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Abstract

Hybrid satellite aerial terrestrial networks (HSATNs) are a promising solution towards tackling coverage and mobility issues in sixth generation (6G) networks. This paper presents a novel transmission scheme in the context of HSATNs, which allows pairs of terrestrial users to be simultaneously served via the satellite and the aerial base station (ABS). To this aim, non-orthogonal multiple access (NOMA) technique is adopted, at the ABS, by applying a rule-based hybrid NOMA and orthogonal multiple access (OMA) schemes. Comparisons between a standalone NOMA-based satellite transmission and the proposed method, reveal that significant sum-rate gains can be harvested under varying system parameters.

Keywords: keyword 1; keyword 2; keyword 3 (List three to ten pertinent keywords specific to the article; yet reasonably common within the subject discipline.)

1. Introduction

The sixth generation (6G) network has already been commenced as a new research initiative, following on from the evolving 5G network. This new type of network environments sophisticated device-to-device (D2D) and machine-to-machine (M2M) communications, innovative artificial intelligence (AI) techniques, Internet of Everything (IoE) applications connecting people, data, things through processes. Interoperability and convergence between terrestrial and satellite infrastructures will be a prerequisite in the 6G networking. Also, the new space era of non-terrestrial networks (NTNs) comprising of aerial networks, low Earth orbit (LEO) and especially very low Earth orbit (VLEO) satellites has emerged, enabling the path to 6G evolution.

Hybrid satellite-terrestrial networks (HSTNs) have been proposed as an efficient approach towards improving spectral efficiency and increasing the users' reliability all over the world. The available spectrum resources of these networks can be further exploited by adopting the non-orthogonal multiple access (NOMA) principle. However, in the absence of line-of-sight (LoS) conditions, the performance of satellite communication systems is severely deteriorating.

In the context of HSTNs, the unmanned aerial vehicle (UAV)-based communications are expected to improve the performance in various ways. In general, the UAV-aided NOMA schemes have gained the interest of the academia and the industry for various applications in the beyond 5G networks. Focusing on the scenario where the UAVs are adopted in satellite terrestrial communication networks, they could be employed in the NOMA scheme as an intermediate node for increasing the possibility of obtaining LoS conditions.

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All-in-all, and to the best of our knowledge, no studies can be found related to the intelligent integration of aerial and satellite segments in 6G networks by utilizing a hybrid NOMA and orthogonal multiple access (OMA) transmission schemes. Motivated by this observation, in this paper, we propose a novel satellite aerial terrestrial cooperative network scheme, which will be termed SATCON. More specifically, SATCON is formed with the integration of aerial base station (ABS) in the existing satellite network by efficiently combining two unsupervised machine learning (ML) algorithms, namely k-means and k-medoids. Furthermore, the proposed system promotes efficient cooperation between ABS and satellite through an innovative hybrid NOMA/OMA approach, avoiding the waste of resources and energy. Compared to standalone satellite NOMA transmission with optimal user pairing, namely SAT-NOMA, SATCON increases the sum rate and, at the same time, provides higher spectral efficiency for varying satellite channel conditions and ABS bandwidth.

2. Materials and Methods

2.1. UAV-Assisted Downlink Transmission

As shown in Figure 1, the considered SAGIN consists of U UAVs denoted by $\mathcal{U} = 1, 2, \dots, U$ and S LEO satellites indicated by $\mathcal{S} = 1, 2, \dots, S$. In addition, K IoT devices randomly scattered on the ground are represented as $\mathcal{K} = 1, 2, \dots, K$.

In the considered downlink architecture, Internet-of-Things (IoT) devices may either receive data directly from a low Earth orbit (LEO) satellite or be served via an unmanned aerial vehicle (UAV) acting as a decode-and-forward relay. In the latter case, the UAV receives and decodes the satellite downlink signals over the satellite-to-air (S2A) link and forwards the decoded information to ground devices through the air-to-ground (A2G) link.

Power-domain NOMA multiplexes multiple users on the same time–frequency resource block via superposition coding with different power allocation coefficients. Within this framework, the satellite performs NOMA user pairing based on the satellite–user channel conditions and transmits power-domain superimposed downlink signals to each paired user group. Since the unmanned aerial vehicle (UAV) is located within the satellite coverage area, it can also receive and decode the same superimposed signals via the satellite-to-air (S2A) link. In this context, whether the UAV can effectively enhance the downlink performance depends on the transmission capability of its forwarding link. Therefore, the potential benefit of UAV-assisted transmission is determined by the quality of the air-to-ground (A2G) links between the UAV and the ground users.

Accordingly, the UAV evaluates whether auxiliary relaying should be activated and selects the appropriate transmission mode, i.e., NOMA or orthogonal multiple access (OMA), based on the A2G channel conditions. UAV-assisted transmission is enabled only when the achievable downlink rate via the UAV exceeds that of the direct satellite link. Specifically, if a considered user pair can achieve higher downlink rates under the UAV-side NOMA pairing policy, the UAV adopts NOMA transmission to serve this pair. Otherwise, if only a single user benefits from UAV relaying, the UAV selectively serves this user using OMA transmission, while the remaining users continue to be served directly by the satellite.

2.2. Downlink Transmission Channel Quality Indicator

The satellite performs downlink NOMA user pairing based on the channel quality of the satellite-to-ground (S2G) links. In contrast, the ABS decides whether to participate in decode-and-forward relaying and determines the corresponding transmission mode (NOMA or OMA) mainly according to the air-to-ground (A2G) channel conditions.

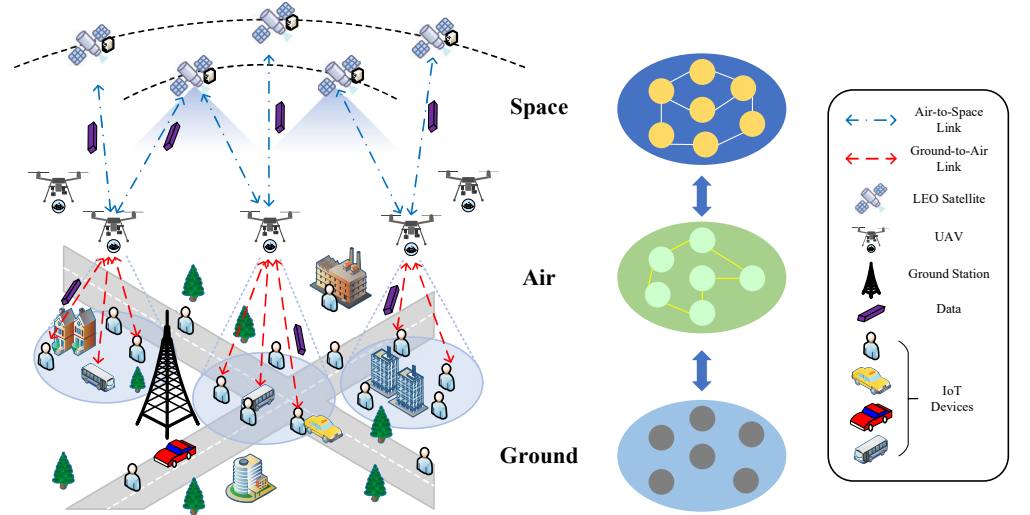


Figure 1. Illustration of the uplink communication procedure in SAGIN, where UAVs act as aerial aggregators to collect data from spatially distributed IoT devices and subsequently offload the aggregated data to LEO satellites.

Specifically, the S2G and A2G links are characterized by the channel quality indicator Γ , which incorporates small-scale fading effects and is mainly used for user ordering and decision making.

For the satellite transmission, the land mobile satellite (LMS) channel between the satellite and ground IoT k ($1 \leq k \leq 2K$) is characterized by the complex channel coefficient h_k^s , which captures the small-scale fading effects. Accordingly, the corresponding normalized satellite downlink channel quality indicator (CQI) is defined as

$$\Gamma_k^s = \frac{G_t^s G_r^s}{L_k^{\text{FS}} N_s} |h_k^s|^2, \quad (1)$$

where G_t^s and G_r^s denote the satellite transmit and user receive antenna gains, respectively, L_k^{FS} represents the free-space path loss of the satellite-to-ground link for user k , N_s is the noise power at the ground user receiver, and $|h_k^s|^2$ denotes the instantaneous power gain of the LMS channel.

Based on the defined CQI, the satellite performs NOMA user ordering and pairing to enable power-domain multiplexing. Specifically, the IoTs are first sorted in ascending order according to their CQI values

$$\Gamma_1^s \leq \Gamma_2^s \leq \dots \leq \Gamma_{2K}^s. \quad (2)$$

Following the optimal NOMA user pairing strategy in [9], this pairing strategy exploits the CQI disparity among users to enhance spectral efficiency while maintaining fairness in NOMA transmission. The satellite pairs the k -th weakest user with the $(2K - k + 1)$ -th strongest user. As a result, each NOMA pair consists of a weak satellite-channel user and a strong satellite-channel user, denoted by IoT_i^s and IoT_j^s , respectively, satisfying $\Gamma_j^s \geq \Gamma_i^s$. We adopt the standard power-domain NOMA model with superposition coding at the transmitter and successive interference cancellation (SIC) at the receivers. Since SIC is not the focus of this work, ideal SIC is assumed and the corresponding achievable rates and power allocation coefficients follow the conventional NOMA expressions.

For the ABS-assisted transmission, the air-to-ground (A2G) channel between the ABS and ground user k ($1 \leq k \leq K$) is characterized by the complex channel coefficient h_k^d , which captures the small-scale fading effects of the A2G link. By incorporating the ABS and user antenna gains, the A2G path loss dependent on the ABS altitude h and the horizontal

distance r_k , as well as the receiver noise power, the corresponding normalized A2G CQI is defined as

$$\Gamma_k^d = \frac{G_t^d G_r^d}{L_k^{\text{A2G}}(h, r_k) N_d} |h_k^d|^2. \quad (3)$$

where G_t^d and G_r^d denote the ABS transmit and user receive antenna gains, respectively, $L_k^{\text{A2G}}(h, r_k)$ represents the A2G path loss as a function of the ABS altitude h and the horizontal distance r_k , N_d is the receiver noise power associated with the A2G link, and $|h_k^d|^2$ denotes the instantaneous power gain.

The defined A2G CQI will be subsequently used by the ABS to evaluate the potential performance gain of relay-assisted transmission by characterizing the quality of the air-to-ground link. Specifically, a higher A2G CQI indicates more favorable access conditions between the ABS and the ground users, making relay-assisted transmission more likely to outperform direct satellite transmission and thus influencing the selection of the corresponding transmission mode.

2.3. Downlink Transmission Constraints and Bottlenecks

Before forwarding the satellite signals to the ground users, the ABS must successfully receive and decode the superimposed downlink signals over the satellite-to-air (S2A) backhaul link. As a result, the S2A link imposes a fundamental constraint on the achievable performance of ABS-assisted transmission and constitutes a key bottleneck in the downlink.

To characterize this bottleneck, the quality of the S2A link is described by the following normalized backhaul channel parameter

$$\Lambda_{sd} = \frac{G_t^s G_r^{sd}}{L_{sd}^{\text{FS}} N_{sd}}, \quad (4)$$

where G_t^s and G_r^{sd} denote the satellite transmit and ABS receive antenna gains, respectively, L_{sd}^{FS} represents the free-space path loss of the S2A link, and N_{sd} is the receiver noise power at the ABS.

It is worth noting that, regardless of the quality of the air-to-ground (A2G) access links, the end-to-end downlink rate achievable via ABS-assisted transmission is upper-bounded by the decoding capability of the S2A backhaul link. Consequently, the S2A link acts as a bottleneck that limits the effectiveness of relay-assisted downlink transmission.

The above modeling framework establishes the downlink transmission modes, channel quality indicators, and fundamental bottlenecks of the considered satellite-ABS-ground system, which together provide the basis for the subsequent algorithmic design and optimization.

It is worth noting that, although the S2A backhaul link constitutes a fundamental bottleneck for ABS-assisted downlink transmission, it is not directly optimized as an independent design variable. Instead, the proposed three-step framework implicitly accounts for this constraint through the joint design of transmission mode selection, S2A resource allocation, and ABS deployment. Specifically, inefficient relay-assisted transmission modes are avoided during the mode selection stage, the decode-and-forward bottleneck is eliminated through appropriate S2A resource allocation, and the impact of the backhaul constraint is further alleviated via ABS position optimization, thereby effectively mitigating the influence of the S2A bottleneck on system performance.

3. Algorithm Design and Implementation

3.1. Transmission Mode Selection

Although each user may theoretically receive downlink signals from both the satellite and the ABS, the transmission mode is determined at the ABS prior to downlink forwarding. This is because simultaneous transmission of multiple NOMA signals would result in unmanageable inter-layer interference and excessive backhaul and power consumption. Therefore, the ABS determines in advance whether and how to forward the satellite signals, ensuring that only beneficial transmissions are activated.

When ABS-OMA is selected for a user, the satellite continues its NOMA broadcast as the baseline transmission, while the ABS selectively forwards the decoded signal only to the intended user using OMA. The paired user is served exclusively by the satellite in that time slot.

Although ABS-OMA may result in a lower sum rate for the corresponding user pair within the same transmission interval compared to ABS-NOMA, it guarantees that the user served by the ABS achieves a higher rate than direct satellite transmission, while avoiding unnecessary forwarding for the other user.

In general, under a downlink transmission where the available bandwidth B is shared by K user pairs, the achievable rate of user k can be expressed in a system-level form as

$$R_k = \frac{B}{K} \log_2(1 + \text{SINR}_k), \quad (5)$$

where SINR_k captures the effect of power allocation and multi-user interference under the adopted transmission scheme.

After satellite NOMA pairing based on the CQI Γ_k^s , the downlink rate of user k under satellite transmission follows the expression in (5). Accordingly, the satellite downlink rate is denoted by R_k^s . For each satellite NOMA pair (i, j) with $\Gamma_i^s \leq \Gamma_j^s$, let $\beta_j^s \in (0, 1)$ denote the power allocation factor for the strong user. The corresponding SINRs are given by

$$\text{SINR}_i^s = \frac{(1 - \beta_j^s) P_s \Gamma_i^s}{\beta_j^s P_s \Gamma_i^s + 1}, \quad (6)$$

$$\text{SINR}_j^s = \beta_j^s P_s \Gamma_j^s, \quad (7)$$

where the strong user applies standard SIC to remove the weak user's signal prior to decoding its own message.

Similarly, for ABS transmission, the achievable access link rate of user k , denoted by R_k^d , follows the same rate expression in (5), with the ABS bandwidth B_d and the corresponding SINR_k^d determined by the A2G channel conditions.

Unlike satellite transmission, ABS forwarding is additionally constrained by the satellite-to-air (S2A) backhaul link. As a result, the effective downlink rates provided by the ABS under NOMA and OMA modes are given by

$$R_k^{dn} = \min(R_k^d, R_k^{sd}), \quad R_k^{do} = \min(R_k^o, R_k^{sd}), \quad (8)$$

where R_k^{sd} denotes the maximum decodable rate at the ABS over the S2A link.

From an optimization perspective, the greedy transmission mode selector aims to maximize the aggregate achievable rate of each ABS-formed user pair within the same transmission interval. Accordingly, the transmission mode is selected by comparing the pair-wise sum rates under all feasible modes.

For each ABS-formed user pair (u, v) , the ABS determines the transmission mode by comparing the achievable rates under different forwarding options

$$m^* = \arg \max_{m \in \mathcal{M}} (R_u^{(m)} + R_v^{(m)}), \quad (9)$$

where $\mathcal{M} = \{\text{SAT}, \text{ABS-NOMA}, \text{ABS-OMA-}u, \text{ABS-OMA-}v\}$ denotes the set of feasible transmission modes, and $R_u^{(m)}$ and $R_v^{(m)}$ represent the achievable downlink rates of users u and v , respectively, under transmission mode m .

Accordingly, the following four cases are considered

- **Case 1: ABS-assisted NOMA transmission.** If $R_u^{dn} > R_u^s$ and $R_v^{dn} > R_v^s$, the ABS serves the user pair using NOMA. In this case, both users are forwarded by the ABS and achieve rates R_u^{dn} and R_v^{dn} , respectively.
- **Case 2: ABS-assisted OMA transmission for user u .** If $R_u^{do} > R_u^s$ while $R_v^{dn} \leq R_v^s$, the ABS utilizes OMA and transmits only to user u during the time slot allocated to this pair. User u achieves a rate of R_u^{do} , whereas user v is served directly by the satellite.
- **Case 3: ABS-assisted OMA transmission for user v .** If $R_v^{do} > R_v^s$ while $R_u^{dn} \leq R_u^s$, the ABS serves only user v using OMA, and user u is served by the satellite.
- **Case 4: Satellite-only transmission.** Otherwise, ABS forwarding is not profitable for this user pair. Hence, the ABS remains silent and both users are served directly by the satellite in order to avoid unnecessary resource consumption.

It is worth emphasizing that the transmission mode selection is inherently performed at the level of ABS-formed user pairs. This is because a single ABS transmission action—whether employing NOMA, OMA, or remaining silent—is physically defined only with respect to the specific pair of users that the ABS simultaneously serves within a given transmission interval. As a result, the feasibility, decoding order, and achievable rates of an ABS transmission cannot be meaningfully evaluated outside the context of the corresponding ABS-formed pair.

Moreover, the role of the ABS in the framework is to enhance, rather than replace, the baseline satellite downlink. Accordingly, ABS forwarding is activated only when it can provide tangible rate improvements for the assisted users without compromising the overall downlink efficiency. Otherwise, the ABS remains silent to avoid unnecessary backhaul usage and transmission overhead.

The proposed greedy selector operates independently on each ABS-formed user pair, leading to a linear computational complexity with respect to the number of pairs. In contrast, an exhaustive search over all possible mode combinations incurs an exponential complexity and becomes infeasible even for a moderate number of user pairs. Therefore, the greedy strategy offers an attractive trade-off between performance and computational efficiency, making it suitable for practical implementations.

3.2. Resource allocation

After determining the transmission mode for all users, the S2A backhaul bandwidth is allocated to those users relying on the ABS-assisted relaying. Although users may be re-paired at the ABS side to facilitate access transmission, the S2A bandwidth allocation remains satellite-pair based, since the S2A link carries pre-formed satellite NOMA superimposed signals.

For any user utilizing ABS services, the achievable end-to-end downlink rate is constrained by

$$R_u^{\text{E2E}} = \min(R_u^{\text{A2G}}, R_u^{\text{S2A}}), \quad (10)$$

where R_u^{A2G} denotes the achievable access-link rate, and R_u^{S2A} denotes the achievable decoding rate of user u at the ABS over the S2A backhaul link. As a consequence, allocating excessive S2A bandwidth yields no further throughput gain once the S2A decoding rate exceeds the corresponding A2G rate, whereas insufficient S2A bandwidth directly degrades the achievable end-to-end performance.

Therefore, the objective of S2A resource allocation is not to maximize the S2A rate itself, but to allocate just enough backhaul bandwidth to eliminate the decode-and-forward (DF) bottleneck, while respecting the total satellite bandwidth constraint. Under this design, the end-to-end rate of each user forwarded by the ABS is no longer limited by the S2A link, but is instead entirely determined by the A2G transmission capability.

Under satellite NOMA transmission, the achievable S2A decoding rate of each user at the ABS depends on the allocated S2A bandwidth and the effective signal-to-interference-plus-noise ratio (SINR) experienced during decoding. Specifically, for the k -th satellite-side user pair, the S2A decoding rates of the strong and weak users can be expressed as

$$R_{k,j}^{\text{S2A}} = b_k \log_2(1 + \beta_{k,j} \gamma_s h_{s2a}), \quad (11)$$

$$R_{k,i}^{\text{S2A}} = b_k \log_2\left(1 + \frac{\beta_{k,i} \gamma_s h_{s2a}}{1 + \beta_{k,j} \gamma_s h_{s2a}}\right), \quad (12)$$

where b_k denotes the S2A bandwidth allocated to the k -th satellite-side user pair, γ_s represents the average satellite SNR, and h_{s2a} denotes the large-scale channel gain of the S2A link between the satellite and the ABS. The parameters $\beta_{k,j}$ and $\beta_{k,i}$ are the satellite-side power allocation coefficients associated with the strong and weak users, respectively, which are determined by the satellite NOMA transmission strategy and remain fixed during the resource allocation process. The above expressions are introduced to characterize the decoding feasibility at the ABS and to establish a monotonic relationship between the allocated S2A bandwidth and the achievable decoding rate, rather than to perform physical-layer optimization.

Accordingly, the allocated S2A bandwidth should satisfy the following decoding constraint

$$R_{k,u}^{\text{S2A}}(b_k) \geq R_{k,u}^{\text{A2G}}, \quad u \in \{i, j\}. \quad (13)$$

Since the S2A decoding rate is a monotonically increasing function of the allocated bandwidth, the minimum S2A bandwidth required to satisfy the DF decoding constraint for user u can be obtained by directly inverting the inequality as

$$b_{k,u}^{\min} = \frac{R_{k,u}^{\text{A2G}}}{\log_2(1 + \gamma_{k,u}^{\text{eff}})}. \quad (14)$$

Under satellite NOMA transmission, both users in the k -th satellite-side pair must be successfully decoded at the ABS. Therefore, the minimum bandwidth required for the k -th pair is determined by the most stringent decoding constraint

$$b_k^{\min} = \max(b_{k,i}^{\min}, b_{k,j}^{\min}). \quad (15)$$

Given the total satellite bandwidth constraint

$$\sum_{k=1}^K b_k^{\min} \leq B_s, \quad (16)$$

the S2A bandwidth allocation problem aims to determine $\{b_k\}$ such that the DF decoding constraints are satisfied for all users. This problem is convex and can be efficiently solved using the Karush–Kuhn–Tucker (KKT) conditions.

In some cases, the aggregate minimum S2A bandwidth demand may exceed the available satellite bandwidth, i.e.,

$$\sum_{k=1}^K b_k^{\min} > B_s. \quad (17)$$

To guarantee feasibility under the limited satellite bandwidth, a proportional scaling strategy is applied. Specifically, all S2A bandwidth allocations are uniformly scaled as

$$b_k = b_k^{\min} \cdot \frac{B_s}{\sum_{k=1}^K b_k^{\min}}, \quad \forall k. \quad (18)$$

The proportional scaling preserves the relative bandwidth demands among different satellite-side user pairs, thereby avoiding abrupt structural changes in the transmission configuration. Although this operation may prevent the S2A decoding rate from fully matching the corresponding A2G rate for all users, it ensures a feasible and stable bandwidth allocation without introducing additional combinatorial optimization.

It is worth noting that, when the satellite bandwidth is insufficient to support all A2G demands, the achievable end-to-end rates may be lower than the ideal values predicted by the greedy mode selection. This is because the greedy mode selection is performed under the assumption that the S2A backhaul is not the limiting factor, and thus identifies the transmission structure that maximizes the potential system throughput. The subsequent bandwidth scaling reflects the physical limitation of the satellite backhaul rather than a suboptimal design choice. By separating the structural decision from the feasibility enforcement, the proposed framework achieves a favorable balance between performance, computational tractability, and algorithmic stability.

3.3. ABS Position Optimisation

The ABS position optimisation is formulated as a bound-constrained continuous optimisation problem, where the objective function is evaluated through a complete re-execution of the proposed greedy mode selection and KKT-based resource allocation, thus enabling true end-to-end performance-driven placement.

In the proposed framework, the position of the aerial base station (ABS) plays a critical role in shaping both the access and backhaul link qualities, and consequently affects transmission mode selection and resource allocation. Unlike conventional geometry-driven placement strategies, the ABS location is optimized here in a communication-performance-driven manner, jointly accounting for the air-to-ground (A2G) access links, the satellite-to-air (S2A) backhaul, and the resulting end-to-end achievable rates.

Specifically, we formulate the ABS placement as a bound-constrained continuous optimization problem, where the three-dimensional ABS position is denoted by

$$\mathbf{p}_d = (x_d, y_d, h_d), \quad (19)$$

with (x_d, y_d) representing the horizontal coordinates and h_d denoting the flight altitude. The optimization objective is to maximize the system sum rate after transmission mode selection and resource allocation, which can be expressed as

$$\max_{\mathbf{p}_d} \sum_{u \in \mathcal{U}} R_u(\mathbf{p}_d), \quad (20)$$

subject to the following feasibility constraints

$$-R_{\text{cov}} \leq x_d \leq R_{\text{cov}}, \quad (21)$$

$$-R_{\text{cov}} \leq y_d \leq R_{\text{cov}}, \quad (22)$$

$$h_{\min} \leq h_d \leq h_{\max}, \quad (23)$$

where R_{cov} denotes the horizontal coverage radius of the ABS, and $[h_{\min}, h_{\max}]$ specifies the allowable altitude range.

It is important to note that the objective function in (XX) does not admit a closed-form expression. For a given ABS position \mathbf{p}_d , the achievable rate $R_u(\mathbf{p}_d)$ of each user depends on multiple interrelated components, including the A2G channel gains, the S2A backhaul quality, the ABS-based user pairing, the greedy transmission mode selection, and the KKT-based S2A bandwidth allocation. As a result, each evaluation of the objective function requires a complete execution of the proposed greedy mode selector and the KKT resource allocator, thereby capturing the true end-to-end system performance.

Due to the continuous nature of the optimization variables and the presence of non-smooth operations such as mode switching and minimum-rate constraints imposed by decode-and-forward relaying, the resulting problem is inherently non-convex. To efficiently solve this problem under practical boundary constraints, we adopt the limited-memory Broyden–Fletcher–Goldfarb–Shanno algorithm with box constraints (L-BFGS-B). This method is well suited for large-scale bound-constrained optimization and enables efficient convergence using numerical gradient approximations without requiring explicit gradient expressions.

The optimization procedure is initialized at the geometric centroid of the user distribution in the horizontal plane, combined with a nominal altitude within the feasible range. To ensure numerical stability and reproducibility during the iterative optimization, the small-scale fading realizations of the A2G channels are fixed throughout the process, such that the objective function remains deterministic. At each iteration, the ABS position is updated according to the L-BFGS-B search direction, and the resulting candidate solution is projected onto the feasible domain defined by the coverage and altitude constraints.

By directly optimizing the communication performance rather than geometric proximity, the proposed ABS placement strategy effectively captures the coupled impact of position, transmission mode selection, and resource allocation. This joint optimization mechanism enables the ABS to dynamically balance A2G access quality and S2A backhaul capacity, thereby improving the overall system throughput.

4. Joint Transmission Decision for System Throughput Maximization

4.1. Transmission Options and Decision Variables

With the achievable rates of the direct satellite downlink characterized in the previous section, we now specify the transmission options available to the system and the corresponding decision variables. The objective is to flexibly exploit either direct satellite delivery or UAV-assisted transmission to maximize the overall system throughput.

We consider a set of $N = 2K$ terrestrial UEs grouped into K NOMA pairs. For each UE pair, the system can select one transmission option from a predefined candidate set, which includes direct satellite transmission and ABS-assisted downlink modes. In particular, the following transmission options are available:

- *Direct satellite transmission:* both UEs in the pair are served directly by the satellite using the satellite NOMA scheme described in Section 2.2.

- *ABS-assisted transmission*: the downlink data are delivered to the UE pair via the aerial base station (ABS), where either NOMA or OMA transmission can be employed depending on the channel conditions.

Each transmission option is associated with a corresponding achievable rate determined by the underlying satellite-to-ground or air-to-ground links. Notably, ABS-assisted transmission is activated only when it yields a higher rate contribution than direct satellite delivery; otherwise, the system falls back to pure satellite transmission. This design enables the ABS to be utilized selectively, avoiding unnecessary relaying when the direct satellite link is already sufficient.

Let \mathcal{M}_k denote the set of feasible transmission options for the k -th UE pair. The transmission decision problem can then be interpreted as selecting one option from \mathcal{M}_k for each UE pair, subject to system-level constraints and the overall throughput maximization objective. Importantly, the decision variables are defined at the UE-pair level, while the resulting system performance is evaluated in terms of the aggregate rate across all UEs.

4.2. System-Level Optimization Objective

Based on the transmission options defined in the previous subsection, the objective of the considered system is to maximize the aggregate downlink throughput across all UEs. Rather than optimizing individual links or UE pairs in isolation, a system-level objective is adopted to capture the coupled impact of transmission mode selection, resource sharing, and ABS utilization.

Let R_u denote the achievable downlink rate of UE u , which depends on the selected transmission option for its associated UE pair. The overall system throughput maximization problem is then formulated as

$$\max \sum_{u=1}^N R_u, \quad (24)$$

where the summation is taken over all UEs served by the system. This objective naturally accounts for both direct satellite transmission and ABS-assisted delivery, as well as the interactions among UEs sharing the same transmission resources.

It is important to emphasize that the ABS placement strategy described in Section 2.1 and the satellite NOMA transmission model in Section 2.2 are not optimized independently. Instead, they jointly contribute to the achievable rates R_u and are evaluated through the unified system-level objective in (22). As a result, the system selectively activates UAV-assisted transmission only when it provides a net throughput gain, while reverting to pure satellite transmission in scenarios where ABS assistance is unnecessary or ineffective.

The adoption of a system-wide sum-rate objective enables coordinated transmission decisions across all UE pairs and forms the foundation for the joint decision formulation and iterative optimization framework presented in the following subsections.

4.3. Joint and Coordinated Decision Formulation

Based on the transmission options defined in Section 3.1 and the system-level objective in Section 3.2, the joint transmission decision problem is formulated to coordinate the selection of transmission modes across all UE pairs. Unlike pair-wise greedy selection, the proposed formulation explicitly accounts for system-wide interactions among UE pairs and enables coordinated utilization of satellite and ABS resources.

Let $\mathcal{K} = \{1, \dots, K\}$ denote the set of UE pairs. For each pair $k \in \mathcal{K}$, we define a finite set of feasible transmission options \mathcal{M}_k . Each option $m \in \mathcal{M}_k$ corresponds to a specific combination of transmission links (direct satellite or ABS-assisted) and access schemes (NOMA or OMA), and yields a known achievable rate for the two UEs in pair k .

We introduce a binary decision variable

$$x_{k,m} = \begin{cases} 1, & \text{if transmission option } m \text{ is selected for UE pair } k, \\ 0, & \text{otherwise.} \end{cases} \quad (25)$$

To ensure that each UE pair adopts exactly one transmission option, the following constraint is imposed:

$$\sum_{m \in \mathcal{M}_k} x_{k,m} = 1, \quad \forall k \in \mathcal{K}. \quad (26)$$

Let $R_{k,m}$ denote the aggregate achievable downlink rate of UE pair k when transmission option m is selected. The joint transmission decision problem can then be formulated as the following integer linear programming (ILP) problem:

$$\begin{aligned} \max_{\{x_{k,m}\}} \quad & \sum_{k \in \mathcal{K}} \sum_{m \in \mathcal{M}_k} x_{k,m} R_{k,m} \\ \text{s.t.} \quad & \sum_{m \in \mathcal{M}_k} x_{k,m} = 1, \quad \forall k \in \mathcal{K}, \\ & x_{k,m} \in \{0, 1\}, \quad \forall k \in \mathcal{K}, m \in \mathcal{M}_k. \end{aligned} \quad (27)$$

The formulation in (25) jointly optimizes the transmission decisions of all UE pairs under a unified system-level objective. Different physical transmission behaviors, including activating ABS-assisted transmission for both UEs, for only one UE, or for neither of them, naturally emerge as feasible solutions of the ILP without explicit case enumeration. This unified formulation avoids heuristic rule-based decision making and enables coordinated selection across UE pairs.

In practice, the achievable rates $R_{k,m}$ depend on the ABS placement, channel realizations, and access schemes, and are updated as these system components evolve. While the ILP formulation provides a structured representation of the joint decision problem, its role in this work is to enable coordinated system-level decision making rather than to claim theoretical optimality. Efficient solution strategies and low-complexity alternatives can be employed depending on the system scale and implementation requirements.

4.4. Iterative Joint Optimization Framework

The joint transmission decision problem formulated in the previous subsection is embedded into an iterative system-level optimization framework, which coordinates ABS placement, achievable rate evaluation, and transmission mode selection. The key idea is to progressively refine system decisions based on the resulting throughput performance, rather than relying on a one-shot optimization.

Specifically, the framework operates in an iterative manner. Given an initial ABS position, the achievable rates of both direct satellite transmission and ABS-assisted transmission are evaluated for all UE pairs. Based on these rates, the joint transmission decision problem in (25) is solved to determine the preferred transmission option for each UE pair. The resulting system throughput serves as a performance indicator that implicitly reflects the effectiveness of the current ABS deployment and transmission configuration.

The feedback from the transmission decision to the ABS placement is intentionally designed to be indirect and lightweight. Instead of exchanging detailed per-link information, only the aggregate system throughput is used to guide the subsequent update of the ABS position. This design significantly reduces signaling overhead and computational complexity, while preserving the ability to adapt the ABS deployment to changing network conditions.

An important feature of the proposed framework is its ability to intelligently activate or deactivate ABS-assisted transmission. When ABS assistance provides a throughput improvement, the framework selectively assigns one or both UEs in a pair to ABS-assisted transmission using either NOMA or OMA. Conversely, when direct satellite transmission already achieves satisfactory performance, the system automatically falls back to satellite-only delivery, thereby avoiding unnecessary ABS utilization and conserving aerial and spectral resources.

The iterative process continues until convergence or a predefined stopping criterion is met. Although theoretical convergence guarantees are not explicitly claimed, extensive simulations demonstrate that the proposed framework converges rapidly and yields stable performance gains. Overall, this iterative joint optimization framework enables coordinated system-level decision making and provides a practical and flexible solution for integrating UAV-assisted transmission into satellite networks.

4.5. baseline

As described previously, ABS is integrated into the satellite network to improve the QoS of terrestrial users. Towards this end, the ABS should first receive and decode the NOMA superimposed signals of each pair of MTs via the S2A channel. The S2A channel gain can be expressed as

$$\Lambda_{sd} = \frac{G_t^s G_r^{sd}}{L_{sd}^{FS} N_{sd}}. \quad (28)$$

The maximum achievable decoding rates which succeed by the ABS through the S2A channel for each MT_j^s and MT_i^s in pair are

$$R_j^{sd} = \frac{B_s}{K} \log_2(1 + \beta_j^s P_s \Lambda_{sd}), \quad (29)$$

$$R_i^{sd} = \frac{B_s}{K} \log_2\left(1 + \frac{(1 - \beta_j^s) P_s \Lambda_{sd}}{\beta_j^s P_s \Lambda_{sd} + 1}\right). \quad (30)$$

Note that, the ABS obtains all the pair indices from the VLEO through a dedicated control channel to identify the users comprising each pair and their role, e.g., strong or weak satellite channel users. Also, the ABS acquires the achievable rate R_l^s of each MT_l with the satellite, through the same channel.

Next, the ABS should forward the decoded signals to the MTs through the A2G channel, utilizing the proposed hybrid NOMA/OMA transmission scheme. Consequently, we consider that each MT_l reports the CSI of the corresponding A2G link to the ABS. Therefore, the ABS calculates the channel gain of the A2G link for each M Tl as follows

$$\Gamma_l^d = \frac{G_t^d G_r^d}{L_l^{A2G}(h, r_l) N_d} |h_l^d|^2. \quad (31)$$

Following the same NOMA user pairing policy as the VLEO, the ABS forms K MT pairs, considering now the channel gains of the A2G links. Therefore, each pair consists of the strong A2G channel user MT_j^d , and the weak A2G channel user MT_i^d , where $\Gamma_j^d \geq \Gamma_i^d$. Subsequently, calculates the optimal power allocation factor β_j^d for the MT_j^d by replacing s with d in expression ?? .Also, the achievable rates in NOMA, R_j^d and MT_i^d , are given by the expressions ?? and ??, respectively, by replacing again s with d . The rates that ABS can offer to MT_j^d and MT_i^d , utilizing the NOMA technique, are $R_j^{dn} = \min(R_j^d, R_j^{sd})$ and $R_i^{dn} = \min(R_i^d, R_i^{sd})$, respectively.

Lastly, the ABS has to decide whether it is profitable for each pair of MTs to transmit the superimposed signal or to transmit only the signal of one MT of each pair utilizing the OMA technique. Also, the ABS can avoid forwarding the satellite signals if the ABS transmission is not profitable for both MTs in pair. Therefore, the achievable rate that each MT_l can achieve from the ABS through OMA, is equal to

$$R_l^o = \frac{B_d}{K} \log_2(1 + P_d \Gamma_l^d). \quad (32)$$

Each MT_l will experience higher rates if the ABS uses OMA, i.e., $R_l^o \geq R_l^d$, since the same bandwidth as NOMA is allocated for OMA transmission, and whole P_d is allocated to MT_l . However, the maximum achievable OMA rate of MT_l is restricted by the expression $R_l^{do} = \min(R_l^o, R_l^{sd})$, since the ABS may provide a higher rate to the MT_l , but the rate at which ABS decoded its signal from the VLEO is lower and vice versa. There are four different cases that the ABS considers for each MT pair

- **if $R_i^s < R_i^{dn}$ and $R_j^s < R_j^{dn}$:** In this case, the pair of MTs formed by the ABS profits from the NOMA transmission as both MTs achieve greater rates if served from the ABS instead of the satellite.
- **if $R_i^s < R_i^{dn}$ and $R_j^s \geq R_j^{dn}$:** In this case, only MT_i^d profits from the ABS transmission. Thus, the ABS utilizes OMA and transmits only to MT_i^d or the timeslot allocated to this pair. MT_i^d achieves a rate equal to R_i^{do} .
- **if $R_i^s \geq R_i^{dn}$ and $R_j^s < R_j^{dn}$:** In this case, only MT_j^d profits from the ABS transmission. Therefore, the ABS utilizes OMA and transmits only to MT_j^d for the timeslot allocated to this pair. MT_j^d achieves a rate equal to R_j^{do} .
- Otherwise, the communication for this MT pair is not profitable and ABS does not transmit to these pair of users in order to save resources.

5. Results

This section may be divided by subheadings. It should provide a concise and precise description of the experimental results, their interpretation as well as the experimental conclusions that can be drawn.

5.1. Subsection

5.1.1. Subsubsection

Bulleted lists look like this:

- First bullet;
- Second bullet;
- Third bullet.

Numbered lists can be added as follows:

1. First item;
2. Second item;
3. Third item.

The text continues here.

5.2. Figures, Tables and Schemes

All figures and tables should be cited in the main text as Figure 2, Table 1, etc.



Figure 2. This is a figure. Schemes follow the same formatting.

Table 1. This is a table caption. Tables should be placed in the main text near to the first time they are cited.

Title 1	Title 2	Title 3
Entry 1	Data	Data
Entry 2	Data	Data ¹

¹ Tables may have a footer.

The text continues here (Figure 3 and Table 2).

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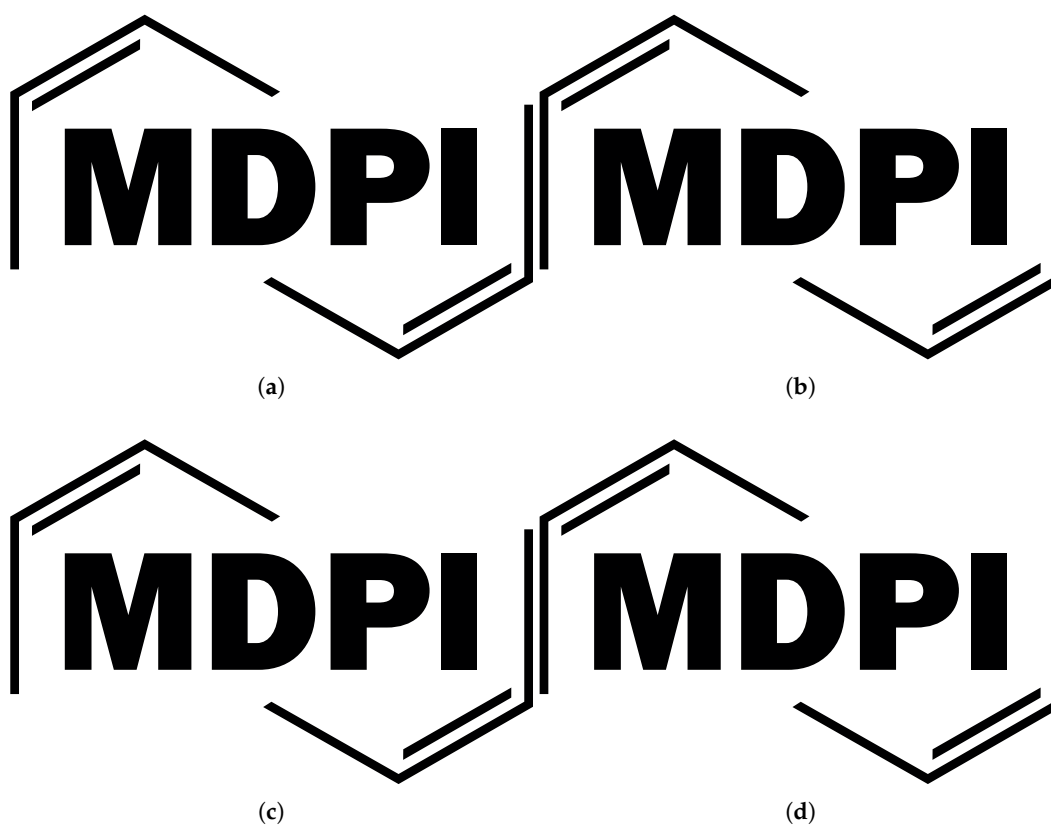


Figure 3. This is a wide figure. Schemes follow the same formatting. If there are multiple panels, they should be listed as: (a) Description of what is contained in the first panel. (b) Description of what is contained in the second panel. (c) Description of what is contained in the third panel. (d) Description of what is contained in the fourth panel. Figures should be placed in the main text near to the first time they are cited. A caption on a single line should be centered.

Table 2. This is a wide table.

Title 1	Title 2	Title 3	Title 4
Entry 1 *	Data	Data	Data
	Data	Data	Data
	Data	Data	Data
Entry 2	Data	Data	Data
	Data	Data	Data
	Data	Data	Data

* Tables may have a footer.

Text.

Text.

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5.3. *Formatting of Mathematical Components*

This is the example 1 of equation:

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$$a = 1,$$

(33)

the text following an equation need not be a new paragraph. Please punctuate equations as

regular text.

This is the example 2 of equation:

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$$a = b + c + d + e + f + g + h + i + j + k + l + m + n + o + p + q + r + s + t + u + v + w + x + y + z$$

(34)

Please punctuate equations as regular text. Theorem-type environments (including

propositions, lemmas, corollaries etc.) can be formatted as follows:

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Theorem 1. *Example text of a theorem.*

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The text continues here. Proofs must be formatted as follows:

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Proof of Theorem 1. Text of the proof. Note that the phrase “of Theorem 1” is optional if it

is clear which theorem is being referred to. □

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The text continues here.

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6. Discussion

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Authors should discuss the results and how they can be interpreted from the perspec-

tive of previous studies and of the working hypotheses. The findings and their implications

should be discussed in the broadest context possible. Future research directions may also

be highlighted.

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7. Conclusions

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This section is not mandatory, but can be added to the manuscript if the discussion is

unusually long or complex.

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8. Patents

518

This section is not mandatory, but may be added if there are patents resulting from the

work reported in this manuscript.

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Author Contributions: For research articles with several authors, a short paragraph specifying their

individual contributions must be provided. The following statements should be used “Conceptualiza-

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tion, X.X. and Y.Y.; methodology, X.X.; software, X.X.; validation, X.X., Y.Y. and Z.Z.; formal analysis, X.X.; investigation, X.X.; resources, X.X.; data curation, X.X.; writing—original draft preparation, X.X.; writing—review and editing, X.X.; visualization, X.X.; supervision, X.X.; project administration, X.X.; funding acquisition, Y.Y. All authors have read and agreed to the published version of the manuscript.”, please turn to the [CRediT taxonomy](#) for the term explanation. Authorship must be limited to those who have contributed substantially to the work reported.

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Data Availability Statement: We encourage all authors of articles published in MDPI journals to share their research data. In this section, please provide details regarding where data supporting reported results can be found, including links to publicly archived datasets analyzed or generated during the study. Where no new data were created, or where data is unavailable due to privacy or ethical restrictions, a statement is still required. Suggested Data Availability Statements are available in section “MDPI Research Data Policies” at <https://www.mdpi.com/ethics>.

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Abbreviations

The following abbreviations are used in this manuscript:

- MDPI Multidisciplinary Digital Publishing Institute
- DOAJ Directory of open access journals
- TLA Three letter acronym
- LD Linear dichroism

Appendix A

Appendix A.1

The appendix is an optional section that can contain details and data supplemental to the main text—for example, explanations of experimental details that would disrupt the flow of the main text but nonetheless remain crucial to understanding and reproducing the research shown; figures of replicates for experiments of which representative data are shown in the main text can be added here if brief, or as Supplementary Data. Mathematical proofs of results not central to the paper can be added as an appendix.

Table A1. This is a table caption.

Title 1	Title 2	Title 3
Entry 1	Data	Data
Entry 2	Data	Data

Appendix B

All appendix sections must be cited in the main text. In the appendices, Figures, Tables, etc. should be labeled, starting with “A”—e.g., Figure A1, Figure A2, etc.

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5. Title of Site. Available online: URL (accessed on Day Month Year).

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