

# Advanced Predictive Analytics: Integrating Machine Learning Pipelines with Robust Data Processing Methods for Battery Health and RUL Prediction

A Report Submitted in Partial Fulfilment of the Requirements for the  
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# DECLARATION

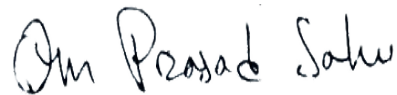
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**“Advanced Predictive Analytics: Integrating Machine Learning Pipelines with Robust Data Processing Methods for Battery Health and RUL Prediction”**

We declare that the art on display is mostly comprised of our own ideas and work, expressed in our own words. Where other people's thoughts or words were used, we properly cited and noted them in the reference materials. We have followed all academic honesty and integrity principles.



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# ABSTRACT

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Understanding the health and remaining useful life of batteries is vital for effective battery management. Recent advances in machine learning have led to new methods for accurately estimating the State of Health (SOH) and Remaining Useful Life (RUL) of batteries. This report introduces a method to predict battery aging by considering factors such as state of charge, discharge voltage characteristics, internal resistance, and the capacity of a Li-Ion 18650 cell. Various statistical models were deployed on a hardware platform to identify the most effective machine-learning techniques for SOH and RUL estimation. The experimental results show that a deep neural network can predict SOH with a 5% error margin, while a long short-term memory neural network can estimate RUL with an accuracy of  $\pm 10$  cycles. This method highlights the potential of machine learning models in real-time applications, enabling optimal battery life management.

Index Terms: Battery management, State of Health (SOH), Remaining Useful Life (RUL), machine learning, Li-Ion 18650 cell, state of charge, discharge voltage characteristics, internal resistance, capacity, deep neural network, long short-term memory neural network, statistical models, real-time applications.

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# Chapter 1

## Introduction

Lithium-ion batteries are a type of battery that has become essential in our modern world. These batteries are lightweight and long-lasting, making them ideal for a wide range of applications such as smartphones, laptops, electric cars, and even power grids. The importance of lithium-ion batteries lies in their ability to store and provide energy efficiently, which helps reduce our reliance on fossil fuels and decrease carbon emissions.

Lithium-ion batteries offer high energy density, low self-discharge, and minimal maintenance, which further enhances their attractiveness for both consumer electronics and industrial uses. Electric vehicle's (EVs) provide the necessary power and efficiency to enable longer driving ranges and shorter charging times, driving the global shift towards greener transportation. Additionally, in renewable energy systems, lithium-ion batteries are critical for storing solar and wind energy, making it possible to supply electricity even when the sun isn't shining or the wind isn't blowing.

The versatility of lithium-ion batteries extends to their scalability and adaptability, making them suitable for small devices as well as large-scale energy storage systems. As technology continues to advance, the role of lithium-ion batteries will only become more prominent in powering our devices and moving toward a more sustainable future. Research and development efforts are ongoing to improve their performance, safety, and cost-effectiveness, ensuring that lithium-ion batteries remain at the forefront of energy storage solutions.





Figure 1.1: Li-ion Batteries

## 1.1 Importance of Estimation of SOH and RUL

Understanding the state of health (SOH) and remaining useful life (RUL) of lithium-ion batteries is crucial for various reasons. These batteries play a significant role in powering a wide range of devices, from smartphones to electric vehicles. By accurately estimating their state of health, we can ensure their optimal performance and longevity. Additionally, knowing the remaining useful life of these batteries helps in planning for replacements and budgeting effectively. Without this information, there is a risk of unexpected failures, which can be costly and inconvenient.

Accurate estimations of SOH and RUL also enhance the safety of lithium-ion batteries. Over time, batteries can degrade and become prone to issues such as overheating, which can lead to safety hazards. By monitoring SOH and RUL, potential problems can be detected early, preventing accidents and ensuring user safety.

Furthermore, understanding SOH and RUL aids in the efficient recycling and repurposing of batteries. As the demand for lithium-ion batteries grows, so does the need for sustainable end-of-life management. Accurate assessments of battery health can determine whether a battery can be repurposed for less demanding applications or needs to be recycled. This reduces the environmental impact and conserves resources.

In industrial and commercial applications, such as grid storage and large-

scale energy systems, precise SOH and RUL estimations can enhance the overall reliability and efficiency of energy management systems. Predictive maintenance enabled by these estimations ensures that energy systems operate smoothly, reducing downtime and maintenance costs.

Therefore, it is essential to prioritize the estimation of the state of health and remaining useful life of lithium-ion batteries to maximize efficiency, reliability, safety, and sustainability. This proactive approach not only extends the lifespan of batteries but also supports a more resilient and environmentally friendly energy infrastructure.

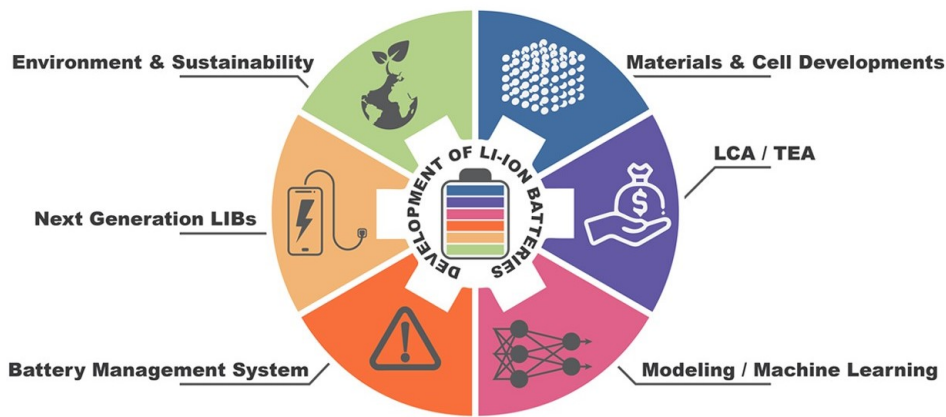


Figure 1.2: Trends & Innovation in Li-ion batteries

## 1.2 The Consequences of Inaccurate SOH and RUL Estimation

Having an accurate understanding of the State of Health (SOH) and Remaining Useful Life (RUL) is crucial for managing battery systems. Failing to correctly estimate these parameters can lead to various negative consequences. For instance, inaccurate SOH and RUL estimation can pose safety risks such as battery overheating and explosions, which can endanger individuals and property. Moreover, not having a good grasp of SOH and RUL can result in reduced system performance, leading to unexpected power outages in electric vehicles or other critical applications. This not only inconveniences users but also has serious implications in terms of safety and reliability.

Inaccurate estimations can also disrupt supply chain management and logistics, particularly in industries relying on battery-powered equipment. For example, in electric vehicle fleets, unexpected battery failures can lead

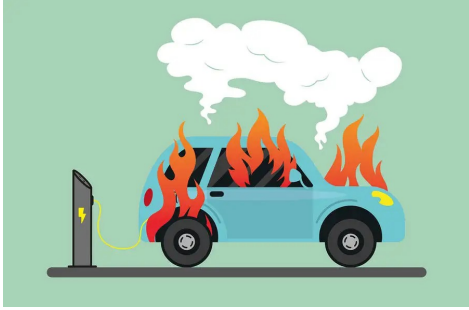


Figure 1.3: EV Catching Fire

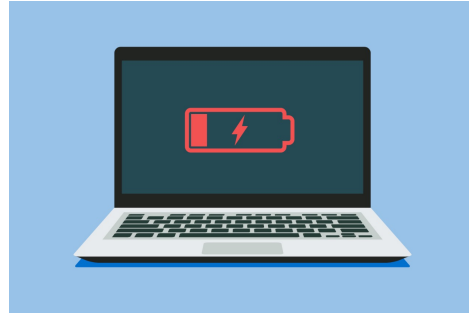


Figure 1.4: Laptop Battery Getting Degraded



Figure 1.5: Cell Phones Getting Exploded

to significant operational downtimes and logistical challenges. This can affect delivery schedules, customer satisfaction, and overall business efficiency.

Additionally, inaccurate estimation of SOH and RUL may lead to increased maintenance costs, as batteries may be replaced prematurely, causing unnecessary expenses. Conversely, delaying battery replacement due to underestimated degradation can result in sudden failures, necessitating emergency repairs that are often more costly and disruptive.

Furthermore, this can have negative environmental impacts due to the premature disposal of batteries, contributing to electronic waste and pollution. Proper estimation allows for better planning of recycling and repurposing efforts, ensuring that batteries are utilized to their fullest potential before disposal.

Accurate SOH and RUL assessments also support the advancement of smart grid technologies and renewable energy integration. By reliably predicting battery performance and lifespan, energy storage systems can be

more effectively managed, ensuring a stable energy supply from intermittent renewable sources like solar and wind.

### **1.3 The Economic Benefits of Accurate RUL Prediction**

Accurately predicting the Remaining Useful Life (RUL) of batteries can result in significant cost savings and various economic benefits. By implementing precise RUL prediction techniques, companies can optimize their maintenance scheduling by replacing batteries only when necessary, thereby reducing unnecessary expenses. Moreover, improved system efficiency and performance can be achieved through timely battery replacements based on accurate RUL predictions. This not only saves costs but also ensures that systems operate at their peak performance levels.

Accurate RUL predictions can also enhance asset utilization. In industries such as transportation and logistics, knowing the exact lifespan of battery packs in electric vehicles or drones allows for better fleet management and deployment strategies. This maximizes the return on investment by ensuring each asset is fully utilized before replacement.

Furthermore, precise RUL estimations can improve the reliability and safety of critical systems. In sectors like healthcare, where battery-powered medical devices are used, ensuring that these devices function correctly without unexpected failures is paramount. Accurate RUL predictions help avoid sudden downtimes and maintain the integrity of these critical applications.

Additionally, extending the lifespan of batteries by replacing them at the right time can lead to long-term cost savings for businesses. This approach reduces the frequency of purchasing new batteries, thereby lowering capital expenditure. It also supports environmental sustainability by minimizing the disposal of batteries and reducing electronic waste.

Accurate RUL predictions can also facilitate better inventory management for battery manufacturers and suppliers. By understanding the lifespan of their products, manufacturers can better align production schedules with demand, thereby reducing excess inventory and associated holding costs.

## 1.4 The Role of Machine Learning in Overcoming These Challenges

Machine learning plays a crucial role in addressing challenges by providing a more advanced and data-driven approach compared to traditional methods. It offers more accurate estimations of State of Health (SOH) and Remaining Useful Life (RUL). By analyzing vast amounts of data, machine learning algorithms can identify patterns and trends that may go undetected by human-driven methods. This enables predictive maintenance strategies that can optimize performance, reduce downtime, and ultimately save costs.

Furthermore, machine learning models can continuously learn and improve over time as more data becomes available. This adaptability makes them particularly effective in dynamic environments where conditions and usage patterns may change frequently. For example, in electric vehicles, machine learning can be used to monitor battery health in real-time, providing insights that help in making informed decisions about maintenance and replacements.

Machine learning also facilitates the development of more sophisticated and reliable battery management systems (BMS). By integrating machine learning algorithms into BMS, it is possible to enhance the accuracy of SOH and RUL predictions, leading to better overall system performance. This integration supports the creation of more resilient and efficient energy storage solutions, which are essential for the advancement of renewable energy technologies and smart grid applications.

In conclusion, machine learning represents a powerful tool in overcoming the challenges associated with SOH and RUL estimation. Its ability to process and analyze large datasets, coupled with its continuous learning capabilities, makes it an invaluable asset in the pursuit of more accurate, reliable, and cost-effective battery management solutions.

## Chapter 2

# Battery Degradation Mechanisms

Battery degradation is a natural process that occurs in various types of batteries over time, leading to a decrease in their performance and capacity. Different mechanisms contribute to battery degradation, such as lithium plating, electrolyte decomposition, and calendar aging.

Lithium plating occurs when lithium ions unevenly accumulate on the surface of the anode, forming dendrites that can lead to short circuits and significantly shorten the battery's lifespan. Electrolyte decomposition occurs when the electrolyte breaks down, leading to the formation of gas bubbles, which can affect the battery's efficiency. Calendar aging, on the other hand, is the natural degradation of battery components over time due to chemical reactions that occur over time.

In addition to these primary mechanisms, other degradation phenomena include the growth of the solid electrolyte interphase (SEI) layer and mechanical stresses. The SEI layer, which forms on the anode during initial charge cycles, can grow thicker over time, consuming active lithium and increasing internal resistance. Mechanical stresses, resulting from volume changes during charge and discharge cycles, can cause cracks and fractures in electrode materials, further degrading battery performance.

Several factors influence battery degradation, including temperature, depth of discharge, and the number of charge/discharge cycles. High temperatures can accelerate the degradation process by enhancing chemical reactions that deteriorate battery materials. Deep discharge cycles and frequent charging can also contribute to reduced battery life by increasing the mechanical and chemical stresses on the battery components. Additionally, overcharging and rapid charging can exacerbate lithium plating and thermal runaway risks.

Environmental conditions, such as humidity and exposure to air, can also

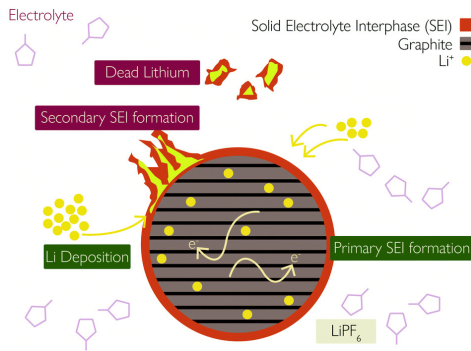


Figure 2.1: Li-ion Battery Degradation

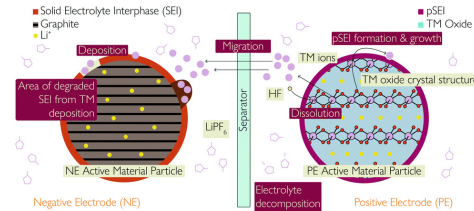


Figure 2.2: Interaction between solid-electrolyte interphase (SEI) and lithium plating

impact battery degradation. Moisture can lead to the corrosion of battery terminals and the breakdown of electrolyte solutions, while oxygen exposure can oxidize electrode materials, further impairing battery function.

Using relevant figures and diagrams can help illustrate these concepts and provide a better understanding of how battery degradation occurs. For example, diagrams showing the formation of dendrites during lithium plating, the breakdown of electrolytes, and the progression of SEI layer growth can visually represent these complex processes. Graphs illustrating the effects of temperature, depth of discharge, and charge/discharge cycles on battery capacity and performance over time can offer insights into how different factors accelerate degradation.

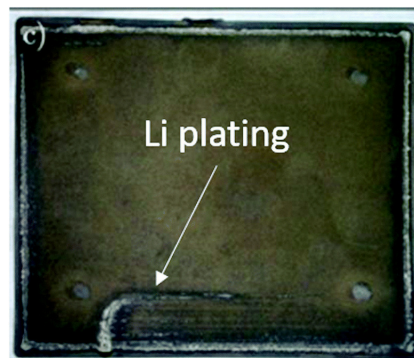


Figure 2.3: Graphite electrode after extensive Li plating

To mitigate battery degradation, manufacturers are exploring advanced materials and technologies, such as solid-state electrolytes, which offer greater stability and safety. Battery management systems (BMS) are also being developed to monitor and control operating conditions, ensuring batteries

are used within optimal parameters to extend their lifespan. Understanding these mechanisms and factors is crucial for developing strategies to improve battery longevity and performance, ultimately leading to more reliable and efficient energy storage solutions.



## Chapter 3

# TRADITIONAL METHODS FOR REMAINING USEFUL LIFE (RUL) PREDICTION

Traditional methods for predicting the Remaining Useful Life (RUL) of machinery play a crucial role in maintenance planning and cost-saving efforts for various industries. These methods involve analyzing historical data, such as equipment performance, maintenance records, and environmental conditions, to estimate when a machine is likely to fail. By using techniques like statistical modeling, condition monitoring, and trend analysis, maintenance teams can proactively identify potential issues before they escalate, resulting in increased operational efficiency and reduced downtime. Implementing traditional RUL prediction methods can help organizations maximize the lifespan of their assets and make informed decisions about maintenance schedules and resource allocation.

Traditional methods for Remaining Useful Life (RUL) prediction often include calendar-based models and empirical models.

### 3.1 Calendar-Based Models

Calendar-based models predict RUL based on the elapsed time since the battery was put into service or since its last maintenance. These models assume a linear or exponential degradation of battery capacity over time. For instance, a simple calendar model might predict that a battery needs replacement after a certain number of years regardless of its actual usage.

### 3.1.1 Limitations of Calendar-Based Models

- **Simplistic Assumptions:** These models often oversimplify battery degradation, assuming uniform degradation rates that may not reflect actual usage patterns or environmental conditions.
- **Lack of Adaptability:** They do not account for variations in usage, operational conditions, or different battery chemistries.
- **Limited Accuracy:** Predictions may be inaccurate if the actual degradation does not follow the assumed degradation pattern.

## 3.2 Empirical Models

Empirical models use historical data on battery performance and degradation obtained through testing or field observations. They typically involve fitting mathematical equation\*s or statistical models to the data to predict RUL. Examples include models based on voltage trends, impedance measurements, or capacity fade over cycles.

### 3.2.1 Limitations of Empirical Models

- **Data Dependency:** These models heavily rely on historical data, which may not always capture all relevant factors affecting battery degradation.
- **Generalization Issues:** They may not generalize well to new battery chemistries or operating conditions not represented in the training data.
- **Complexity in Calibration:** Calibration of empirical models can be complex and time-consuming, requiring extensive data collection and analysis.

## 3.3 Physics-Based Models

Physics-based models aim to simulate the underlying physical processes that contribute to battery degradation. These models incorporate fundamental electrochemical principles and equation\*s to predict RUL based on factors such as electrode reactions, diffusion of ions, and thermal effects. They often require detailed knowledge of battery materials and complex numerical simulations.

### 3.3.1 Limitations of Physics-Based Models

- **Complexity and Computational Cost:** Developing and calibrating physics-based models can be resource-intensive due to the complexity of electrochemical interactions and the need for accurate material parameters.
- **Assumptions and Simplifications:** Despite their basis in physical principles, these models still rely on simplifications and assumptions that may not fully capture all degradation mechanisms in real-world scenarios.

## 3.4 Challenges with Traditional Methods

- **Limited Predictive Power:** Traditional methods often struggle to accurately predict RUL in dynamic and non-linear degradation scenarios. They may not capture sudden degradation events or changes in operating conditions effectively.
- **Dependency on Historical Data:** The accuracy of empirical and calendar-based models hinges on the availability and quality of historical data, which may not always be comprehensive or representative.
- **Adaptability to New Technologies:** As battery technologies evolve (e.g., new chemistries, electrode designs), traditional methods may struggle to adapt and provide accurate predictions without extensive recalibration or retraining.

## Chapter 4

# MACHINE LEARNING FOR RUL PREDICTION

Machine learning (ML) is a subset of artificial intelligence (AI) that involves the development of algorithms and statistical models that enable computers to perform specific tasks without explicit instructions. By learning from and making predictions based on data, ML algorithms can uncover patterns and relationships within complex datasets. This capability makes ML particularly suitable for tasks such as Remaining Useful Life (RUL) prediction, where traditional analytical methods might fall short due to the multifaceted and dynamic nature of battery degradation.

ML's adaptability and ability to process vast amounts of data from various sources enable more accurate and reliable predictions, thus enhancing maintenance strategies, optimizing performance, and reducing operational costs. This section explores various ML algorithms used for RUL prediction, including regression algorithms, time series forecasting algorithms, and deep learning algorithms, and discusses their advantages and disadvantages.

### 4.1 Linear Regression

**Concept:** Linear Regression is a commonly used statistical technique that models the relationship between a dependent variable and one or more independent variables by fitting a linear equation\* to the observed data points.

#### **Application to RUL Prediction:**

- **Feature Selection:** Identify relevant features such as voltage, current, temperature, and usage patterns that influence battery degradation.

- **Model Training:** Fit a linear model to historical data to establish the relationship between these features and the battery's remaining capacity or performance metrics.
- **Prediction:** Use the trained model to predict the RUL based on current and future feature values.

**Advantages:**

- Simple and easy to implement.
- Provides interpretable results.

**Disadvantages:**

- Assumes a linear relationship between features and the target variable, which may not effectively capture complex degradation patterns.

## 4.2 K-Nearest Neighbors (KNN)

**Concept:** K-Nearest Neighbors is a non-parametric algorithm that predicts the value of a new data point based on the values of its k-nearest neighbors in the training set.

**Application to RUL Prediction:**

- **Feature Selection:** Identify relevant features such as voltage, current, temperature, and usage patterns that influence battery degradation.
- **Model Training:** Identify the k-nearest neighbors and average their RUL values to estimate the RUL of the new data point.
- **Prediction:** Use the trained KNN model to predict the RUL based on current and future feature values.

**Advantages:**

- Simple and intuitive approach.
- Can model non-linear relationships without assuming a specific form.

**Disadvantages:**

- Computationally intensive, especially with large datasets.
- Performance depends on choosing the appropriate k value and distance parameter.

## 4.3 Support Vector Machines (SVM)

**Concept:** Support Vector Machines are supervised learning models that can perform classification and regression tasks. SVM regression (SVR) tries to find a function that deviates from the true target values by a value no greater than a specified margin.

**Application to RUL Prediction:**

- **Feature Selection:** Identify features that influence battery degradation.
- **Model Training:** Train an SVR model to find the best-fit line (or hyperplane in higher dimensions) that stays within a specified margin of error.
- **Prediction:** Use the trained SVR model to predict the RUL based on current and future feature values.

**Advantages:**

- Effective in high-dimensional spaces and against overfitting in low-dimensional spaces.
- Flexible through the use of different kernel functions.

**Disadvantages:**

- Computationally intensive and memory demanding, especially with large datasets.
- Requires careful tuning of hyperparameters (e.g., margin width, kernel type).

## 4.4 Decision Tree

**Concept:** Decision Trees are a type of supervised learning algorithm that splits the data into subsets based on the value of input features, creating a tree-like model of decisions.

### Application to RUL Prediction:

- **Feature Selection:** Identify features influencing battery degradation.
- **Model Training:** Build a tree by recursively splitting the training data based on feature values to minimize prediction error at each node.
- **Prediction:** Traverse the tree using the eigenvalues of new data points to arrive at a leaf that gives the estimated RUL.

### Advantages:

- Easy to understand and interpret.
- Handles both numerical and categorical data.

### Disadvantages:

- Prone to overfitting, especially with deep trees.
- Sensitive to small variations in the data.

## 4.5 Random Forest

**Concept:** Random Forest is an ensemble learning method that constructs multiple decision trees during training and outputs the mean prediction of the individual trees.

### Application to RUL Prediction:

- **Feature Selection:** Identify relevant features influencing battery degradation.
- **Model Training:** Build multiple decision trees using different subsets of the training data and features. Each tree provides a prediction, and the final RUL prediction is the average of these individual predictions.
- **Prediction:** For a new data point, run it through each tree in the forest and average the results to obtain the final RUL prediction.

**Advantages:**

- High prediction accuracy due to ensemble averaging.
- Robust to overfitting and can handle large datasets well.

**Disadvantages:**

- Complex and difficult to interpret compared to single decision trees.
- Requires significant computational resources for training.



## Chapter 5

# Battery Data Collection and Pre-processing

Data plays an important role in machine learning (ML) models, especially for tasks such as predicting battery life (RUL). The quality, quantity, and accuracy of data directly affect the accuracy and reliability of the forecast. Important aspects include:

- **Prediction Accuracy:** Good information ensures that the ML model can learn meaningful patterns and relationships to accurately predict RUL.
- **Generalization:** Diverse datasets help generalize learning models to unseen data, thus increasing their robustness and reliability in practical use.
- **Feature Selection and Engineering:** Identifying and processing relevant features (such as voltage, current, temperature) is crucial for model performance.

The Hawaii Natural Energy Institute dataset contains data for 14 NMC-LCO 18650 cells, each with a capacity of 2.8 Ah. These batteries were cycled over 1000 times at 25°C using a CC-CV (Constant Current-Constant Voltage) charge rate of C/2 and a discharge rate of 1.5 C. The dataset provides insights into various operational parameters recorded during these cycles, which are crucial for predicting the RUL of batteries.

Cycle_Index	Discharge Time (h)	Decrement 1.6-3.4V (h)	Max. Voltage Dischar. (V)	Min. Voltage Chrg. (V)	Time at 4.15V (h)	Time constant current (h)	Charging time (h)	RUL
1	2595.3	1151.4885	3.67	3.211	5460.001	6755.01	10777.82	1112
2	7408.64	1172.5125	4.246	3.22	5508.992	6762.02	10500.35	1111
3	7393.76	1112.992	4.249	3.224	5508.993	6762.02	10420.38	1110
4	7385.5	1080.20667	4.25	3.225	5502.016	6762.02	10322.81	1109
5	65022.75	29813.487	4.29	3.398	5480.992	53213.54	56699.65	1107
6	3301.18	1194.235077	3.674	3.504	5023.633636	5977.38	5977.38	1106
7	5955.3	1220.135129	4.013	3.501	5017.495	5967.55	5967.55	1105
8	5951.2	1220.135129	4.014	3.501	5017.496	5962.21	5962.21	1104
9	5945.44	1216.920914	4.014	3.501	5009.993667	5954.91	5954.91	1103
10	435251.49	263086.078	4.267	3.086	269.984	443700.02	443700.02	1102
11	3228.58	1135.349333	3.689	3.485	5033.075992	5969.89	5969.89	1101
12	6039.9	1058.279724	4.045	3.475	5053.842846	5980.77	5980.77	1100
13	6026.59	1049.487845	4.047	3.477	5046.4295	5966.82	5966.82	1099
14	6008.07	1005.372059	4.045	3.48	5033.075769	5954.47	5954.47	1098
15	423271.35	168773.305	4.27	3.108	239923.996	430028.84	430028.84	1097
16	2261.34	883.2	4.038	3.901	1949.664	2922.69	6070.11	1096
17	2259.46	883.199	4.042	3.373	5181.377	6161.38	9310.98	1095
18	2256.61	878.4	4.042	3.374	5181.375	6154.37	9296.64	1094
19	2252.83	873.601	4.043	3.374	5174.334	6147.33	9243.38	1093
20	2250.62	868.801	4.044	3.374	5160.289	6140.29	9245.53	1092
21	2248.6	868.797	4.044	3.375	5160.321	6133.34	9248.32	1091
22	2245.06	864	4.044	3.374	5153.414	6126.4	9215.78	1090
23	2242.53	861.594	4.044	3.376	5146.368	6119.36	9150.62	1089
24	2239.56	859.196	4.044	3.377	5139.368	6112.36	9179.43	1088
25	2237.35	856.797	4.044	3.377	5132.25	6105.27	9181.21	1087
26	2236.76	856.796	4.045	3.377	5125.344	6098.34	9198.3	1086
27	2234.38	852	4.045	3.378	5118.368	6091.36	9176.38	1085
28	2232.16	852	4.045	3.378	5111.328	6084.33	9145.58	1084
29	2230.78	848.609	4.045	3.377	5111.266	6084.25	9137.28	1083
30	2229.16	849.594	4.045	3.377	5104.359	6077.38	9131.56	1082

Figure 5.1: Battery Data Set

## 5.1 Types of Battery Data for RUL Prediction

When predicting how long a battery will last, known as its Remaining Useful Life (RUL), it's crucial to look at different types of data that tell us about the battery's health and performance. One key type of data is the charge and discharge cycles, which show how many times the battery has been used and recharged. This helps us understand how much wear and tear the battery has gone through. Another important data type is the voltage and current measurements during these cycles. These figures tell us if the battery is performing as expected or if it's starting to lose its ability to hold a charge. Temperature data is also vital because batteries can be sensitive to high or low temperatures, which can affect their performance and lifespan. By keeping an eye on these types of data, we can get a good idea of when a battery might need to be replaced before it fails unexpectedly.

### Variables:

- **Cycle Index (Cycle):**
  - **Description:** Sequential number identifying each cycle of the battery operation.

- **Importance:** Helps track the chronological order of battery cycles, essential for time-series analysis and understanding degradation trends over time.
- **F1: Discharge Time (s):**
  - **Description:** Duration of the discharge phase for each cycle in seconds.
  - **Importance:** Indicates how long the battery was discharged during each cycle, influencing its wear and degradation rate.
- **F2: Time at 4.15V (s):**
  - **Description:** Duration the battery spends at a voltage of 4.15V during charging, in seconds.
  - **Importance:** Reflects the charging profile and helps in understanding the battery's state during specific voltage thresholds, impacting its longevity.
- **F3: Time Constant Current (s):**
  - **Description:** Time spent at constant current during charging, in seconds.
  - **Importance:** Provides insights into the charging behavior, affecting battery health and performance over multiple cycles.
- **F4: Decrement 3.6-3.4V (s):**
  - **Description:** Time taken for the voltage to drop from 3.6V to 3.4V during discharge, in seconds.
  - **Importance:** Indicates the discharge profile and helps in assessing the battery's capacity degradation over cycles.
- **F5: Max. Voltage Discharge (V):**
  - **Description:** Maximum voltage recorded during the discharge phase of each cycle, in volts.
  - **Importance:** Reflects the peak performance and capacity of the battery during discharge, crucial for RUL estimation.
- **F6: Min. Voltage Charge (V):**

- **Description:** Minimum voltage recorded during the charging phase of each cycle, in volts.
- **Importance:** Indicates the lowest point of the battery voltage during charging, influencing its charging efficiency and health.
- **F7: Charging Time (s):**
  - **Description:** Total duration of the charging phase for each cycle, in seconds.
  - **Importance:** Reflects the overall charging process, which affects the battery’s degradation and lifespan.
- **Total time (s):**
  - **Description:** Total accumulated time of battery operation up to the current cycle, in seconds.
  - **Importance:** Provides a cumulative measure of the battery’s usage history, aiding in understanding long-term degradation trends.
- **RUL (Remaining Useful Life):**
  - **Description:** Target variable indicating the remaining cycles or time until the battery is considered no longer usable.
  - **Importance:** This is the variable to be predicted using the other features. It directly measures the health and longevity of the battery based on historical data.

## 5.2 Importance of the Variables

- **Cycle Index:** Tracks the chronological order of cycles, essential for time-series analysis and understanding degradation trends.
- **Discharge Time (F1):** Indicates how long the battery is actively discharging, impacting its wear and degradation rate.
- **Time at 4.15V (F2):** Reflects charging profiles and states during specific voltage thresholds, influencing battery longevity.
- **Time Constant Current (F3):** Provides insights into charging behavior, affecting battery health and performance over cycles.
- **Decrement 3.6-3.4V (F4):** Indicates discharge profile and capacity degradation over cycles.

- **Max. Voltage Discharge (F5):** Reflects peak performance and capacity during discharge, crucial for RUL estimation.
- **Min. Voltage Charge (F6):** Indicates lowest voltage point during charging, affecting charging efficiency and battery health.
- **Charging Time (F7):** Reflects overall charging process, influencing degradation and lifespan.
- **Total time:** Cumulative measure of battery usage history, aiding in understanding long-term degradation trends.
- **RUL:** An objective variable indicating remaining service life, important for measuring battery health and estimating service life.

### 5.3 Data Processing Technique

To prepare this dataset for ML model training, several preprocessing steps would typically be applied:

- **Data Cleaning:** Remove or impute missing values, correct erroneous data points, and handle outliers to ensure data quality.
- **Normalization:** Scale numerical features to a standard range (e.g., 0 to 1) to avoid dominance of certain features due to their magnitude.
- **Feature Engineering:** To enhance predictive power, create new features, or transform existing ones (e.g., calculate charge/discharge rates, derive cumulative degradation indicators).
- **Time Alignment:** Align time-series data to ensure consistency in timestamps and handle irregular sampling intervals.
- **Feature Selection:** Based on domain knowledge and statistical analysis, identify and select the most relevant features that significantly influence RUL prediction.

By leveraging these variables and applying robust data preprocessing techniques, ML models can effectively learn from historical battery data to predict Remaining Useful Life (RUL) accurately. This capability supports proactive maintenance strategies, optimizing battery performance, longevity, and cost-effectiveness in various applications.

# Chapter 6

## Implementation

The implementation involves predicting the Remaining Useful Life (RUL) of the batteries using various machine learning models. The models were trained and evaluated using a dataset containing features related to battery performance and degradation.

### 6.1 RUL and EDA Prediction

In this section, we use various Python libraries to assist in the analysis and prediction of Remaining Useful Life (RUL). The libraries imported are as follows:

```
import pandas as pd
import numpy as np
import matplotlib.pyplot as plt
import seaborn as sns
import plotly as pl
import warnings
from pandas_profiling import ProfileReport
from sklearn.model_selection import train_test_split
from sklearn.preprocessing import RobustScaler
from sklearn.model_selection import GridSearchCV
from sklearn.linear_model import LinearRegression
from sklearn.metrics import mean_squared_error
from sklearn.tree import DecisionTreeRegressor
from sklearn.ensemble import RandomForestRegressor
from sklearn.pipeline import make_pipeline
from sklearn.svm import SVR
from sklearn.model_selection import cross_val_score
```

```

from sklearn.metrics import classification_report, confusion_matrix
from sklearn.neighbors import KNeighborsRegressor
from sklearn.svm import SVR
from sklearn.tree import plot_tree
from sklearn.preprocessing import StandardScaler
import seaborn as sns
from sklearn.metrics import roc_curve, auc

```

These are the various types of Python libraries imported for various purposes such as:

- pandas: For data manipulation and analysis.
- numpy: For scientific computing and working with arrays.
- matplotlib: For data visualization.
- seaborn: For data visualization with a focus on statistical graphics.
- plotly: For interactive data visualization.
- warnings: For handling warnings.
- pandas\_profiling: For generating a report on the data.
- train\_test\_split: For splitting data into training and testing sets.
- RobustScaler: For scaling features.
- GridSearchCV: For performing grid search on hyperparameters.

**I/P**

```

from google.colab import files # Import the 'files' object
from google.colab

```

This Command is used to import files from your local device

**I/P**

```

data = pd.read_csv("Battery_RUL.csv")

```

pd.read\_csv() is a method provided by pandas to read data from a CSV file into a pandas DataFrame. Here, we are reading a CSV file named "Battery\_RUL.csv" using this method and storing the resulting DataFrame in a variable called data. This command assumes that the CSV file is in the same directory as our script. If the file is located elsewhere, you can specify the full path to the file instead of just the file name.

I/P

```
data.head()
```

`data.head()` is a method to display the first few rows of the DataFrame `data`. By default, it displays the first five rows of the DataFrame, but we can specify the number of rows we want to display by passing an integer argument to the method. This method is useful for quickly inspecting the contents of our DataFrame and making sure that the data has been loaded correctly. It can also help us to identify any potential issues with our data such as missing values or incorrect data types.

Table 6.1: Battery Data

Cycle Index	Discharge Time (s)	Decrement	Max. Voltage (V)	Min. Voltage (V)	Time at 4.15V (s)	Time constant current (s)	Charging time (s)	RUL
0	2595.30	3.6-3.4	1151.49	3.670	1112.99	5460.00	6.0	1080.32
1	7408.64	4.0-3.8	1172.51	3.674	5508.99	10777.82	10500.35	10500.35
2	7393.76	4.2-4.0	1190.11	3.680	5508.99	10322.81	10420.38	10322.81
3	6.0	4.4-4.2	1200.50	3.690	5502.02	10420.38	10420.38	10420.38
4	1080.32	4.6-4.4	1205.33	3.692	5480.99	10500.35	10322.81	10322.81

I/P

```
data.shape
```

`data.shape` is a command that returns the dimensions of the DataFrame `data`. It returns a tuple of two elements where the first element is the number of rows in the DataFrame and the second element is the number of columns.

O/P

```
(15064, 9)
```

I/P

```
def get_metadata(dataframe):  
    '''  
    A function that fetches all the Metadata Information about  
    the Dataframe  
    This function can be reused for all Pandas Dataframe  
    '''  
    print("\nBASIC INFORMATION\n")  
    print(dataframe.info())  
    print("=" * 100)  
    print("STATISTICAL INFORMATION")  
    display(dataframe.describe(include='all'))  
    print("=" * 100)
```



```

print("Dataframe Shape\n", dataframe.shape)
print("=" * 100)
print("Number of Duplicate Rows\n",
      dataframe.duplicated().sum())
print("=" * 100)
print("NULL Values Check")
print(dataframe.isnull().sum())
print("=" * 100)

```

This is a Python function called `get_metadata` that takes a pandas DataFrame as an argument. The purpose of this function is to return various metadata information about the input DataFrame.

Here's a breakdown of what this function does:

- The function first prints the string "BASIC INFORMATION" and then prints the result of `dataframe.info()`. This method provides basic information about the DataFrame such as the number of non-null values for each column, the data type of each column, and the memory usage of the DataFrame.
- The function then prints a line of equal signs (=) to visually separate the output.
- Next, the function prints the string "STATISTICAL INFORMATION" and then uses the `display()` method to print the result of `dataframe.describe(include='all')`. This method provides various statistical information about the DataFrame such as the count, mean, standard deviation, minimum, and maximum values for each column.
- The function then prints another line of equal signs.
- Next, the function prints the string "Dataframe Shape" followed by the shape of the DataFrame using the `shape` attribute of the DataFrame object.
- The function then prints another line of equal signs.
- Next, the function prints the string "Number of Duplicate Rows" followed by the number of duplicate rows in the DataFrame using the `duplicated().sum()` method.
- The function then prints another line of equal signs.

- Finally, the function prints the string "NULL Values Check" followed by the number of null values in each column using the `isnull().sum()` method.

## I/P

```
get_metadata(data)
```

The command `get_metadata(data)` will execute the `get_metadata` function on the DataFrame `data`.

This will print various metadata information about the DataFrame `data`, including basic information, statistical information, the shape of the DataFrame, the number of duplicate rows, and the number of null values in each column.

This function can be useful for quickly getting an overview of the contents of a DataFrame and identifying any potential issues with the data.

## BASIC INFORMATION

```
<class 'pandas.core.frame.DataFrame'>
```

```
RangeIndex: 15064 entries, 0 to 15063
```

```
Data columns (total 9 columns):
```

#	Column	Non-Null Count	Dtype
0	Cycle_Index	15064 non-null	float64
1	Discharge Time (s)	15064 non-null	float64
2	Decrement 3.6-3.4V (s)	15064 non-null	float64
3	Max. Voltage Dischar. (V)	15064 non-null	float64
4	Min. Voltage Charg. (V)	15064 non-null	float64
5	Time at 4.15V (s)	15064 non-null	float64
6	Time constant current (s)	15064 non-null	float64
7	Charging time (s)	15064 non-null	float64
8	RUL	15064 non-null	int64

```
dtypes: float64(8), int64(1)
```

```
memory usage: 1.0 MB
```

## STATISTICAL INFORMATION

	Cycle_Index	Discharge Time (s)	Decrement 3.6-3.4V (s)	Max. Voltage Dischar. (V)	Min. Voltage Charg. (V)	Time at 4.15V (s)	Time constant current (s)	Charging time (s)	RUL
Count	15064	15064	15064	15064	15064	15064	15064	15064	15064
Mean	556.15500	4581.27306	1239.78467	3.908176	3.577904	3768.33617	5461.26670	10066.49620	554.19417
Std	322.37848	33144.01207	15039.58927	0.091003	0.123695	9129.55248	25155.84520	26415.35412	322.43451
Min	1.00000	8.69000	3976.90900	3.04300	3.02200	113.58400	5.98000	5.98000	0.00000
25%	271.00000	1169.31000	319.60000	3.84600	3.48800	1828.84179	2564.31000	7841.92250	277.00000
50%	560.00000	1557.25000	439.23947	3.90600	3.57400	2930.20350	3824.26000	8320.41500	551.00000
75%	833.00000	1908.00000	600.00000	3.97200	3.66300	4088.32650	5012.35000	8763.28500	839.00000
Max	1134.00000	958320.37000	406703.76800	4.36300	4.37900	245101.11700	880728.10000	880728.10000	1133.00000

## Dataframe Shape

```
(15064, 9)
```

## Number of Duplicate Rows

0

## NULL Values Check

Cycle_Index	0
Discharge Time (s)	0
Decrement 3.6-3.4V (s)	0
Max. Voltage Dischar. (V)	0
Min. Voltage Charg. (V)	0
Time at 4.15V (s)	0
Time constant current (s)	0
Charging time (s)	0
RUL	0

dtype: int64

## I/P

```
profile = ProfileReport(data)
profile
```

The command `profile = ProfileReport(data)` will create a `ProfileReport` object for the DataFrame `data` using the pandas-profiling library. This object will contain a comprehensive report of various statistics and visualizations for the DataFrame, including basic information, variable types, descriptive statistics, correlations, missing values, and much more. You can then view the report by simply calling the `profile` object.

## I/P

```
profile = ProfileReport(data)
profile
```

The command `profile = ProfileReport(data)` will create a `ProfileReport` object for the DataFrame `data` using the pandas-profiling library. This object will contain a comprehensive report of various statistics and visualizations for the DataFrame, including basic information, variable types, descriptive statistics, correlations, missing values, and much more. You can then view the report by simply calling the `profile` object.

O/P

Summarize dataset: 100%  99/99 [00:23<00:00, 2.25it/s, Completed]

Generate report structure: 100%  1/1 [00:06<00:00, 6.95s/it]

Render HTML: 100%  1/1 [00:02<00:00, 2.98s/it]

## OVERVIEW

### Dataset statistics

<b>Number of variables</b>	<b>9</b>
<b>Number of observations</b>	<b>15064</b>
<b>Missing cells</b>	<b>0</b>
<b>Missing cells (%)</b>	<b>0.0%</b>
<b>Duplicate rows</b>	<b>0</b>
<b>Duplicate rows (%)</b>	<b>0.0%</b>
<b>Total size in memory</b>	<b>1.0 MiB</b>
<b>Average record size in memory</b>	<b>72.0 B</b>

## 6.2 Exploratory Data Analysis (EDA)

Exploratory Data Analysis (EDA) was conducted to understand the relationships between different features and the target variable (RUL). Various Python libraries such as matplotlib and seaborn were utilized to create visualizations. The following visualizations and analyses were performed:

I/P

```
plt.title('RUL, Remaining Useful Time Histogram')
sns.histplot(data.RUL, kde=True)
plt.show()
```

### 6.2.1 Histogram of RUL

The code provided uses Python libraries `matplotlib` and `seaborn` to create a histogram of the RUL (Remaining Useful Life) column in the `data` DataFrame. Here's what each line of code does:

- `plt.title('RUL, Remaining Useful Time Histogram')`: Sets the title of the plot to "RUL, Remaining Useful Time Histogram" using `plt.title()` from `matplotlib.pyplot`.
- `sns.histplot(data.RUL, kde=True)`: Creates a histogram of the RUL column in the `data` using `sns.histplot()` from `seaborn`. The argument `kde=True` adds a kernel density estimate (KDE) plot to the histogram.
- `plt.show()`: Displays the plot using `plt.show()` from `matplotlib.pyplot`.

Overall, this code will create a histogram with a KDE plot overlaid on top to show the distribution of the RUL column in the `data` DataFrame.

### 6.2.2 Correlation Heatmap

I/P

```
plt.figure(figsize = (15,8))
sns.heatmap(data.corr(),annot=True, cbar=False,
            cmap='Blues', fmt='.1f')
```

The code provided uses Python libraries `matplotlib` and `seaborn` to create a correlation heatmap for the `data` DataFrame. Here's what each line of code does:

- `plt.figure(figsize = (15,8))`: Sets the size of the plot to 15 inches wide and 8 inches tall using `plt.figure()` from `matplotlib.pyplot`.
- `sns.heatmap(data.corr(), annot=True, cbar=False, cmap='Blues', fmt='.1f')`: Creates a correlation heatmap for the `data` DataFrame using `sns.heatmap()` from `seaborn`. The argument `data.corr()` calculates the correlation between all pairs of columns in the DataFrame.

Overall, this code will create a heatmap to visualize the correlation between different features in the `data` DataFrame.

```
I/P data=data.drop(['Cycle_Index',
                    'Discharge Time (s)',
                    'Decrement 3.6-3.4V (s)',
                    'Time constant current (s)',
                    'Charging time (s)'],axis=1)
```

### 6.2.3 Scatter Plot: Max Voltage Discharge vs. RUL

```
I/P plt.figure(figsize=(10, 6))
plt.scatter(data['Max. Voltage Dischar. (V)'],
            data['RUL'], alpha=0.5)
plt.title('Scatter Plot: Max. Voltage
          Discharge vs. Remaining Useful Lifetime')
plt.xlabel('Max. Voltage Dischar. (V)')
plt.ylabel('Remaining Useful Lifetime (RUL)')
plt.grid(True)
plt.show()
```

The code provided creates a scatter plot of the Max. Voltage Discharge (V) column against the RUL column in the `data` DataFrame. Here's what each line of code does:

- `plt.figure(figsize=(10, 6))`: Sets the size of the plot to 10 inches wide and 6 inches tall.
- `plt.scatter(data['Max. Voltage Dischar. (V)'], data['RUL'], alpha=0.5)`: Creates a scatter plot with the Max. Voltage Discharge (V) on the x-axis and RUL on the y-axis. The `alpha=0.5` argument sets the transparency of the points.
- `plt.title('Scatter Plot: Max. Voltage Discharge vs. Remaining Useful Lifetime')`: Sets the title of the plot.
- `plt.xlabel('Max. Voltage Dischar. (V)')`: Sets the x-axis label.
- `plt.ylabel('Remaining Useful Lifetime (RUL)')`: Sets the y-axis label.
- `plt.grid(True)`: Adds a grid to the plot.
- `plt.show()`: Displays the plot.

This code will create a scatter plot to visualize the relationship between Max. Voltage Discharge (V) and RUL.

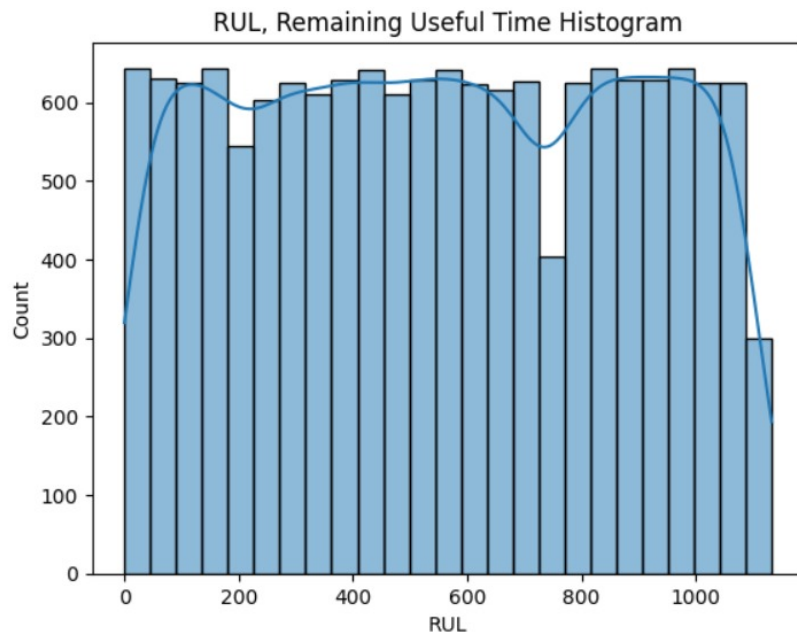


Figure 6.1: RUL Histogram

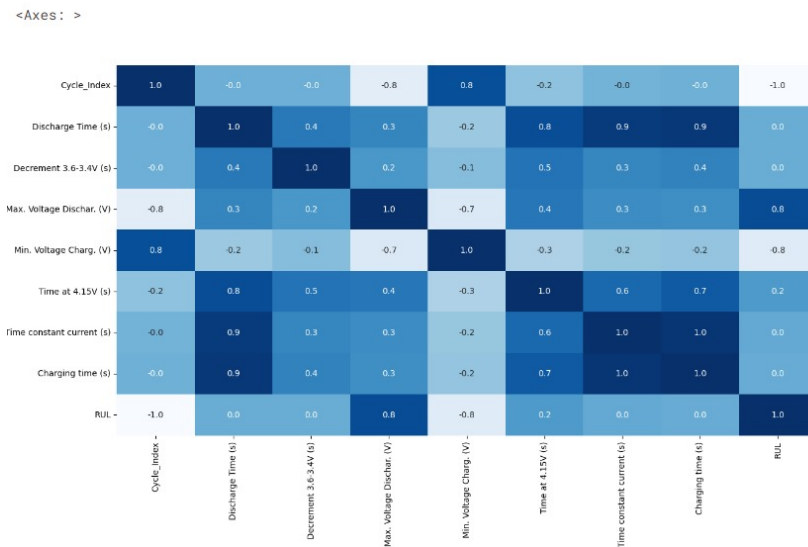


Figure 6.2: Correlation Heatmap for the Data DataFrame

## 6.2.4 Scatter Plot: Min Voltage Charge vs. RUL

Similarly, the code provided creates a scatter plot of the Min. Voltage Charge (V) column against the RUL column in the data DataFrame. Here's what each line of code does:

- `plt.figure(figsize=(10, 6))`: Sets the size of the plot to 10 inches wide and 6 inches tall.
- `plt.scatter(data['Min. Voltage Charge. (V)'], data['RUL'], alpha=0.5)`: Creates a scatter plot with the Min. Voltage Charge (V) on the x-axis and RUL on the y-axis. The `alpha=0.5` argument sets the transparency of the points.
- `plt.title('Scatter Plot: Min. Voltage Charge vs. Remaining Useful Lifetime')`: Sets the title of the plot.
- `plt.xlabel('Min. Voltage Charge. (V)')`: Sets the x-axis label.
- `plt.ylabel('Remaining Useful Lifetime (RUL)')`: Sets the y-axis label.
- `plt.grid(True)`: Adds a grid to the plot.
- `plt.show()`: Displays the plot.

This code will create a scatter plot to visualize the relationship between Min. Voltage Charge (V) and RUL.

**I/P**

```
plt.figure(figsize=(10, 6))
plt.scatter(data['Min. Voltage Charge. (V)'],
            data['RUL'], alpha=0.5)
plt.title('Scatter Plot: Min. Voltage
          Charge vs. Remaining Useful Lifetime')
plt.xlabel('Min. Voltage Charge. (V)')
plt.ylabel('Remaining Useful Lifetime (RUL)')
plt.grid(True)
plt.show()
```



### 6.2.5 Scatter Plot: Time at 4.15V Vs RUL

I/P

```
plt.figure(figsize=(10, 6))
plt.scatter(data['Time at 4.15V (s)'],
            data['RUL'], alpha=0.5)
plt.title('Scatter Plot: Time at 4.15V vs. Remaining
          Useful Lifetime')
plt.xlabel('Time at 4.15V (s)')
plt.ylabel('Remaining Useful Lifetime (RUL)')
plt.grid(True)
plt.show()
```

## 6.3 Mathematical method's

### 6.3.1 Calculation of $R^2$ Score

$R^2$  (R-squared) is a metric used to evaluate the performance of linear regression models. It essentially tells you how well the regression line fits the actual data points.

#### Formula

The  $R^2$  value is calculated using the following formula:

$$R^2 = 1 - \frac{SSR}{SST}$$

Where:

- $R^2$ : The R-squared value (between 0 and 1)
- SSR (Sum of Squared Residuals): The total squared difference between the predicted values by the model and the actual values.
- SST (Total Sum of Squares): The total squared difference between the actual values and the mean of the actual values.

#### Interpretation

- A higher  $R^2$  value (closer to 1) indicates a better fit. The model explains a larger proportion of the variance in the data.

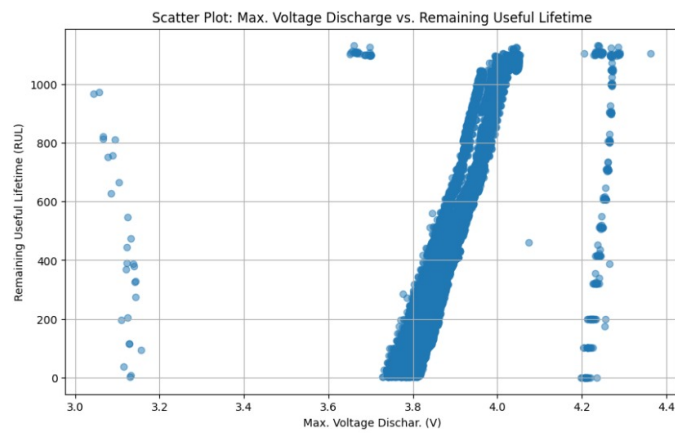


Figure 6.3: Scatter Plot: Max Voltage Discharge Vs RUL

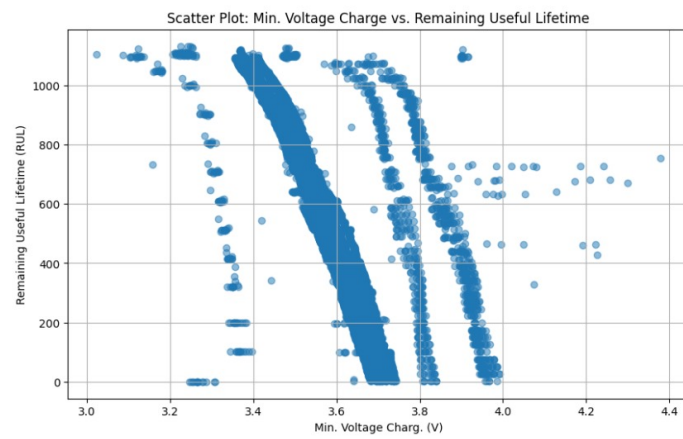


Figure 6.4: Scatter Plot: Min Voltage Charge Vs RUL

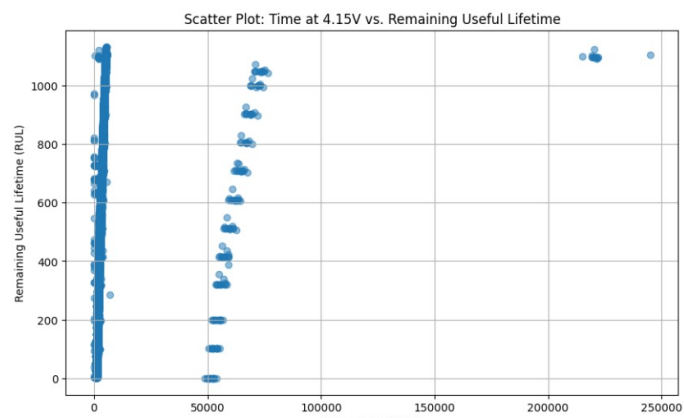


Figure 6.5: Scatter Plot: Time at 4.15V Vs RUL

- An  $R^2$  of 1 means a perfect fit, where the regression line exactly matches all the data points.
- An  $R^2$  of 0 means the model doesn't explain any of the variance in the data, and the regression line is just a horizontal line at the mean of the actual values.

### Steps to Calculate $R^2$

1. Calculate the mean of the actual values ( $\bar{y}$ ):

$$\bar{y} = \frac{1}{n} \sum_{i=1}^n y_i$$

2. For each data point:

- Calculate the difference between the actual value ( $y_i$ ) and the mean ( $\bar{y}$ ). Square this difference:

$$(y_i - \bar{y})^2$$

3. Sum the squared differences from step 2. This is SST:

$$SST = \sum_{i=1}^n (y_i - \bar{y})^2$$

4. For each data point:

- Calculate the difference between the predicted value ( $\hat{y}_i$ ) by the model and the actual value ( $y_i$ ). Square this difference:

$$(\hat{y}_i - y_i)^2$$

5. Sum the squared differences from step 4. This is SSR:

$$SSR = \sum_{i=1}^n (\hat{y}_i - y_i)^2$$

6. Apply the formula:

$$R^2 = 1 - \frac{SSR}{SST}$$

### 6.3.2 CALCULATION OF ROOT MEAN SQUARE

The Root Mean Square (RMS) can be calculated for a set of numbers or a continuous function. Here, we will focus on calculating it for a set of numbers.

#### Formula

The RMS value is calculated using the following formula:

$$\text{RMS} = \sqrt{\frac{x_1^2 + x_2^2 + \cdots + x_n^2}{N}}$$

Where:

- RMS: The Root Mean Square value
- $x_1$  to  $x_n$ : The individual numbers in your data set
- $N$ : The total number of numbers in your data set

#### Steps to Calculate RMS

1. Square each number in your data set. This means multiplying each number by itself:

$$x_i^2$$

2. Add up the squared values from step 1:

$$\text{Sum} = x_1^2 + x_2^2 + \cdots + x_n^2$$

3. Divide the sum from step 2 by the total number of numbers ( $N$ ) in your data set. This gives you the average of the squared values:

$$\text{Average} = \frac{\text{Sum}}{N}$$

4. Take the square root of the result from step 3. This is the Root Mean Square (RMS) of your data set:

$$\text{RMS} = \sqrt{\text{Average}}$$

### 6.3.3 CALCULATION OF MEAN SQUARED ERROR

Mean Squared Error (MSE) is a metric used to evaluate how well predictions from a model match the actual values. It provides a measure of the average squared difference between predicted and actual values.

## Formula

The MSE value is calculated using the following formula:

$$\text{MSE} = \frac{1}{n} \sum_{i=1}^n (y_i - \hat{y}_i)^2$$

Where:

- MSE: The Mean Squared Error value
- $\sum$ : Summation symbol (indicates summing over all data points)
- $y_i$ : The actual value for the  $i$ -th data point
- $\hat{y}_i$ : The predicted value for the  $i$ -th data point by the model
- $n$ : The total number of data points

## Steps to Calculate MSE

1. For each data point:
  - Calculate the difference between the actual value ( $y_i$ ) and the predicted value ( $\hat{y}_i$ ) by the model:

$$d_i = y_i - \hat{y}_i$$

- Square this difference:

$$(d_i)^2$$

2. Sum the squared differences from step 1 for all data points:

$$\text{Sum} = \sum_{i=1}^n (y_i - \hat{y}_i)^2$$

3. Divide the sum by the total number of data points ( $n$ ). This gives you the average squared difference between predictions and actual values:  
 $\text{MSE} = \frac{\text{Sum}}{n}$

## 6.4 Modelling and Prediction

The code provided is creating a feature matrix `X` and target vector `y` from the `data` DataFrame, where `X` contains all columns except for the `RUL` column and `y` contains only the `RUL` column. Here's what each line of code does:

- `X = data.drop(['RUL'], axis=1)`: Creates the feature matrix `X` by dropping the `RUL` column from the `data` DataFrame using `drop()` with `axis=1`.
- `y = data['RUL']`: Creates the target vector `y` by selecting only the `RUL` column from the `data` DataFrame.

Overall, this code will separate the features and target variable from the `data` DataFrame, which can be used for machine learning modeling or other analysis.

**I/P**

```
X = data.drop(['RUL'], axis=1)
y = data['RUL']
```

**I/P**

```
X_train, X_test, y_train, y_test = train_test_split
(X, y, test_size=0.33, random_state=2023, shuffle=True)
```

I/P

```
class Pipeline:
    def __init__(self, scalar):
        self.scalar = scalar

    def fit(self, X, y):
        X = self.scalar.fit_transform(X)
        return X, y

    def transform(self, X, y):
        X = self.scalar.transform(X)
        return X, y
```

The code provided defines a custom Pipeline class with methods for fitting and transforming data.

This class can be used to standardize or normalize the feature matrix  $X$  while leaving the target vector  $y$  unchanged.

### 6.4.1 Linear Regression

I/P

```
# Fit the model to the training data
linear_regression.fit(X_train, y_train)

# Make predictions on the training set
y_train_pred = linear_regression.predict(X_train)

# Make predictions on the test set
y_test_pred = linear_regression.predict(X_test)

# Evaluate the performance on the training set
train_score = linear_regression.score(X_train, y_train)
print("Score on Training Set: {:.2%}".format(train_score))

# Calculate and print the R^2 score on the test set
test_score = linear_regression.score(X_test, y_test)
print("Score on Test Set: {:.2%}".format(test_score))
```

O/P

```
Score on Training Set: 72.38%
Score on Test Set: 75.64%
```

## 6.4.2 K-Nearest Neighbors

I/P

```
# Fit the model to the training data
knn.fit(X_train, y_train)

# Make predictions on the training set
y_train_pred = knn.predict(X_train)

model = KNeighborsRegressor(n_neighbors=3).fit(X_train,y_train)

# Make predictions on the test set
y_test_pred = knn.predict(X_test)
y_predictions = model.predict(X_test)

# Calculate and print the RMSE on the test set
rmse = np.sqrt(mean_squared_error(y_test, y_test_pred))
print('training score: ' + "{:.2%}".format
(model.score(X_train, y_train)))
print('test score: ' + "{:.2%}".format(model.score(X_test,y_test)))
print('Root Mean Squared Error: ' + "{:.2f}".format
(mean_squared_error(y_test,y_predictions,squared=False)))
```

O/P

```
Score on Training Set: 72.38%
Score on Test Set: 75.64%
Root Mean Squared Error: 29.95
```

## 6.4.3 Support Vector Machine

I/P

```
svm_regressor = SVR(kernel='linear', C=1.0)

# Fit the model to the training data
svm_regressor.fit(X_train, y_train)

# Make predictions on the testing set
y_pred = svm_regressor.predict(X_test)

# Evaluate the model using Mean Squared Error (MSE)
```



```

mse = mean_squared_error(y_test, y_pred)
print(f'Mean Squared Error: {mse}')

# Evaluate the performance on the training set
train_score = svm_regressor.score(X_train, y_train)
print("Score on Training Set: {:.2%}".format(train_score))

# Calculate and print the R^2 score on the test set
test_score = svm_regressor.score(X_test, y_test)
print("Score on Test Set: {:.2%}".format(test_score))

# Visualize the predicted vs actual values
# plt.scatter(y_test, y_pred)
# plt.xlabel('Actual Values')
# plt.ylabel('Predicted Values')

```

**O/P**

```

Mean Squared Error: 28214.572819922752
Score on Training Set: 66.07%
Score on Test Set: 72.72%

```

#### 6.4.4 Decision Tree

**I/P**

```

# Create a decision tree regressor model
decision_tree = DecisionTreeRegressor()

# Define the hyperparameter grid
param_grid = {
    'max_depth': [None, 5, 10, 15],
    'min_samples_split': [2, 5, 10],
    'min_samples_leaf': [1, 2, 4]
}

# Create the GridSearchCV object
grid_search = GridSearchCV(estimator=decision_tree,
                           param_grid=param_grid,
                           scoring='neg_mean_squared_error',
                           cv=5)

```

```

# Fit the model to the training data
grid_search.fit(X_train, y_train)

# Print the best parameters found by the grid search
print("Best Parameters: ", grid_search.best_params_)

# Get the best model
best_model1 = grid_search.best_estimator_

# Make predictions on the test set
y_pred = best_model1.predict(X_test)

# Evaluate the performance of the best model
mse = mean_squared_error(y_test, y_pred)
print("Mean Squared Error on Test Set: ", mse)

train_score = best_model1.score(X_train, y_train)
print("Score on Training Set: {:.2%}".format(train_score))

```

**O/P**

```

Best Parameters:  {'max_depth': None,
                  'min_samples_leaf': 4, 'min_samples_split': 5}
Mean Squared Error on Test Set:  1390.199771972764
Score on Training Set: 99.56%
Score on Test Set: 98.66%

```

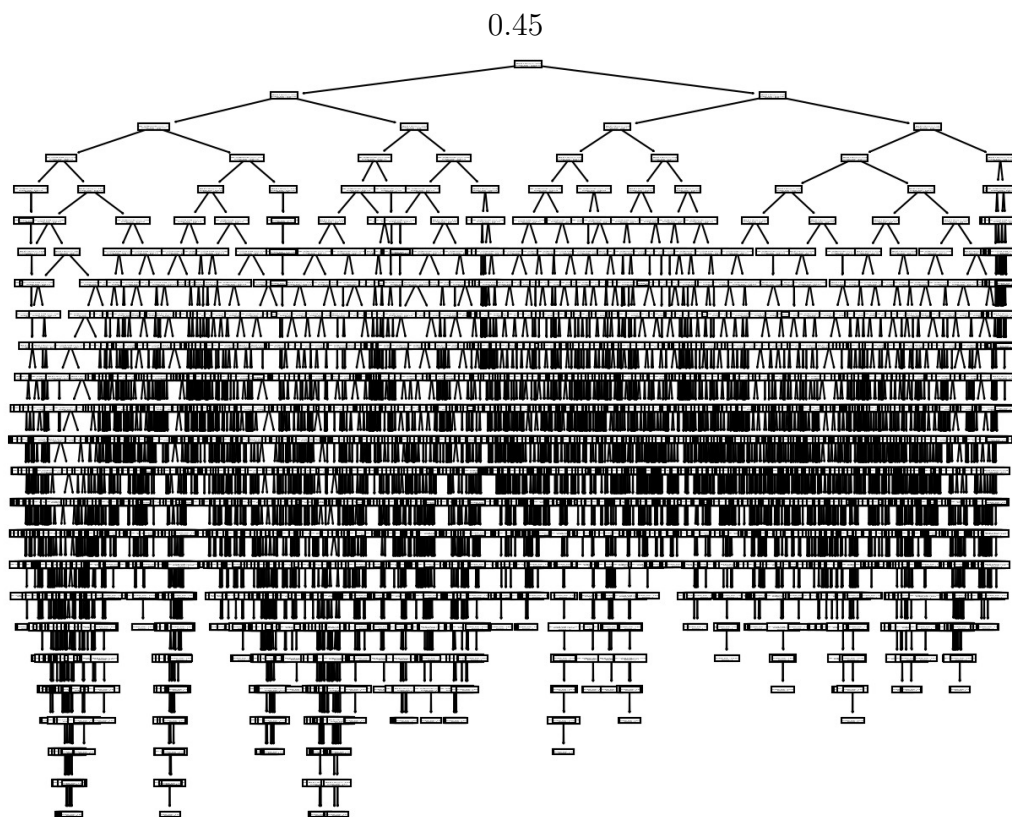


Figure 6.6: 1 Decision Tree Plotting

### 6.4.5 Random Forest

I/P

```
random_forest = RandomForestRegressor()

# Define the hyperparameter grid
param_grid = {'n_estimators': [50, 100, 150],
              'max_depth': [None, 5, 10, 15],
              'min_samples_split': [2, 5, 10],
              'min_samples_leaf': [1, 2, 4] }

# Create the GridSearchCV object
grid_search = GridSearchCV(estimator=random_forest,
                           param_grid=param_grid,
                           scoring='r2', cv=5)
```

```

# Fit the model to the training data
grid_search.fit(X_train, y_train)

# Print the best parameters found by the grid search
print("Best Parameters: ", grid_search.best_params_)

# Get the best model
best_model = grid_search.best_estimator_

# Calculate and print the R^2 score on the training set
train_score = best_model.score(X_train, y_train)
print("R^2 Score on Training Set: {:.2%}".format(train_score))

# Calculate and print the R^2 score on the test set
test_score = best_model.score(X_test, y_test)
print("R^2 Score on Test Set: {:.2%}".format(test_score))

```

O/P

```

Best Parameters:  {'max_depth': None, 'min_samples_leaf': 1,
                  'min_samples_split': 2,
                  'n_estimators': 150}
R^2 Score on Training Set: 99.86%
R^2 Score on Test Set: 99.16%

```

## 6.5 Best Model

I/P

```

def find_best_model(X, y):
    algorithms = {
        'Linear Regression': LinearRegression(),
        'Decision Tree': DecisionTreeRegressor(),
        'Random Forest': RandomForestRegressor(),
        'Support Vector Machine': make_pipeline(RobustScaler(), SVR()) }

    best_model_name = None
    best_score = float('-inf')

    for name, model in algorithms.items():
        # Using cross_val_score for simplicity
        scores = cross_val_score(model, X, y, cv=5, scoring='r2')

```

```

# Take the mean of cross-validation scores as the
    performance metric
mean_score = scores.mean()

print(f"{name} - R^2 Score: {mean_score:.4f}")

# Update the best model if the current one has a higher score
if mean_score > best_score:
    best_score = mean_score
    best_model_name = name

print(f"\nBest Model: {best_model_name} with
    R^2 Score: {best_score:.4f}")

# Assuming X is your feature matrix and y is your target variable
# Replace X and y with your actual feature matrix
and target variable find_best_model(X, y)

```

O/P

```

Linear Regression - R^2 Score: 0.7156
Decision Tree - R^2 Score: 0.9473
Random Forest - R^2 Score: 0.9608
Support Vector Machine - R^2 Score: 0.9190

Best Model: Random Forest with R^2 Score: 0.9608

```

# Chapter 7

## Conclusion

The primary objective of this report was to estimate the Remaining Useful Life (RUL) of Li-Ion batteries using various machine learning models, including Linear Regression, K-Nearest Neighbors (KNN), Support Vector Machine (SVM), Decision Tree, and Random Forest. The analysis determined that the Random Forest model outperformed the others, achieving the highest  $R^2$  score of 0.9608, indicating its superior accuracy and robustness in capturing complex interactions and non-linear relationships between features. Critical variables such as discharge time, time at specific voltages, charging time, and cumulative cycle count were essential for the predictions. Accurate RUL prediction using the Random Forest model allows for proactive maintenance, cost savings, improved safety, and reduced environmental impact by maximizing battery life and preventing premature disposal. The findings highlight the potential of machine learning in optimizing battery management and advancing its applications across various industries.

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