# Problem Set 4

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1 In class we discussed the idea of a closure as a function ...

```
x <- 1:10

f <- function(input) {
    data <- input
    g <- function(param) return(param * data)
    return(g)
}

myFun <- f(x)
data <- 100
myFun(3)

## [1] 3 6 9 12 15 18 21 24 27 30

x <- 100
myFun(3)

## [1] 3 6 9 12 15 18 21 24 27 30</pre>
```

# 1.1 What is the maximum number of copies that exist of the vector 1:10 during the first execution of myFun()? Why?

There are two copies of x, one in global memory from x <-1:10, one in local memory of the function myFun().

1.2 Use serialize() to generate a sequence of bytes that store ...

```
x <- 1:1e6
x_size <- object_size(x)
x_len <- length(serialize(x, NULL)) #62

f <- function(input){
    data <- input
    g <- function(param) return(param * data)
    return(g)
}
myFun <- f(x)</pre>
```

```
rm(x)

myFun_size <- object.size(myFun)
myFun_len <- length(serialize(myFun, NULL))
myFun_len;x_len

## [1] 8010067
## [1] 4000022

myFun_len-x_len

## [1] 4010045

x_size;myFun_size

## 4 MB
## 1560 bytes</pre>
```

No, the size of the serialized object is not what I expect. it seems that there are two copies of x inside of myFun, plus one copy of x in the compiled code of myFun. Therefore there are three copies, which is weird because from 1a) we know there should be two.

# 1.3 It seems unnecessary to have the "data ;- input" line ...

```
x <- 1:10  #set vector 1:10 to object x

f <- function(data) {
    g <- function(param) return(param * data)
    return(g)
}

myFun <- f(x)
rm(x)
data <- 100
myFun(3)

## Error in myFun(3): object 'x' not found</pre>
```

When  $myFun \leftarrow f(x)$  is evaluated, f(x) is assigned to myFun, the function f with value x is not evaluated; a promise is created for evaluation of f(x) and it will be lazy-evaluated. That occurs when myFun() is evaluate with input. Since we are removing x from memory before evaluate myFun, we get an error.

# 1.4 Can you figure out a way to make the code in part (c) work ...

```
x <- 1:10
length(serialize(x, NULL))

## [1] 62

f <- function(data){
   invisible(is.numeric(data))
   g <- function(param) return(param * data)
   return(g)</pre>
```

```
myFun <- f(x)
rm(x)
data <- 100
myFun(3)

## [1] 3 6 9 12 15 18 21 24 27 30

length(serialize(myFun, NULL))

## [1] 9458

length(serialize(1:10, NULL))

## [1] 62</pre>
```

We can make part(c) work by somehow inserting the intput data into the f() closure itself. Invisibily testing whether the data is of class numeric does that and allows the code work to work. Another way to do it would be to simply put the data input into the function.

```
x <- 1:10
length(serialize(x, NULL))

## [1] 62

f <- function(data){
    data
    g <- function(param) return(param * data)
    return(g)
}</pre>
```

2 This question explores memory use and copying with lists. In answering this question you can ignore what is happening with the list attributes, which are also reported by .Internal(inspect()).

2.1 Consider a list of vectors. Modify an element of one of the vectors. Can R make the change in place, without creating a new list or a new vector?

```
rm(list=ls())

#create list of random numbers, 10 elements, 5 list elements and inspect
vecList <-lapply(1:5,function(x) sample(1:100,10))
class(vecList)
[1] "list"
length(vecList)
[1] 5
.Internal(inspect(vecList))</pre>
```

R cannot modify an element of one of the vectors without making a copy of the vector that changed and pointing to the new vector memory address.

# 2.2 Next, make a copy of the list and determine ...?

```
vecListCopy <- vecList</pre>
.Internal(inspect(vecList))
@7f8b7eb3ed50 19 VECSXP g1c4 [MARK, NAM(2)] (len=5, tl=0)
  @7f8b7ed25f48 14 REALSXP g0c5 [] (len=10, tl=0) 10,47,11,60,80,...
  @7f8b7ee52478 13 INTSXP g0c4 [] (len=10, tl=0) 55,33,67,16,53,...
  @7f8b7ee527b8 13 INTSXP gOc4 [] (len=10, tl=0) 4,8,51,30,24,...
  @7f8b7ee4e540 13 INTSXP gOc4 [] (len=10, tl=0) 6,12,48,93,54,...
  @7f8b7ee4e818 13 INTSXP gOc4 [] (len=10, tl=0) 47,95,13,59,81,...
.Internal(inspect(vecListCopy))
@7f8b7eb3ed50 19 VECSXP g1c4 [MARK, NAM(2)] (len=5, tl=0)
  @7f8b7ed25f48 14 REALSXP g0c5 [] (len=10, tl=0) 10,47,11,60,80,...
  @7f8b7ee52478 13 INTSXP gOc4 [] (len=10, tl=0) 55,33,67,16,53,...
  @7f8b7ee527b8 13 INTSXP g0c4 [] (len=10, tl=0) 4,8,51,30,24,...
  @7f8b7ee4e540 13 INTSXP g0c4 [] (len=10, t1=0) 6,12,48,93,54,...
  @7f8b7ee4e818 13 INTSXP gOc4 [] (len=10, tl=0) 47,95,13,59,81,...
vecListCopy[[1]][1] <- 99</pre>
.Internal(inspect(vecListCopy))
@7f8b7ee4e9b8 19 VECSXP gOc4 [NAM(1)] (len=5, tl=0)
  @7f8b7ed25ff0 14 REALSXP g0c5 [] (len=10, tl=0) 99,47,11,60,80,...
  @7f8b7ee52478 13 INTSXP g0c4 [NAM(2)] (len=10, t1=0) 55,33,67,16,53,...
  @7f8b7ee527b8 13 INTSXP gOc4 [NAM(2)] (len=10, tl=0) 4,8,51,30,24,...
  @7f8b7ee4e540 13 INTSXP g0c4 [NAM(2)] (len=10, tl=0) 6,12,48,93,54,...
  @7f8b7ee4e818 13 INTSXP g0c4 [NAM(2)] (len=10, tl=0) 47,95,13,59,81,...
```

When a copy of the list is made, the copy points to address of teh original list. When an element is changed in one of teh vectors in the list copy, the address copied list now has a new address, and the vector with a changed element has a new address. The unchanged vectors in the copied have the same memory address as in the original list.

### 2.3 Now make a list of lists ...

```
listOfLists <-lapply(1:2,</pre>
                       function(x) lapply(1:2,
                                           function(x) sample(1:100,10)))
length(listOfLists)
[1] 2
length(listOfLists[[1]])
[1] 2
#Copy the list
listOfListsCopy <- listOfLists</pre>
.Internal(inspect(listOfLists))
@7fdb504304e0 19 VECSXP g1c2 [MARK, NAM(2)] (len=2, t1=0)
  @7fdb50430550 19 VECSXP g1c2 [MARK, NAM(1)] (len=2, t1=0)
    @7fdb5079b810 13 INTSXP g1c4 [MARK] (len=10, tl=0) 66,47,11,60,80,...
    @7fdb5079b6d8 13 INTSXP g1c4 [MARK] (len=10, t1=0) 55,33,67,16,53,...
  @7fdb5227c578 19 VECSXP g0c2 [] (len=2, tl=0)
    @7fdb52231618 13 INTSXP gOc4 [] (len=10, tl=0) 4,8,51,30,24,...
    @7fdb511bc270 13 INTSXP g0c4 [] (len=10, tl=0) 6,12,48,93,54,...
.Internal(inspect(listOfListsCopy))
@7fdb504304e0 19 VECSXP g1c2 [MARK, NAM(2)] (len=2, t1=0)
  @7fdb50430550 19 VECSXP g1c2 [MARK, NAM(1)] (len=2, tl=0)
    @7fdb5079b810 13 INTSXP g1c4 [MARK] (len=10, tl=0) 66,47,11,60,80,...
    @7fdb5079b6d8 13 INTSXP g1c4 [MARK] (len=10, tl=0) 55,33,67,16,53,...
  @7fdb5227c578 19 VECSXP g0c2 [] (len=2, t1=0)
    @7fdb52231618 13 INTSXP g0c4 [] (len=10, tl=0) 4,8,51,30,24,...
    @7fdb511bc270 13 INTSXP g0c4 [] (len=10, tl=0) 6,12,48,93,54,...
#Add an element to the second list
listOfListsCopy[[2]][[3]] <- 99:90</pre>
.Internal(inspect(listOfListsCopy))
@7fdb52278278 19 VECSXP gOc2 [NAM(1)] (len=2, tl=0)
  @7fdb50430550 19 VECSXP g1c2 [MARK, NAM(2)] (len=2, t1=0)
    @7fdb5079b810 13 INTSXP g1c4 [MARK] (len=10, tl=0) 66,47,11,60,80,...
    @7fdb5079b6d8 13 INTSXP g1c4 [MARK] (len=10, tl=0) 55,33,67,16,53,...
  @7fdb5117fdf0 19 VECSXP g0c3 [] (len=3, tl=0)
    @7fdb52231618 13 INTSXP gOc4 [NAM(2)] (len=10, tl=0) 4,8,51,30,24,...
    @7fdb511bc270 13 INTSXP g0c4 [NAM(2)] (len=10, tl=0) 6,12,48,93,54,...
    @7fdb511bc340 13 INTSXP g0c4 [NAM(1)] (len=10, tl=0) 99,98,97,96,95,...
```

The following is shared between the two lists (listOfLists, listOfListsCopy):

- First list of list, listOfListsCopy[[1]][[1]] and listOfLists[[1]][[1]].
- The first two vectors of the second list have the same address and were not copied, listOfLists[[2]][[1]] == listOfListsCopy[[2]][[2]] and listOfLists[[2]][[2]] == listOfListsCopy[[2]][[2]].

Everything else was copied and is not shared between lists.

### 2.4 Run the following code in a new R session ...

```
tmp <- list()</pre>
x <- rnorm(1e7)
tmp[[1]] <- x
tmp[[2]] <- x
.Internal(inspect(tmp))
@7fced1c59008 19 VECSXP g0c2 [NAM(1)] (len=2, t1=0)
  @11235a000 14 REALSXP g0c7 [NAM(2)] (len=10000000, t1=0) 0.401138,-1.2158,0.950013,2.19382,0.43482,...
  @11235a000 14 REALSXP g0c7 [NAM(2)] (len=10000000, t1=0) 0.401138,-1.2158,0.950013,2.19382,0.43482,...
object.size(tmp)
160000136 bytes
gc()
          used (Mb) gc trigger (Mb) max used (Mb)
       258528 13.9
                         592000 31.7 350000 18.7
Ncells
Vcells 10538593 80.5 15576004 118.9 10545243 80.5
```

The help file for object.size() specifically says that the function "does not detect if elements of a list are shared". The function is simply adding up the memory usage of each element, without taking duplciate addresses into account.

# 3 Challenge 5 of Section 7.3 of Unit 4. The following is real code...

```
rm(list=ls())
setwd("/Users/CamAdams/repos/STAT243/ps4/")
load('ps4prob3.Rda') # should have A, n, K
#############
## Student's version of the function
11 <- function(Theta, A) {</pre>
    sum.ind <- which(A==1, arr.ind=T)</pre>
    logLik <- sum(log(Theta[sum.ind])) - sum(Theta)</pre>
    return(logLik)
oneUpdate <- function(A, n, K, theta.old, thresh = 0.1) {</pre>
    theta.old1 <- theta.old
    Theta.old <- theta.old %*% t(theta.old)
    L.old <- 11(Theta.old, A)
    q \leftarrow array(0, dim = c(n, n, K))
    for (i in 1:n) {
        for (j in 1:n) {
             for (z in 1:K) {
                 if (theta.old[i, z]*theta.old[j, z] == 0){
                     q[i, j, z] \leftarrow 0
                     } else {
                         q[i, j, z] \leftarrow theta.old[i, z]*theta.old[j, z] /
                              Theta.old[i, j]
```

```
theta.new <- theta.old
    for (z in 1:K) {
        theta.new[,z] <- rowSums(A*q[,,z])/sqrt(sum(A*q[,,z]))
    Theta.new <- theta.new %*% t(theta.new)
    L.new <- 11(Theta.new, A)</pre>
    converge.check <- abs(L.new - L.old) < thresh</pre>
    theta.new <- theta.new/rowSums(theta.new)</pre>
    return(list(theta = theta.new, loglik = L.new,
                 converged = converge.check))
# initialize the parameters at random starting values
temp <- matrix(runif(n*K), n, K)</pre>
theta.init <- temp/rowSums(temp)</pre>
#############
## My version of the function
11Me <- function(Theta, A) {</pre>
    #calculate loglikelihood
    logLik <- sum(log(Theta[which(A==1, arr.ind=T)])) - sum(Theta)</pre>
    return(logLik)
oneUpdateMe <- function(A, n, K, theta.old, thresh = 0.1) {</pre>
    Theta.old <- tcrossprod(theta.old)</pre>
    L.old <- llMe(Theta.old, A)</pre>
    Theta.new <- tcrossprod(</pre>
                     sapply(1:K, function(z) {
                          q_z <- matrix(theta.old[ , z] %o% t(theta.old[ , z]),</pre>
                                           ncol = n) /
                                           Theta.old
                     return(rowSums(A * q_z) / sqrt(sum(A * q_z)))
                          }))
    L.new <- llMe(Theta.new, A)</pre>
    return(list(theta = theta.old, loglik = L.new,
                 converged = FALSE))
```

```
oneUpdateMe2 <- function(A, n, K, theta.old, thresh = 0.1) {
    theta.old <- theta.init
    Theta.old <- tcrossprod(theta.old)</pre>
    L.old <- llMe(Theta.old, A)
    #Theta.new <- tcrossprod(</pre>
                      sapply(1:K, function(z) {
                          q_z \leftarrow matrix(theta.old[, z] \%o\% t(theta.old[, z]),
    #
                                          ncol = n) /
    #
                                           Theta.old
    #
                          return(rowSums(A * q_z) / sqrt(sum(A * q_z)))
    Theta.new <- tcrossprod(</pre>
                     vapply(1:K, FUN.VALUE = numeric(500), function(z) {
                         q_z <- matrix(theta.old[ , z] %o% t(theta.old[ , z]),</pre>
                                         ncol = n) /
                                         Theta.old
                         return(rowSums(A * q_z) / sqrt(sum(A * q_z)))
                         }))
    q_z <- vapply(1:K, FUN.VALUE = numeric(250000), function(z) {</pre>
                        matrix(theta.old[ , z] %o% t(theta.old[ , z]),
                                         ncol = n) /
                                         Theta.old })
            vapply(1:K )
    L.new <- llMe(Theta.new, A)
    return(list(theta = theta.old, loglik = L.new,
                converged = FALSE))
# initialize the parameters at random starting values
temp <- matrix(runif(n * K), n, K)</pre>
theta.init <- temp/rowSums(temp)</pre>
# benchmark student version vs. my version
require(microbenchmark)
microbenchmark(out <- oneUpdate(A, n, K, theta.init), #student's verions</pre>
               outMe <- oneUpdateMe(A, n, K, theta.init), #my version</pre>
               times = 20L, unit = "s")
                                                     #avg. over 20 iterations
## Unit: seconds
##
                                          expr
                                                      min
        out <- oneUpdate(A, n, K, theta.init) 8.3034210 8.615452 9.025107
##
## outMe <- oneUpdateMe(A, n, K, theta.init) 0.7965409 0.867914 1.001540
                            max neval
       median
                   uq
## 8.8505975 9.592912 10.03484
## 0.9279685 1.013755 1.65792
microbenchmark(out <- oneUpdateMe(A, n, K, theta.init), #student's verions</pre>
```

```
outMe <- oneUpdateMe2(A, n, K, theta.init), #my version
    times = 20L, unit = "s")

## Error in match.fun(FUN): argument "FUN" is missing, with no default

#check to see if loglik values match between versions
out$loglik

## [1] -41666.03

out$loglik == outMe$loglik

## [1] TRUE</pre>
```

My code changes:

- Removed: theta.old1 <- theta.old
- Removed:  $q \leftarrow array(0, dim = c(n, n, K))$
- crossprod(theta.old) instead of theta.old %\*% t(theta.old)
- Combined calculating array q with for loops and Theta.new into one sapply() indexing through z-dimension.

With these changes, I was able to speed up the liklihood function by about a factor of 10. Further improvements might be made with use of vapply instead of sapply, but I ran out of time to implement.

### 4

4.1 This is a variation on Challenge 8 in Section 7.3 of Unit 4. The goal is to write a function to sample k values without replacement ...

```
unique(as.integer(runif(k * 1.1, min = 1, max = length(x))))[1:k]
############
# test that PIKK methods
require(testthat)
#test paramters
x <- 1:10000
k \leftarrow length(x) * 0.05
#run test code
PIKK.out <- PIKK(x, k)
PIKK.me1.out <- PIKK.me1(x, k)
PIKK.me2.out <- PIKK.me2(x, k)
#view output
head(PIKK.out)
## [1] 2151 7621 1881 2265 2078 5140
head(PIKK.me1.out)
## [1] 6886 2782 6776 5779 5264 4758
head(PIKK.me2.out)
## [1] 8179 9103 6495 1497 100 9537
#testing function
test_that("Output is an integer vector of length(k)", {
    expect_that(PIKK.out, is_a("integer"))
                                                   #is integer
    expect_that(PIKK.me1.out, is_a("integer"))
                                                   #is integer
    expect_that(PIKK.me2.out, is_a("integer"))
                                                  #is integer
    expect_equal( length(PIKK.out), k)
                                                   \#length == k
    expect_equal(length(PIKK.out),
                                                    #output lengths match
                 length(PIKK.me1.out),
                 length(PIKK.me2.out)
})
#############
#Benchmark sampling methods
#wrapper function
benchMeanWrapper <- function(..., n, k, times, units) {</pre>
    x <- 1:n #set vector to sample from
    expr <- list(paste(..., sep = ",")) #get list expressions</pre>
```

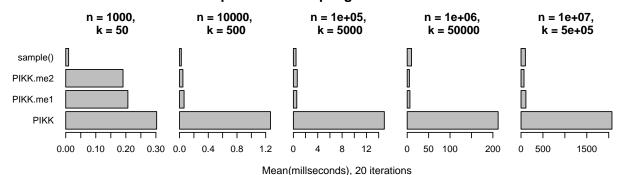
```
#create benchmark expression
    benchExpr <- paste("microbenchmark(", expr,</pre>
                        ", times = times,", "unit = units)")
    #eval benchmark expressions
    bench <- eval(parse(text = benchExpr))</pre>
    #return mean of iterations
    return(summary(bench)$mean)
#benchmark expressions with different n and k values and
n <- c(1e3, 1e4, 1e5, 1e6, 1e7)
kn_ratio \leftarrow c(0.05, 0.25, 0.5, 0.75)
# k:n=1:20
bench_5pp <- mapply("PIKK(x, k)", "PIKK.me1(x, k)",</pre>
                     "PIKK.me2(x, k)", "sample(x, k)",
                     n = n, k = n * kn_ratio[1], times = 20L, units = "ms",
                     FUN = benchMeanWrapper, SIMPLIFY = T)
# k:n=1:4
bench_25pp <- mapply("PIKK(x, k)", "PIKK.me1(x, k)",</pre>
                     "PIKK.me2(x, k)", "sample(x, k)",
                    n = n, k = n * kn_ratio[2], times = 20L, units = "ms",
                     FUN = benchMeanWrapper, SIMPLIFY = T)
# k:n=1:2
bench_50pp <- mapply("PIKK(x, k)", "PIKK.me1(x, k)",</pre>
                     "PIKK.me2(x, k)", "sample(x, k)",
                     n = n, k = n * kn_ratio[3], times = 20L, units = "ms",
                     FUN = benchMeanWrapper, SIMPLIFY = T)
# k:n=3:4
bench_75pp <- mapply("PIKK(x, k)", "PIKK.me1(x, k)",</pre>
                     "PIKK.me2(x, k)", "sample(x, k)",
                     n = n, k = n * kn_ratio[4], times = 20L, units = "ms",
                     FUN = benchMeanWrapper, SIMPLIFY = T)
```

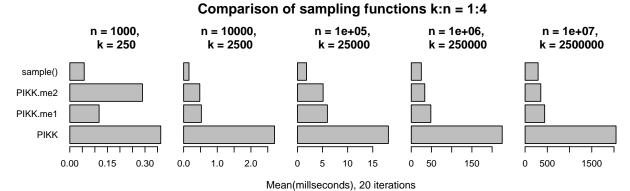
Table 1: Average runtime for sampling functions

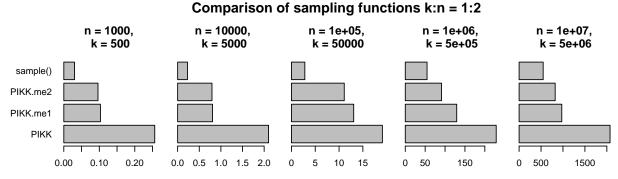
Table 1: Average runtime for sampling functions					
n	kn_ratio	PIKK	PIKK.me1	PIKK.me2	sample()
1000	0.05	0.303	0.207	0.191	0.010
1000	0.25	0.363	0.117	0.290	0.058
1000	0.5	0.256	0.103	0.096	0.030
1000	0.75	0.217	0.119	0.101	0.038
1e+04	0.05	1.267	0.063	0.047	0.025
1e+04	0.25	2.703	0.527	0.480	0.159
1e+04	0.5	2.102	0.807	0.794	0.227
1e+04	0.75	1.710	1.087	0.921	0.249
1e+05	0.05	14.927	0.562	0.639	0.440
1e + 05	0.25	18.113	5.965	5.117	1.805
1e + 05	0.5	19.101	13.074	11.095	2.813
1e + 05	0.75	14.279	14.279	11.470	2.695
1e+06	0.05	212.277	6.388	5.064	10.229
1e + 06	0.25	225.024	48.401	33.390	24.907
1e + 06	0.5	228.619	129.356	91.190	54.773
1e + 06	0.75	194.788	129.295	116.454	42.718
1e+07	0.05	2073.781	111.529	68.122	99.461
1e + 07	0.25	2046.066	440.257	353.139	293.116
1e + 07	0.5	2072.891	973.011	822.627	548.917
1e + 07	0.75	2110.236	1455.055	1229.538	702.065

```
else {" "})
mtext("Comparison of sampling functions k:n = 1:20",
      side = 3, outer = T, font = 2)
mtext("Mean(millseconds), 20 iterations ",
      side = 1, outer = T, font = 1, cex = 0.75)
par(mfrow = c(1, 5), mar = c(3,1,4,1), oma = c(2, 5, 2, 0))
for (i in 1:5) {
    barplot(bench_25pp[ , i], horiz = T,
            ylab = "",
            main = paste0("n = ", n[i], ", n k = ", n[i] * 0.25),
            las = 1, cex.names = 1,
            names.arg = if(i==1) {c("PIKK", "PIKK.me1",
                              "PIKK.me2", "sample()")}
            else {" "})
mtext("Comparison of sampling functions k:n = 1:4",
      side = 3, outer = T, font = 2)
mtext("Mean(millseconds), 20 iterations ",
      side = 1, outer = T, font = 1, cex = 0.75)
par(mfrow = c(1, 5), mar = c(3,1,4,1), oma = c(2, 5, 2, 0))
for (i in 1:5) {
    barplot(bench_50pp[ , i], horiz = T,
            ylab = "",
            main = paste0("n = ", n[i], ", n k = ", n[i] * 0.5),
            las = 1, cex.names = 1,
            names.arg = if(i==1) {c("PIKK", "PIKK.me1",
                              "PIKK.me2", "sample()")}
            else {" "})
mtext("Comparison of sampling functions k:n = 1:2",
      side = 3, outer = T, font = 2)
mtext("Mean(millseconds), 20 iterations ",
      side = 1, outer = T, font = 1, cex = 0.75)
#0.75
par(mfrow = c(1, 5), mar = c(3,1,4,1), oma = c(2, 5, 2, 0))
for (i in 1:5) {
    barplot(bench_75pp[ , i], horiz = T,
            ylab = "",
            main = paste0("n = ", n[i], ", n k = ", n[i] * 0.75),
            las = 1, cex.names = 1,
            names.arg = if(i==1) {c("PIKK", "PIKK.me1",
                              "PIKK.me2", "sample()")}
            else {" "})
mtext("Comparison of sampling functions k:n = 3:4",
      side = 3, outer = T, font = 2)
mtext("Mean(millseconds), 20 iterations ",
```

# Comparison of sampling functions k:n = 1:20







Mean(millseconds), 20 iterations

### Comparison of sampling functions k:n = 3:4n = 10000, n = 1000,n = 1e + 05,n = 1e + 06,n = 1e + 07, $k = 750^{\circ}$ k = 7500k = 75000k = 750000k = 7500000sample() PIKK.me2 PIKK.me1 PIKK 0.00 0.10 0.20 0.0 0.5 0 8 12 0 50 100 150 0 500 1500 1.0 1.5 4 Mean(millseconds), 20 iterations

Both of my verions of PIKK are faster than the default version. The second version, PIKK.me2 is approximately as fast as sample(), and for some values of n, and k, PIKK.me2 is faster than sample(). PIKK.me2 gets more efficient as n increases. All methods slow down with ratio of k:n increases. Sample appear to decrease in efficiency as n and k get get large.

# 4.2 Extra credit:

My function PIKK.me2 is almost as fast as sample() and qualifies for extra credit because of its speed.