



Recursion

Topics Covered / Goals



- Why? The basic use case for recursion
- What? Defining the recursive approach
- How? the call stack and stack overflow
- Recursive Data Structures: Trees, Binary Trees
- Solving Binary Search using a Binary Tree

Why? The basic use case for recursion ★

- Recursion is ultimately just another approach to solving algorithmic problems
- It is important to consider as it ‘fits’ some problems better than a standard imperative approach
- Let’s first compare it to the standard imperative approach

Factorial (Imperative)



- Definition:

$$n! = n * (n-1) * (n-2) * \dots * 1$$

- Examples:

1!	1	= 1
2! =	2 * 1	= 2
3! =	3 * 2 * 1	= 6
4! =	4 * 3 * 2 * 1	= 24

Imperative solutions



- Imperative: a ‘step by step’ solution
 - Loops and Conditionals are the main tools
 - Variables help us build up our solution as we go

Factorial implemented (imperative)



See [curriculum/week-03/day3/code/1-simple-factorial.py](#)

scratchpad.py •

```
1
2  def factorial(n):
3      result = 1
4
5      for i in range(n, 1, -1):
6          result *= i
7
8      return result
9
10
11  print(factorial(5))
12
```

Factorial imperative (walkthrough)



- So what is actually happening when we run this code?
- We build up our solution through multiple passes of a loop, using a variable to store/update the solution

Factorial imperative (walkthrough)



- Call factorial(5)
 - Enter function body

Factorial imperative (walkthrough)



- Inside factorial body
 - $n = 5$
 - `result = 1`
 - Iterate `i` through `[5, 4, 3, 2, 1]`

Factorial imperative (walkthrough)



- Inside factorial body
 - $i = 5$
 - $\text{result} = 1 * 5$

Factorial imperative (walkthrough)



- Inside factorial body
 - $i = 4$
 - $result = 5 * 4$

Factorial imperative (walkthrough)



- Inside factorial body
 - $i = 3$
 - $\text{result} = 20 * 3$

Factorial imperative (walkthrough)



- Inside factorial body
 - $i = 2$
 - $\text{result} = 60 * 2$

Factorial imperative (walkthrough)



- Inside factorial body
 - `result = 120`
 - `Return result`
- `factorial (5)` is now replaced with `120` at calling site



- Alternative Factorial Definition:

$$n! = n * (n-1)!$$

- **Recursion:** defining a function *in terms of itself*

Factorial implemented (recursive)



See [curriculum/week-03/day3/code/2-recursive-factorial.py](#)

scratchpad.py ●

```
1
2  def factorial(n):
3      if n == 1:
4          return 1
5
6      return n * factorial(n-1)
7
8
9  print(factorial(5))
10
11
12
```


Recursive solution



- Recursion consists of two core ideas:
 - 1) The '*recursive step*': building up a solution in terms of a 'recursive' call to the same function, with modified inputs
 - 2) The '*base case*': A 'terminating' case, does not involve a call to itself, so allows the string of recursive calls to 'collapse'

The Call Stack



- With recursion we can call a function from within itself
- This works because of an idea called the '*call stack*'
- As you may have guessed, the call stack is an example of a Stack - first-in, last out!
- First, let's explore the call stack without reference to recursion

Call Stack (example function)



scratchpad.py X



```
1  database = {  
2      "Benjamin": {  
3          "age": 35,  
4      }  
5  }  
6  
7  
8  def get_from_DB(name, key):  
9      return database[name][key]  
10  
11  
12 def get_info_string(name):  
13     age = get_from_DB(name, "age")  
14     return f"Benjamin is {age} years old"  
15  
16  
17 print(get_info_string("Benjamin"))  
18
```

Call Stack (walkthrough)




Call stack starts out empty

Call Stack  []

Call Stack (walkthrough)




Encounter first function call (print), push it onto the stack

Call Stack  [
 print(get_info_string("Benjamin"))
]

Call Stack (walkthrough)




print can't be called until we compute it's parameter,
so push **get_info_string** onto the stack

Call Stack  [
 get_info_string("Benjamin")
 print(...)
]

Call Stack (walkthrough)



get_info_string calls a function within its own body,
so push that onto the stack

Call Stack  [


```
    get_from_DB("Benjamin", "age"),  
    get_info_string("Benjamin")  
    print(...)
```

]

Call Stack (walkthrough)



get_from_DB("Benjamin", "age") returns an actual value, so pop it from the stack and continue computing

Call Stack  [


 get_info_string("Benjamin")
 print(...)

]

Call Stack (walkthrough)



No more function calls in **get_info_string** means we can return its value and pop it off the stack

Call Stack  [
 print("Benjamin is 35 years old")
]

Call Stack (walkthrough)



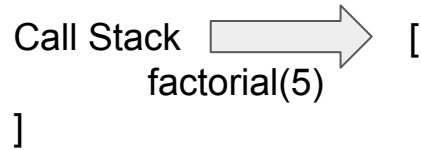
Finally we can execute print, return it's value (None)
and pop it off the call stack

Call Stack  []

Call Stack (factorial)




Back to factorial, how does the call stack handle our recursive function?



Call Stack (factorial)




First push new function calls onto the stack

Call Stack  [
 factorial(4)
 5 * factorial(4)
]

Call Stack (factorial)




We will continually add new frames to the stack on our recursive step

Call Stack  [
 factorial(3)
 4 * factorial(3)
 5 * factorial(4)
]

Call Stack (factorial)




We will continually add new frames to the stack on our recursive step ...

Call Stack  [
 factorial(2)
 3 * factorial(2)
 4 * factorial(3)
 5 * factorial(4)
]

Call Stack (factorial)



... until ...

Call Stack  [


- factorial(1)
- 2 * factorial(1)
- 3 * factorial(2)
- 4 * factorial(3)
- 5 * factorial(4)

]

Call Stack (factorial)



We hit the base case, now we can replace calls to `factorial(1)` with `1` ...

Call Stack  [


- 1
- 2 * factorial(1)
- 3 * factorial(2)
- 4 * factorial(3)
- 5 * factorial(4)

]

Call Stack (factorial)




And factorial(2) with 2 ...

Call Stack  [
 2 * 1
 3 * factorial(2)
 4 * factorial(3)
 5 * factorial(4)
]

Call Stack (factorial)




And on and on we ‘collapse’ the chain of function calls

Call Stack  [
 3 * 2
 4 * factorial(3)
 5 * factorial(4)
]

Call Stack (factorial)




And on and on we ‘collapse’ the chain of function calls

Call Stack  [
 4 * 6
 5 * factorial(4)
]

Call Stack (factorial)




And on and on we ‘collapse’ the chain of function calls

Call Stack  [
5 * 24
]

Call Stack (factorial)



Until we have a single value, to replace factorial(5) at
it's calling site

Call Stack  [
120
]

Stack Overflow



Call Stacks cannot grow forever!

```
1  # This is missing it's base case on purpose, try running it to see what happens
2
3  def factorial(n):
4      |   return n * factorial(n-1)
5
6
7  print(factorial(5))  # RecursionError: maximum recursion depth exceeded
8
9
10
```

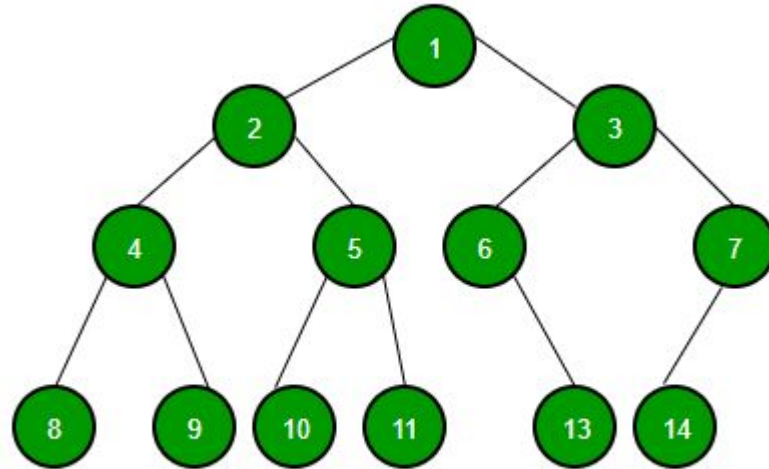


- So what does all this have to do with data structures?
- Just like a function can be recursive (self-referential), a Data Structure can have a similar quality called '*optimal substructure*'
- *Optimal substructure* means that a data structure is 'defined in terms of itself'
- Trees are a perfect example

Trees



This is a 'binary tree', because each node has a maximum of two children



Trees (definiton)



- Similar to our recursive function definition, Trees can have one of two forms:
 - A 'leaf': this is our base base, a Tree with 0 children
 - A 'tree node': our recursive case, a Tree 1 or 2 children

Binary Search Trees

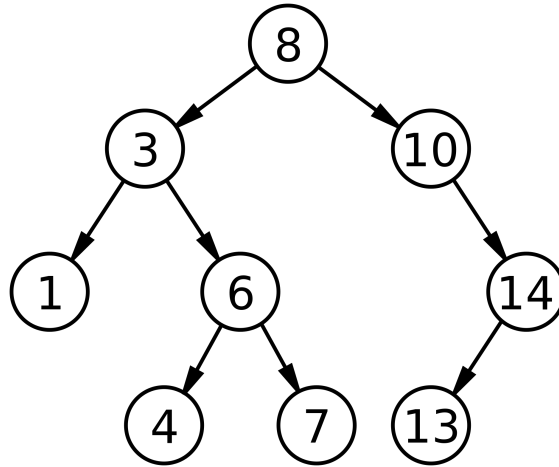


- In addition to being a binary tree, a binary search tree has two additional properties:
 - The left node (and all of its children) have a value smaller than the parent node
 - The right node (and all of its children) have a value greater than the parent node

Binary Search Trees



Confirm for yourself this counts as a BST



Trees (implemented)



See `curriculum/week-03/day3/code/4-binary-search-tree.py`

Trees (implemented)



See `curriculum/week-03/day3/code/4-binary-search-tree.py`

Binary Search

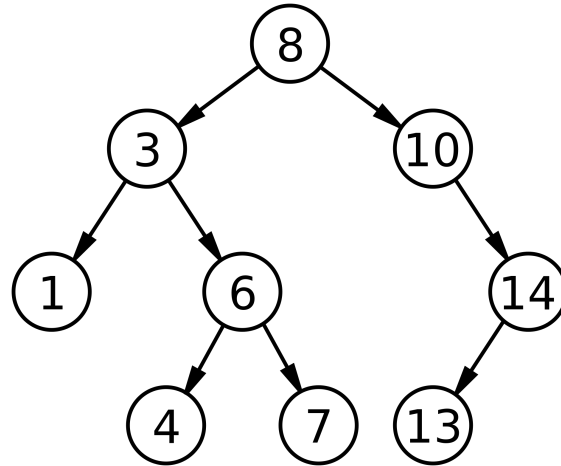


- Given a Binary Search Tree, can we find a given value?
- Because of our guarantees we always know to look in the left *or* right (not both)

Binary Search



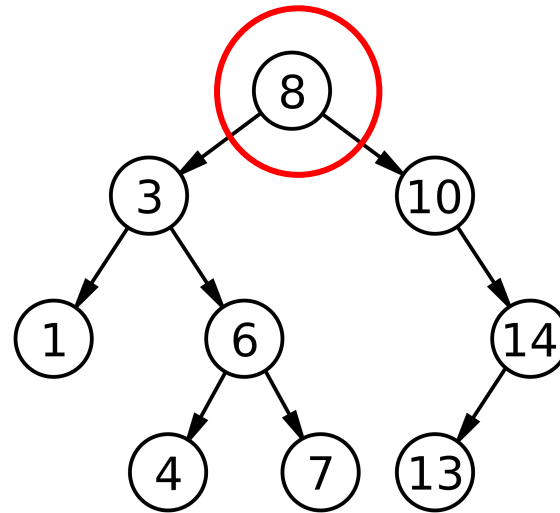
Looking for 7



Binary Search



Looking for 7

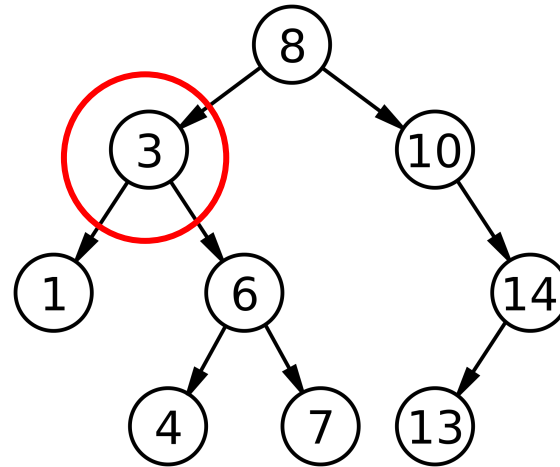


- $7 \neq 8$
- $7 < 8$
- Search left subtree

Binary Search



Looking for 7

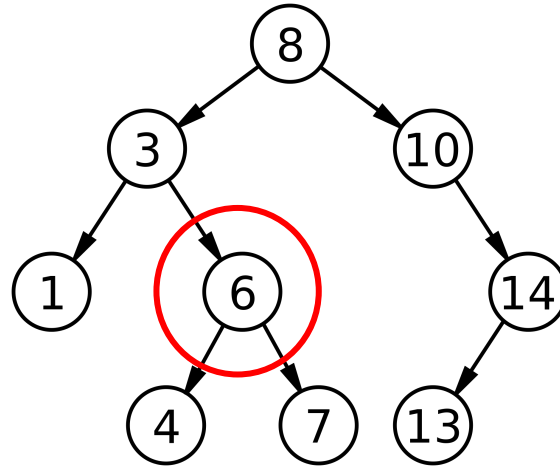


- $7 \neq 3$
- $3 < 7$
- Search right subtree

Binary Search



Looking for 7

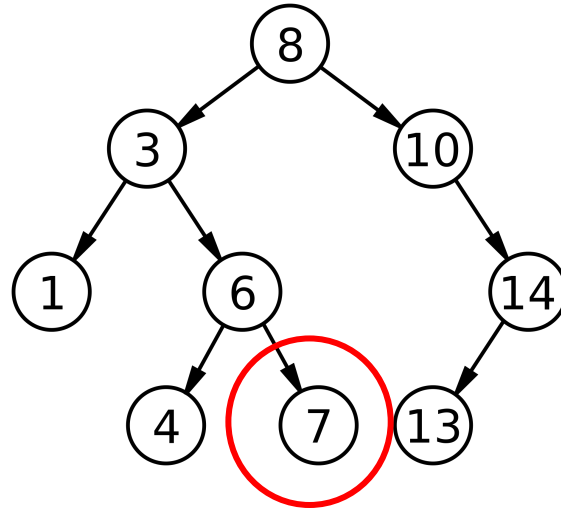


- $7 \neq 6$
- $6 < 7$
- Search right subtree

Binary Search



Looking for 7



- $7 == 7$
- Done!



- What sort of 'guarantee' do we have with Binary Search?
- How does the runtime change as our input grows?
 - If I had a tree with depth 5, how many total elements do I need to look at to know if it is in the tree?
 - What about a tree with depth 100? Can we generalize this for a tree with depth N ?