

## CHAD D. SMITH

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(214)533-1043

**PROFILE** | Licensed securities broker and Sr Ops Specialist, I am furthering my education to transition to a career in software development.

**LANGUAGES** | Prior Experience : Python, Java, C, C++, Microsoft Assembly Language, Rust, HTML, JavaScript, CSS, SQL

### **EDUCATION** | BACHELOR OF SCIENCE: COMPUTER SCIENCE

Oregon State University  
November 2019 – March 2023 (expected)  
GPA 3.98

### BACHELOR OF BUSINESS ADMINISTRATION: FINANCE

Harding University | Searcy, Arkansas  
August 2008 – December 2012

**FINRA LICENSES** | Series 7 General Securities, Series 63 Uniform Securities Agent

**PROJECTS** | <https://github.com/8Gates> - Linux Shell, Xiangchi Chess, Hash Map and more  
<https://8gates.github.io> - Utilizes Bootstrap, Google books API and CheapShark game pricing API.

### **FIDELITY EXPERIENCE** | PI OPS SENIOR SPECIALIST

10/01/2019 – PRESENT

Problem resolution in queues: PI Corrections (researching/canceling/rebiling system and associate trade errors), Monetary Corrections, Tax Corrections, Proactive Problem Resolution, Features & Maintenance, New Accounts and TOA.

#### COMPLIANCE ANALYST

06/01/2019 – 10/01/2019

Ensured Fidelity employees remained in compliance with account reporting and trade regulations.

#### PRIVATE CLIENT GROUP

SEPTEMBER 2016 – 06/01/2019

Managed clients with \$1M+ in retail assets. Brokered trades for mutual funds, equities, CDs, bonds, option contracts, warrants, precious metals, and alternative investments. Serviced IPOs, Directed Share Programs, and elections for shareholder corporate reorganizations.

#### HIGH NET WORTH

JUNE 2015 – SEPTEMBER 2016

Brokered mutual funds, equities, CDs, and option contracts.

#### FULL TRADER

MAY 2014 – JUNE 2015

Brokered mutual funds and equities. Performed basic account maintenance.