CHAD D. SMITH

cdsmith7@gmail.com
(214)533-1043

PROFILE | Having worked as a broker, compliance analyst and senior operations specialist, I am furthering my education to transition to a career in software development.

FIDELITY EXPERIENCE | **PI OPS SENIOR SPECIALIST**
10/01/2019 – PRESENT

Resolved and facilitated completion of items in the following queues: EFT, New Accounts, TOA, Tax Corrections, Proactive Problem Resolution and PI Corrections.

COMPLIANCE ANALYST
06/01/2019 – 10/01/2019

Managed the statements processing queue and error queue to keep Fidelity employees in compliance with internal and external regulations.

PRIVATE CLIENT GROUP
SEPTEMBER 2016 – 06/01/2019

Managed clients with $1M+ in retail assets. Brokered trades for mutual funds, equities, CDs, ADRs, corporate bonds, option contracts, warrants, precious metals, and alternative investments. Assisted with IPO participation and Directed Share Programs. Facilitated domestic and foreign money transfers, FAAB plans, PWS plans, cost basis adjustments, elections for mandatory and voluntary corporate reorganizations.

HIGH NET WORTH
JUNE 2015 – SEPTEMBER 2016

Brokered mutual funds, equities, CDs, and option contracts. Worked with HNW clients in order to drive development, retention, and loyalty.

FULL TRADER
MAY 2014 – JUNE 2015

Brokered mutual funds and equities. Performed basic account maintenance.

SKILLS | Python, Java, SQL, HTML, CSS, Mainframe

LICENSES | Series 7, Series 63

EDUCATION | **BACHELOR OF SCIENCE: COMPUTER SCIENCE**
Oregon State University
November 2019 - Present
GPA 3.97

BACHELOR OF BUSINESS ADMINISTRATION: FINANCE
Harding University | Searcy, Arkansas
August 2008 – December 2012

MY SITE & GITHUB | # Site in progress
https://github.com/8Gates