

解：（1）三维谐振子 Hamilton 量

利用波函数的乘积的性质，分别求解！

$$\hat{H} = -\frac{\hbar^2}{2\mu} \left[\frac{d^2}{dx^2} + \frac{d^2}{dy^2} + \frac{d^2}{dz^2} \right] + \frac{1}{2} \mu \omega^2 (x^2 + y^2 + z^2) = \hat{H}_x + \hat{H}_y + \hat{H}_z$$

$$\rightarrow \begin{cases} \hat{H}_x = -\frac{\hbar^2}{2\mu} \frac{d^2}{dx^2} + \frac{1}{2} \mu \omega^2 x^2 \\ \hat{H}_y = -\frac{\hbar^2}{2\mu} \frac{d^2}{dy^2} + \frac{1}{2} \mu \omega^2 y^2 \\ \hat{H}_z = -\frac{\hbar^2}{2\mu} \frac{d^2}{dz^2} + \frac{1}{2} \mu \omega^2 z^2 \end{cases} \quad \hat{H}\psi(x, y, z) = (\hat{H}_x + \hat{H}_y + \hat{H}_z)\psi(x, y, z) = E\psi(x, y, z)$$
$$\rightarrow \begin{cases} E_{n_1 n_2 n_3} = E_{n_1} + E_{n_2} + E_{n_3} \\ \psi_{n_1 n_2 n_3}(x, y, z) = \psi_{n_1}(x) \psi_{n_2}(y) \psi_{n_3}(z) \end{cases}$$

$$\hat{H}\psi(x, y, z) = (\hat{H}_x + \hat{H}_y + \hat{H}_z)\psi(x, y, z) = E\psi(x, y, z)$$

$$\begin{cases} \hat{H} = \hat{H}_x + \hat{H}_y + \hat{H}_z \\ E = E_1 + E_2 + E_3 \\ \psi(x, y, z) = \psi(x)\psi(y)\psi(z) \end{cases} \quad (\hat{H}_x + \hat{H}_y + \hat{H}_z)\psi(x)\psi(y)\psi(z) = (E_1 + E_2 + E_3)\psi(x)\psi(y)\psi(z)$$

$$\rightarrow \begin{cases} (\hat{H}_x)\psi(x)\psi(y)\psi(z) = (E_1)\psi(x)\psi(y)\psi(z) \\ (\hat{H}_y)\psi(x)\psi(y)\psi(z) = (E_2)\psi(x)\psi(y)\psi(z) \\ (\hat{H}_z)\psi(x)\psi(y)\psi(z) = (E_3)\psi(x)\psi(y)\psi(z) \end{cases} \rightarrow \begin{cases} \hat{H}_x\psi(x) = E_1\psi(x) \\ \hat{H}_y\psi(y) = E_2\psi(y) \\ \hat{H}_z\psi(z) = E_3\psi(z) \end{cases}$$

$$\rightarrow \begin{cases} \left(-\frac{\hbar^2}{2\mu} \frac{d^2}{dx^2} + \frac{1}{2} \mu \omega^2 x^2 \right) \psi(x) = E_1 \psi(x) \\ \left(-\frac{\hbar^2}{2\mu} \frac{d^2}{dy^2} + \frac{1}{2} \mu \omega^2 y^2 \right) \psi(y) = E_2 \psi(y) \\ \left(-\frac{\hbar^2}{2\mu} \frac{d^2}{dz^2} + \frac{1}{2} \mu \omega^2 z^2 \right) \psi(z) = E_3 \psi(z) \end{cases} \quad i = 1, 2, 3 \begin{cases} E_{i0} = \frac{1}{2} \hbar \omega \rightarrow \psi_0(x) = \sqrt[4]{\frac{\mu \omega}{\hbar \pi}} e^{-\frac{\mu \omega}{2\hbar} x^2} \\ E_{i1} = \frac{3}{2} \hbar \omega \rightarrow \psi_1(x) = \sqrt{\frac{2\mu \omega}{\hbar}} \sqrt{\frac{\mu \omega}{\pi \hbar}} x e^{-\frac{\mu \omega}{2\hbar} x^2} \\ E_{i2} = \frac{5}{2} \hbar \omega \rightarrow \psi(x) = \sqrt{\frac{\mu \omega}{2\hbar \sqrt{\pi}}} \left(\frac{2\mu \omega}{\hbar} x^2 - 1 \right) e^{-\frac{\mu \omega}{2\hbar} x^2} \end{cases}$$

$$i = 1, 2, 3 \left\{ \begin{aligned} E_{i0} &= \frac{1}{2} \hbar \omega \rightarrow \psi_0(x) = \sqrt[4]{\frac{\mu \omega}{\hbar \pi}} e^{-\frac{\mu \omega}{2 \hbar} x^2} \\ E_{i1} &= \frac{3}{2} \hbar \omega \rightarrow \psi_1(x) = \sqrt{\frac{2 \mu \omega}{\hbar}} \sqrt{\frac{\mu \omega}{\pi \hbar}} x e^{-\frac{\mu \omega}{2 \hbar} x^2} \\ E_{i2} &= \frac{5}{2} \hbar \omega \rightarrow \psi(x) = \sqrt{\frac{\mu \omega}{2 \hbar \sqrt{\pi}}} \left(\frac{2 \mu \omega}{\hbar} x^2 - 1 \right) e^{-\frac{\mu \omega}{2 \hbar} x^2} \end{aligned} \right. \quad i = 1, 2, 3 \rightarrow \left\{ \begin{aligned} E_{n_1 n_2 n_3} &= E_{n_1} + E_{n_2} + E_{n_3} \\ \psi_{n_1 n_2 n_3}(x, y, z) &= \psi_{n_1}(x) \psi_{n_2}(y) \psi_{n_3}(z) \end{aligned} \right.$$

$$E_N = (n_1 + n_2 + n_3 + \frac{3}{2}) \hbar \omega = (N + \frac{3}{2}) \hbar \omega \text{ 其中 } N = n_1 + n_2 + n_3$$

对给定 N= n ₁ + n ₂ + n ₃ 的组合方式数列表分析如下:						
n ₁	n ₂				→	组合方式数
0	0,	1,	...	N	→	N+1
1	0,	1,	...	N-1	→	N
2	0,	1,	...	N-2	→	N-1
...	→	...
N	0,				→	1
对给定 N (N= n ₁ + n ₂ + n ₃), {n ₁ , n ₂ , n ₃ } 的组合方式数						(1/2) (N+1) (N+2)

由于总离子数目固定，故两个维度的状态数确定后，即可自动确定最后一维的状态。

例2. 荷电 q 的谐振子, 受到沿 x 向外电 ε 的作用, 其势场为: $U(x) = \frac{1}{2} \mu \omega^2 x^2 - q \varepsilon x$

求能量本征值和本征函数。

解: Schrodinger 方程:

$$\frac{d^2}{dx^2} \psi(x) + \frac{2\mu}{\hbar^2} [E - U(x)] \psi(x) = 0$$

$$U(x) = \frac{1}{2} \mu \omega^2 x^2 - q \varepsilon x = \frac{1}{2} \mu \omega^2 \left[x - \frac{q \varepsilon}{\mu \omega^2} \right]^2 - \frac{q^2 \varepsilon^2}{2 \mu \omega^2} = \frac{1}{2} \mu \omega^2 (x - x_0)^2 - U_0$$

$$\text{其中: } x_0 = \frac{q \varepsilon}{\mu \omega^2} \quad U_0 = \frac{q^2 \varepsilon^2}{2 \mu \omega^2}$$

$$\text{坐标变换} \rightarrow \begin{cases} x' = x - x_0 \\ \hat{p} = -i\hbar \frac{d}{dx} = -i\hbar \frac{d}{dx'} = \hat{p}' \end{cases}$$

$$\begin{aligned} \rightarrow \hat{H} &= \frac{\hat{p}^2}{2\mu} + \frac{1}{2} \mu \omega^2 (x - x_0)^2 - U_0 \\ &= \frac{\hat{p}'^2}{2\mu} + \frac{1}{2} \mu \omega^2 x'^2 - U_0 \end{aligned}$$

$$\hat{H} = \frac{\hat{p}'^2}{2\mu} + \frac{1}{2} \mu \omega^2 x'^2 - U_0 \rightarrow \frac{d^2 \psi(x')}{dx'^2} + \frac{2\mu}{\hbar^2} [E - \frac{1}{2} \mu \omega^2 x'^2 + U_0] \psi(x') = 0$$

$$\text{令 } E' = E + U_0 \rightarrow \frac{d^2}{dx'^2} \psi(x') + \frac{2\mu}{\hbar^2} [E' - \frac{1}{2} \mu \omega^2 x'^2] \psi(x') = 0$$

$$\left\{ \begin{array}{l} E'_0 = \frac{1}{2} \hbar \omega \rightarrow \psi_0(x') = \sqrt[4]{\frac{\mu \omega}{\hbar \pi}} e^{-\frac{\mu \omega}{2\hbar} x'^2} \\ E'_1 = \frac{3}{2} \hbar \omega \rightarrow \psi_1(x') = \sqrt{\frac{2\mu \omega}{\hbar}} \sqrt{\frac{\mu \omega}{\pi \hbar}} x e^{-\frac{\mu \omega}{2\hbar} x'^2} \\ E'_2 = \frac{5}{2} \hbar \omega \rightarrow \psi(x') = \sqrt{\frac{\mu \omega}{2\hbar \sqrt{\pi}}} \left(\frac{2\mu \omega}{\hbar} x^2 - 1 \right) e^{-\frac{\mu \omega}{2\hbar} x'^2} \end{array} \right. \quad \left\{ \begin{array}{l} x' = x - x_0 = x - \frac{q\varepsilon}{\mu \omega^2} \\ E = E' - U_0 = E' - \frac{q^2 \varepsilon^2}{2\mu \omega^2} \end{array} \right.$$

书上习题:

解:

$$\psi_0(x) = a_0 e^{-\frac{1}{2}\alpha^2 x^2} \quad \alpha = \sqrt{\frac{m\omega}{\hbar}} \quad \omega = \sqrt{\frac{k}{m}}$$

$$\left(-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + \frac{1}{2} kx^2 \right) \psi(x) = E \psi(x)$$

$$\psi_0'(x) = -\frac{1}{2} \alpha^2 a_0 2x e^{-\frac{1}{2}\alpha^2 x^2}$$

$$\psi_0''(x) = -\frac{1}{2} \alpha^2 a_0 2e^{-\frac{1}{2}\alpha^2 x^2} - \frac{1}{2} \alpha^2 a_0 2x \left(-\frac{1}{2} \alpha^2 2x e^{-\frac{1}{2}\alpha^2 x^2} \right) = -\alpha^2 a_0 e^{-\frac{1}{2}\alpha^2 x^2} + \alpha^4 a_0 x^2 e^{-\frac{1}{2}\alpha^2 x^2}$$

$$\left(-\frac{\hbar^2}{2m} \left(-\alpha^2 + \alpha^4 x^2 \right) + \frac{1}{2} kx^2 \right) a_0 e^{-\frac{1}{2}\alpha^2 x^2} = E a_0 e^{-\frac{1}{2}\alpha^2 x^2}$$

$$E = -\frac{\hbar^2}{2m} \left(-\alpha^2 + \alpha^4 x^2 \right) + \frac{1}{2} kx^2 \quad E = \frac{\hbar^2}{2m} \left(\sqrt{\frac{m\omega}{\hbar}} \right)^2 - \frac{\hbar^2}{2m} \left(\sqrt{\frac{m\omega}{\hbar}} \right)^4 x^2 + \frac{1}{2} kx^2$$

$$E = \frac{\hbar^2}{2m} \frac{m\omega}{\hbar} - \frac{\hbar^2}{2m} \left(\frac{m\omega}{\hbar} \right)^2 x^2 + \frac{1}{2} kx^2 = \frac{1}{2} \hbar \omega - \frac{1}{2} m\omega^2 x^2 + \frac{1}{2} kx^2 = \frac{1}{2} \hbar \omega$$