# 第 4 章 薛定锷方程与<mark>定态</mark>波函数

与t无关!

4.1.一维定态问题

4. 2. 谐振子

## 4.1 一维定态问题

$$i\hbar \frac{\partial \psi(x,t)}{\partial t} = \left(-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + U(x,t)\right) \psi(x,t)$$

令
$$\psi(x,t) = \psi(x)f(t)$$
 对时间求导 $\rightarrow \frac{\partial \psi(x,t)}{\partial t} = \psi(x)\frac{\partial f(t)}{\partial t}$ 

对空间二阶导数 
$$\rightarrow \frac{\partial^2 \psi(x,t)}{\partial x^2} = f(t) \frac{\partial^2 \psi(x)}{\partial x^2}$$

#### 将其代入薛定谔方程,得

$$\rightarrow i\hbar\psi(x)\frac{\partial f(t)}{\partial t} = \left(-\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2} + U(x)\right)\psi(x)f(t)$$
 移动同类 \rightarrow i\hat{\frac{\partial f(t)}{f(t)\partial t}} = \left(-\frac{\partial^2}{2m}\frac{\partial^2}{\partial x^2} + U(x)\right)

$$\rightarrow i\hbar \frac{\partial f(t)}{f(t)\partial t} = \left(-\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2} + U(x)\right) = E$$

● 一个是变量为t 的方程

$$i\hbar \frac{\partial f(t)}{f(t)\partial t} = E$$
 积分  $\to f = Ae^{-\frac{i}{\hbar}Et}$ 

A是待定复常数, E有能量量纲,以后可知是粒子的能量: 动能 + 势能)

● 一个是变量为x的方程

$$i\hbar \frac{\partial f(t)}{f(t)\partial t} = \left(-\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2} + U(x)\right) = E \qquad \rightarrow \left(-\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2} + U(x)\right)\psi(x) = E\psi(x)$$

$$\rightarrow \hat{H}\psi(x) = E\psi(x)$$

其解 $\psi(\mathbf{x})$ 与粒子所处的条件外力场有关。  $\left|\psi(x,t)\right|^2 \propto \left|\psi(x)e^{-\frac{i}{\hbar}\mathbf{E}\,t}\right|^2 = \left|\psi(x)\right|^2$  即定态时,概率密度可以用  $\left|\psi(x)\right|^2$  取定态,概率密度可以用  $\left|\psi(x)\right|^2$ 

### 1. 无限深方势阱中的粒子

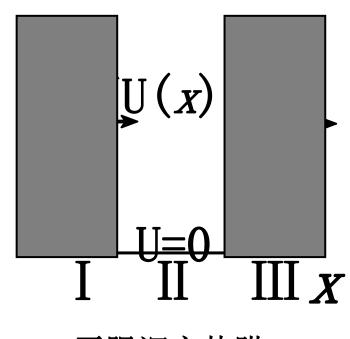
金属中自由电子的运动,是被限制在一个有限的范围称为束缚态。作为粗略的近似,我们认为这些电子在一维无限深方势阱中运动:

它的势能函数为

$$U(x) = \begin{cases} 0, & 0 \le x \le a \\ \infty, & |x| > a \end{cases}$$

按照一维定态薛谔定方程

$$\left(-\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2} + U(x)\right)\psi(x) = E\psi(x)$$



无限深方势阱

由于在I、III两区的 $U(x) = \infty$ ,显然应 $\psi_r = 0$ ;  $\psi_{rrr} = 0$ ,否则方程就无意义了。

由于II区的 U(x)= 0, 因此该区薛定谔方程为

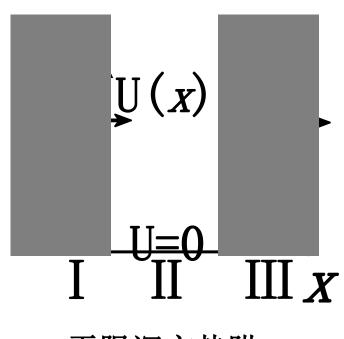
$$\left(-\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2} + U(x)\right)\psi(x) = E\psi(x)$$

$$U = 0 \rightarrow -\frac{\hbar^2}{2m} \frac{\partial^2 \psi(x)}{\partial x^2} = E\psi(x)$$

$$\to \psi(x) = A\sin(kx + \theta)$$

 $\psi(x)$ 在x = 0和x = a处必须连续

$$\rightarrow \begin{cases} A\sin(\theta) = 0, \\ A\sin(ka + \theta) = 0, \end{cases} \rightarrow \Rightarrow \begin{cases} \theta = 0 \\ ka = n\pi \end{cases} \rightarrow \psi_o = A\sin\frac{n\pi}{a}x$$



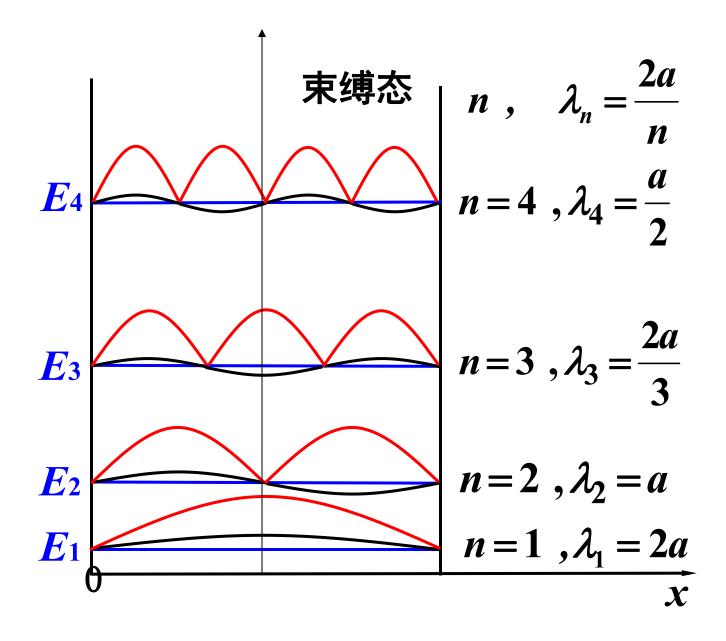
无限深方势阱

归一化条件 
$$\rightarrow \int_0^{2a} (\psi_o)^2 dx = \int_0^{2a} \left( A \sin \frac{n\pi}{a} x \right)^2 = 1$$
  $A = \sqrt{\frac{2}{a}}$ 

$$\Rightarrow \begin{cases} \psi_o = A \sin \frac{n\pi}{a} x & 0 \le x \le a \\ \psi_o = 0 & x \ge a, x \le 0 \end{cases}$$

$$\Leftrightarrow \rightarrow k^2 = \frac{2m}{\hbar^2} E \rightarrow \text{代入得} \rightarrow \frac{2m}{\hbar^2} E = \frac{n^2 \pi^2}{a^2} \rightarrow \text{得} E = \frac{\hbar^2 n^2 \pi^2}{2ma^2}$$

由每个<u>能量本征波函数</u>所描述的粒子的状态,是能量有确定值的状态称为粒子的能量本征态。



例题1:在一维无限深势阱中运动的微观粒子,它的定态波函数如图a,对应的能量为4eV。如它的一个波函数为b,它的总能量为多少?粒子的零点能为多少?

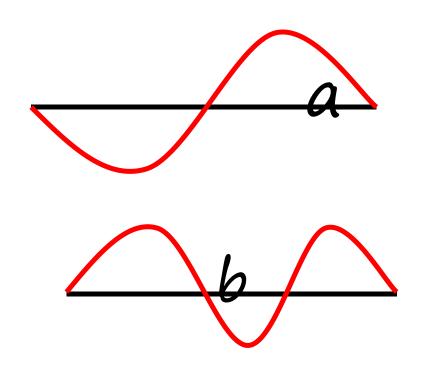
#### 解:

$$E = n^{2} \frac{\pi^{2} \hbar^{2}}{2ma^{2}} = n^{2} E_{0},$$

$$4 = 2^{2} \frac{\pi^{2} \hbar^{2}}{2ma^{2}} = 4E_{0}$$

$$E = n^{2} \frac{\pi^{2} \hbar^{2}}{2ma^{2}}$$

$$E_{3} = 3^{2} E_{0} = 9$$



例题2: 计算微观粒子出现概率最大的位置?

$$\psi(x,t)_n = \sqrt{\frac{2}{L}}\cos\left(\frac{n\pi x}{L}\right)e^{-\frac{a_n i}{\hbar}t} \qquad n = 1, 2, 3, 4, 5....$$

解:

$$F(x,t) = \psi^*(x,t)_n \psi(x,t)_n = \frac{2}{L}\cos^2\left(\frac{n\pi x}{L}\right)$$

$$\frac{\partial F(x,t)}{\partial x} = \frac{\partial}{\partial x} \left[ \frac{2}{L} \cos^2 \left( \frac{n\pi x}{L} \right) \right] = \left| \frac{4}{L} \frac{n\pi}{L} \cos \left( \frac{n\pi x}{L} \right) \sin \left( \frac{n\pi x}{L} \right) \right| = 0$$

$$\left| \frac{4}{L} \frac{n\pi}{L} \cos \left( \frac{n\pi x}{L} \right) \sin \left( \frac{n\pi x}{L} \right) \right| = \left| \frac{2n\pi}{L^2} \sin \left( \frac{2n\pi x}{L} \right) \right| = 0$$

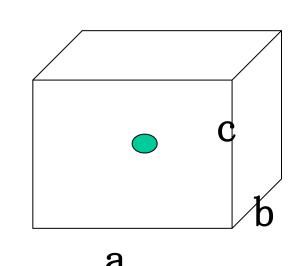
$$x = 0, \frac{L}{2}, L$$

#### 2.三维无限深方势阱

三维无限深方势阱(考虑一个粒子被囚禁在一个长方体盒子内,盒内U=O,盒外 $U=+\infty$ ,求能量本征值与本征函数)

解: 
$$\left(-\frac{\hbar^2}{2m}\nabla^2 + U(x,y,z)\right)\psi(x,y,z) = E\psi(x,y,z)$$

$$U = 0 \to \left(-\frac{\hbar^2}{2m}\nabla^2\right)\psi(x,y,z) = E\psi(x,y,z)$$
存在  $\to \psi(x,y,z) = \psi(x)\psi(y)\psi(z)$ 



$$-\frac{\hbar^{2}}{2m}\left(\psi(y)\psi(z)\frac{\partial^{2}\psi(x)}{\partial x^{2}} + \psi(x)\psi(z)\frac{\partial^{2}\psi(y)}{\partial y^{2}} + \psi(x)\psi(y)\frac{\partial^{2}\psi(z)}{\partial z^{2}}\right) = E\psi(x)\psi(y)\psi(z)$$

$$\frac{\hbar^{2}}{2m}\left(-\frac{\partial^{2}\psi(x)}{\partial x^{2}} + \frac{\partial^{2}\psi(x)}{\partial y^{2}} + \frac{\partial^{2}\psi(x)}{\partial y^{2}} + \frac{\partial^{2}\psi(x)}{\partial z^{2}}\right) = E\psi(x)\psi(y)\psi(z)$$

$$\rightarrow -\frac{\hbar^2}{2m} \left( \frac{\partial^2 \psi(x)}{\psi(x) \partial x^2} + \frac{\partial^2 \psi(y)}{\psi(y) \partial y^2} + \frac{\partial^2 \psi(z)}{\psi(z) \partial z^2} \right) = E \qquad \qquad \boxed{\pi} : k^2 = \frac{2m}{\hbar^2} E$$

有: 
$$k^2 = \frac{2m}{\hbar^2} E \rightarrow k^2 = k_x^2 + k_y^2 + k_z^2$$

$$\rightarrow \left(\frac{\partial^2 \psi(x)}{\psi(x)\partial x^2} + \frac{\partial^2 \psi(y)}{\psi(y)\partial y^2} + \frac{\partial^2 \psi(z)}{\psi(z)\partial z^2}\right) = -k^2 = -\left(k_x^2 + k_y^2 + k_z^2\right)$$

$$\psi_{n,m,l}(x,y,z) = \psi(x)\psi(y)\psi(z) = \sqrt{\frac{8}{abc}}\sin\left(\frac{n\pi}{a}x\right)\sin\left(\frac{m\pi}{b}y\right)\sin\left(\frac{l\pi}{c}z\right)$$

利用: 
$$k^2 = \frac{2m}{\hbar^2} E \rightarrow k^2 = k_x^2 + k_y^2 + k_z^2$$

利用 
$$\Rightarrow \begin{cases} \psi(x) = \sqrt{\frac{2}{a}} \sin \frac{n\pi}{a} x, & k_x = \frac{n\pi}{a} \\ \psi(y) = \sqrt{\frac{2}{b}} \sin \frac{m\pi}{b} y & k_y = \frac{m\pi}{b} \\ \psi(z) = \sqrt{\frac{2}{c}} \sin \frac{l\pi}{c} z & k_z = \frac{l\pi}{c} \end{cases}$$

$$E_{n,m,l} = \frac{\hbar^2}{2m} (k_x^2 + k_y^2 + k_z^2) = \frac{\hbar^2}{2m} \left[ \left( \frac{n\pi}{a} \right)^2 + \left( \frac{m\pi}{b} \right)^2 + \left( \frac{l\pi}{c} \right)^2 \right] \qquad (n,l,m=1,2,3,...)$$

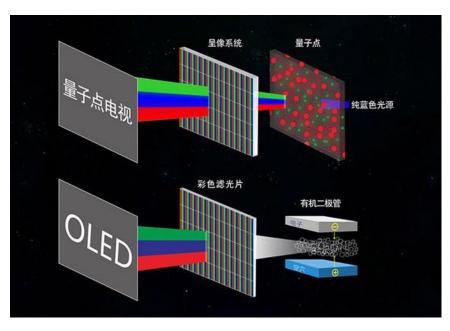
#### 量子点的典型应用





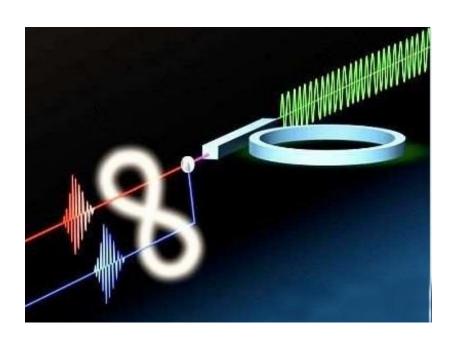
$$E_{n,m,l} = \frac{\hbar^2}{2m} (k_x^2 + k_y^2 + k_z^2) = \frac{\hbar^2}{2m} \left[ \left( \frac{n\pi}{a} \right)^2 + \left( \frac{m\pi}{b} \right)^2 + \left( \frac{l\pi}{c} \right)^2 \right] \qquad (n,l,m=1,2,3,...)$$

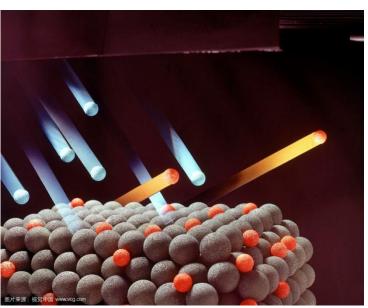
## 量子点电视



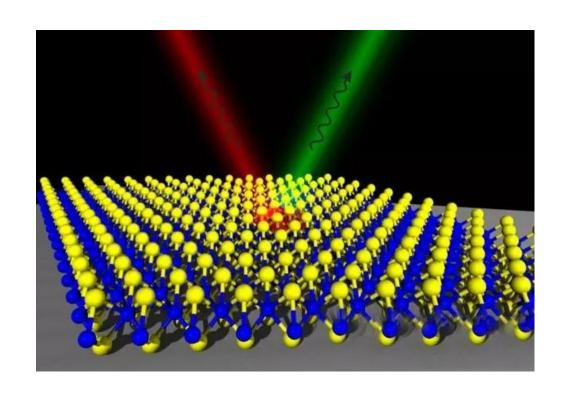


## 光子的分离





### 新型二维材料



#### 3.一维有限深方势阱(一)

$$\left(-\frac{\hbar^2 d^2}{2mdx^2} + U\right)\psi(x) = E\psi(x)$$

$$\begin{cases} \frac{d^2 \mathbf{\psi}(x)}{dx^2} = -\frac{2m}{\hbar^2} E \mathbf{\psi}(x) & -a \le x \le a \\ \frac{d^2 \mathbf{\psi}(x)}{dx^2} = -\frac{2m}{\hbar^2} (E - U) \mathbf{\psi}(x) & x \le -a, x \ge a \end{cases}$$

$$\begin{cases} a = \sqrt{\frac{2m_0}{\hbar^2}E} \\ \beta = \sqrt{\frac{2m_0}{\hbar^2}(U_0 - E)} \end{cases} \rightarrow \begin{cases} \frac{d^2\psi(x)}{dx^2} = -a^2\psi(x) & -a \le x \le a \\ \frac{d^2\psi(x)}{dx^2} = -\beta^2\psi(x) & x \le -a, x \ge a \end{cases}$$



$$E < U_0, \beta = i\sqrt{\frac{2m_0}{\hbar^2}(U_0 - E)} \to \begin{cases} \psi(x) = A_1 e^{-ii\beta x} + A e^{ii\beta x} \\ \psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x} \\ \psi(x) = A e^{-ii\beta x} + A_2 e^{ii\beta x} \end{cases} \to \begin{cases} \psi(x) = A_1 e^{\beta x} & x < -a, \\ \psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x} & -a < x < a, \\ \psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x} & x > a, \end{cases}$$

对应的导数 
$$\rightarrow \begin{cases} \psi'(x) = A_1 \beta e^{\beta x} & x < -a, \\ \psi'(x) = i\alpha A_0 e^{i\alpha x} - i\alpha B_0 e^{-i\alpha x} & -a < x < a \\ \psi'(x) = -\beta A_2 e^{-\beta x} & x > a \end{cases}$$

$$\begin{pmatrix} x = -a \\ x = a \end{pmatrix} \rightarrow \begin{cases} \psi(-a) = A_1 e^{\beta a} \\ \psi(-a) = A_0 e^{-i\alpha a} + B_0 e^{i\alpha a} \\ \psi(a) = A_0 e^{i\alpha a} + B_0 e^{-i\alpha a} \\ \psi(a) = A_2 e^{-\beta a} \end{cases}$$

$$\Rightarrow \begin{cases}
A_1 e^{-\beta a} = A_0 e^{-i\alpha a} + B_0 e^{i\alpha a} = 2A_0 \cos \alpha a \\
A_2 e^{-\beta a} = A_0 e^{i\alpha a} + B_0 e^{-i\alpha a} = 2A_0 \cos \alpha a
\end{cases}$$
  
生液
  

$$A_2 e^{-\beta a} = A_0 e^{i\alpha a} + B_0 e^{-i\alpha a} = 2A_0 \cos \alpha a$$

対应的导数 
$$\rightarrow \begin{cases} \psi'(x) = A_1 \beta e^{\beta x} & x < -a, \\ \psi'(x) = i\alpha A_0 e^{i\alpha x} - i\alpha B_0 e^{-i\alpha x} & -a < x < a \end{cases}$$
  $\psi'(x) = -\beta A_2 e^{-\beta x}$   $x > a$ 

$$\begin{bmatrix} x = -a \\ x = a \end{bmatrix} \rightarrow \begin{cases} \psi'(-a) = A_1 \beta e^{-\beta a} \\ \psi'(-a) = i\alpha A_0 e^{-i\alpha\alpha} - i\alpha B_0 e^{i\alpha\alpha} \\ \psi'(a) = i\alpha A_0 e^{i\alpha\alpha} - i\alpha B_0 e^{-i\alpha\alpha} \end{cases} \rightarrow \begin{cases} A_1 \beta e^{-\beta a} = i\alpha A_0 e^{-i\alpha\alpha} - i\alpha A_0 e^{i\alpha\alpha} = -\alpha 2 \sin \alpha a \\ -\beta A_2 e^{-\beta a} = i\alpha A_0 e^{i\alpha\alpha} - i\alpha A_0 e^{-i\alpha\alpha} = -\alpha A_0 2 \sin \alpha \alpha \end{cases}$$

$$\psi'(a) = -\beta A_2 e^{-\beta a}$$

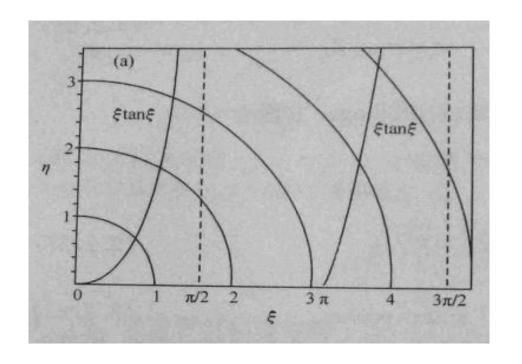
$$\begin{cases} A_1 e^{-\beta a} = A_0 e^{-i\alpha a} + B_0 e^{i\alpha a} = 2A_0 \cos \alpha a \\ A_2 e^{-\beta a} = A_0 e^{i\alpha a} + B_0 e^{-i\alpha a} = 2A_0 \cos \alpha a \end{cases}$$

$$\begin{cases} A_1 \beta e^{-\beta a} = i\alpha A_0 e^{i\alpha a} + B_0 e^{-i\alpha a} = 2A_0 \cos \alpha a \\ A_1 \beta e^{-\beta a} = i\alpha A_0 e^{-i\alpha a} - i\alpha A_0 e^{i\alpha a} = -\alpha 2A_0 \sin \alpha a \\ -\beta A_2 e^{-\beta a} = i\alpha A_0 e^{i\alpha a} - i\alpha A_0 e^{-i\alpha a} = -\alpha A_0 2 \sin \alpha \alpha \end{cases}$$

$$\Rightarrow \begin{cases}
\cos \alpha a = -\frac{\alpha \sin \alpha a}{\beta} \\
\cos \alpha a = \frac{\alpha \sin a\alpha}{\beta}
\end{cases}
\Rightarrow 能量本质方程 \Rightarrow \tan \alpha a = \pm \frac{\beta}{a}$$

能量本质方程 
$$\rightarrow \tan \alpha a = \pm \frac{\beta}{a}$$
 
$$\begin{cases} a = \sqrt{\frac{2m_0}{\hbar^2}} E_x \\ \beta = \sqrt{\frac{2m_0}{\hbar^2}} (U_0 - E_x) \end{cases} \rightarrow \alpha^2 + \beta^2 = \frac{2m_0}{\hbar^2} U_0$$
 
$$\Leftrightarrow \xi = ka, \eta = \beta a \rightarrow \text{代入} \begin{cases} \tan \alpha a = \pm \frac{\beta}{a} \\ \alpha^2 + \beta^2 = \frac{2m_0}{\hbar^2} U_0 \end{cases} \rightarrow \text{得} \begin{cases} \eta = \xi \tan \xi \\ \xi^2 + \eta^2 = \frac{2m_0}{\hbar^2} U_0 a^2 \end{cases}$$

$$\begin{cases} \eta = \xi \tan \xi \\ \xi^2 + \eta^2 = \frac{2m_0}{\hbar^2} U_0 a^2 \end{cases} \rightarrow 作图法$$



$$\begin{cases} a \\ \xi \tan \xi \\ 0 \end{cases}$$

$$\begin{cases} \tan \xi \\ 1 \end{cases}$$

$$\begin{cases} \pi / 2 \\ \xi \end{cases}$$

$$\begin{cases} \pi / 2 \end{cases}$$

$$\begin{cases} \pi / 2 \end{cases}$$

本征能量 
$$\rightarrow E = \frac{\hbar^2}{2m_0} \left(\frac{1.35}{a}\right)^2$$

$$\begin{cases} \beta = \frac{\eta}{a} \\ \alpha = -\frac{\xi}{a} \end{cases} = -\frac{\psi(x) = A_1 e^{\beta x}}{\psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x}} \to \begin{cases} \psi(x) = -A_2 e^{\frac{\eta}{a}x} & x < -a, \\ \psi(x) = -A_2 e^{\frac{\eta}{a}x} & x < -a, \\ \psi(x) = A_0 e^{\frac{\xi}{a}x} + B_0 e^{-\frac{\xi}{a}x} & -a < x < a, \\ \psi(x) = A_2 e^{-\frac{\eta}{a}x} & x > a \end{cases}$$

$$\begin{cases} \beta = \frac{\eta}{a} \\ \alpha = \frac{1}{a} \end{cases} \begin{cases} A_1 e^{-\beta a} = A_0 e^{-i\alpha a} + B_0 e^{i\alpha a} = 2A_0 \cos \alpha a \\ A_2 e^{-\beta a} = A_0 e^{i\alpha a} + B_0 e^{-i\alpha a} = 2A_0 \cos \alpha a \end{cases}$$
$$\alpha = \frac{\xi}{a} \begin{cases} A_1 \beta e^{-\beta a} = i\alpha A_0 e^{-i\alpha a} - i\alpha A_0 e^{i\alpha a} = -\alpha 2A_0 \sin \alpha a \\ -\beta A_2 e^{-\beta a} = i\alpha A_0 e^{i\alpha a} - i\alpha A_0 e^{-i\alpha a} = -\alpha A_0 2 \sin \alpha \alpha \end{cases}$$

$$\begin{cases} \beta = \frac{\eta}{a} \\ A_{2}e^{-\beta a} = A_{0}e^{i\alpha a} + B_{0}e^{i\alpha a} = 2A_{0}\cos\alpha a \\ A_{2}e^{-\beta a} = A_{0}e^{i\alpha a} + B_{0}e^{-i\alpha a} = 2A_{0}\cos\alpha a \\ A_{2}e^{-\beta a} = i\alpha A_{0}e^{-i\alpha a} - i\alpha A_{0}e^{i\alpha a} = -\alpha 2A_{0}\sin\alpha a \\ -\beta A_{2}e^{-\beta a} = i\alpha A_{0}e^{i\alpha a} - i\alpha A_{0}e^{-i\alpha a} = -\alpha A_{0}2\sin\alpha a \end{cases}$$

$$\begin{cases} A_{1}\beta e^{-\beta a} = 2A_{0}\cos\frac{\xi}{a} = 2A_{0}\cos\xi \\ A_{2}e^{-\frac{\eta}{a}} = 2A_{0}\cos\xi \end{cases} \rightarrow A_{1} = A_{2} = 2A_{0}e^{-\eta}\cos\xi$$

$$\begin{cases} A_{1}\beta e^{-\frac{\eta}{a}} = 2A_{0}\cos\xi \\ A_{2}e^{-\frac{\eta}{a}} = 2A_{0}\cos\xi \end{cases} \rightarrow A_{1} = A_{2} = 2A_{0}e^{-\eta}\cos\xi$$

$$\begin{cases} A_{1}\beta e^{-\frac{\eta}{a}} = -\alpha 2A_{0}\sin\xi \\ -\beta A_{2}e^{-\frac{\eta}{a}} = -\alpha A_{0}2\sin\xi \end{cases}$$

$$\psi(x) = -2A_0 e^{-\eta} \cos \xi e^{\frac{\eta}{a}x}$$
  $x < -a$ ,

 $\psi(x) = A_0 e^{\frac{i\xi}{a}x} + A_0 e^{-\frac{i\xi}{a}x}$   $-a < x < a$ 
 $\psi(x) = 2A_0 e^{-\eta} \cos \xi e^{\frac{\eta}{a}x}$   $x > a$ 

### 如果波函数有初相位,导致

$$\begin{cases} A_1 e^{-\beta a} = A_0 e^{-i\alpha a} + B_0 e^{i\alpha a} = i2A_0 \sin \alpha a \\ A_2 e^{-\beta a} = A_0 e^{i\alpha a} + B_0 e^{-i\alpha a} = i2A_0 \sin \alpha a \end{cases}$$

$$\begin{cases} A_1 \beta e^{-\beta a} = i\alpha A_0 e^{-i\alpha a} - i\alpha A_0 e^{i\alpha a} = -i\alpha 2A_0 \cos \alpha a \\ -\beta A_2 e^{-\beta a} = i\alpha A_0 e^{i\alpha a} - i\alpha A_0 e^{-i\alpha a} = -i\alpha A_0 2\cos \alpha \alpha \end{cases}$$

$$\Rightarrow \begin{cases}
\sin \alpha a = -\frac{\alpha \cos \alpha a}{\beta} \\
\sin \alpha a = \frac{\alpha \cos a\alpha}{\beta}
\end{cases}
\Rightarrow 能量本质方程 \to (ctg)\cot \alpha a = \pm \frac{\beta}{a}$$

假如: 
$$R^2 = \xi^2 + \eta^2 = \frac{2m_0}{\hbar^2}U_0a^2 = 9$$

$$\rightarrow \begin{cases} \xi = 2.35 \\ \eta = 1.90 \end{cases}$$

本征能量 
$$\rightarrow E = \frac{\hbar^2}{2m_0} \left(\frac{2.35}{a}\right)^2$$

$$\begin{cases} \eta = \xi \cot \xi \\ \xi^2 + \eta^2 = \frac{2m_0}{\hbar^2} U_0 a^2 \end{cases}$$

$$\forall \psi(x) = -2A_0 e^{-\eta} \sin \xi e^{\frac{\eta}{a}x} \qquad x < -a,$$

$$\psi(x) = A_0 e^{\frac{i\xi}{a}x} - A_0 e^{-\frac{i\xi}{a}x} \qquad -a < x < a$$

$$\psi(x) = 2A_0 e^{-\eta} \sin \xi e^{\frac{-\eta}{a}x} \qquad x > a$$

### 3.一维有限深方势阱(二)

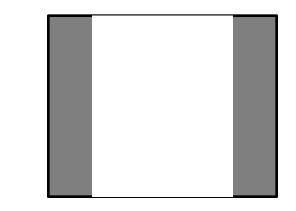
$$\left(-\frac{\hbar^2 d^2}{2mdx^2} + U_x\right)\psi(x) = E_x\psi(x)$$

$$\begin{cases} \psi(x) = A_1 e^{\beta x} & x < -a, \\ \psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x} & -a < x < a \end{cases} \Rightarrow \begin{cases} a = \sqrt{\frac{2m_0}{\hbar^2} E_x} \\ \beta = \sqrt{\frac{2m_0}{\hbar^2} (U_0 - E_x)} \\ x > a \end{cases}$$

$$\begin{cases} \psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x} \\ \psi'(x) = i\alpha A_0 e^{i\alpha x} - i\alpha B_0 e^{-i\alpha x} \end{cases} \begin{bmatrix} x = -a \\ x = a \end{bmatrix} \rightarrow \begin{cases} \psi(-a) = A_0 e^{-i\alpha a} + B_0 e^{i\alpha a} \\ \psi'(-a) = i\alpha A_0 e^{-i\alpha a} - i\alpha B_0 e^{i\alpha a} \\ \psi'(a) = i\alpha A_0 e^{i\alpha a} - i\alpha B_0 e^{-i\alpha a} \end{cases}$$

矩阵 
$$\rightarrow \begin{bmatrix} \psi(-a) \\ \psi'(-a) \end{bmatrix} = \begin{bmatrix} e^{-i\alpha a} & e^{i\alpha a} \\ i\alpha e^{-i\alpha a} & -i\alpha e^{i\alpha a} \end{bmatrix} \begin{bmatrix} A_0 \\ B_0 \end{bmatrix}$$

$$\begin{cases}
A_0 = \frac{i\alpha\psi(a) + \psi'(a)}{2i\alpha}e^{-i\alpha a} \\
B_0 = \frac{i\alpha\psi(a) - \psi'(a)}{2i\alpha}e^{i\alpha a}
\end{cases} \rightarrow
\begin{bmatrix}
A_0 \\
B_0
\end{bmatrix} = \frac{1}{2i\alpha}\begin{bmatrix}i\alpha e^{-i\alpha a} & e^{-i\alpha a} \\
i\alpha e^{i\alpha a} & -e^{i\alpha a}\end{bmatrix}\begin{bmatrix}\psi(a) \\
\psi'(a)
\end{bmatrix}$$



$$\begin{bmatrix} \psi(-a) \\ \psi'(-a) \end{bmatrix} = \begin{bmatrix} e^{-i\alpha a} & e^{i\alpha a} \\ i\alpha e^{-i\alpha a} & -i\alpha e^{i\alpha a} \end{bmatrix} \begin{bmatrix} A_0 \\ B_0 \end{bmatrix} \begin{bmatrix} A_0 \\ B_0 \end{bmatrix} = \frac{1}{2i\alpha} \begin{bmatrix} i\alpha e^{-i\alpha a} & e^{-i\alpha a} \\ i\alpha e^{i\alpha a} & -e^{i\alpha a} \end{bmatrix} \begin{bmatrix} \psi(a) \\ \psi'(a) \end{bmatrix}$$

$$\rightarrow \begin{bmatrix} \psi(-a) \\ \psi'(-a) \end{bmatrix} = \frac{1}{2i\alpha} \begin{bmatrix} e^{-i\alpha a} & e^{i\alpha a} \\ i\alpha e^{-i\alpha a} & -i\alpha e^{i\alpha a} \end{bmatrix} \begin{bmatrix} i\alpha e^{-i\alpha a} & e^{-i\alpha a} \\ i\alpha e^{i\alpha a} & -e^{i\alpha a} \end{bmatrix} \begin{bmatrix} \psi(a) \\ \psi'(a) \end{bmatrix}$$

展开 
$$\rightarrow \begin{bmatrix} \psi(-a) \\ \psi'(-a) \end{bmatrix} = \frac{1}{2i\alpha} \begin{bmatrix} \psi(a) \\ \psi'(a) \end{bmatrix} \begin{bmatrix} i\alpha e^{-2i\alpha a} + i\alpha e^{2i\alpha a} & e^{-2i\alpha a} - e^{2i\alpha a} \\ -\alpha^2 e^{-2i\alpha a} + \alpha^2 e^{2i\alpha a} & i\alpha e^{-2i\alpha a} + i\alpha e^{2i\alpha a} \end{bmatrix} \begin{bmatrix} \psi(a) \\ \psi'(a) \end{bmatrix}$$

$$\text{化筒} \rightarrow \begin{bmatrix} \psi(-a) \\ \psi'(-a) \end{bmatrix} = \frac{1}{\alpha} \begin{bmatrix} \cos 2\alpha a & -\frac{1}{a} \sin 2\alpha a \\ \alpha \sin 2\alpha a & \cos 2\alpha a \end{bmatrix} \begin{bmatrix} \psi(a) \\ \psi'(a) \end{bmatrix}$$

$$\begin{cases} \psi(x) = A_1 e^{\beta x} & x < -a, \\ \psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x} & -a < x < a \\ \psi(x) = A_2 e^{-\beta x} & x > a \end{cases}$$

$$\begin{cases} \begin{cases} \psi(-a) = A_1 e^{-a\beta} \\ \psi'(-a) = A_1 \beta e^{a\beta} \\ \begin{cases} \psi(a) = A_2 e^{-\beta a} \\ \end{cases} \end{cases}$$

$$\begin{cases} \psi(a) = A_2 e^{-\beta a} \\ \psi'(a) = -\beta A_2 e^{-\beta a} \end{cases}$$

$$\Rightarrow \begin{cases}
\begin{cases} \psi(-a) = A_1 e^{-a\beta} \\ \psi'(-a) = A_1 \beta e^{-a\beta} \end{cases} \\
\begin{cases} \psi(a) = A_2 e^{-\beta a} \\ \psi'(a) = -\beta A_2 e^{-\beta a} \end{cases} \\
\begin{cases} x = -a \\ x = a \end{cases}
\end{cases} \Rightarrow \begin{cases}
\begin{bmatrix} \psi(-a) \\ \psi'(-a) \end{bmatrix} = A_1 e^{-a\beta} \begin{bmatrix} 1 \\ \beta \end{bmatrix} \\
\begin{bmatrix} \psi(a) \\ \psi'(a) \end{bmatrix} = A_2 e^{-\beta a} \begin{bmatrix} 1 \\ -\beta \end{bmatrix}
\end{cases}$$

$$\begin{bmatrix} \psi(-a) \\ \psi'(-a) \end{bmatrix} = \frac{1}{\alpha} \begin{bmatrix} \cos 2\alpha a & -\frac{1}{a} \sin 2\alpha a \\ \alpha \sin 2\alpha a & \cos 2\alpha a \end{bmatrix} \begin{bmatrix} \psi(a) \\ \psi'(a) \end{bmatrix} \rightarrow A_1 e^{-a\beta} \begin{bmatrix} 1 \\ \beta \end{bmatrix} = \frac{A_2 e^{-a\beta}}{\alpha} \begin{bmatrix} \cos 2\alpha a & -\frac{1}{a} \sin 2\alpha a \\ \alpha \sin 2\alpha a & \cos 2\alpha a \end{bmatrix} \begin{bmatrix} 1 \\ -\beta \end{bmatrix}$$

$$\rightarrow \begin{bmatrix} -\beta & 1 \end{bmatrix} \begin{bmatrix} 1 \\ \beta \end{bmatrix} = \frac{1}{\alpha} \begin{bmatrix} -\beta & 1 \end{bmatrix} \begin{bmatrix} \cos 2\alpha a & -\frac{1}{\alpha} \sin 2\alpha a \\ \alpha \sin 2\alpha a & \cos 2\alpha a \end{bmatrix} \begin{bmatrix} 1 \\ -\beta \end{bmatrix} \rightarrow \frac{1}{\alpha} \begin{bmatrix} -\beta & 1 \end{bmatrix} \begin{bmatrix} \cos 2\alpha a & -\frac{1}{\alpha} \sin 2\alpha a \\ \alpha \sin 2\alpha a & \cos 2\alpha a \end{bmatrix} \begin{bmatrix} 1 \\ -\beta \end{bmatrix} = 0$$

$$\rightarrow -\beta \cos 2\alpha a + \alpha \sin 2\alpha a - \frac{\beta^2}{\alpha} \sin 2\alpha a - \beta \cos 2\alpha a = 0$$

$$\rightarrow \tan 2\alpha a = \frac{2\alpha\beta}{\alpha^2 - \beta^2} \quad \rightarrow \frac{2\tan \alpha a}{1 - \tan^2 \alpha \alpha} = \frac{2\alpha\beta}{\alpha^2 - \beta^2}$$

$$\rightarrow 2\alpha\beta - 2\alpha\beta \tan^2\alpha\alpha = 2\alpha^2 \tan\alpha\alpha - 2\beta^2 \tan\alpha\alpha$$

$$\rightarrow 2\alpha\beta - 2\alpha\beta \tan^2\alpha\alpha = 2\alpha^2 \tan\alpha\alpha - 2\beta^2 \tan\alpha\alpha$$

$$\rightarrow \alpha\beta \tan^2 \alpha\alpha + \alpha^2 \tan \alpha a - \beta^2 \tan \alpha a - \alpha\beta = 0$$

$$\tan \alpha a = \frac{-\left(\alpha^2 - \beta^2\right) \pm \sqrt{\left(\alpha^2 - \beta^2\right)^2 + 4\alpha^2\beta^2}}{2\alpha\beta} = \frac{\beta}{a} \qquad \begin{cases} a = \sqrt{\frac{2m_0}{\hbar^2}E_x} \\ \beta = \sqrt{\frac{2m_0}{\hbar^2}\left(U_0 - E_x\right)} \end{cases}$$

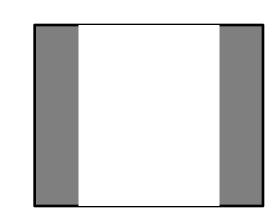
波函数 
$$\begin{cases} \psi(x) = -2A_0 e^{-\eta} \sin \xi e^{\frac{\eta}{a}x} & x < -a, \\ \psi(x) = A_0 e^{\frac{i\xi}{a}x} - A_0 e^{-\frac{i\xi}{a}x} & -a < x < a \\ \psi(x) = 2A_0 e^{-\eta} \sin \xi e^{-\frac{\eta}{a}x} & x > a \end{cases}$$

解集 
$$\rightarrow \begin{cases} f(E) = \tan \alpha a = \tan \sqrt{\frac{2m_0}{\hbar^2}} E_x \alpha \end{cases}$$

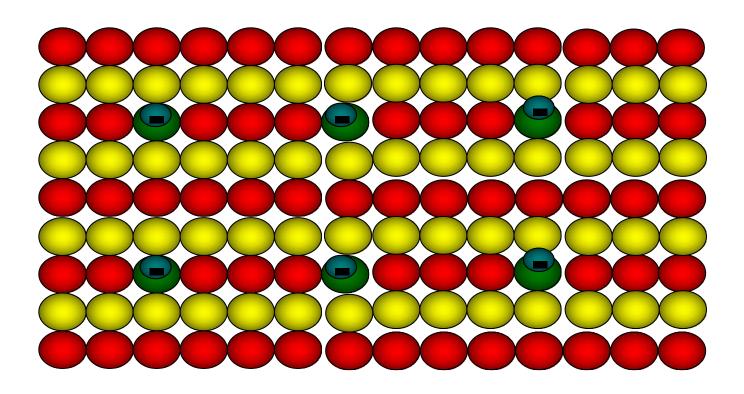
得本质波函数 
$$\Rightarrow \begin{cases} \psi(x) = A_1 e^{\beta x} & x < -a, \\ \psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x} & -a < x < a, \\ \psi(x) = A_2 e^{-\beta x} & x > a \end{cases}$$

以  
  

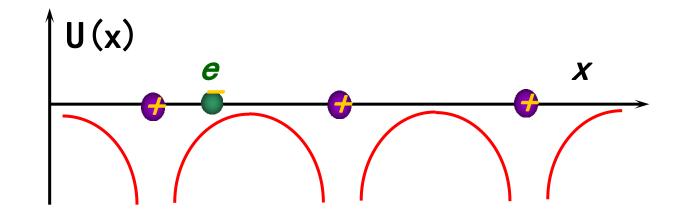
$$\psi(x) = A_1 e^{\beta x}$$
  $x < -a$ ,  
 $\psi(x) = A_0 e^{i\alpha x} + B_0 e^{-i\alpha x}$   $-a < x < a$   
 $\psi(x) = A_2 e^{-\beta x}$   $x > a$ 

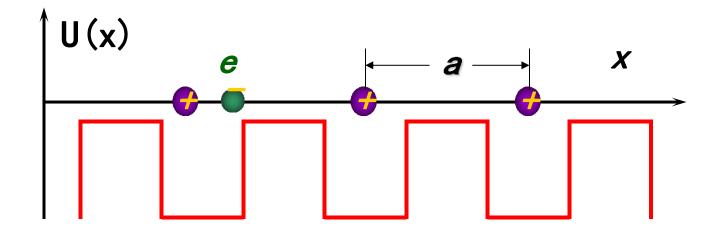


## 4. 半导体的能带理论



### ● 简单物理模型



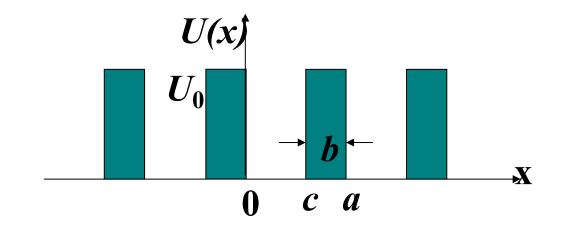


#### 粒子处在一维周期性方势阱中的运动

$$U(x) = \begin{cases} 0 & (0 < x < c) \\ U_0 & (c \le x \le a) \end{cases}$$

$$a = b + c$$

$$\rightarrow U(x) = U(x+a)$$



$$\left(-\frac{\hbar^2}{2m}\frac{d^2}{dx^2} + U(x)\right)\Phi(x) = E\Phi(x) \qquad U(x) = U(x+a) \to \Phi(x) = e^{ikx}u(x)$$

$$U(x) = 0 \quad (0 < c) \rightarrow \left(-\frac{\hbar^2}{2m} \frac{d^2}{dx^2}\right) \Phi(x) = E\Phi(x)$$

$$\Phi(x) = e^{ikx}u(x) + \left(-\frac{\hbar^2}{2m}\frac{d^2}{dx^2}\right)\Phi(x) = E\Phi(x) + \frac{d^2u}{dx^2} + 2ik\frac{du}{dx} + \left(\alpha^2 - k^2\right)u(x) = 0$$

$$\frac{d^2u}{dx^2} + 2ik\frac{du}{dx} + \left(\alpha^2 - k^2\right)u(x) = 0$$

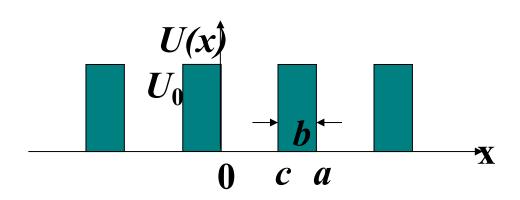
$$\begin{array}{c|c}
U(x) \\
U_0 \\
\hline
0 & c & a
\end{array}$$

$$U(x) = U_0 \quad (c \le x \le a) \to \left(-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + U(x)\right) \Phi(x) = E\Phi(x)$$

试探解
$$\Phi_1(x) = e^{ikx}u(x)$$
代入上式得  $\rightarrow \frac{d^2u}{dx^2} + 2ik\frac{du}{dx} - (\beta^2 + k^2)u(x) = 0$ 

$$\beta^2 = \frac{2m}{\hbar^2} (U_0 - E) \rightarrow 代入上式得 \rightarrow u(x) = C_0 e^{(\beta - ik)x} + D_0 e^{-(\beta + ik)x}$$

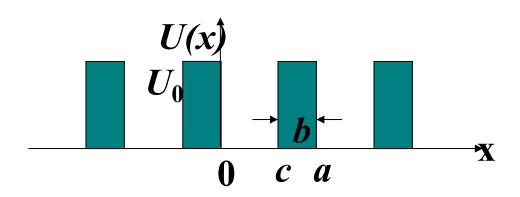
$$\begin{cases} u(x) = A_0 e^{i(\alpha - k)x} + B_0 e^{-i(\alpha + k)x} & U = 0 \\ u(x) = C_0 e^{(\beta - ik)x} + D_0 e^{-(\beta + ik)x} & U = U_0 \end{cases}$$



周期性 
$$\rightarrow \begin{cases} u(x+na) = A_n e^{i(\alpha-k)(x+na)} + B_n e^{-i(\alpha+k)(x+na)} \\ u(x+na) = C_n e^{(\beta-ik)(x+na)} + D_n e^{-(\beta+ik)(x+na)} \end{cases}$$

$$\Rightarrow \begin{cases}
A_n = A_0 e^{-i(\alpha - k)(na)} \\
B_n = B_0 e^{i(\alpha + k)(na)} \\
C_n = C_0 e^{-(\beta - ik)(na)} \\
D_n = D_0 e^{(\beta + ik)(na)}
\end{cases}$$

$$x = 0 \to \begin{cases} u(x) = A_0 e^{i(\alpha - k)x} + B_0 e^{i(\alpha + k)x} \\ u(x) = C_0 e^{(\beta - ik)x} + D_0 e^{-(\beta + ik)x} \end{cases}$$



连续条件(含导数连续条件) 
$$\rightarrow$$
 
$$\begin{cases} A_0 + B_0 = C_0 + D_0 \\ i(\alpha - k)A_0 - i(\alpha + k)B_0 = (\beta - ik)C_0 - (\beta + ik)D_0 \end{cases}$$

$$x = c \rightarrow \begin{cases} A_0 e^{i(\alpha - k)c} + B_0 e^{-i(\alpha + k)c} = C_1 e^{(\alpha - ik)c} + D_1 e^{-(\alpha + ik)c} = C_0 e^{(\alpha - ik)c} + D_0 e^{-(\alpha + ik)c} \\ i(\alpha - k) A_0 e^{i(\alpha - k)c} - i(\alpha + k) B_0 e^{-i(\alpha + k)c} = (\beta - ik) C_0 e^{-(\beta - ik)c} - (\beta + ik) D_0 e^{(\beta + ik)c} \end{cases}$$

$$\begin{cases} A_0 + B_0 - C_0 - D_0 = 0 \\ i(\alpha - k) A_0 - i(\alpha + k) B_0 - (\beta - ik) C_0 + (\alpha + ik) D_0 = 0 \end{cases}$$

$$\Rightarrow \begin{cases} A_0 e^{i(\alpha - k)c} + B_0 e^{-i(\alpha + k)c} - C_0 e^{-(\beta - ik)b} - D_0 e^{(\beta + ik)b} = 0 \\ i(\alpha - k) A_0 e^{i(\alpha - k)c} - i(\alpha + k) B_0 e^{-i(\alpha + k)c} \\ - (\beta - ik) C_0 e^{-(\beta - ik)b} + (\beta + ik) D_0 e^{(\beta + ik)b} = 0 \end{cases}$$
系数有解的前提:
$$\begin{vmatrix} 1 & 1 & -1 & -1 \\ i(\alpha - k) & -i(\alpha + k) & -(\beta - ik) & (\alpha + ik) \\ e^{i(\alpha - k)c} & -e^{-i(\alpha + k)c} & -e^{i(\alpha + k)b} & -e^{-i(\alpha - k)b} \\ i(\alpha - k) e^{i(\alpha - k)c} & -(\alpha + k) e^{-i(\alpha + k)c} & -(\beta - ik) e^{-(\beta - ik)b} & (\beta + ik) e^{(\beta + ik)b} \end{cases} = 0$$

$$\rightarrow \frac{\beta^2 - \alpha^2}{2\alpha\beta} \sinh \beta b \sin \alpha c + \cosh \beta b \cos ac = \cos(ka)$$

$$U_0 \to \infty, b \to 0$$
进行简化后得  $\to \left(\frac{maU_0b}{\hbar^2}\right) \frac{\sin(\beta a)}{\beta a} + \cos(\beta a) = \cos(ka)$ 

$$\left(\frac{maU_0b}{\hbar^2}\right)\frac{\sin(\beta a)}{\beta a} + \cos(\beta a) = \cos(ka)$$

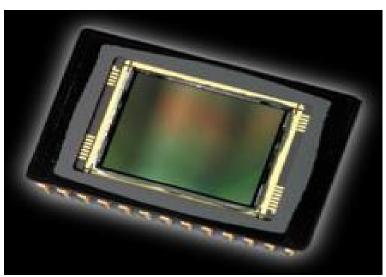
$$\begin{cases} \alpha^{2} = \frac{2mE}{h^{2}} \\ \beta^{2} = \frac{2m}{h^{2}} (U_{0} - E) \end{cases}$$

$$\Rightarrow \begin{cases} f(E) = \left(\frac{maU_{0}b}{\hbar^{2}}\right) \frac{\sin(\beta a)}{\beta a} + \cos(\beta a) \\ f(E) = \cos(ka) \end{cases}$$





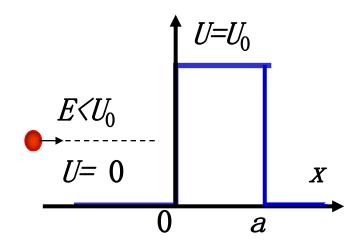




### 5. 隧道效应(势垒贯穿)

### (1) 自由粒子处遇到的势是有限高和有限宽的势垒:

$$U(x) = \begin{cases} U_0, & 0 < x < a \\ 0, & x < 0, x > a \end{cases}$$



解:

$$-\frac{\hbar^{2}}{2m}\frac{\partial^{2}\psi(x)}{\partial x^{2}} + U(x)\psi(x) = E\psi(x)$$

$$\begin{cases} \psi_{1}^{"} + k^{2}\psi_{1} = 0 & x < 0 & I \quad \boxed{X} \\ \psi_{2}^{"} + k^{2}\psi_{2} = 0 & 0 < x < a \quad II \quad \boxed{X} \\ \psi_{3}^{"} + k^{2}\psi_{3} = 0 & x > a \quad III \quad \boxed{X} \end{cases}$$

$$\begin{cases} k = \sqrt{\frac{2mE}{\hbar^2}} \\ k' = \sqrt{\frac{2m(E - U_0)}{\hbar^2}} \end{cases} \to \begin{cases} \psi_1(x) = Ae^{+ikx} + Be^{-ikx} \\ \psi_2(x) = De^{-ik'x} + Fe^{+ik'x} \end{cases} \quad 0 \le x \le a$$

$$\psi_3(x) = Ce^{+ikx}$$

### (2) 确定系数

#### 利用波函数及微商连续条件

$$\to \begin{cases} \psi_1(x) = Ae^{+ikx} + Be^{-ikx} \\ \psi_2(x) = De^{-k'x} + Fe^{+k'x} \end{cases} 0 \le x \le a \quad \to \begin{cases} \psi_1(0) = Ae^{+ik0} + Be^{-ik0} \\ \psi_2(0) = De^{-ik'0} + Fe^{+ik'0} \end{cases}$$

$$0 \le x \le a$$

$$\begin{cases} \psi_{1}(0) = \psi_{2}(0) \\ \left(\frac{d\psi_{1}}{dx}\right)_{x=0} = \left(\frac{d\psi_{2}}{dx}\right)_{x=0} \to \begin{cases} Ae^{+ik0} + Be^{-ik0} = De^{-k'0} + Fe^{+k'0} \\ kA - kB = k'D - k'F \end{cases}$$

$$\rightarrow \begin{cases} \psi_2(x) = De^{-ik'x} + Fe^{+ik'x} & 0 \le x \le a \\ \psi_3(x) = Ce^{+ikx} & 0 \le x \le a \end{cases} \begin{cases} (\psi_2)_{x=a} = (\psi_3)_{x=a} \\ (\frac{d\psi_2}{dx})_{x=a} = (\frac{d\psi_3}{dx})_{x=a} \end{cases} \rightarrow \begin{cases} De^{ik'a} + Fe^{-ik'a} = Ce^{ika} \\ k'De^{ik'a} - k'Fe^{-ik'a} = kCe^{ika} \end{cases}$$

$$\begin{cases} A + B = D + F \\ kA - kB = k'D - k'F \end{cases}$$

$$De^{ik'a} + Fe^{-ik'a} = Ce^{ika}$$

$$k'De^{ik'a} - k'Fe^{-ik'a} = kCe^{ika}$$

$$\Rightarrow \begin{cases}
C = \frac{4kk'e^{-ika}}{(k+k')^2 e^{-ik'a} - (k-k')^2 e^{ik'a}} A \\
B = \frac{2i(k^2 + k'^2)\sin ak'}{(k-k')^2 e^{ik'a} - (k+k')^2 e^{-ik'a}} A
\end{cases}$$

### (3) 几率流密度矢量和粒子数守恒定律:

$$\rho_{\text{Lxx}}(r,t) = \psi^*(r,t)\psi(r,t)$$

$$\rightarrow \frac{\partial \rho(r,t)}{\partial t} = \psi^*(r,t) \frac{\partial \psi(r,t)}{\partial t} + \frac{\partial \psi^*(r,t)}{\partial t} \psi(r,t)$$

$$i\hbar \frac{\partial \psi(x,t)}{\partial t} = \left(-\frac{\hbar^{2}}{2m}\frac{\partial^{2}}{\partial x^{2}} + U(x,t)\right)\psi(x,t) \rightarrow \begin{cases} \frac{\partial \psi(r,t)}{\partial t} = \frac{i\hbar}{2m}\nabla^{2}\psi + \frac{1}{i\hbar}U(r)\psi\\ \frac{\partial \psi^{*}(r,t)}{\partial t} = -\frac{i\hbar}{2m}\nabla^{2}\psi^{*} - \frac{1}{i\hbar}U(r)\psi^{*} \end{cases}$$

$$\rightarrow \frac{\partial \rho(r,t)}{\partial t} = \psi^{*}\left(\frac{i\hbar}{2m}\nabla^{2}\psi + \frac{1}{i\hbar}U(r)\psi\right) + \left(-\frac{i\hbar}{2m}\nabla^{2}\psi^{*} - \frac{1}{i\hbar}U(r)\psi^{*}\right)\psi$$

$$\rightarrow \frac{\partial \rho(r,t)}{\partial t} = \psi^* \left( \frac{i\hbar}{2m} \nabla^2 \psi + \frac{1}{i\hbar} U(r) \psi \right) + \left( -\frac{i\hbar}{2m} \nabla^2 \psi^* - \frac{1}{i\hbar} U(r) \psi^* \right) \psi$$

$$\rightarrow \frac{\partial \rho(r,t)}{\partial t} = \frac{i\hbar}{2m} \left( \psi^* \nabla^2 \psi - \psi \nabla^2 \psi^* \right)$$

J显然就是<mark>单位时间单位面积流过的粒子数</mark>

$$\rho_{\text{质量密度}}(r,t) = m\psi^*(r,t)\psi(r,t)$$

$$J_{\text{质量流密度}} = m \frac{i\hbar}{2m} \Big( \psi \nabla \psi^* - \psi^* \nabla \psi \Big) = \frac{i\hbar}{2} \Big( \psi \nabla \psi^* - \psi^* \nabla \psi \Big)$$

$$rac{\partial 
ho_{eta ext{\text{\lefta}}}(r,t)}{\partial t} + ec{
abla} \cdot ec{J}_{eta ext{\text{\text{\lefta}}}} = 0$$

$$\rightarrow \frac{d}{dt} \int_{V} \rho_{\text{质量密度}}(r,t) dV = - \oint \vec{J}_{m} \bullet d\vec{S} \rightarrow \text{质量守恒定律}$$

$$\rightarrow \frac{d}{dt} \int_{V} \rho_{\text{电荷密度}}(r,t) dV = - \oint \vec{J}_{q} \bullet d\vec{S} \rightarrow \mathbf{e} \ddot{a} \div \mathbf{e} \ddot{a} \div \mathbf{e} \ddot{a}$$

$$\psi(x) = Ae^{+ikx} \longrightarrow J = \frac{i\hbar}{2m} \left( \psi \nabla \psi^* - \psi^* \nabla \psi \right) \longrightarrow J = \frac{i\hbar}{2m} \left[ Ae^{ikx} \frac{d}{dx} A^* e^{-ikx} - A^* e^{-ikx} \frac{d}{dx} Ae^{ikx} = \frac{k\hbar}{m} |A|^2 \right]$$

$$\begin{cases} \psi_{1}(x) = Ae^{+ikx} + Be^{-ikx} \\ \psi_{3}(x) = Ce^{+ikx} \end{cases} \rightarrow \begin{cases} J_{\text{入射波几率流密度}} = \frac{k\hbar}{m} |A|^{2} \\ J_{\text{反射波几率流密度}} = \frac{k\hbar}{m} |B|^{2} \\ J_{\text{透射波几率流密度}} = \frac{k\hbar}{m} |C|^{2} \end{cases}$$

$$\to \begin{cases} C = \frac{4kk'e^{-ika}}{(k+k')^2e^{-ik'a} - (k-k')^2e^{ik'a}} A \\ B = \frac{2i(k^2 + k'^2)\sin ak'}{(k-k')^2e^{ik'a} - (k+k')^2e^{-ik'a}} A \end{cases} \begin{cases} T_{\text{Bh } \text{SM}} = \frac{J_{\text{Bh}}}{J_{\text{Ah}}} = \frac{|C|^2}{|A|^2} = \frac{4k^2k'^2}{(k^2 - k'^2)^2\sin^2 k'a + 4k^2k'^2} \\ R_{\text{Bh } \text{SM}} = \frac{J_{\text{Bh}}}{J_{\text{Ah}}} = \frac{|B|^2}{|A|^2} = \frac{(k^2 - k'^2)^2\sin^2 k'a + 4k^2k'^2}{(k^2 - k'^2)^2\sin^2 k'a + 4k^2k'^2} \end{cases}$$

# 透射系数

$$T_{\text{Im}} = \frac{J_{\text{Im}}}{J_{\text{NH}}} = \frac{|C|^2}{|A|^2} = \frac{4k^2k'^2}{(k^2 - k'^2)^2 \sin^2 k' a + 4k^2k'^2}$$

如果
$$E \ll U$$
和 $a$ 不太小,  $\rightarrow shk'a = \frac{e^{k'a} - e^{-k'a}}{2} \approx \frac{e^{k'a}}{2}$ 

$$T_{\text{\tiny $\frac{5}{2}$}} = \frac{4k^2k'^2}{(k^2 + k'^2)^2 sh^2k'a + 4k^2k'^2} \approx \frac{4k^2k'^2}{\frac{1}{4}(k^2 + k'^2)^2 e^{2k'a} + 4k^2k'^2}$$

$$k' = \sqrt{\frac{2m(E - U_0)}{\hbar^2}} \rightarrow k'a$$
足够大

# 对于任意形状的势垒

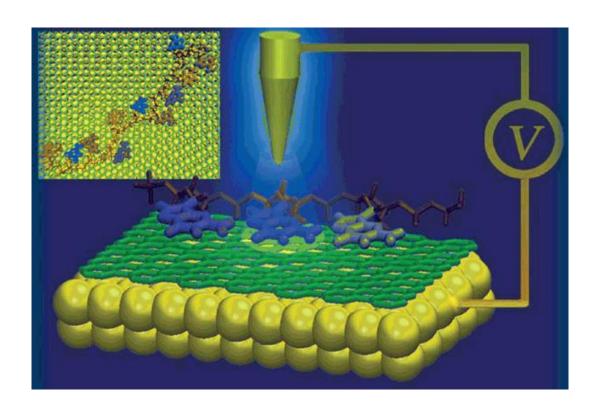
$$T_{$$
透射系数  $}=T_{0}e^{-rac{2a}{\hbar}\int_{x_{1}}^{x_{2}}\sqrt{2m(U(x)-E)}dx}$ 

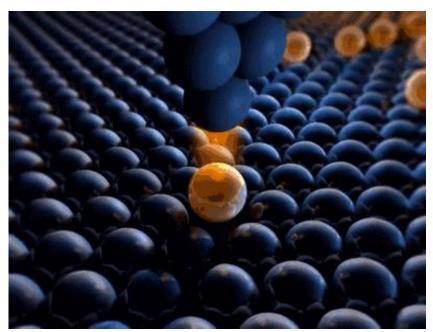


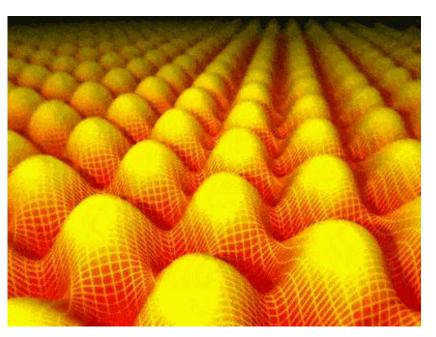
●扫描隧穿显微镜 (STM)

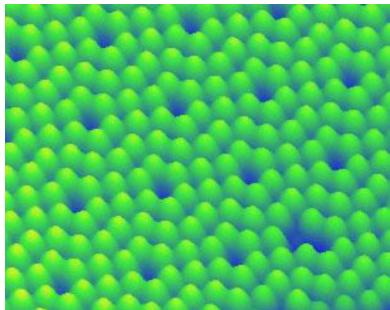
(Scanning Tunneling Microscope) 是观察固体表面原子情况的超高倍显微镜。

▶原理 隧道电流 i 与样品和针尖间的距离S关系极为敏感。

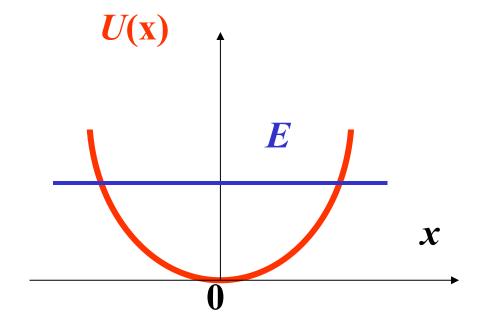








# 4.2 线性谐振子



# 4.2 线性谐振子

在经典力学中,当质量为 μ 的粒子,受弹性力F = -kx作用,由牛顿第二定律可以写出运动方程为:

$$\mu \frac{d^2 x}{dt^2} = -kx \to x'' + \omega^2 x = 0 \not \pm \dot + \omega = \sqrt{\frac{k}{\mu}} \qquad \to U_{\text{shift}} = \frac{1}{2} kx^2 = \frac{1}{2} \mu \omega^2 x^2$$

则Schrodinger 方程可写为:

$$\rightarrow \left\{ \frac{\hbar^2}{2\mu} \frac{d^2}{dx^2} + \left[ E - \frac{1}{2} \mu \omega^2 x^2 \right] \right\} \psi(x) = 0$$

为简单计, 引入无量纲变量  $\xi$  代替x,  $\varphi$ :  $\xi = \alpha x$ 其中 $\alpha = \sqrt{\frac{\mu \omega}{\hbar}}$ ,则方程可改写为:

$$\rightarrow \frac{d^2\psi(\xi)}{d\xi^2} + [\lambda - \xi^2]\psi(\xi) = 0, \sharp + \lambda = \frac{2E}{\hbar\omega}$$

$$\rightarrow \frac{d^2\psi(\xi)}{d\xi^2} + [\lambda - \xi^2]\psi(\xi) = 0, \sharp \pm \lambda = \frac{2E}{\hbar\omega}$$

1. 渐近解:为求解方程,我们先看一下它的渐近解,即当 $\xi \to \pm \infty$  时波函数  $\psi$  的行为。在此情况下, $\lambda << \xi^2$ ,于是方程变为:

根据波函数有限条件, $C_2 = 0$ ,所以:  $\rightarrow \psi_{\infty} = C_1 e^{-\frac{\xi^2}{2}}$ 

求方程  $\frac{d^2\psi}{d\xi^2}$  +  $[\lambda - \xi^2]\psi = 0$ 的波函数 $\psi$ , 在无穷远处有 $\psi_\infty = e^{-\xi^2/2}$ 渐近形式,自然会令:  $\psi(\xi) = H(\xi)e^{-\xi^2/2}$ 

- 其中 H(ξ) 必须满足波函数的单值、有限、连续的标准条件。即:
  - ① 当ξ有限时, H(ξ)有限;
  - ② 当 $\xi \to \infty$ 时, $H(\xi)$ 的行为要保证 $\psi(\xi) \to 0$ 。

$$\psi(\xi) = H(\xi)e^{-\xi^2/2} + [\lambda - \xi^2]\psi(x) = 0$$

$$\rightarrow \frac{d^2H}{d\xi^2} - 2\xi \frac{dH}{d\xi} + (\lambda - 1)H = 0$$

### 试探解:

1) 如果
$$H(\xi) = a_0(常数)$$
,带入  $\to \frac{d^2H}{d\xi^2} - 2\xi \frac{dH}{d\xi} + (\lambda - 1)H = 0$ 

必然有 
$$\rightarrow \lambda=1 \rightarrow \lambda = \frac{2E}{\hbar\omega} \rightarrow E_{\text{本征能量}} = \frac{1}{2}\hbar\omega$$

$$H(\xi) = a_0 \rightarrow$$
对应波函数 $\psi(\xi) = a_0 e^{-\xi^2/2}$ 

再根据定义
$$\xi = \alpha x$$
其中 $\alpha = \sqrt{\frac{\mu \omega}{\hbar}}$ ,则波函数 $\psi(x) = a_0 e^{-\frac{\mu \omega}{2\hbar}x^2}$ 

同理: 
$$\int_{-\infty}^{\infty} (\psi(y))^2 dy = \int_{-\infty}^{\infty} a_0^2 e^{-\frac{\mu\omega}{\hbar}y^2} dy = 1$$

$$a_0^2 = \sqrt{\frac{\mu\omega}{\hbar\pi}} \qquad a_0^2 = \sqrt{\frac{\mu\omega}{\hbar\pi}} \rightarrow \psi_0(x) = \sqrt[4]{\frac{\mu\omega}{\hbar\pi}} e^{-\frac{\mu\omega}{2\hbar}x^2}$$

则
$$E_{0$$
本征能量 =  $\frac{1}{2}\hbar\omega \rightarrow \psi_0(x) = \sqrt[4]{\frac{\mu\omega}{\hbar\pi}}e^{-\frac{\mu\omega}{2\hbar}x^2}$ 

2) 如果
$$H(\xi) = a_1 \xi$$
, 带入  $\to \frac{d^2 H}{d\xi^2} - 2\xi \frac{dH}{d\xi} + (\lambda - 1)H = 0$ 

$$\rightarrow -2\xi a_1 + (\lambda - 1)a_1\xi = 0 \rightarrow \lambda = 3 \qquad \lambda = 3 \rightarrow \lambda = \frac{2E}{\hbar\omega} \rightarrow E_{\text{active}} = \frac{3}{2}\hbar\omega$$

$$H(\xi) = a_1 \xi \rightarrow$$
对应波函数 $\psi(\xi) = a_1 \xi e^{-\xi^2/2}$ 

再根据定义
$$\xi = \alpha x$$
其中 $\alpha = \sqrt{\frac{\mu \omega}{\hbar}}$ ,则波函数 $\psi(x) = a_1 \alpha x e^{-\frac{\mu \omega}{2\hbar}x^2}$ 

$$\int_{-\infty}^{\infty} (\psi(\xi))^2 dx = \int_{-\infty}^{\infty} (a_1 \alpha x)^2 e^{-\frac{\mu \omega}{\hbar} x^2} dx = 1$$

$$\rightarrow \left(a_{1}\alpha\right)^{2} \int_{-\infty}^{\infty} x^{2} e^{-\frac{\mu\omega}{\hbar}x^{2}} dx = \left(a_{1}\alpha\right)^{2} \left[\left(-\frac{\hbar}{2\mu\omega}\right) x d\left(e^{-\frac{\mu\omega}{\hbar}x^{2}}\right)\right|_{-\infty}^{+\infty} + \frac{\hbar}{2\mu\omega} \int_{-\infty}^{\infty} e^{-\frac{\mu\omega}{\hbar}x^{2}} dx\right] = 1$$

$$\rightarrow \left(a_1 \alpha\right)^2 \left[0 + \frac{\hbar}{2\mu \omega} \int_{-\infty}^{\infty} e^{-\frac{\mu \omega}{\hbar} x^2} dx\right] = \left(a_1 \alpha\right)^2 \left[\frac{\hbar}{2\mu \omega} \int_{-\infty}^{\infty} e^{-\frac{\mu \omega}{\hbar} x^2} dx\right] = 1$$

$$\int_{-\infty}^{\infty} e^{-\frac{\mu\omega}{\hbar}x^2} dx \int_{-\infty}^{\infty} e^{-\frac{\mu\omega}{\hbar}y^2} dy = \int_{0}^{\infty} e^{-\frac{\mu\omega}{\hbar}r^2} r dr \int_{0}^{2\pi} d\theta = 2\pi \left(\frac{\hbar}{2\mu\omega}\right) = \frac{\pi\hbar}{\mu\omega} \rightarrow \int_{-\infty}^{\infty} e^{-\frac{\mu\omega}{\hbar}x^2} = \sqrt{\frac{\pi\hbar}{\mu\omega}}$$

$$\int_{-\infty}^{\infty} e^{-\frac{\mu\omega}{\hbar}x^2} = \sqrt{\frac{\pi\hbar}{\mu\omega}} \rightarrow (a_1\alpha)^2 \left[ \frac{\hbar}{2\mu\omega} \int_{-\infty}^{\infty} e^{-\frac{\mu\omega}{\hbar}x^2} dx \right] = 1 \rightarrow (a_1\alpha)^2 \left[ \frac{\hbar}{2\mu\omega} \sqrt{\frac{\pi\hbar}{\mu\omega}} \right] = 1$$

$$\left(a_{1}\alpha\right)^{2}\left[\frac{\hbar}{2\mu\omega}\sqrt{\frac{\pi\hbar}{\mu\omega}}\right] = 1 \to a_{1}\alpha = \sqrt{\frac{2\mu\omega}{\hbar}\sqrt{\frac{\mu\omega}{\pi\hbar}}}$$

$$a_{1}\alpha = \sqrt{\frac{2\mu\omega}{\hbar}}\sqrt{\frac{\mu\omega}{\pi\hbar}} + \lambda\psi(x) = a_{1}\alpha x e^{-\frac{\mu\omega}{2\hbar}x^{2}} \rightarrow \psi_{1}(x) = \sqrt{\frac{2\mu\omega}{\hbar}}\sqrt{\frac{\mu\omega}{\pi\hbar}}x e^{-\frac{\mu\omega}{2\hbar}x^{2}}$$

$$E_{1} = \frac{3}{2}\hbar\omega \rightarrow \psi_{1}(x) = \sqrt{\frac{2\mu\omega}{\hbar}}\sqrt{\frac{\mu\omega}{\pi\hbar}}xe^{-\frac{\mu\omega}{2\hbar}x^{2}}$$

3) 如果
$$H(\xi) = a_0 + a_2 \xi^2$$
 (常数),带入  $\rightarrow \frac{d^2 H}{d\xi^2} - 2\xi \frac{dH}{d\xi} + (\lambda - 1)H = 0$   
 $\rightarrow 2a_2 - 4\xi^2 a_2 + (\lambda - 1)(a_0 + a_2 \xi^2) = 0$ 

$$\rightarrow \begin{cases} -4\xi^{2}a_{2} + (\lambda - 1)a_{2}\xi^{2} = 0 \\ 2a_{2} + (\lambda - 1)a_{0} = 0 \end{cases} \rightarrow \lambda = 5, a_{2} = -2a_{0} \qquad \lambda = 5 \rightarrow \lambda = \frac{2E}{\hbar\omega} \rightarrow E_{2} = \frac{5}{2}\hbar\omega$$

$$\lambda = 5, a_2 = -2a_0 + \lambda \rightarrow H(\xi) = a_0 + a_2 \xi^2 \rightarrow H(\xi) = a_0 (1 - 2\xi^2)$$

$$H(\xi) = a_0 (1 - 2\xi^2) \rightarrow \psi(\xi) = a_0 (1 - 2\xi^2) e^{-\xi^2/2}$$

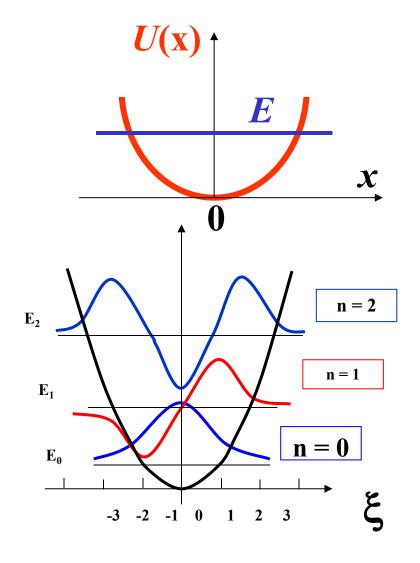
再根据定义
$$\xi = \alpha x$$
其中 $\alpha = \sqrt{\frac{\mu \omega}{\hbar}}$ ,则波函数 $\psi(x) = a_0 \left(1 - 2\alpha x^2\right) e^{-\frac{\mu \omega}{2\hbar}x^2}$   
利用归一化方法得到  $\rightarrow \psi(x) = \sqrt{\frac{\mu \omega}{2\hbar\sqrt{\pi}}} \left(\frac{2\mu \omega}{\hbar}x^2 - 1\right) e^{-\frac{\mu \omega}{2\hbar}x^2}$ 

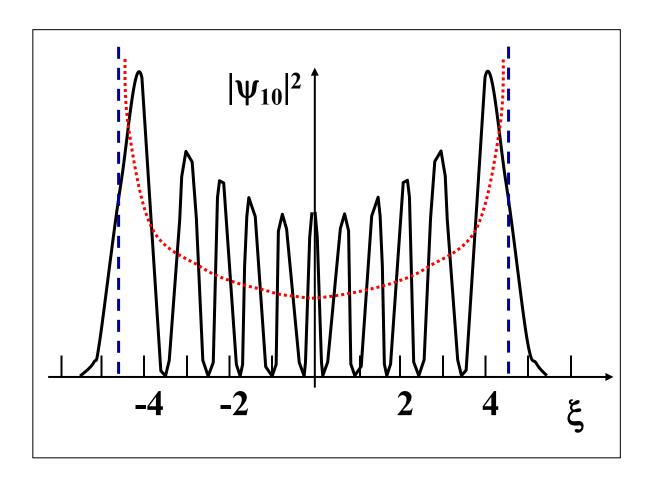
$$E_2 = \frac{5}{2}\hbar\omega \rightarrow \psi(x) = \sqrt{\frac{\mu\omega}{2\hbar\sqrt{\pi}}} \left(\frac{2\mu\omega}{\hbar}x^2 - 1\right) e^{-\frac{\mu\omega}{2\hbar}x^2}$$

# 总结

$$\rightarrow \left\{ \frac{\hbar^2}{2\mu} \frac{d^2}{dx^2} + \left[ E - \frac{1}{2} \mu \omega^2 x^2 \right] \right\} \psi(x) = 0$$

$$\begin{cases} E_0 = \frac{1}{2}\hbar\omega \to \psi_0(x) = \sqrt[4]{\frac{\mu\omega}{\hbar\pi}} e^{-\frac{\mu\omega}{2\hbar}x^2} \\ E_1 = \frac{3}{2}\hbar\omega \to \psi_1(x) = \sqrt{\frac{2\mu\omega}{\hbar}} \sqrt{\frac{\mu\omega}{\pi\hbar}} x e^{-\frac{\mu\omega}{2\hbar}x^2} \\ E_2 = \frac{5}{2}\hbar\omega \to \psi(x) = \sqrt{\frac{\mu\omega}{2\hbar\sqrt{\pi}}} \left(\frac{2\mu\omega}{\hbar}x^2 - 1\right) e^{-\frac{\mu\omega}{2\hbar}x^2} \\ E_n \dots \end{cases}$$





### 例1. 求三维谐振子能级,并讨论它的简并情况

解: (1) 三维谐振子 Hamilton 量

$$\hat{H} = -\frac{\hbar^2}{2\mu} \left| \frac{d^2}{dx^2} + \frac{d^2}{dy^2} + \frac{d^2}{dz^2} \right| + \frac{1}{2}\mu\omega^2(x^2 + y^2 + z^2) = \hat{H}_x + \hat{H}_y + \hat{H}_z$$

$$\hat{H}_{x} = -\frac{\hbar^{2}}{2\mu} \frac{d^{2}}{dx^{2}} + \frac{1}{2}\mu\omega^{2}x^{2} \qquad \hat{H}\psi(x,y,z) = (\hat{H}_{x} + \hat{H}_{y} + \hat{H}_{z})\psi(x,y,z) = E\psi(x,y,z)$$

$$\hat{H}_{y} = -\frac{\hbar^{2}}{2\mu} \frac{d^{2}}{dy^{2}} + \frac{1}{2}\mu\omega^{2}y^{2}$$

$$\hat{H}_{z} = -\frac{\hbar^{2}}{2\mu} \frac{d^{2}}{dz^{2}} + \frac{1}{2}\mu\omega^{2}z^{2}$$

$$\hat{H}\psi(x,y,z) = (\hat{H}_x + \hat{H}_y + \hat{H}_z)\psi(x,y,z) = E\psi(x,y,z)$$

$$\begin{cases} \hat{H} = \hat{H}_{x} + \hat{H}_{y} + \hat{H}_{z} \\ E = E_{1} + E_{2} + E_{3} \\ \psi(x, y, z) = \psi(x)\psi(y)\psi(z) \end{cases} \qquad (\hat{H}_{x} + \hat{H}_{y} + \hat{H}_{z})\psi(x)\psi(y)\psi(z) = (E_{1} + E_{2} + E_{3})\psi(x)\psi(y)\psi(z)$$

$$\rightarrow \begin{cases}
(\hat{H}_x)\psi(x)\psi(y)\psi(z) = (E_1)\psi(x)\psi(y)\psi(z) \\
(\hat{H}_y)\psi(x)\psi(y)\psi(z) = (E_2)\psi(x)\psi(y)\psi(z)
\end{cases}
\rightarrow \begin{cases}
\hat{H}_x\psi(x) = E_1\psi(x) \\
\hat{H}_y\psi(y) = E_2\psi(y) \\
\hat{H}_z\psi(z) = E_3\psi(z)
\end{cases}$$

$$\oint \left\{ -\frac{\hbar^{2}}{2\mu} \frac{d^{2}}{dx^{2}} + \frac{1}{2}\mu\omega^{2}x^{2} \right\} \psi(x) = E_{1}\psi(x) 
\oint \left\{ -\frac{\hbar^{2}}{2\mu} \frac{d^{2}}{dy^{2}} + \frac{1}{2}\mu\omega^{2}y^{2} \right\} \psi(y) = E_{2}\psi(y) 
\left\{ -\frac{\hbar^{2}}{2\mu} \frac{d^{2}}{dy^{2}} + \frac{1}{2}\mu\omega^{2}y^{2} \right\} \psi(y) = E_{2}\psi(y) 
\left\{ -\frac{\hbar^{2}}{2\mu} \frac{d^{2}}{dz^{2}} + \frac{1}{2}\mu\omega^{2}z^{2} \right\} \psi(z) = E_{3}\psi(z) 
E_{i1} = \frac{3}{2}\hbar\omega \rightarrow \psi_{1}(x) = \sqrt{\frac{2\mu\omega}{\hbar}\sqrt{\frac{\mu\omega}{\pi\hbar}}} xe^{-\frac{\mu\omega}{2\hbar}x^{2}} 
E_{i2} = \frac{5}{2}\hbar\omega \rightarrow \psi(x) = \sqrt{\frac{\mu\omega}{2\hbar\sqrt{\pi}}} \left( \frac{2\mu\omega}{\hbar}x^{2} - 1 \right) e^{-\frac{\mu\omega}{2\hbar}x^{2}}$$

$$i = 1, 2, 3 \begin{cases} E_{i0} = \frac{1}{2}\hbar\omega \rightarrow \psi_{0}(x) = \sqrt[4]{\frac{\mu\omega}{\hbar\pi}} e^{-\frac{\mu\omega}{2\hbar}x^{2}} \\ E_{i1} = \frac{3}{2}\hbar\omega \rightarrow \psi_{1}(x) = \sqrt{\frac{2\mu\omega}{\hbar}} \sqrt{\frac{\mu\omega}{\pi\hbar}} x e^{-\frac{\mu\omega}{2\hbar}x^{2}} & i = 1, 2, 3 \rightarrow \begin{cases} E_{n_{1}n_{2}n_{3}} = E_{n_{1}} + E_{n_{2}} + E_{n_{3}} \\ \psi_{n_{1}n_{2}n_{3}}(x, y, z) = \psi_{n_{1}}(x)\psi_{n_{2}}(y)\psi_{n_{3}}(z) \end{cases}$$

$$E_{i2} = \frac{5}{2}\hbar\omega \rightarrow \psi(x) = \sqrt{\frac{\mu\omega}{2\hbar\sqrt{\pi}}} \left(\frac{2\mu\omega}{\hbar}x^{2} - 1\right) e^{-\frac{\mu\omega}{2\hbar}x^{2}}$$

$$E_N = (n_1 + n_2 + n_3 + \frac{3}{2})\hbar\omega = (N + \frac{3}{2})\hbar\omega \not\equiv \Psi$$
  $N = n_1 + n_2 + n_3$ 

$n_1$		$n_2$				组合方式数
0	0,	1,	,	N	<b>→</b>	N+1
1	0,	1,	,	N-1	<b>→</b>	N
2	0,	1,	,	N-2	<b>→</b>	N-1
,	,	,	,	• • •	<b>→</b>	• • •
N	0,				<b>→</b>	1

例2. 荷电 q 的谐振子,受到沿 x 向外电  $\varepsilon$  的作用,其势场为:  $U(x) = \frac{1}{2}\mu\omega^2x^2 - q\varepsilon x$  求能量本征值和本征函数。

解: Schrodinger方程:

$$\frac{d^{2}}{dx^{2}}\psi(x) + \frac{2\mu}{\hbar^{2}}[E - U(x)]\psi(x) = 0$$

$$U(x) = \frac{1}{2}\mu\omega^{2}x^{2} - q\varepsilon x = \frac{1}{2}\mu\omega^{2}[x - \frac{q\varepsilon}{\mu\omega^{2}}]^{2} - \frac{q^{2}\varepsilon^{2}}{2\mu\omega^{2}} = \frac{1}{2}\mu\omega^{2}(x - x_{0})^{2} - U_{0}$$
其中:  $x_{0} = \frac{q\varepsilon}{\mu\omega^{2}}$   $U_{0} = \frac{q^{2}\varepsilon^{2}}{2\mu\omega^{2}}$ 

$$\hat{p} = -i\hbar\frac{d}{dx} = -i\hbar\frac{d}{dx'} = \hat{p}'$$

$$= \frac{\hat{p}'^{2}}{2\mu} + \frac{1}{2}\mu\omega^{2}(x - x_{0})^{2} - U_{0}$$

$$= \frac{\hat{p}'^{2}}{2\mu} + \frac{1}{2}\mu\omega^{2}(x - x_{0})^{2} - U_{0}$$

$$\hat{H} = \frac{\hat{p}'^2}{2\mu} + \frac{1}{2}\mu\omega^2 x'^2 - U_0 \rightarrow \frac{d^2\psi(x')}{dx'^2} + \frac{2\mu}{\hbar^2} [E - \frac{1}{2}\mu\omega^2 x'^2 + U_0]\psi(x') = 0$$

$$\begin{cases} E'_0 = \frac{1}{2}\hbar\omega \rightarrow \psi_0(x') = \sqrt[4]{\frac{\mu\omega}{\hbar\pi}} e^{-\frac{\mu\omega}{2\hbar}x'^2} \\ E'_1 = \frac{3}{2}\hbar\omega \rightarrow \psi_1(x') = \sqrt{\frac{2\mu\omega}{\hbar}} \sqrt{\frac{\mu\omega}{\pi\hbar}} x e^{-\frac{\mu\omega}{2\hbar}x'^2} \\ E'_2 = \frac{5}{2}\hbar\omega \rightarrow \psi(x') = \sqrt{\frac{\mu\omega}{2\hbar\sqrt{\pi}}} \left(\frac{2\mu\omega}{\hbar}x^2 - 1\right) e^{-\frac{\mu\omega}{2\hbar}x'^2} \end{cases} \begin{cases} x' = x - x_0 = x - \frac{q\varepsilon}{\mu\omega^2} \\ E = E' - U_0 = E' - \frac{q^2\varepsilon^2}{2\mu\omega^2} \end{cases}$$