# CHAD D. SMITH

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(214)533-1043

**PROFILE** | Licensed securities broker and Sr Ops Specialist, I am furthering my education to transition to a career in software development.

**LANGUAGES** | Python (fluent), Java (prior experience), C++ (prior experience), Microsoft Assembly Language (prior experience), HTML (prior experience), CSS (prior experience), SQL (prior experience)

**EDUCATION** | BACHELOR OF SCIENCE: COMPUTER SCIENCE
Oregon State University
November 2019 – March 2023 (expected)
GPA 3.98

BACHELOR OF BUSINESS ADMINISTRATION: FINANCE
Harding University | Searcy, Arkansas
August 2008 – December 2012

**FINRA LICENSES** | Series 7, Series 63

**FIDELITY EXPERIENCE** | PI OPS SENIOR SPECIALIST
10/01/2019 – PRESENT

Problem resolution in queues: PI Corrections (researching/canceling/rebilling system and associate trade errors), Monetary Corrections, Tax Corrections, Proactive Problem Resolution, Features & Maintenance, New Accounts and TOA.

COMPLIANCE ANALYST
06/01/2019 – 10/01/2019

Ensured Fidelity employees remained in compliance with account reporting and trade regulations.

PRIVATE CLIENT GROUP
SEPTEMBER 2016 – 06/01/2019

Managed clients with $1M+ in retail assets. Brokered trades for mutual funds, equities, CDs, bonds, option contracts, warrants, precious metals, and alternative investments. Serviced IPOs, Directed Share Programs and elections for shareholder corporate reorganizations.

HIGH NET WORTH
JUNE 2015 – SEPTEMBER 2016

Brokered mutual funds, equities, CDs, and option contracts.

FULL TRADER
MAY 2014 – JUNE 2015

Brokered mutual funds and equities. Performed basic account maintenance.

**PROJECTS** | Personal Site - https://8gates.github.io utilizes Bootstrap, Google books API and CheapShark game pricing API.

Github - github.com/8Gates