Documentation for ExeFilter version 1.1.2

1 Foreword

ExeFilter is a content analysis tool and framework which is designed to filter files and e-mails, in order to only accept controlled, static and harmless contents. The main goal is to improve protection of sensitive information systems by enforcing a strict policy based on a white list of file formats. It also provides filtering features to detect or remove all active content in files (binary executable files, scripts, macros, embedded objects, exploits, ...).

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2 License

ExeFilter is released as open-source software under the CeCILL v2 license:

ExeFilter is a software to filter files, e-mails or web pages, in order to remove any active content and protect sensitive systems.

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3 Download

Here are the required files to install and use ExeFilter.

3.1 Prerequisites

3.1.1 Operating system

ExeFilter has been initially developed on Windows. It is also compatible with other systems such as Linux, Solaris and MacOSX. However some features are not available or equivalent on all systems yet.

3.1.2 Python interpreter

A recent Python interpreter is necessary: **Python version 2.6 or 2.5 are recommended**. Python version 3.x is not supported yet, because it brings significant changes in the language.

- For most systems, Python is available on http://www.python.org
- For Windows, there is also an alternative version ActivePython which includes a few additional modules such as pywin32: http://www.activestate.com/Products/activepython

3.1.3 Python Win32 Extensions (pywin32)

On Windows, the pywin32 module is required: http://sourceforge.net/projects/pywin32/

3.2 ExeFilter

The official website of the ExeFilter project is http://www.decalage.info/exefilter.

3.3 Additional tools

ExeFilter features may be extended by installing and configuring additional tools:

3.3.1 Ruby

The Ruby interpreter is required when using some optional features such as the Origami engine in the PDF filter. **Ruby 1.8.x** is recommended: http://www.ruby-lang.org/en/downloads/

3.3.2 ClamAV Antivirus and PyClamd

ExeFilter may use the ClamAV antivirus to scan all files. For this ClamAV must be installed and configured in "daemon" mode (clamd), and then the PyClamd module must be installed:

- ClamAV: http://www.clamav.net/
- PyClamd: http://www.decalage.info/python/pyclamd (This page also provides a few instructions to configure clamd on Windows)

1.1.1 F-Prot Antivirus

F-Prot antivirus may be used to scan files, and it can also be used to improve VBA macros removal from MS Office documents. Currently ExeFilter only supports F-Prot v3 and v6 on Windows to scan files, and F-Prot v3 to remove macros.

• http://www.f-prot.com/

4 Installation

ExeFilter is provided as a Zip archive, which must simply be extracted in a directory.

If ExeFilter is to be imported as a module in other Python scripts, it is useful to store its directory in the "site-packages" folder of the Python interpreter. Here are a few typical locations for that folder:

- On Windows: c:\Python25\Lib\site-packages
- On Linux: /usr/lib/python2.5/site-packages
- On MacOSX: /Library/Python/2.5/site-packages

Important note: as of version 1.1.2, using "setup.py install" to install ExeFilter in the site-packages directory is not yet supported.

4.1 First use

To test ExeFilter, open a shell (on Unix) or a CMD.exe window (on Windows), and simply launch the script without any argument to display the banner and list available options:

• On Windows: ExeFilter.py

On Unix: python ExeFilter.py

4.2 Unicode support - Default encoding issues

To avoid potential runtime errors due to Unicode and encodings, it may be useful to create or update a file named **sitecustomize.py** in the site-packages folder with the following lines in order to set the default encoding:

```
import sys
sys.setdefaultencoding('latin_1')
```

As of version 1.1.2, ExeFilter uses a known "hack" to change the default encoding at runtime, so that you do not have to create the sitecustomize.py file.

Note: In the current ExeFilter version, the support for Unicode filenames only works on Windows. Significant changes will be necessary in future versions to fix it on other platforms.

5 Usage

ExeFilter may be used in two ways:

- As a tool from the command line (shell).
- As a Python package imported into another Python application.

5.1 Using ExeFilter as a command-line tool

Launched as a script from a shell, ExeFilter analyzes and filters a group of files and/or directories and stores the result in a destination directory. Source files and/or directories are specified as arguments (separated by spaces) on the command line, and destination is set using the "-d"option:

```
ExeFilter.py <sources> -d <destination>
```

For example, in order to analyze all files from a CDROM or a USB stick on drive D: (on Windows) and copy a sanitized version in the directory C:\import, use the following command:

```
ExeFilter.py D:\ -d C:\import
```

Here is another example with several sources:

```
ExeFilter.py D:\exefilter\incoming F:\download\test.zip -d D:\exefilter\result
```

5.1.1 A quick demo with sample files

ExeFilter is provided with a few sample files located in the demo files folder.

- 1. Open each file in the **demo_files** folder, to look at active content (javascript, macros, embedded files, etc). Note: Some samples only work on Windows.
- 2. On Windows: simply run DEMO.bat, or type: ExeFilter.py demo files -d demo output
- 3. On Unix/Linux/MacOSX: python ExeFilter.py demo files -d demo output
- 4. Then open each file in **demo output**, and compare results.

5.1.2 Analyzing a single file

Since version 1.1.2, it is possible to analyze a single file, and to provide an output filename using the "-o" option:

```
ExeFilter.py <source file> -o <output file>
```

The output file may be the same as the source file: it will be cleaned in place.

5.1.3 Exit code

When finished, ExeFilter returns an exit code (errorlevel) according to the overall result:

- 0: all analyzed files are clean.
- 1: all analyzed files were blocked.
- 2: some analyzed files were cleaned or blocked.
- 3: an unexpected error happened during the analysis.

This should help the integration of ExeFilter into gateways and filtering products.

5.1.4 Logs

By default ExeFilter creates two log files in the subdirectory "log\journaux" each time it is

launched:

- A security log which contains a summary of analyzed files.
- A debug log which stores more technical details (useful in case of errors).

5.1.5 Reports

By default ExeFilter creates two report files in the subdirectory "log\rapports" which may be useful for the end user to see which files were accepted, cleaned or blocked. Both reports contain the same information, one is HTML and the other is XML.

The HTML report is automatically opened in the default web browser after analysis. This action is optional and may be disabled using the batch mode option.

5.1.6 Batch mode

When ExeFilter is used as filtering tool inside another application such as an e-mail gateway, the batch mode option (-b) must be used to avoid displaying the HTML report after each analysis:

```
ExeFilter.py -b <source file> -o <output file>
```

5.1.7 Archive

ExeFilter may store a copy of each filtered file in an archive directory named "archivage". As of version 1.1.2 this option is disabled by default, and may be enabled in the configuration file.

5.2 Using ExeFilter into Python applications

ExeFilter may be used as a Python package into other applications. It is then possible to use its filtering engine to analyze any protocol involving files or similar content, such as HTTP, SMTP, POP3 or FTP. The current limitation is that ExeFilter can only analyze files stored on disk and not directly in memory yet.

To use ExeFilter as a package, the main function is "transfert()" in the module ExeFilter.py. See the source code for a detailed description of all its parameters.

Here is a minimal script which sanitizes the provided demo files as shown in the previous paragraphs:

```
# import the necessary modules:
import ExeFilter

# call transfert() to analyze and sanitize source dir according to the default policy:
# (note that source is a list, while destination is a single string)
ExeFilter.transfert(['demo_files'], 'demo_output')
```

Here is another sample script showing how to call ExeFilter with several sources and a custom policy described in a configuration file:

```
# import the necessary modules:
import ExeFilter, Politique

# First, create a default policy object:
```

```
pol = Politique.Politique()
# The policy may then be read from a config file (optional):
pol.lire_config('exefilter.cfg')

# list of directories and files to be analyzed:
sources = ['D:\\exefilter\\depot', 'F:\\download\\test.zip']

# destination directory:
dest = 'D:\\exefilter\\result'

# call transfert() to analyze and sanitize source files according to policy:
ExeFilter.transfert(sources, dest, pol=pol)
```

6 Configuration and Policy

ExeFilter is provided with a default configuration which makes it possible to quickly test the tool. However, it is possible (and recommended) to adapt that configuration to specific needs.

ExeFilter's configuration is made of two parts: global parameters for the software, and the filtering policy.

- **Parameters**: general configuration for the software (paths for reports and logs, antivirus engines, etc)
- Policy: allowed file formats, and specific options for each format.

These two parts may be provided in a single configuration file (using the standard <u>INI format</u>), or in two separate files.

6.1 How to create a new configuration file

ExeFilter provides a command-line option "-n" to create a new configuration file with all available parameters and their default values:

```
ExeFilter.py -n <default config.cfg>
```

The created file contains both global parameters and the filtering policy. Section "[ExeFilter]" contains global parameters, while each section "[Filtre_xxx]" contains specific options for the corresponding file format (which defines the filtering policy).

This file may be used as is, or split into two separate files.

6.2 How to use a configuration file

The option "-c" may be used to specify a configuration file when using ExeFilter as a command-line tool. The option "-p" may be used in addition to specify a second configuration file for the policy. (In practice these two options are the same, they are only provided to allow the separation between parameters and policy.)

Usage:

```
ExeFilter.py -c <config.cfg> -p <policy.cfg> <source> -d <destination>
```

Here is an example:

```
ExeFilter.py -c local config.ini -p policy origami.ini demo files -d demo out
```

6.3 Available parameters

The option "-e" may be used to create a HTML file describing all available parameters and their default values:

ExeFilter.py -e <fichier.html>

Here is the current list of parameters:

Parameter Name		Description	Value	Default value
section [ExeFilter]				
antivirus_clamd	Use ClamAV antivirus (clamd) to analyze all files after content filtering. For this, clamd must run as a service on the local host.		False	
antivirus_fpcmd	Use the F-Prot 3 antivirus (fpcmd)	Use the command-line version of the F-Prot 3 antivirus (fpcmd) to scan all files. Warning: this may affect performance significantly.	0	False
antivirus_fpscan	Use the F-Prot 6 antivirus (fpscan)	Use the command-line version of the F-Prot 6 antivirus (fpscan) to scan all files. Warning: this may affect performance significantly.	0	False
archive_after	Archive all files after filtering	To store a copy of each filtered file in an archive directory	0	False
clamd_port	Port of the clamd server	Usually the clamd server listens on port 3310	3310	3310
clamd_serveur	Hostname or IP address of the clamd server	Usually the clamd server runs on the same machine: localhost.	localhost	localhost
fpcmd_executable	Path of the F-Prot 3 executable (fpcmd) Absolute path of the executable executable (fpcmd)		c:\Program Files\FRISK Software\F-PROT Antivirus for Windows\fpcmd.exe	c:\Program Files\FRISK Software\F-PROT Antivirus for Windows\fpcmd.exe
fpscan_executable	(fpscan) executable (fpscan / fpscan.exe) Antivirus for		Files\FRISK Software\F-PROT	c:\Program Files\FRISK Software\F-PROT Antivirus for Windows\fpscan.exe
journal_debug Write a debug log file		The debug log file contains very detailed technical events about content analysis, for troubleshooting in case of unexpected errors.	1	True
journal_securite write a security security		The security log file contains all main security events which happen during content analysis.	1	True
journal_syslog	Send security events which happen during content analysis. This option sends the security log events to a central syslog server. The security log file contains all main security events which happen during content analysis. This option sends the security log events to a central syslog server.		False	
port_syslog	Syslog port (UDP port number)	UDP port number used by the syslog server: usually 514 for a standard syslog server.	514	514

Parameter	Name	Description	Value	Default value
rep_archives	Archived files directory	Directory where all the filtered files are archived	archivage\	archivage\
rep_journaux	Logs directory	Directory where all the log files are written	are log\journaux\ log\journaux\	
rep_rapports	Reports directory	Directory where all the report files are written	log\rapports\	log\rapports\
rep_temp	Temp directory	Directory where all the temporary files are written	temp\	temp\
serveur_syslog	Syslog server (hostname or IP address)	Hostname or IP address of the syslog server to centralize logs.	localhost	localhost
taille_archives	Maximal size for the archive directory (in bytes)	Maximal size of the directory where all archived files are stored.	10000000000	10000000000
taille_temp	Maximal size for the temp directory (in bytes)	Maximal size of the directory where all temporary files are stored.	10000000000	10000000000
section [Filtre_AVI]				
format_autorise	Allowed format	Specifies whether this file format is allowed by the filtering policy or not	1	True
section [Filtre_BMP]				
format_autorise	Allowed format	Specifies whether this file format is allowed by the filtering policy or not	1	True
section [Filtre_Excel]				
detecter_ole_pkg	Detect Package OLE objects	Detect Package OLE objects, which may contain any file or command line.	1	True
format_autorise Allowed format		Specifies whether this file format is allowed by the filtering policy or not	1	True
macros_fpcmd	Use F-Prot 3 to remove VBA macros Use the F-Prot 3 antivirus (fpcmd) to remove all VBA macros from documents. This method is slow but efficient for Word, Excel and Powerpoint.		0	False
macros_fpscan	Use F-Prot 6 to remove VBA macros	Use the F-Prot 6 antivirus (fpscan) to remove all VBA macros from documents. This method is slow but efficient for Word, Excel and Powerpoint.	0	False
macros_win32	Use the Win32 API to remove VBA macros (Windows only)	Use the Win32 API on Windows to remove all VBA macros from documents. This method is fast, but it only covers Word and Excel, and it only works on Windows. This parameter is ignored on other platforms.	1	True
supprimer_macros	Remove VBA macros	Remove/disable all VBA macros from documents. See also macros_xxx parameters to choose which method to use. If no specific method is enabled, a simple method will be used. This simple method is portable and fast, however it only covers Word and Excel files.	1	True
section [Filtre_GIF]				

Parameter Name		Description	Value	Default value
format_autorise	Allowed format	Specifies whether this file format is allowed by the filtering policy or not	1	True
section [Filtre_HTML]				
format_autorise	Allowed format	Specifies whether this file format is allowed by the filtering policy or not	1	True
section [Filtre_JPEG]				
format_autorise	Allowed format	Specifies whether this file format is allowed by the filtering policy or not	1	True
section [Filtre_MP3]				
format_autorise	Allowed format	Specifies whether this file format is allowed by the filtering policy or not	1	True
section [Filtre_PDF]				
disable_aa	Disable AA objects (additional actions)	Disable all AA objects, which may trigger active content. (pdfid)	1	True
disable_embeddedfile	Disable embedded files	Disable all embedded files, which may hide executable code. (simple replace, pdfid)	1	True
disable_fileattachment	Disable attached files	Disable all file attachments, which may hide executable code. (simple replace, pdfid)	1	True
disable_javascript	Disable Javascript	Disable all Javascript code, which may trigger actions without user confirmation. (simple replace, pdfid)	1	True
disable_jbig2decode	Disable JBIG2Decode objects	Disable all JBIG2Decode objects, subject to vulnerabilities in some applications. (pdfid)	0	False
disable_openaction	Disable OpenAction objects	Disable all OpenAction objects, which may trigger active content. (pdfid)	1	True
format_autorise	Allowed format	Specifies whether this file format is allowed by the filtering policy or not	1	True
ignore_origami_errors	Ignore PDF parsing errors in Origami engine	The current version of Origami does not support all PDF features, and may block legitimate files. With this option ExeFilter will fall back to the simple replace method in case of error.	1	True
use_origami	Remove active content using Origami engine	Remove all active content using Origami pdfclean engine. (EXPERIMENTAL: not all PDFs are supported yet)	0	False
use_pdfid	Remove active content using pdfid	Remove all active content using pdfid. (EXPERIMENTAL: Effective against obfuscated PDFs)	1	True
use_simple_replace Remove active content using simple replace		Remove all active content using builtin simple replace method. (Only effective against non obfuscated PDFs)	1	True
section [Filtre_PNG]				
format_autorise	Allowed format	Specifies whether this file format is allowed by the filtering policy or not	1	True
section [Filtre_Powerpoint]				

Parameter	Name	Description	Value	Default value
detecter_ole_pkg	Detect Package OLE objects	Detect Package OLE objects, which may contain any file or command line.	1	True
format_autorise	Allowed format	Specifies whether this file format is allowed by the filtering policy or not	1	True
macros_fpcmd	Use F-Prot 3 to remove VBA macros Use F-Prot 3 antivirus (fpcmd) to remove all VBA macros from documents. This method is slow but efficient for Word, Excel and Powerpoint.		0	False
macros_fpscan	Use F-Prot 6 to remove VBA macros	Use the F-Prot 6 antivirus (fpscan) to remove all VBA macros from documents. This method is slow but efficient for Word, Excel and Powerpoint.	0	False
supprimer_macros	Remove VBA macros	Remove/disable all VBA macros from documents. See also macros_xxx parameters to choose which method to use. If no specific method is enabled, a simple method will be used. This simple method is portable and fast, however it only covers Word and Excel files.	1	True
section [Filtre_Project]				
detecter_ole_pkg	Detect Package OLE objects	Detect Package OLE objects, which may contain any file or command line.	1	True
format_autorise	Allowed format	Specifies whether this file format is allowed by the filtering policy or not	1	True
macros_fpcmd	Use F-Prot 3 to remove VBA macros	Use the F-Prot 3 antivirus (fpcmd) to remove all VBA macros from documents. This method is slow but efficient for Word, Excel and Powerpoint.	0	False
macros_fpscan	Use F-Prot 6 to remove VBA macros	Use the F-Prot 6 antivirus (fpscan) to remove all VBA macros from documents. This method is slow but efficient for Word, Excel and Powerpoint.	0	False
supprimer_macros	Remove VBA macros	Remove/disable all VBA macros from documents. See also macros_xxx parameters to choose which method to use. If no specific method is enabled, a simple method will be used. This simple method is portable and fast, however it only covers Word and Excel files.	1	True
section [Filtre_RTF]				
format_autorise Allowed format		Specifies whether this file format is allowed by the filtering policy or not	1	True
supprimer_OLE_Pack age Disable Package OLE objects		Disable Package OLE objects, which may contain any executable file or command line.	1	True
section [Filtre_Texte]				
		Specifies whether this file format is allowed by the filtering policy or not	1	True
section [Filtre_Visio]				
detecter_ole_pkg Detect Package OLE objects		Detect Package OLE objects, which may contain any file or command line.	1	True

Parameter Name		Description	Value	Default value
format_autorise	Allowed format	Specifies whether this file format is allowed by the filtering policy or not	1	True
macros_fpcmd	Use F-Prot 3 to remove VBA macros	Use the F-Prot 3 antivirus (fpcmd) to remove all VBA macros from documents. This method is slow but efficient for Word, Excel and Powerpoint.	0	False
macros_fpscan	Use F-Prot 6 to remove VBA documents. This method is slow but efficient for Word, Excel and Powerpoint. Use F-Prot 6 to remove all VBA macros from documents. This method is slow but efficient for Word, Excel and		0	False
supprimer_macros	Remove/disable all VBA macros from documents. See also macros_xxx parameters to choose which method to use. If no specific method is enabled, a simple method will be used. This simple method is portable and fast, however it only covers Word and Excel files.		1	True
section [Filtre_WAV]				
format_autorise	Allowed format	Specifies whether this file format is allowed by the filtering policy or not	1	True
section [Filtre_Word]				
detecter_ole_pkg	Detect Package OLE objects	Detect Package OLE objects, which may contain any file or command line.	1	True
format_autorise	Allowed format	Specifies whether this file format is allowed by the filtering policy or not	1	True
macros_fpcmd	Use F-Prot 3 to remove VBA macros	Use the F-Prot 3 antivirus (fpcmd) to remove all VBA macros from documents. This method is slow but efficient for Word, Excel and Powerpoint.		False
Use F-Prot 6 to remove all VBA documents. This		Use the F-Prot 6 antivirus (fpscan) to remove all VBA macros from documents. This method is slow but efficient for Word, Excel and Powerpoint.	0	False
macros_win32	Use the Win32 API to remove VBA macros (Windows only)	Use the Win32 API on Windows to remove all VBA macros from documents. This method is fast, but it only covers Word and Excel, and it only works on Windows. This parameter is ignored on other platforms.	1	True
supprimer_macros	Remove VBA macros	Remove/disable all VBA macros from documents. See also macros_xxx parameters to choose which method to use. If no specific method is enabled, a simple method will be used. This simple method is portable and fast, however it only covers Word and Excel files.	1	True
section [Filtre_Zip]				
format_autorise	Allowed format	Specifies whether this file format is allowed by the filtering policy or not	1	True

7 How to contribute to the ExeFilter project

ExeFilter is an open-source project. Therefore, it is crucial to contribute to the project so that it can progress. There are many ways to help:

7.1 Contact

In all cases, here are the e-mail addresses to contact the project team:

- Philippe Lagadec: decalage(a)laposte.net
- Arnaud Kerréneur: arnaud.kerreneur(a)dga.defense.gouv.fr

7.2 Report bugs

If you see any unexpected error, an incompatibility or a file which is accepted or blocked whereas it should not, please send us all necessary details.

If possible, here are the useful pieces of information which would help us understand the issue:

- a detailed description of the context (usage mode, type of analyzed content, operating system and version, installed versions of Python and ExeFilter, configuration file, etc)
- file samples to reproduce the error
- debug log files, if possible obtained using the "-v" option with ExeFilter command-line.

7.3 Report a vulnerability

Like any other security software, detecting a vulnerability in ExeFilter is critical. Because ExeFilter contains parsers for various file formats, vulnerabilities are likely to be found.

If you find one, please report it ASAP to the project team, and leave us enough time to fix it before any public disclosure. It is much more responsible to provide users with a security fix before disclosing a vulnerability. The project team will take all reported vulnerabilities very seriously, and will attempt to fix them as soon as possible.

A mailing-list will be created in the future to inform users about new versions and security fixes.

7.4 Test various environments

The project team cannot test ExeFilter in all possible configurations and on all platforms. Reporting that ExeFilter runs fine or not on a specific platform will help the project. Thank you to tell us any successful or failed test, and the corresponding configuration.

Here are the platforms where the latest ExeFilter versions are usually tested:

- Windows Vista with Python 2.6
- MacOSX Leopard (10.5) with Python 2.6
- GNU/Linux Ubuntu with Python 2.6

7.5 Create new filters

It is possible to create new filters to support additional file formats. If you would like to add a new format or to improve an existing filter, the first thing to do is to contact the project team, in order to know if it is already an ongoing task or not.

To develop a new filter, here is a summary of the actions to perform:

- 1. Determine if it is a **simple filter** (to accept or block files without cleaning) or a **complex filter** (which may modify files to clean them).
- 2. If it is a simple filter, use one of these existing filters as template: GIF, JPEG, BMP, Text.
- 3. If it is a complex filter, pick one of these: RTF, PDF, Office.
- 4. The filter script must be a Python module (.py), starting with "Filtre_", located in the "Filtres" subfolder.
- 5. It must contain a class with a name starting with "Filtre_", which inherits from the class "Filtre.Filtre".
- 6. The class must have a class attribute "extensions" which is a list of all file extensions allowed for the format, in lowercase, including a leading dot. For example the MS Word format has the following list: ['.doc', '.dot'].
- 7. The class must implement a method "reconnait_format" (recognize format) which returns True if the contents of the file match the expected format, or False otherwise. For example, a PDF file is expected to start with "%PDF".
- 8. The class must also implement the method "nettoyer" (clean), which analyzes the file structure in depth and removes active content if applicable. This method must return a "Resultat" (result) object, to provide the result of the cleaning process. It must replace the temporary copy of the file by a cleaned version if necessary.
- 9. If the format is a container, such as an archive, then it is also necessary to create a "Conteneur" (container) class. See Conteneur ZIP.py for an example.

Note: the ExeFilter API is quite complex and only available in French for now. Therefore, please contact the project team first if you would like to develop a filter.

7.6 Participate in the project development

It is also possible to help the development effort by improving some features or integrating ExeFilter into other applications (HTTP proxy, e-mail server, GUI, etc). Please contact the project team if you would like to submit patches or to join the team.

7.7 Improve documentation

As in any project, documentation is a very important and very difficult task. Any contribution would be greatly appreciated! :-)

8 References

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- [SSTIC03] Formats de fichiers et code malveillant (File Formats and Malware), P. Lagadec, SSTIC03 symposium, http://www.decalage.info/fr/sstic03
- [SSTIC04] Filtrage de messagerie et analyse de contenu (E-mail Filtering and Content Analysis), P. Lagadec, SSTIC04 symposium, http://www.decalage.info/fr/sstic04
- [SSTIC06] Diode réseau et ExeFilter (Network Diode and ExeFilter), P. Lagadec, SSTIC06 symposium, http://www.decalage.info/fr/sstic06

9 Changelog

date	version	author	modifications
2008-03-03	1	P. Lagadec	Initial version
2008-03-27	2	P. Lagadec	English translation
2009-11-02	3	P. Lagadec	Updated for version 1.1.2, completed English translation
2009-12-18	4	P. Lagadec	Added the "single file output" option (-o) and exit codes
2010-02-09	5	P. Lagadec	Added the "batch mode" option (-b)