Form to Submit Options to Resolve Future Supply and Demand Imbalances

The Study is entering Phase 4 (Development and Evaluation of Opportunities to Balance Supply and Demand). A key task in this phase is to identify various options to help address the range of supply and demand imbalances projected to occur in the Basin over the next 50 years. The nature, magnitude, timing, and regional impacts of these imbalances mean that no one option will address all needs; rather, a combination of options will likely need to be considered. The Study will not result in the selection or funding of a particular proposed option or set of options. Rather, the Study is intended to explore a broad range of options to help address future imbalances and the performance of those options across a range of future conditions. The Study will also lay the foundation for future development and implementation of the options identified. The Study is seeking your input regarding ideas for potential options to address these projected imbalances. Please refer to the report titled *Development and Evaluation of Opportunities for Balancing Water Supply and Demand: Request for Ideas* for more information regarding this phase.

How to Submit an Option

Download or print the Option Submittal Form from the Study website. To allow sufficient time for its consideration, please complete and submit the form electronically (or in printed form) by February 1, 2012 in one of the following ways:

- 1. E-mail to: ColoradoRiverBasinStudy@usbr.gov
- 2. U.S. mail to: Bureau of Reclamation, Attention: Ms. Pam Adams, LC-2721, P.O. Box 61470, Boulder City, NV 89006-1470
- 3. Facsimile transmission to: 702-293-8418

How to Complete this Form

Complete this form to the best of your ability. Depending on the specific details of the option being submitted, some of the requested information may not be applicable or available. When this is the case, please enter "not applicable" or "not available" in the relevant data field. If the requested information is unknown, please enter "unknown." If there is additional information you would like to provide, please use the last field on the form titled "Other Information" to do so.

How Submitted Information Will be Used

The options received will be used to develop representative options to assess the impacts to Basin resources across a wide range of future water supply and demand scenarios. Representative options will be developed to encompass the full range of the options submitted, but in order to keep the analysis manageable not every submitted option will be analyzed. Representative options will then be used to develop portfolios to implement a particular management strategy to help resolve future supply and demand imbalances.

Providing Contact Information

Providing contact information is not required and options can be submitted anonymously. Anonymously submitted options will be treated in the same way as all other options. However, when attempting to properly characterize and evaluate the submitted options, it is possible that clarifying information may be needed. Therefore, although voluntary, you are encouraged to provide contact information. Before including your address, telephone number, electronic mail address, or other personal identifying information, you should be aware that while you can ask us to withhold your personal identifying information from public review by checking the box "keep my contact information private," we cannot guarantee that we will be able to do so.

- 1. EMAIL TO: COLORADORIVERBASINSTUDY@USBR.GOV
- 2. U.S. MAIL TO: BUREAU OF RECLAMATION, ATTENTION MS. PAM ADAMS, LC-2721, P.O. BOX 61470, BOULDER CITY, NV 89006-1470
- 3. FACSIMILE TO: 702-293-8418

Option Submittal Form

Contact Information (optional):	Keep my contact information private.
Contact Name: Kimery Wiltshire	Title: Executive Director
Affiliation: Carpe Diem West	
Address: 325 Pine Street Sausalito, CA 94965	
Telephone: 415-332-2112	E-mail Address: kimery@carpediemwest.org
Date Option Submitted: 1/27/2012	
Option Name:	
Informal Basin-wide Stakeholder Governance Pro	ocess

Description of Option:

The purpose of this option is to establish an informal governance mechanism by which a broad range of non-governmental stakeholders can engage with each other with regard to long-term, basin-scale solutions for water supply and management challenges in the Colorado River Basin, and provide collective input into formal governmental decision making processes. This option would establish a process designed to build on the findings of the Basin Study and carry that work forward following its completion.

In this option, Colorado Basin stakeholders would, on their own initiative, establish a "parallel process" that would serve as a forum for discussing long-term water solutions for the basin. All interested non-governmental Colorado Basin stakeholders, as well as Native American tribes, would be welcome to participate in the process. Meetings would be open to the interested public, and to representatives of the basin states and the Bureau, although participation would be limited to representatives of the various stakeholder groups. The participants would meet regularly to discuss ongoing issues and proposals, including the options and opportunities analyzed in the Basin Study. The group would function as a forum for sharing views on issues in Colorado Basin water management, for developing proposals related to water management, and for providing input to the basin states and Bureau as appropriate opportunities arise. The group would set its own rules for decision making, which could be by consensus, by majority vote, by supermajority, or any other model the group chose to adopt. The group would set its own rules for decision making, which could be by consensus, by majority vote, by supermajority, or any other model the group chose to adopt.

The "parallel process" would not have any formally recognized status in statute or regulation, and its recommendations would be advisory only. That said, any recommendations that emerged from a broad and inclusive stakeholder group would likely be highly influential.

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Basin-wide

Moreover, some existing regulatory mechanisms provide a direct avenue for agencies to consider input from such a group. See, e.g., 43 CFR § 46.110 (providing that in decisions subject to the NEPA process, the Bureau must consider alternatives produced by consensus-based processes).

A variety of politically neutral public or private institutions could serve as the instigator/ convener of the stakeholder process. This could be public universities – as was done in the case of the Universities Consortium on Columbia River Governance - or an appropriate charitable foundation or non-governmental organization, similar to an entity, for example, such as the Great Lakes Coalition. The process could be funded through various mechanisms, including public and private grants.

The parallel process would provide important benefits. A wide range of stakeholders - including environmental groups, Tribes, rural farm communities, and others - have a strong interest in the outcome of Colorado Basin water management decisions, but no direct representation in the negotiations among the basin states and Bureau of Reclamation through which most such decisions are typically made. A governance mechanism would provide a forum in which these stakeholders could discuss various solutions options in a structured way, with the goal of producing a set of solutions that enjoy public support among a broad range of interests. The stakeholder group would be an important source of input for the basin states and Bureau to consider in negotiations involving long-term water management. Solutions vetted through a diverse stakeholder group will be less likely to undergo litigation, more likely to receive public funding, and will be easier to implement effectively.

Location: Describe location(s) where option could be implemented and other areas that the option would affect, if applicable. Attach a map, if applicable.

Quantity and Timing: Roughly quantify the range of the potential amount of water that the option could provide
over the next 50 years and in what timeframe that amount could be available. If option could be implemented in
phases, include quantity estimates associated with each phase. If known, specify any important seasonal (e.g., more water could be available in winter) and/or frequency (e.g., more water could likely be available during above-average hydrologic years) considerations. If known, describe any key assumptions made in order to quantify the potential amount.
N/A

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Additional Information

Technical Feasibility: Describe the maturity and feasibility of the concept/technology being proposed, and what research and/or technological development might first be needed.

This option is feasible. Stakeholder groups have been used in various US river basins and have proved a workable means of providing stakeholder input into natural resource governance decisions. A prominent example is the Universities Consortium on Columbia River Governance.

Costs: Provide cost and funding information, if available, including capital, operations, maintenance, repair, replacement, and any other costs and sources of funds (e.g., public, private, or both public and private). Identify what is and is not included in the provided cost numbers and provide references used for cost justification. Methodologies for calculating unit costs (e.g., \$/acre-foot or \$/million gallons) vary widely; therefore, do not provide unit costs without also providing the assumed capital and annual costs for the option, and the methodology used to calculate unit costs.

The stakeholder group would have a budget in the range of tens of thousands of dollars per year, most of which would relate to travel and meeting costs. The most likely sources of funding would be a mix of agency and foundation grants matched with in-kind donations from member groups.

Permitting: List the permits and/or approvals required and status of any permits and/or approvals received.

N/A

Legal / Public Policy Considerations: Describe legal/public policy considerations associated with the option. Describe any agreements necessary for implementation and any potential water rights issues, if known.

The stakeholder group could assist the implementation of various other solution options. For example, by providing a forum for airing differences and working out compromises between different stakeholder factions, the group could streamline the process of designing and implementing a wide range of physical and institutional options such as: rules governing water banking/ transfers; size, location, and operation of storage reservoirs; flow regimes for existing reservoirs; design, location and mitigation measures associated with augmentation options.; and others.

Implementation Risk / Uncertainty: Describe any aspects of the option that involves risk or uncertainty related to implementing the option.

Establishing an effective and representative stakeholder group with a sustained presence will be a challenge, given the size and complexity of the Colorado River Basin. Success is not assured; however, there are no significant risks or downsides if the effort is unsuccessful.

Reliability: Describe the anticipated reliability of the option and any known risks to supply or demand, such as: drought risk, water contamination risk, risk of infrastructure failure, etc.

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Water Quality: Identify key with all of the locations the opt		alinity and other constituents) associated with the option
N/A		
		gy needs associated with the option. Include any energy fined location at the defined quality.
Energy R	equired	Source(s) of Energy
N/A		
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Location(s)	Anticipated Benefits or Impacts
N/A	

Socioeconomics: Describe anticipated positive or negative socioeconomic (social and economic factors) effects.

The option would have significant positive socioeconomic effects to the extent that it gives a broad range of affected groups – rural farm communities, Tribes, conservationists, small agricultural operators, and others – an effective forum to voice their concerns and have input into water governance decisions. It would have positive economic effects to the extent that it built broad political support for solution

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sets, which would tend to avoid litigation, help secure funding, and improve implementation.				

Other Information: Provide other information as appropriate, including potential secondary benefits or considerations. Attach supporting documentation or references, if applicable.

See the report Governing Like a River Basin – Options for Expanded Stakeholder Engagement in the Colorado River Basin, Carpe Diem West 2011.