

# RESOLUTION PREDICATE HW – 5.3

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913- HALMAGYI-FILIP NICHOLAS



# EX – 5.3

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**5. Check whether the following formulas are theorems or not using predicate resolution.**

3.  $U_3 = (\forall x)(\forall y)P(x,y) \boxed{\leftrightarrow} (\exists x)(\forall y)P(x,y)$

# THEORETICAL RESULTS

## RESOLUTION PROOF METHOD

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It's basic aim is to check the **consistency/inconsistency** of a set of clauses.

It is based on syntactic considerations => **syntactic method**

The **validity** of a formula is **proved by contradiction** => **=> refutation method**

# Theorem (resolution - a refutation proof method)

Let  $U_1, U_2, \dots, U_n, V$  be first-order formulas.

- $\triangleright \models V \text{ (iff } V) \text{ if and only if } (\neg V)^c \vdash_{\text{Res}}^{\text{Pr}} \square$
  - $\triangleright U_1, U_2, \dots, U_n \models V \text{ if and only if } \{U_1^c, U_2^c, \dots, U_n^c, (\neg V)^c\} \vdash_{\text{Res}}^{\text{Pr}} \square$
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## Remarks:

- $\triangleright$  All the refinements and strategies of propositional resolution can be used in predicate logic.
- $\triangleright$  The resolution algorithm for predicate logic is a **semi-decision procedure**.

## Theorem:

A predicate formula admits a logical equivalent conjunctive prenex normal form.

The **prenex normal form** is obtained by applying transformations which preserve the logical equivalence, according to the following steps:

**Step 1:** The connectives ' $\rightarrow$ ' and ' $\leftrightarrow$ ' are replaced using the connectives:  $\neg$ ,  $\wedge$ ,  $\vee$

**Step 2:** The bound variables are renamed such that they will be distinct.

**Step 3:** Application of infinitary DeMorgan's laws.

**Step 4:** The extraction of quantifiers in front of the formula.

**Step 5:** The matrix is transformed into CNF using DeMorgan's laws and the distributive laws.





# PRENEX NORMAL FORM

## Definition

A predicate formula  $U$  is in **prenex normal form** if it has the form:  $(Q_1X_1)\dots(Q_nX_n)M$ , where  $[here]$  are quantifiers, and  $M$  is quantifier-free. The sequence  $(Q_1X_1)\dots(Q_nX_n)$  is called the prefix of the formula  $U$  and  $M$  is called the **matrix** of the formula  $U$ . A predicate formula is in **conjunctive prenex normal form** if it is in prenex normal form and the matrix is in CNF.

## Theorem:

A predicate formula admits a logical equivalent conjunctive prenex normal form. **The prenex normal form** is obtained by applying transformations which preserve the logical equivalence, according to the following steps:

**Step 1:** The connectives ' $\rightarrow$ ' and ' $\leftrightarrow$ ' are replaced using the connectives:  $\neg$ ,  $\wedge$ ,  $\vee$

**Step 2:** The bound variables are renamed such that they will be distinct.

**Step 3:** Application of infinitary DeMorgan's laws.

**Step 4:** The extraction of quantifiers in front of the formula.

**Step 5:** The matrix is transformed into CNF using DeMorgan's laws and the distributive laws.



# SKOLEM AND CLAUSAL NORMAL FORMS

## Definitions:

Let  $U$  be a first-order formula, and  $U^P = (Q_1 X_1) \dots (Q_n X_n) M$  be one of its conjunctive prenex normal form.

A formula in **Skolem normal form**, denoted by  $U^S$  corresponds to  $U$  and it is obtained as follows:

- > For each existential quantifier  $Q_r$  from the prefix we apply the transformation:
  - > if on the left side of  $Q_r$  there are no universal quantifiers, then we introduce a new constant  $a$ , and we replace in  $M$  all the occurrences of  $X_r$  by  $a$ ,  $(Q_r X_r)$  is deleted from the prefix.
  - > if  $Q_{s_1}, \dots, Q_{s_m}$ ,  $1 \leq s_1 < \dots < s_m < r$ , are all the universal quantifiers on the left side of  $Q_r$  in the prefix, then we introduce a new  $m$ -place function symbol,  $f$ , and we replace in  $M$  all the occurrences of  $X_r$  by  $f(X_{s_1}, \dots, X_{s_m})$ .  $(Q_r X_r)$  is deleted from the prefix.
- > The constants and functions used to replace the existentially quantified variables are called **Skolem constants and Skolem functions**. The prefix of the formula  $U^S$  contains only universal quantifiers, and the matrix is in conjunctive normal form.

A formula in clausal normal form denoted by  $U^C$  is obtained by deleting the prefix of  $U^S$ .



# SOLUTION

1. Checking if theorem:  $U3 = (\forall x)(\forall y)P(x,y) \boxed{\leftrightarrow} (\exists x)(\forall y)P(x,y)$

$\vdash U3$ , if and only if:  $(\neg U3)^C \vdash^{\text{Pr}}_{\text{Res}} \square$

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2. We are going to study  $\neg U3$ , and replace  $p \boxed{\leftrightarrow} q \equiv (p \wedge q) \vee (\neg p \wedge \neg q)$

$$\neg U3 = \neg( (\forall x)(\forall y)P(x,y) \wedge (\exists x)(\forall y)P(x,y) ) \wedge \neg( \neg((\forall x)(\forall y)P(x,y)) \wedge \neg((\exists x)(\forall y)P(x,y)) )$$

3. Applying DeMorgan's Law:  $\neg(\forall x)A(x) = (\exists x)\neg A(x)$

$$\neg U3 = ((\exists x)(\exists y)\neg P(x,y) \vee (\forall x)(\exists y)\neg P(x,y)) \wedge ((\forall x)(\forall y)\neg P(x,y) \vee (\exists x)(\forall y)\neg P(x,y))$$

4. Replace the variables:

$$\neg U3 = ((\exists x)(\exists y)\neg P(x,y) \vee (\forall a)(\exists b)\neg P(a,b)) \wedge ((\forall c)(\forall d)\neg P(c,d) \vee (\exists e)(\forall f)\neg P(e,f))$$



5. Extract the variables:

$$\neg U3 = (\exists x)(\exists y)(\exists b)(\exists e)(\forall a)(\forall c)(\forall d)(\forall f)((\neg P(x,y)) \vee \neg P(a,b)) \wedge (P(c,d) \wedge P(e,f))$$

6. Using the Prenex Form:

$$(\neg U3)^P = (\exists x)(\exists y)(\exists b)(\exists e)(\forall a)(\forall c)(\forall d)(\forall f)((\neg P(x,y)) \vee \neg P(a,b)) \wedge (P(c,d) \wedge P(e,f))$$

7. Using the skolem form ( $x \leftarrow m, y \leftarrow n, b \leftarrow r, e \leftarrow p$ ):

$$(\neg U3)^S = (\forall a)(\forall c)(\forall d)(\forall f)((\neg f(m,n) \vee \neg f(a,r)) \wedge (f(c,d) \wedge f(p,f)))$$

8. Using the Clausal Normal Form:

$$(\neg U3)^C = (\neg f(m,n) \vee \neg f(a,r)) \wedge (f(c,d) \wedge f(p,f))$$

**We get the set of clauses:  $S_3 = \{C_1 = \neg f(m,n) \vee \neg f(a,r); C_2 = f(c,d); C_3 = f(p,f)\}$**

**We get the resolvents:  $C_4 = \text{Res}^{\text{Pr}}_{[c,d \leftarrow m,n]}(C_1, C_2) = \neg f(a,r)$**

$$C_5 = \text{Res}^{\text{Pr}}_{[a,f \leftarrow p,r]}(C_1, C_3) = \square$$

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**$\Rightarrow (\neg U_3)^C \models \neg^{\text{Pr}}_{\text{Res}} \square$ , therefore  $\models U_3$**

**Conclusion:**

**$U_3$  is a theorem**