

# Operációs rendszerek

ELTE IK.

Dr. Illés Zoltán

[zoltan.illes@elte.hu](mailto:zoltan.illes@elte.hu)

# Miről beszéltünk korábban...

- ▶ Operációs rendszerek kialakulása
- ▶ Op. Rendszer fogalmak, struktúrák
- ▶ Fájlok, könyvtárak, fájlrendszerek
- ▶ Folyamatok
- ▶ Klasszikus IPC problémák
- ▶ Folyamatok ütemezése
- ▶ I/O, holtpont probléma
- ▶ Memória kezelés
- ▶ Valós idejű rendszerek

# Mi következik ma...

- ▶ Modern operációs rendszer környezetek
- ▶ Milyen jellemző megoldásokat találunk a mai modern operációs rendszer környezetekben?
  - Linux (Android)
  - Windows

# Unix–Linux világ címszavakban

- ▶ Bell Labs, Multics utód rendszer, 1970-es évek eleje, UNIX, PDP7,PDP11, Ken Thompson, Brian Kernigham,Dennis Ritchie
- ▶ Portable Unix (C nyelv), Berkeley Unix(BSD)
- ▶ Két különböző irányzat, BSD, System-V (SVID, AT&T), 1980-as évek vége
- ▶ Standardisation, IEEE 1003.1 (ANSI,ISO standard), 1988.
- ▶ LINUX– Linus Torwalds, v0.01–1991, v1.0–1994
  - Sok disztribúció(SUSE,Red Hat,Debian,stb)
  - [www.distrowatch.com](http://www.distrowatch.com)

# Linux jellemzők

- ▶ Hagyományos terminál mód+X-Windowing System (X11)
- ▶ Rendszer verzió: `uname -a`

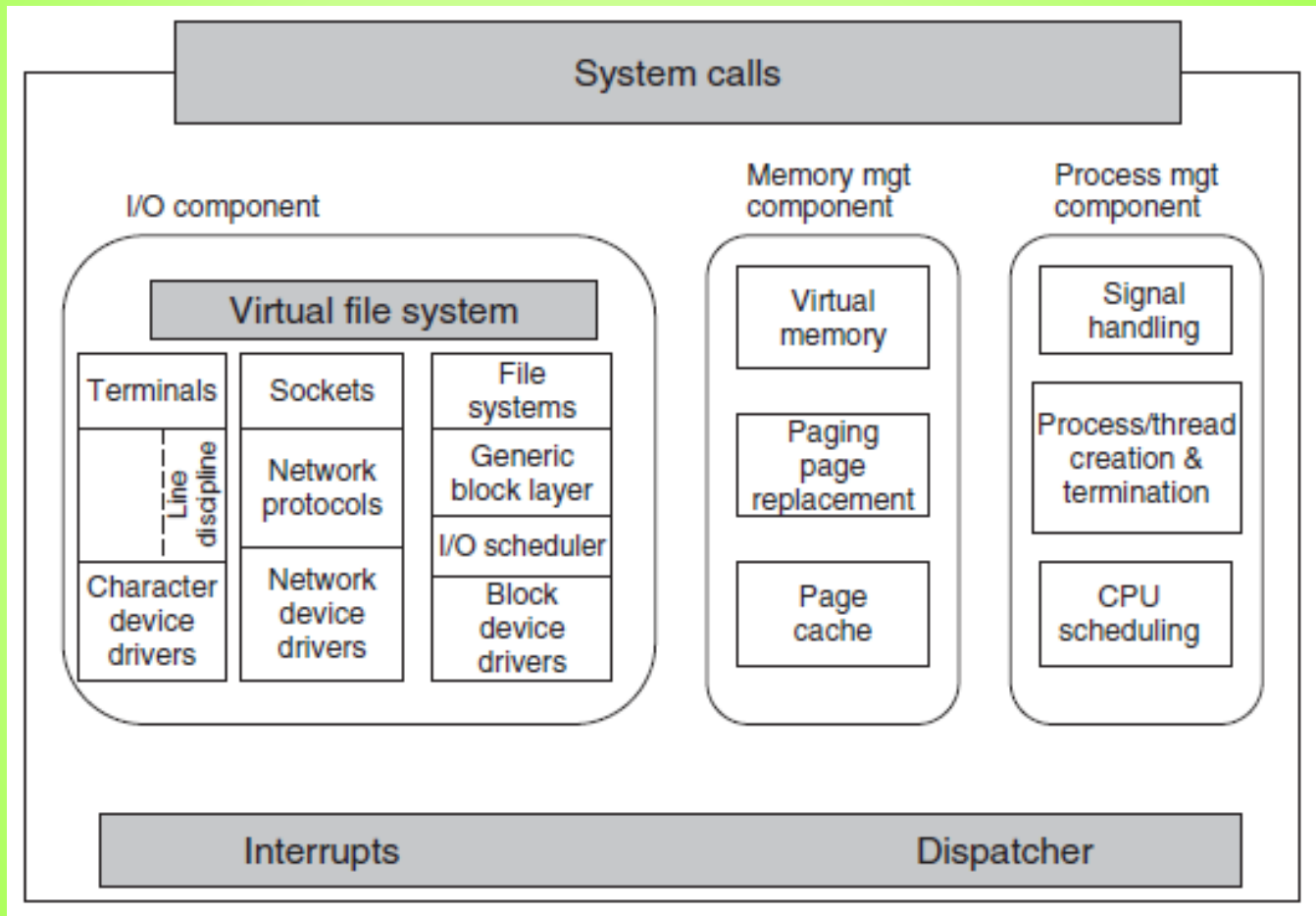
```
illes@oprendszerrek:~> uname -a
Linux oprendszerrek 2.6.33.7-rt29-0.5-rt #1 SMP PREEMPT RT 2010-08-
25 19:40:23 +0200 x86_64 x86_64 x86_64 GNU/Linux
illes@oprendszerrek:~>
```

- ▶ Klasszikus réteges felépítés
  - 1.Hardver réteg
  - 2.Kernel (Process, memory,I/O, file system ) réteg
  - 3.Standard library (open,close, fprintf, fork, exec...)
  - 4.Standard programs (shell, gcc, etc..)



# Kernel structure

## ► User's applications



Hardware

# Folyamatok

- ▶ Processes – background processes (daemons)
- ▶ Process tree (pstree)– PID, root element is init, PID=1

```
illes@oprendszerek:~> ps -ef|grep init
root      1      0  0 Jan16 ?          00:00:04 init [5]
root     3558      1  0 Jan16 ?          00:00:09 /usr/sbin/sshd -o
PidFile=/var/run/sshd.init.pid
root     3841      1  0 Jan16 ?          00:00:00 /usr/sbin/xinetd -
pidfile /var/run/xinetd.init.pid
illes    13317 12666  0 09:36 pts/0      00:00:00 grep init
illes@oprendszerek:~>
```

- ▶ Message passing (pipes, signals,...)

# Processes, threads

- ▶ A Linux process often calls as task!
  - A `task_struct` is used to represent any execution context!
  - Main fields: scheduling parameters, registers, signal masks, file descriptor table, memory image, etc.
- ▶ POSIX.1 defines pthreads kernel threads
  - See: `man pthreads`
- ▶ Clone – system call, creating a thread in the original process, or in a new process
  - The original process can share some of it's data, like address space, file descriptors, signal handling, file system parameters, etc



# Ütemezés Linuxban I.

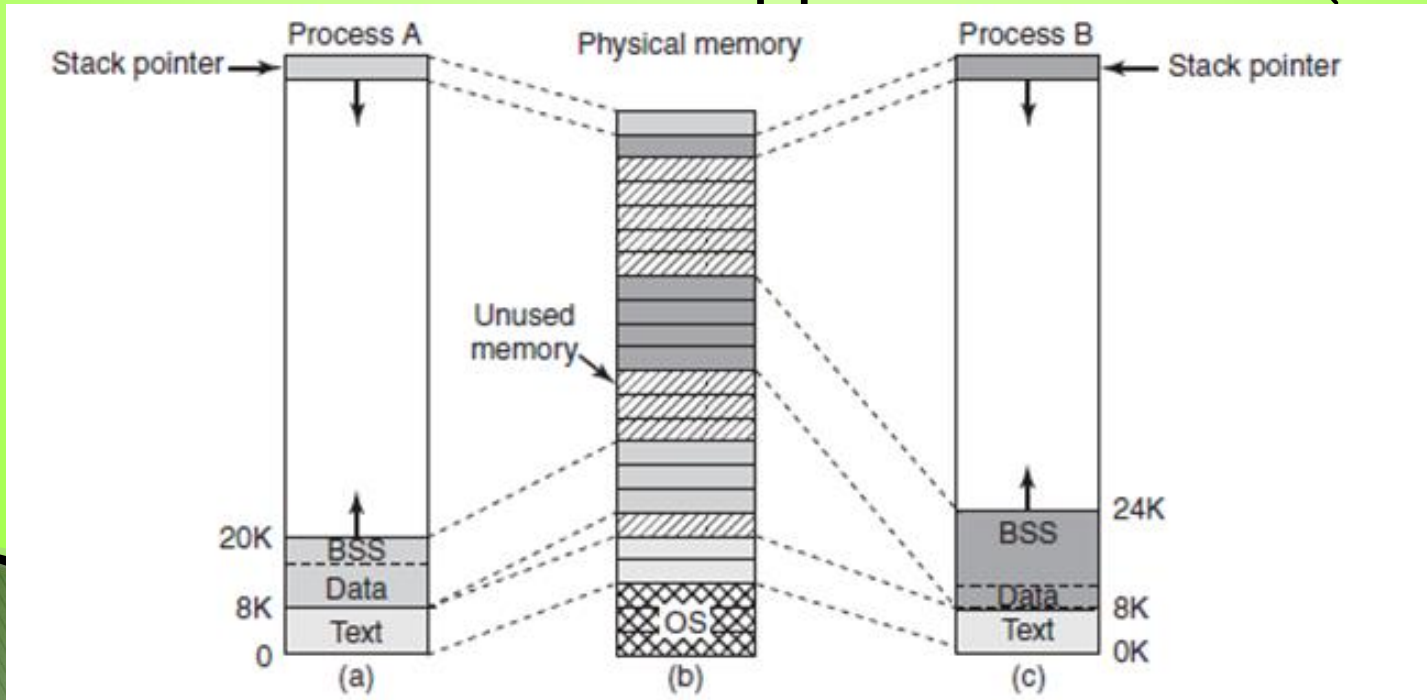
- ▶ POSIX1003.4 szabvány (real-time extensions to UNIX) után a 2000-es évekre kétféle ütemezési típus támogatás jelent meg!
  - Preemptív időosztásos, prioritásos ütemezés (klasszikus, 0–39 prioritás osztály, –20-tól +19-ig tartó intervallum, 0 a default, nice utasítás, –20 a legnagyobb prioritás!)
  - Valós idejű ütemezés (0–99 prioritás osztály, 0 a legnagyobb, 99 a legkisebb prioritás, de ezen osztályok mindegyike nagyobb prioritású mint a klasszikus 0–39, )

# Ütemezés Linuxban II.

- ▶ Statikus (eredeti, alap), dinamikus (folyamatosan változó) prioritás
  - Prioritás módosítás (boost priority)
- ▶ Klasszikus időosztásos ütemezés:
  - CFS (Completely Fair Scheduling, Ingó Molnár, Red-Black tree, virtual time slice)
- ▶ Real-Time ütemezés
  - Prioritásonként RUNQUEUE!
  - SCHED\_RR (Round-Robin)
  - SCHED\_FIFO
- ▶ Chrt parancs

# Memory management

- ▶ A process virtual address space contains : code (text), data and stack block
- ▶ In the example A and B process shares his code block because A and B same application (e.g:vi), processes can use a mapped file as well!(mmap)



# Linux Memory Management

- ▶ 32 bit system: 3GB virtual memory size, 1GB kernel data
- ▶ 64 bit system: used only 48 bit,(256TB, virtual memory space, 128TB for process, 128TB for kernel data)
- ▶ Memory allocation:
  - 1. Buddy algorithm (Dividing in half of memory while the size is uncorrect!) Memory pages:  $2^n$
  - 2. If smallest one is too large, take a smaller unit and manage it!
  - 3. Use vmalloc!

# Page replacement

- ▶ Page size: 4 kb (in 64 bit system 2MB page system also supported)
- ▶ Process ID 2: page daemon, the swapper process
- ▶ Page Frame Reclaiming Algorithm (kswapd)
  - 4 types of pages: unreclaimable(pinned), swappable (must be written back before reclaiming), syncable (must be written back if dirty bit is set), discardable (can be reclaimed immediately)
  - PG\_active, PG\_referenced bits
  - Clock-like algorithm, from the easiest point: first take the discardable, unreferenced pages
  - Active, inactive page list

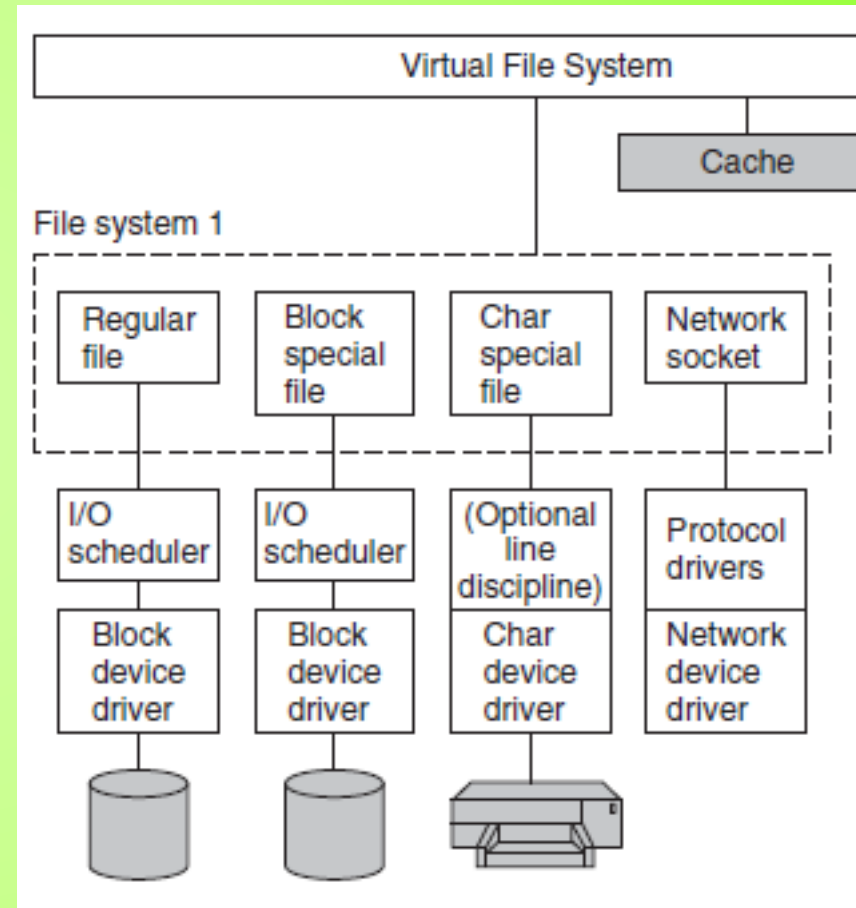


# Input/Output in Linux

- ▶ Character special files (terminal connections)
- ▶ Block device files (file systems)
- ▶ Networking
  - Berkeley design „socket”
    - If a socket is created we can choose for transmissions a reliable byte stream protocol (TCP)
    - Or for an unreliable packet-oriented transmission we can choose UDP!
  - Once a connection is ready between source and destination processes, it functions analog to a pipe!

# Linux I/O architecture

- ▶ To reduce repetitive disk-head movements, there is used block I/O scheduler
- ▶ It is similar as „SSTF” schedules (File systems)
  - It can lead starvation!
  - 2 lists,
    - 1 is ordered by address of sector of disk request
    - 2 is the deadline list (anti starvation)



# Linux file systems

- ▶ Typical Unix style file system based on index tables (i-node tables)
- ▶ Shared lock, exclusive lock for an entire file, or for a part of file!
- ▶ Virtual File System(VFS) has 4 object
  - Superblock, dentry(directory), i-node, file(in memory file representation)
- ▶ Most popular Linux file system: Ext2FS
- ▶ Dentry cache– for quick search
- ▶ File descriptor table does not directly map to a file(i-node)
  - A particular file descriptor corresponds to an open file descriptor table element–contains the file position, R/W mode,i-node

# Ext3FS, Ext4FS

- ▶ However the most general FS is Ext2FS, typically that successor (Ext3FS) is used!
  - Check your FS: `df -T`

Filesystem	Type	1K-blocks	Used	Available	Use%	Mounted on
/dev/sda2	ext3	101139356	22144884	73856888	24%	/
devtmpfs	devtmpfs	8028468	92	8028376	1%	/dev
tmpfs	tmpfs	8028468	88	8028380	1%	/dev/shm

- ▶ Ext3FS is a journaling file system.
  - Basic idea: maintain a „journal”(log), which describes all operations in sequential order!
  - Benefit: At any unexpected case the system recognise it and apply the file system changes based on journal log!

Ext4FS – supporting extents, gives faster support at larger file and file system size!

# Security in Linux

- ▶ Same as in a general UNIX
  - Base security is a 3x3 rwx control!
  - Additional: SETUID, SETGID and STICKY BIT.
- ▶ Particular rights: setfacl, getfacl
- ▶ User ID stored in /etc/passwd file
  - A user's password stored in /etc/shadow file in hashed format!
  - Manually to check a password:
    - 1. Enter the pw as a text.
    - 2. Get the user's shadow entry.
    - 3. Getting the „salt”
    - 4. Crypt the pw.
    - 5. Compare it with the existing shadow one!



# Android

- ▶ New operating system, designed to run on mobile devices.
- ▶ Kernel and most of low level libraries written in C, C++.
- ▶ Generally all other part is developed in Dalvik Java. (Quicker VM, more efficient memory usage)
- ▶ In 2005 Google acquired Android Inc.
- ▶ Today the most popular mobile (phone, tablet, TV, etc.) system.

# Android extensions

- ▶ Wake lock – manage how the system goes to sleep
- ▶ Out-of-Memory Killer – replaces the traditional Linux one, it is more aggressive
- ▶ Binder IPC – transaction based RPC
- ▶ Android application – package, .pkg, contains not only code, but resources, manifest, etc.
- ▶ Application activity – application part which interacts with user via UI(event-handler)
- ▶ Application Sandboxes – a new UID for an app according security reason.
- ▶ Process model – every process is created and managed by Dalvik's zygote module

# General Android architecture



# Android today

## ► Ver.3 is for tablets!

Verzió ↕	Elnevezés ↕	Kiadás dátuma ↕	API-szint ↕	Eloszlás <sup>[14]</sup> ↕
6.0	Marshmallow	2015. október 5.	23	0.3%
5.1-5.1.1	Lollipop	2015. március 9.	22	10.1%
5.0.0-5.0.2		2014. november 3.	21	15.5%
4.4	KitKat	2013. október 31.	19	37.8%
4.3	Jelly Bean	2013. július 24.	18	4.1%
4.2.x		2012. november 13.	17	13.9%
4.1.x		2012. július 9.	16	11.0%
4.0.3–4.0.4	Ice Cream Sandwich	2011. december 16.	15	3.3%
2.3.3–2.3.7	Gingerbread	2011. február 9.	10	3.8%
2.2	Froyo	2010. május 20.	8	0.2%

# Android's future

- ▶ However Android is a general full porpuse operating system, but it is running mostly mobile device.
- ▶ Android – general computer , maybe soon.
- ▶ ...
- ▶ On other hand, there are other Linux successor mobil distribution too: e.g: Mobile Ubuntu
- ▶ ...



# Windows világ

- ▶ MS-DOS 1.0 – 1981
- ▶ Windows 3.0,3.1 – 1990,1992
- ▶ Windows 95, NT – 1995
  - Elválík az MS-DOS alapú (kliens) és NT alapú (szerver) vonal!
- ▶ MS-DOS alapú (kliens) rendszerek:
  - Windows 98, Windows ME –1998, 2000
- ▶ NT alapú (kliens, szerver) rendszerek:
  - Windows 2000 (kliens, szerver)
  - Windows XP (kliens)–2002, Windows 2003(szerver)
  - Windows Vista, 7(2006,2009), Windows szerver 2008
  - Windows 8,8.1, 10(2012–2015),Win2012 szerver

# Windows programming elements

- ▶ MinWin approach, from win8.1, win10
  - Same the most of the core, binaries for all version including WP!
- ▶ Win8.1 removes POSIX support!
- ▶ New WinRT (Runtime) API (replaces Win32)
- ▶ NT namespace holds OS names, objects (e.g. device objects) created during boot, stored in kernel's virtual memory.
  - This part is marked as permanent!
- ▶ API features
  - Unicode, WoW(Windows on Windows) functions, ACL, journaled NTFS, I/O subsystem manage, GUI fnctions, etc.

# Windows registry

- ▶ During boot the NT namespace is created.
  - How it is created? Where is the configuration parameters stored?
- ▶ The registry holds these information!
  - Registry files (hives) stored in directory `Windows\system32\config`
    - System – `HKLM\System`
    - SAM – `HKLM\SAM` (Security Access Manager)
    - Etc.
  - In earlier Windows versions this parameters was stored in .ini files, same as UNIC config files!
  - To explore registry we can use API calls or `regedit.exe`, or PowerShell!
  - Over time of Windows versions the size, the disorganization was evolved, so be carefull to make any modification in it!

# System structure

- ▶ 1. Hardware layer (CPU, memory, devices, BIOS, etc)
- ▶ 2. Hypervisor layer (if exist, not necessary), every OS runs in its virtual machine.
- ▶ 3. HAL – Hardware Abstraction Layer, holds abstracts low level hw details, registers, timers, DMA, etc. (hal.dll)
- ▶ 4. NTOS Executive layer (contains I/O manager, process manager, memory manager, notification, etc)
- ▶ 5. NTOS kernel layer (Deferred Procedure calls, ISR, APC)
  - ntoskrnl.exe

# Processes in Windows

- ▶ Processes can be groped – called job! (batch processing feature)
- ▶ Each process has a user mode data: PEB (Process Environment Block)
  - It includes loaded modules, environment data, etc.
- ▶ Every process starts with one thread! Process acts as a „thread container”!
  - Later new threads can be created dinamically as needed!
  - TEB (Thread Environment Block) – user data for thread



# Interprocess communication, synchronization

## ► IPC functions:

- Pipe, named pipes
  - Byte and message type pipes!
- Sockets
  - Mailslots (for OS/2 compatibility)
- Shared files (memory)
- RPC
- There are no significant differences to Unix.

## ► Synchronization

- Semaphores, mutexes, critical regions, notification events, synchronization events.

# Scheduling in Windows

- ▶ It uses a priority based scheduling avoiding starvation! (Higher priorities first!) (Dynamic Fair-Share Scheduling)
- ▶ There are 32 priorities in Windows!
  - 0 – Zero page thread
  - 1–15 User priorities
  - 16–31 System priorities (Real time class)
  - A thread has a base priority and a current priority! (current  $\geq$  base)
  - Windows maintains 32 lists of threads!
  - Avoiding starvation and for other reasons the kernel boosts the base priority of a thread! (Actual priority always  $< 16$ )
  - Priority inversion – A lower and higher thread priority will be changed avoiding unnecessary semaphore waits!

# Memory management

- ▶ In 32 bit environment each virtual address space is 4GB. (In 64 bit longer, depending OS version)
  - Typically 2GB User space+2GB shared OS system calls,etc.
  - Pagefile.sys, on system volume.
  - Normally 4kb page size (it can be max. 2MB)
- ▶ Memory manager focuses to processes!
  - For a process MM creates a Virtual Address Descriptor(VAD) entry, with 4 data (range of mapped address, backing store region, backing store map, permission)
  - VAD is organised as a ballanced tree (like B-R tree)

# Paging – Page faults

- ▶ On demand paging based on page faults!
  - A page table entry is a 64 bit long field!
    - Global page, Dirty bit, present bit, Accessed bit, etc
- ▶ 5 categories of page faults
  - The referenced page is not committed (invalid operation)
  - Access to a page is restricted by permission.
  - A shared copy-on-write page was modified.
  - The stack needs to grow.
  - The referenced page is committed and not mapped in! (This is the normal page fault!)

# Page replacement algorithm

- ▶ Based on working set concept!
  - Each process needs a min-max page number for ideal work.
- ▶ 3 working set manager activity:
  - Lots of memory available
  - Memory getting low
  - Memory low (reducing working sets)
  - Working set manager runs approx. every second.
- ▶ 4 physical memory reference list:
  - Free, Modified, Standby, Zeroed



# I/O operations

- ▶ Supporting automatic device discovery.
  - Some devices no needs specific driver!
- ▶ DDK – for creating new device driver
  - Driver verifier
  - Windows Driver Foundation (WDF)
  - UMDF – User-mode driver framework
  - KMDF – Kernel mode driver framework
- ▶ See books about WDF for more detail!

# NT File System

- ▶ Supports 255 long file names, 32767 long full path!
- ▶ Each NTFS volume a set of blocks ( def block size 4kb)
- ▶ Index table based structure– main table is MFT(Master File Table)
  - MFT contains 16 records.
- ▶ Journalized file system, supports encryption, compression, soft RAID functionality.

# Köszönöm a figyelmet!

[zoltan.illes@elte.hu](mailto:zoltan.illes@elte.hu)