

# A Survey on Hash Functions and Collision Attacks

Yan Dai and Ziqian Zhong

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# History <sup>1</sup>

- 1990. MD4 published.
- 1992. MD5 published.
- 1993. SHA-0 published.
- 1995. SHA-1 published. H. Dobbertin reports collisions in MD4 within seconds.
- 1996. H. Dobbertin reports collisions in the MD5 compression function.
- 2004. X. Wang's team reports collisions in MD5 in a few hours.
- 2005. X. Wang's team reports a  $2^{69}$ -cost collision attack on SHA-1.
- 2007. Stevens et al. show chosen-prefix collision attacks on MD5.
- 2017. Stevens et al. report collisions in SHA-1.
- 2019. G. Leurent et al. report chosen-prefix collision attacks in SHA-1 are only a few times more expensive than identical ones.
- 2020. G. Leurent et al. report the first chosen-prefix collision attack on SHA-1. (*unpublished manuscript*)

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<sup>1</sup><https://crypto.stackexchange.com/questions/60640/does-shattered-actually-show-sha-1-signed-certificates-are-unsafe>

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Following these works, we studied properties of hash functions and collision attacks on hash functions. The survey is consisted of three parts.

- In the first part, we introduce hash functions and their properties. We also prove two theorems about the properties of hash functions.
- In the second part, we focus on the constructions of hash functions.
- In the last part, we study the collision attacks made on MD5 and SHA-1, and demonstrate a MD5 collision attack.

# Definition

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*A hash function is a function mapping a space  $\mathcal{M}$  to another space  $\mathcal{T}$ . Here, we call the space  $\mathcal{M}$  as message space, and space  $\mathcal{T}$  as digest space.*

# Properties

## Definition

For a hash function or a family of hash functions  $F : \mathcal{M} \rightarrow \mathcal{T}$ , we can define the following three properties of it:

- ➊ **Preimage resistant** (onewayness): Randomly given  $t = F(m)$ , there's only negligible chance for an efficient algorithm to find  $m'$  so that  $F(m') = t$ .
- ➋ **Second preimage resistant**: Randomly given  $m$ , there's only negligible chance for an efficient algorithm to find  $m' \neq m$  so that  $F(m') = F(m)$ .
- ➌ **Collision resistant**: There's only negligible chance for an efficient algorithm to find two different  $m, m'$ -s so that  $F(m') = F(m)$ .



# Theorem 1

## Theorem

*If a hash function  $H: \mathcal{M} \rightarrow \mathcal{T}$  is second preimage resistant, where  $|\mathcal{M}|$  is infinite and  $|\mathcal{T}|$  is finite, it must be one-way.*

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Counterexample without the restriction on the size of  $|\mathcal{M}|$  and  $|\mathcal{T}|$ :

$$T(x) = \begin{cases} 0 \| x[k+1 : n] & , x[1 : k] = 0^k \\ 1 \| G(x) & , \text{otherwise} \end{cases}$$

## Theorem 2

### Theorem

*If a hash function  $H: \mathcal{M} \rightarrow \mathcal{T}$  is collision resistant, it must be second preimage resistant.*

# Merkle-Damgård Paradigm

- Introduced by R.C. Merkle in 1979.



$$t_i = \begin{cases} IV, & i = 0 \\ f(m_i, t_{i-1}), & 1 \leq i \leq \ell \end{cases}$$

$$F(m_1 \| m_2 \| \cdots \| m_\ell, IV) = t_\ell$$

- Proved independently by R.C. Merkle and I. Damgård in 1989.

# MD5 Message-digest Algorithm

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  2. Partitioning.
  3. Processing.

# MD5 Message-digest Algorithm (cont'd)

- Initial vector:

$$IV = (67452301_{16}, EFCDAB89_{16}, 98BADCFE_{16}, 10325476_{16})$$

- 64 steps, split into 4 rounds consisting of 16 steps each.
- Non-linear function:

$$f_t(X, Y, Z) = \begin{cases} (X \wedge Y) \oplus (\bar{X} \wedge Z), & 0 \leq t < 16 \\ (Z \wedge X) \oplus (\bar{Z} \wedge Y), & 16 \leq t < 32 \\ X \oplus Y \oplus Z, & 32 \leq t < 48 \\ Y \oplus (X \vee \bar{Z}), & 48 \leq t < 64 \end{cases}$$

- Expand message:

$$W_t = \begin{cases} m_t, & 0 \leq t < 16 \\ m_{(1+5t) \bmod 16}, & 16 \leq t < 32 \\ m_{(5+3t) \bmod 16}, & 32 \leq t < 48 \\ m_{7t \bmod 16}, & 48 \leq t < 64 \end{cases}$$

# MD5 Message-digest Algorithm (cont'd)

- Addition constant:  $AC_t = \lfloor 2^{32} \cdot |\sin(t+1)| \rfloor$ .
- Rotation constant:  $RC_t$ .
- In the  $t$ -th step,  $Q_{t+1}$  is computed as:

$$F_t = f_t(Q_t, Q_{t-1}, Q_{t-2})$$

$$T_t = F_t + Q_{t-3} + AC_t + W_t$$

$$R_t = T_t \ll RC_t$$

$$Q_{t+1} = Q_t + R_t$$

- $\text{MD5Compress}(B, t) = (a + Q_{61}, b + Q_{64}, c + Q_{63}, d + Q_{62})$ .



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# Secure Hash Algorithm 1 (cont'd)

- Expand message: For all  $16 \leq i < 80$ ,

$$m_i = (m_{i-3} \oplus m_{i-8} \oplus m_{i-14} \oplus m_{i-16}) \lll 1$$

- Boolean function  $\varphi_i$ , see Table 2.1.
- Constant  $K_i$ , see Table 2.1.
- For all  $0 \leq i < 80$ :

$$A_{i+1} = (A_i \lll 5) + \varphi_i(A_{i-1}, A_{i-2} \lll 2, A_{i-3} \lll 2) + (A_{i-4} \lll 2) + K_i + m_i$$

- Calculate  $\text{SHA1Compress}(B, t)$  as:

$$(a + A_{80}, b + A_{79}, c + (A_{78} \lll 2), d + (A_{77} \lll 2), e + (A_{76} \lll 2))$$

# Secure Hash Algorithm 1 (cont'd)

**Table:** Boolean functions and constants in SHA-1 Compression Function

Step $i$	$\varphi_i(X, Y, Z)$	$K_i$
$0 \leq i < 20$	$\varphi_{\text{IF}} = (X \wedge Y) \vee (\bar{X} \wedge Z)$	0x5A827999
$20 \leq i < 40$	$\varphi_{\text{XOR}} = X \oplus Y \oplus Z$	0x6ED9EBA1
$40 \leq i < 60$	$\varphi_{\text{MAJ}} = (X \wedge Y) \vee (X \wedge Z) \vee (Y \wedge Z)$	0x8F1BBCDC
$60 \leq i < 80$	$\varphi_{\text{XOR}} = X \oplus Y \oplus Z$	0xCA62C1D6

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*For some chosen initial sequence  $A$  (usually consisted of some blocks), the identical prefix attack needs to find two sequences of equal length  $B$  and  $B'$  (usually consisted of some blocks), so that  $H(A\|B) = H(A\|B')$ .*

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# Methodology

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- Multiple Message Blocks.



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- Two messages consisting of two blocks each.
- Differential paths.
  - Binary signed digit representation:

$$\Delta x = \sum_{i=0}^{31} k_i 2^i$$

- Difference in IHVs:

$$\delta \text{IHV}_{k+1} = (2^{31}, 2^{31} + 2^{25}, 2^{31} + 2^{25}, 2^{31} + 2^{25})$$

- Difference in first blocks:

$$\delta m_4 = 2^{31}, \delta m_{11} = 2^{15}, \delta m_{14} = 2^{31}$$

# MD5 Collision Attack by Wang et al. (cont'd)

- Sufficient conditions:

Symbol	Condition on $Q_t[i]$	direct or indirect
.	none	direct
0	$Q_t[i] = 0$	direct
1	$Q_t[i] = 1$	direct
$\wedge$	$Q_t[i] = Q_{t-1}[i]$	indirect
!	$Q_t[i] = \overline{Q_{t-1}[i]}$	indirect

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- Multi-message modification.

# An Example of Multiple Modification

Suppose that  $Q_{17}[33]$  is now 1 instead of 0, we can correct it by modifying  $m_1, m_3, \dots, m_5$ :

- 1  $\hat{m}_1 \leftarrow m_1 + 2^{26}$ , getting a new  $\hat{Q}_2$ .
- 2  $\hat{m}_2 \leftarrow ((Q_3 - \hat{Q}_2) \gg 17) - Q_{-1} - F(\hat{Q}_2, Q_1, Q_0) - AC_2$ .
- 3  $\hat{m}_3 \leftarrow ((Q_4 - Q_3) \gg 22) - Q_0 - F(Q_3, \hat{Q}_2, Q_1) - AC_3$ .
- 4  $\hat{m}_4 \leftarrow ((Q_5 - Q_4) \gg 7) - Q_1 - F(Q_4, Q_3, \hat{Q}_2) - AC_4$ .
- 5  $\hat{m}_5 \leftarrow ((Q_6 - Q_5) \gg 12) - \hat{Q}_2 - F(Q_5, Q_4, Q_3) - AC_5$ .

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Successful as there is no condition on  $Q_2$ !

# Improvements

- Improvements by V. Klima by using:

$$m_t = ((Q_{t+1} - Q_t) \gg \text{RC}_t) - f_t(Q_t, Q_{t-1}, Q_{t-2}) - Q_{t-3} - \text{AC}_t$$

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- Tunnels by V. Klima.
- More differential paths constructed by M. Stevens.

# An Example of the Improvements by M. Stevens

- ①  $\delta R_4$  should be  $-2^6$  instead of  $2^6$ .
- ②  $\Delta T_4 = -2^{31}$ .
- ③  $T_4[31] = 1$ .
- ④  $Q_4[6] = Q_5[6] = 0, Q_5[5] = 1$ .

# An Example Tunnel: $Q_9$ -tunnel

**Table:** Conditions for  $Q_9$ ,  $Q_{10}$  and  $Q_{11}$

$t$	Conditions on $Q_t[31], Q_t[30], \dots, Q_t[0]$
9	11111011 ... 10000 0.1~1111 00111101
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- If  $Q_{11}[22] = 1$ ,  $F_{11}[22] = f_{11}(Q_{11}[22], Q_{10}[22], Q_9[22]) = (Q_{11}[22] \wedge Q_{10}[22]) \oplus (\overline{Q_{11}[22]} \wedge Q_9[22]) = Q_{10}[22]!$

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- If  $Q_{10}[22] = 0$ ,  $F_{10}[22] = f_{10}(Q_{10}[22], Q_9[22], Q_8[22]) = (Q_{10}[22] \wedge Q_9[22]) \oplus (\overline{Q_{10}[22]} \wedge Q_8[22]) = Q_8[22]!$



# Collision Finding Algorithm

- 1 Randomly choose  $Q_1, Q_3, Q_4, \dots, Q_{16}$  fulfilling conditions, and then calculate  $m_0, m_6, m_7, \dots, m_{15}$ .
- 2 Repeat choosing  $Q_{17}$  fulfilling conditions, until  $Q_{18}, Q_{19}, Q_{20}, Q_{21}$  are fulfilling conditions.
- 3 Use tunnels  $\mathcal{T}(Q_9, m_{10}), \mathcal{T}(Q_9, m_9)$  and  $\mathcal{T}(Q_{10}, m_{10})$ , until conditions on  $Q_{22}, Q_{23}, \dots, Q_{64}, T_{22}, T_{34}$  and the IHV-conditions for the next block are fulfilled.

# A Demo

Introduction to Cryptography

MD5 Collision Demo

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Introduction to Cryptography

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MD5 digest value: `ec040305ffffbf4c3e7aeed84bffc77ed`, found in 35 seconds on Intel(R) Core(TM) i7-5600U CPU @ 2.60GHz (single thread).

## Another Demo

```
diamond@MacBook ~ ➤ md5sum message1.php message2.php
24d06a156fb8d39b70fcce797e6ea76f message1.php
24d06a156fb8d39b70fcce797e6ea76f message2.php
diamond@MacBook ~ ➤ php message1.php
GOOD
diamond@MacBook ~ ➤ php message2.php
BAD
```

Two PHP files of the following format:

```
PADDING if (message1 == message1) GOOD else BAD
```

```
PADDING if (message2 == message1) GOOD else BAD
```

MD5 digest value: 24d06a156fb8d39b70fcce797e6ea76f, found in 20 seconds on Intel(R) Core(TM) i7-5600U CPU @ 2.60GHz (single thread).

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- We call this introducing a local collision on bit  $b$  at position  $t$ . Different local collisions can overlap and interact with each other.

# Disturbance Vector

We denote the difference of the two messages words (without signs) be  $\{DW_t\}_{t=0}^{79}$  and we define the *disturbance vector*  $\{DV_t\}_{t=0}^{79}$ , where the  $2^b$  bit of  $DV_t$  is 1 if and only if there is a local collision starting in position  $t$  in bit  $b$ .

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By definition, we have

$$DW_t = DV_t \oplus (DV_{t-1} \ggg 5) \oplus DV_{t-2} \oplus (DV_{t-3} \ggg 30) \oplus \\ (DV_{t-4} \ggg 30) \oplus (DV_{t-5} \ggg 30)$$



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Therefore, we'll also have

$$DV_i = (DV_{i-3} \oplus DV_{i-8} \oplus DV_{i-14} \oplus DV_{i-16}) \lll 1$$

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- 2  $DV_{75} = DV_{76} = DV_{77} = DV_{78} = DV_{79} = 0$ . This is also necessary for the local collision to be complete.
- 3 For every bit  $b$  and  $i = 0, 1 \cdots 15$ , at most one of  $DV_i[b]$  and  $DV_{i+1}[b]$  is non-zero. The boolean function (namely  $IF$ ) in the first steps makes it impossible to modify these two positions together.

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- The first and the second restriction: constructing separately on the first few steps.
- The third restriction: using a two-block collision instead of using a single-block one.

With these restrictions removed, much better disturbance vectors can be found and thus lowering the attacking complexity.

# Disturbance Vector and Differential Path

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Notice that only adding restrictions to the difference positions is not efficient enough, and making such a path non-linear can facilitate the control on the messages.

Bit conditions can exist not only for the working states, but also for the messages.

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- $II(i, b)$ :  $DV_{i+1} = DV_{i+3} = 2^{(b+31) \bmod 32}$ ,  $DV_{i+15} = 2^b$ , and  $DV_i = DV_{i+2} = DV_{i+4} = DV_{i+5} = \dots = DV_{i+14} = 0$



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It's complicated to analyze the exact impact of every interaction. Try to trace the success probability of all possible differential paths instead. *Optimal joint local-collision analysis*, Stevens. A meet-in-the-middle approach is used and is accelerated by combining equivalent states.  $\Pi(52, 0)$  is shown to be the most efficient.

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Their methods are also based on a meet-in-the-middle approach. The path with the most number of degrees of freedom is chosen.

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- The first stage finds a message block that satisfy the bit relations up to round 33. This stage is the most complicated and need to be speeded up using message modification techniques described below.
- In the second stage, the message block is extended and verified.

# Message Modification Techniques

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Neutral bits' candidates can be found by expressing bit-relations as linear equations and explicitly constructing a solution.



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Extra tricks introduced.

First block computation on CPUs, second block computation on GPUs.

# Conclusion

In this survey, we studied properties of hash functions and proved two theorems about hash function. We also showed how an identical prefix collision for MD5 and SHA-1 can be produced with differential cryptanalysis. We hope that the method used to analyse these two hash functions can provide useful insights on analyzing and designing hash functions.

Thank You!