

FIDO U2F Raw Message Formats

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Abstract

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Table of Contents

- 1. Notation
 - 1.1 Key Words
- 2. Introduction
- 3. U2F message framing
 - 3.1 Request Message Framing
 - 3.2 Response Message Framing
 - 3.3 Status Codes
- 4. Registration Messages
 - 4.1 Registration Request Message U2F REGISTER
 - 4.2 Registration Response Message: Error: Test-of-User-Presence Required
 - 4.3 Registration Response Message: Success
- 5. Authentication Messages

- 5.1 Authentication Request Message U2F AUTHENTICATE
- 5.2 Authentication Response Message: Error: Test-of-User-Presence Required
- 5.3 Authentication Response Message: Error: Bad Key Handle
- 5.4 Authentication Response Message: Success

6. Other Messages

- 6.1 GetVersion Request and Response U2F_VERSION
- 6.2 Extensions and vendor-specific messages

Client Data

- 7.1 Dictionary ClientData Members
- 7.2 Dictionary JwkKey Members

8. Examples

- 8.1 Registration Example
- 8.2 Authentication Example
- A. References
 - A.1 Normative references
 - A.2 Informative references

1. Notation

Type names, attribute names and element names are written as code.

String literals are enclosed in "", e.g. "UAF-TLV".

In formulas we use "|" to denote byte wise concatenation operations.

DOM APIs are described using the ECMAScript [ECMA-262] bindings for WebIDL [WebIDL].

U2F specific terminology used in this document is defined in [FIDOGlossary].

Symbolic constants such as **U2F_REGISTER** which are referred to when defining messages in this documents have their values defined in (See [U2FHeader] in bibliography].

1.1 Key Words

The key words "must", "must not", "required", "shall not", "should not", "should not", "recommended", "may", and "optional" in this document are to be interpreted as described in [RFC2119].

2. Introduction

Note: Reading the 'FIDO U2F Overview' (see [U2FOverview] in bibliography) is recommended as a background for this document.

U2F Tokens provide cryptographic assertions that can be verified by relying parties. Typically, the relying party is a web server, and the cryptographic assertions are used as second-factors (in addition to passwords) during user authentication.

U2F Tokens are typically small special-purpose devices that aren't directly connected to the Internet (and hence, able to talk directly to the relying party). Therefore, they rely on a FIDO Client to relay messages between the token and the relying party. Typically, the FIDO Client is a web browser.

The U2F protocol supports two operations, registration and authentication. The registration operation introduces the relying party to a freshly-minted keypair that is under control of the U2F token. The authentication operation proves possession of a previously-registered keypair to the relying party. Both the registration and authentication operation consist of three phases:

- 1. Setup: In this phase, the FIDO Client contacts the relying party and obtains a challenge. Using the challenge (and possibly other data obtained from the relying party and/or prepared by the FIDO Client itself), the FIDO Client prepares a request message for the U2F Token.
- 2. Processing: In this phase, the FIDO Client sends the request message to the token, and the token performs some cryptographic operations on the message, creating a response message. This response message is sent to the FIDO Client.
- 3. Verification: In this phase, the FIDO Client transmits the token's response message, along with other data necessary for the relying party to verify the token response, to the relying party. The relying party then processes the token response and verifies its correctness. A correct registration response will cause the relying party to register a new public key for a user, while a correct authentication response will cause the relying party to accept that the client is in possession of the corresponding private key.

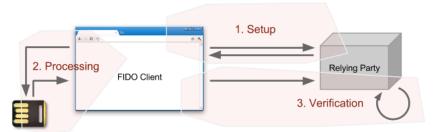


Fig. 1 Three phases of Registration and Authentication

Above is a picture illustrating the three phases.

At the heart of the U2F protocol are the request messages sent to the U2F token, and the response messages received from the U2F token.1

Note that the request message is usually obtained by the FIDO client from the relying party during the setup phase, and therefore reaches the FIDO client as part of an HTTP response. Similarly, the response message that is processed by the relying party during the verification phase is sent by the FIDO Client to the relying party in an HTTP request. Beware the possibility of confusion when talking about requests and responses!

Request messages are created by the relying party and consumed by the U2F token. Response messages are created by the U2F token and consumed by the relying party.

As the messages flow from relying party (through the FIDO Client) to the U2F token and back, they undergo various transformations and encodings. Some of these transformations and encodings are up to the individual implementations and are not standardized as part of FIDO U2F. For example, FIDO U2F does not prescribe how request and response messages are encoded between the FIDO Client and the relying party.

However, to ensure that U2F tokens from different vendors can work across U2F-compliant web sites certain encodings are standardized:

- 1. FIDO U2F standardizes a Javascript API that prescribes how a web application can pass request messages into the FIDO Client (in the case where the web browser is the FIDO Client), and what the encoding of the response messages is.
- 2. FIDO U2F standardizes how request and response messages are to be encoded when sent over from the client over the USB transport to U2F tokens. In addition to specifying the encoding, the transport level specification also specifies the format for control messages to the tokens and the format for the error responses from the tokens. We anticipate that FIDO U2F will standardize how request and response messages are encoded over other non-USB transports such as NFC or Bluetooth.

In this document we describe the "raw", or canonical, format of the messages, i.e., without regard to the various encodings that are prescribed in U2F standards or that implementors might choose when sending messages around. The raw format of the messages is important to know for two reasons:

- 1. The encoding of messages and parameters described elsewhere may refer to the raw messages described in this document. For example, a Javascript API might refer to a parameter of a function as the Base64-encoding of a raw registration response message. It is this document that describes what the raw registration response message looks like.
- 2. Cryptographic signatures are calculated over raw data. For example, the standard might prescribe that a certain cryptographic signature is taken over bytes 5 through 60 of a certain raw message. The implementor therefore has to know how what the raw message looks like.

3. U2F message framing

The U2F protocol is based on a request-response mechanism, where a requester sends a request message to a U2F device, which always results in a response message being sent back from the U2F device to the requester.

The request message has to be "framed" to send to the lower layer. Taking the signature request as an example, the "framing" is a way for the FIDO client to tell the lower transport layer that it is sending a signature request and then send the raw message contents. The framing also specifies how the transport will carry back the response raw message and any meta-information such as an error code if the command failed.

In this current version of U2F, the framing is defined based on the ISO7816-4:2005 extended APDU format. This is very appropriate for the USB transport since devices are typically built around secure elements which understand this format already. This same argument may apply for futures such as Bluetooth based devices. For other futures based on other transports, such as a built-in u2f token on a mobile device TEE, this framing may not be appropriate and a different framing may need to be defined.

3.1 Request Message Framing

The raw request message is framed as a command APDU:

CLA INS P1 P2 LC1 LC2 LC3 <request-data>

Where:

• CLA: Reserved to be used by the underlying transport protocol (if applicable). The host application shall set this byte to zero.

- INS: U2F command code, defined in the following sections.
- P1,P2: Parameter 1 and 2, defined by each command.
- LC1-LC3: Length of the request data, big-endian coded, i.e. LC1 being MSB and LC3 LSB

3.2 Response Message Framing

The raw response data is framed as a response APDU:

<response-data> SW1 SW2

Where:

• SW1,SW2: Status word bytes 1 and 2, forming a 16-bit status word, defined below. SW1 is MSB and SW2 LSB.

3.3 Status Codes

The following ISO7816-4 defined status words have a special meaning in U2F:

- SW_NO_ERROR: The command completed successfully without error.
- SW_CONDITIONS_NOT_SATISFIED: The request was rejected due to test-of-user-presence being required.
- SW_WRONG_DATA: The request was rejected due to an invalid key handle.

Each implementation may define any other vendor-specific status codes, providing additional information about an error condition. Only the error codes listed above will be handled by U2F FIDO Client, where others will be seen as general errors and logging of these is optional.

4. Registration Messages

4.1 Registration Reguest Message - U2F REGISTER



Fig. 2 Registration Request Message

This message is used to initiate a U2F token registration. The FIDO Client first contacts the relying party to obtain a challenge, and then constructs the registration request message. The registration request message has two parts:

- The **challenge parameter** [32 bytes]. The challenge parameter is the SHA-256 hash of the Client Data, a stringified JSON data structure that the FIDO Client prepares. Among other things, the Client Data contains the challenge from the relying party (hence the name of the parameter). See below for a detailed explanation of Client Data.
- The application parameter [32 bytes]. The application parameter is the SHA-256 hash of the application identity of the application requesting the registration. (See [FIDOAppIDAndFacets] in bibliography for details.)

4.2 Registration Response Message: Error: Test-of-User-Presence Required

This is an error message that is output by the U2F token if no test-of-user-presence could be obtained by the U2F token. The error message details are specified in the framing for the underlying transport (see Section "U2F Message Framing" above).

4.3 Registration Response Message: Success

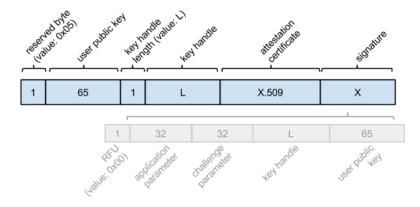


Fig. 3 Registration Response Message

This message is output by the U2F token once it created a new keypair in response to the registration request message. Note that U2F tokens should verify user presence before returning a registration response success message (otherwise they should return a test-of-user-presence-required message - see above). Its raw representation is the concatenation of the following:

- A reserved byte [1 byte], which for legacy reasons has the value 0x05.
- A user public key [65 bytes]. This is the (uncompressed) x,y-representation of a curve point on the P-256 NIST elliptic curve.
- A key handle length byte [1 byte], which specifies the length of the key handle (see below).
- A **key handle** [length specified in previous field]. This a handle that allows the U2F token to identify the generated key pair. U2F tokens MAY wrap the generated private key and the application id it was generated for, and output that as the key handle.
- An attestation certificate [variable length]. This is a certificate in X.509 DER format. Parsing of the X.509 certificate unambiguously
 establishes its ending. The remaining bytes in the message are
- a signature. This is a ECDSA (see [ECDSA-ANSI] in bibliography) signature (on P-256) over the following byte string:
 - A byte reserved for future use [1 byte] with the value 0x00. This will evolve into a byte that will allow RPs to track known-good applet version of U2F tokens from specific vendors.
 - The application parameter [32 bytes] from the registration request message.
 - The challenge parameter [32 bytes] from the registration request message.
 - The above key handle [variable length]. (Note that the key handle length is not included in the signature base string.
 This doesn't cause confusion in the signature base string, since all other parameters in the signature base string are fixed-length.)
 - The above user public key [65 bytes].

The signature is to be verified by the relying party using the public key certified in the attestation certificate. The relying party should also verify that the attestation certificate was issued by a trusted certification authority. The exact process of setting up trusted certification authorities is to be defined by the FIDO Alliance and is outside the scope of this document.

Once the relying party verifies the signature, it should store the public key and key handle so that they can be used in future authentication operations.

5. Authentication Messages

5.1 Authentication Request Message - U2F AUTHENTICATE

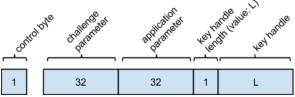


Fig. 4 Authentication Request Message

This message is used to initiate a U2F token authentication. The FIDO Client first contacts the relying party to obtain a challenge, and then constructs the authentication request message. The registration request message has five parts:

- Control byte (P1). The control byte is determined by the FIDO Client the relying party cannot specify its value. The FIDO Client will set the control byte to one of the following values:
 - 0x07 ("check-only"): if the control byte is set to 0x07 by the FIDO Client, the U2F token is supposed to simply check whether the
 provided key handle was originally created by this token, and whether it was created for the provided application parameter. If so, the
 U2F token MUST respond with an authentication response
 message:error:test-of-user-presence-required (note that despite the name this signals a success condition). If the key handle was not
 created by this U2F token, or if it was created for a different application parameter, the token MUST respond with an authentication
 response message:error:bad-key-handle.
 - **0x03 ("enforce-user-presence-and-sign")**: If the FIDO client sets the control byte to 0x03, then the U2F token is supposed to perform a real signature and respond with either an authentication response message:success or an appropriate error response (see below). The signature **SHOULD** only be provided if user presence could be validated.

Other control byte values are reserved for future use.

During registration, the FIDO Client MAY send authentication request messages to the U2F token to figure out whether the U2F token has already been registered. In this case, the FIDO client will use the check-only value for the control byte. In all other cases (i.e., during authentication, the FIDO Client MUST use the enforce-user-presence-and-sign value).

- The **challenge parameter** [32 bytes]. The challenge parameter is the SHA-256 hash of the Client Data, a stringified JSON data structure that the FIDO Client prepares. Among other things, the Client Data contains the challenge from the relying party (hence the name of the parameter). See below for a detailed explanation of Client Data.
- The application parameter [32 bytes]. The application parameter is the SHA-256 hash of the application identity of the application requesting the authentication as provided by the relying party.
- A key handle length byte [1 byte], which specifies the length of the key handle (see below).
- A key handle [length specified in previous field]. The key handle. This is provided by the relying party, and was obtained by the relying party during registration.

5.2 Authentication Response Message: Error: Test-of-User-Presence Required

This is an error message that is output by the U2F token if no test-of-user-presence could be obtained by the U2F token. The error message details are specified in the framing for the underlying transport (see Section "U2F Message Framing" above).

5.3 Authentication Response Message: Error: Bad Key Handle

This is an error message that is output by the U2F token if the provided key handle was not originally created by this token, or if the provided key handle was created by this token, but for a different application parameter. The error message details are specified in the framing for the underlying transport (see Section "U2F Message Framing" above).

5.4 Authentication Response Message: Success

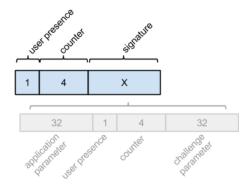


Fig. 5 Authentication Response Message: Success

This message is output by the U2F token after processing/signing the authentication request message described above. Its raw representation is the concatenation of the following:

• A user presence byte [1 byte]. Bit 0 is set to 1, which means that user presence was verified. (This version of the protocol doesn't specify a way to request authentication responses without requiring user presence.) A different value of Bit 0, as well as Bits 1 through 7, are reserved for future use. The values of Bit 1 through 7 should be 0:

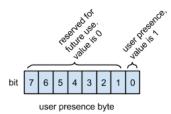


Fig. 6 User Presence Byte Layout

- A **counter** [4 bytes]. This is the big-endian representation of a counter value that the U2F token increments every time it performs an authentication operation. (See Implementation Considerations [U2FImplCons] for more detail.)
- a signature. This is a ECDSA (see [ECDSA-ANSI] in bibliography) signature (on P-256) over the following byte string:
 - The application parameter [32 bytes] from the authentication request message.
 - o The above user presence byte [1 byte].
 - The above counter [4 bytes].
 - The challenge parameter [32 bytes] from the authentication request message.

The signature is to be verified by the relying party using the public key obtained during registration.

Other Messages

6.1 GetVersion Request and Response - U2F_VERSION

The FIDO Client can query the U2F token about the U2F protocol version that it implements. The protocol version described in this document is U2F_V2.

The response message's raw representation is the ASCII representation of the string 'U2F V2' (without quotes).

6.2 Extensions and vendor-specific messages

Command codes in the range between **U2F_VENDOR_FIRST** and **U2F_VENDOR_LAST** may be used for vendor-specific implementations. For example, the vendor may choose to put in some testing commands. Note that the FIDO client will never generate these commands. All other command codes are RFU and may not be used.

7. Client Data

Term	Definition
websafe- base64 encoding	This is the "Base 64 Encoding with URL and Filename Safe Alphabet" from Section 5 in [[!RFC 4648]] without padding.
stringified javascript object	This is the JSON object (i.e., a string starting with "{" and ending with "}") whose keys are the property names of the javascript object, and whose values are the corresponding property values. Only "data objects" can be stringified, i.e., only objects whose property names and values are supported in JSON .

The registration and authentication request messages contain a challenge parameter, which is defined as the SHA-256 hash of a (UTF8 representation of a) stringified JSON data structure that the FIDO client has to prepare. The FIDO Client MUST send the Client Data (rather than its hash - the challenge parameter) to the relying party during the verification phase, where the relying party can re-generate the challenge parameter (by hashing the client data), which is necessary in order to verify the signature both on the registration response message and authentication response message.

In the case where the FIDO Client is a web browser, the client data is defined as follows (in WebIDL):

7.1 Dictionary ClientData Members

typ of type DOMString

the constant 'navigator.id.getAssertion' for authentication, and 'navigator.id.finishEnrollment' for registration

challenge of type DOMString

the websafe-base64-encoded challenge provided by the relying party

origin of type DOMString

the facet id of the caller, i.e., the web origin of the relying party.

(Note: this might be more accurately called 'facet_id', but for compatibility with existing implementations within Chrome we keep the legacy name.)

cid_pubkey of type (DOMString or JwkKey)

The Channel ID public key used by this browser to communicate with the above origin. This parameter is optional, and missing if the browser doesn't support Channel ID. It is present and set to the constant 'unused' if the browser supports Channel ID, but is not using Channel ID to talk to the above origin (presumably because the origin server didn't signal support for the Channel ID TLS extension).

Otherwise (i.e., both browser and origin server at the above origin support Channel ID), it is present and of type JwkKey.

The JwkKey is a dictionary representing the public key used by a browser for the Channel ID TLS extension. The current version of the Channel ID draft prescribes the algorithm ([ECDSA-ANSI] in bibliography) and curve used, so the dictionary will have the following parameters

```
dictionary JwkKey {
    DOMString kty;
    DOMString crv;
    DOMString x;
    DOMString y;
};
```

7.2 Dictionary JwkKey Members

```
kty of type DOMString
signature algorithm used for Channel ID, i.e., the constant 'EC'

crv of type DOMString
Elliptic curve on which this public key is defined, i.e., the constant 'P-256'

x of type DOMString
websafe-base64-encoding of the x coordinate of the public key (big-endian, 32-byte value)

y of type DOMString
websafe-base64-encoding of the y coordinate of the public key (big-endian, 32-byte value)
```

8. Examples

8.1 Registration Example

Assume we have a U2F token with the following private attestation key:

f3fccc0d00d8031954f90864d43c247f4bf5f0665c6b50cc17749a27d1cf7664

the corresponding public key:

048d617e65c9508e64bcc5673ac82a6799da3c1446682c258c463fffdf58dfd2fa3e6c378b53d795c4a4dffb4199edd7862f23abaf0203b4b8911ba0569994e101

and the following attestation cert:

The attestation cert in hex form:

Now let's assume that we use the following client data

```
{"typ":"navigator.id.finishEnrollment","challenge":"vqrS6WXDe1JUs5_c3i4-LkKIHRr-3XVb3azuA5TifHo","cid_pubkey":
{"kty":"EC","crv":"P-256","x":"HzQwlfXX7Q4S5MtCCnZUNBw3RMzP09t0yWjBqRl4tJ8","y":"XVguGFLIZx1fXg3wNqfdbn75hi4-_7-BxhMljw42Ht4"},"origin":"http://example.com"}
```

with hash:

4142d21c00d94ffb9d504ada8f99b721f4b191ae4e37ca0140f696b6983cfacbar

and application id:

http://example.com

with hash:

to construct a registration request message.

Let's say the U2F token generates the following key pair:

Private key:

Public key:

04b174bc49c7ca254b70d2e5c207cee9cf174820ebd77ea3c65508c26da51b657c1cc6b952f8621697936482da0a6d3d3826a59095daf6cd7c03e2e60385d2f6d9

Associated key handle:

2a552 df db7477 ed65 fd84133 f86196010 b2215 b57 da75 d315 b7 b9e8 fe2e3925 a601955 1 bab61d16591659 cbaf00 b4950 f7 ab fe6660 e2e006 f76868 b772 d70 c2550 bab61d16591659 cbaf00 b4950 f7 ab fe6660 e2e006 f76868 b772 d70 c2550 bab61d16591659 cbaf00 b4950 f7 ab fe6660 e2e006 f76868 b772 d70 c2550 bab61d16591659 cbaf00 b4950 f7 ab fe6660 e2e006 f76868 b772 d70 c2550 bab61d16591659 cbaf00 b4950 f7 ab fe6660 e2e006 f76868 b772 d70 c2550 bab61d16591659 cbaf00 b4950 f7 ab fe6660 e2e006 f76868 b772 d70 c2550 bab61d16591659 cbaf00 b4950 f7 ab fe6660 e2e006 f76868 b772 d70 c2550 bab61d16591659 cbaf00 b4950 f7 ab fe6660 e2e006 f76868 b772 d70 c2550 bab61d16591659 cbaf00 b4950 f7 ab fe6660 e2e006 f76868 b772 d70 c2550 bab61d16591659 cbaf00 b4950 f7 ab fe6660 e2e006 f76868 b772 d70 c2550 bab61d16591659 cbaf00 b4950 f7 ab fe6660 e2e006 f76868 b772 d70 c2550 bab61d16591659 cbaf00 b4950 f7 ab fe6660 e2e006 f76868 b772 d70 c2550 bab61d16591659 cbaf00 b4950 f7 ab fe6660 e2e006 f76868 b772 d70 c2550 bab61d16591659 cbaf00 b4950 f7 ab fe6660 e2e006 f76868 b772 d70 c2550 bab61d16591659 cbaf00 b4950 f7 ab fe6660 e2e006 f76868 b772 d70 c2550 bab61d16591659 cbaf00 b4950 f7 ab fe6660 e2e006 f76868 b772 d70 c2550 b4950 b495

The signature base string for the registration response message is therefore:

00f0e6a6a97042a4f1f1c87f5f7d44315b2d852c2df5c7991cc66241bf7072d1c44142d21c00d94ffb9d504ada8f99b721f4b191ae4e37ca0140f696b6983cfacb2a54bf2d64bf3d64bf

A possible signature over the base string with the above private attestation key is:

Which means the whole registration response message is:

0504b174bc49c7ca254b70d2e5c207cee9cf174820ebd77ea3c65508c26da51b657c1cc6b952f8621697936482da0a6d3d3826a59095daf6cd7c03e2e60385d2f6d94

from which (together with challenge and application parameters) the signature base string and signature can be extracted, and verified with the public key from the attestation cert.

8.2 Authentication Example

Let's assume we have a U2F device with private key:

ffale110dde5a2f8d93c4df71e2d4337b7bf5ddb60c75dc2b6b81433b54dd3c0

and corresponding public key:

Example application id:

https://gstatic.com/securitykey/a/example.com

Example client data:

{"typ":"navigator.id.getAssertion", "challenge":"opsXqUifDriAAmWclinfbS0e-USY0CgyJHe_0td7z8o", "cid_pubkey":{"kty":"EC", "crv":"P256", "x":"HzQwlfXX7Q4S5MtCCnZUNBw3RMzP09t0yWjBqRl4tJ8", "y":"XVguGFLIZx1fXg3wNqfdbn75hi4-_7BxhMljw42Ht4"}, "origin":"http://example.com"}

Hash of the above client data (challenge parameter):

ccd6ee2e47baef244d49a222db496bad0ef5b6f93aa7cc4d30c4821b3b9dbc57

Hash of the above application id (application parameter):

4b0be934baebb5d12d26011b69227fa5e86df94e7d94aa2949a89f2d493992ca

Assuming counter = 1 and user_presence = 1, signature base string is:

A possible signature with above private key is:

Authentication Response Message:

The above signature and signature base string can be reconstructed from the authentication response message and the challenge and application parameters, and can be verified with the above public key.

A. References

A.1 Normative references

[ECDSA-ANSI]

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