

## Project Management Institute (PMBOK 2000) – PMP Preparation Worksheet

<b><u>Project Integration Management</u></b> – Processes required to ensure that the various elements of the project are properly coordinated to meet / exceed stakeholder expectations.					
<b>Area Process</b>	<b>Inputs</b>	<b>Tools and Techniques</b>	<b>Outputs / Deliverables</b>	<b>Process Group</b>	<b>Other Notes</b>
<b><u>Project Plan Development</u></b> – Integrates the strategic plan, project portfolio and results of other planning processes into a consistent, coherent document to guide execution and control.	<ul style="list-style-type: none"> <li>◆ Other planning outputs</li> <li>◆ Historical information</li> <li>◆ Organizational policies</li> <li>◆ Constraints</li> <li>◆ Assumptions</li> </ul>	<ul style="list-style-type: none"> <li>◆ Project Planning Methodology</li> <li>◆ Stakeholder skills and knowledge</li> <li>◆ PMIS</li> <li>◆ EVM</li> </ul>	<ul style="list-style-type: none"> <li>◆ Project Plan</li> <li>◆ Supporting detail</li> </ul>	Core Planning	Project Plan includes: Project Charter, Project approach, Scope Statement, WBS, Staff, Budget, Schedule, Management plans, Constraints, Assumptions, Risks, Issues, etc.
<b><u>Project Plan Execution</u></b> – Coordinate and direct the various technical and organizational interfaces of the project.	<ul style="list-style-type: none"> <li>◆ Project plan</li> <li>◆ Supporting details</li> <li>◆ Organizational policies</li> <li>◆ Preventive action</li> <li>◆ Corrective action</li> </ul>	<ul style="list-style-type: none"> <li>◆ General Management Skills</li> <li>◆ Product skills and knowledge</li> <li>◆ Work Authorization System</li> <li>◆ Status review meetings</li> <li>◆ PMIS</li> <li>◆ Organizational procedures</li> </ul>	<ul style="list-style-type: none"> <li>◆ Work Results</li> <li>◆ Change Requests</li> </ul>	Core Executing	PM's role is to integrate all pieces of a project into a cohesive whole. It is senior management's responsibility to define the project and "protect" it from changes.
<b><u>Integrated Change Control</u></b> – Coordinating changes across the entire project	<ul style="list-style-type: none"> <li>◆ Project plan</li> <li>◆ Performance reports</li> <li>◆ Change requests</li> </ul>	<ul style="list-style-type: none"> <li>◆ Change Control System</li> <li>◆ Configuration Management</li> <li>◆ Performance Measurement</li> <li>◆ Additional Planning</li> <li>◆ PMIS</li> </ul>	<ul style="list-style-type: none"> <li>◆ Project Plan Updates</li> <li>◆ Corrective Action</li> <li>◆ Lessons Learned</li> </ul>	Core Controlling	PM must be concerned with the following for overall change control: <ol style="list-style-type: none"> <li>1. Influencing the factors that affect change.</li> <li>2. Ensuring that change is beneficial.</li> <li>3. Determining that a change has occurred.</li> <li>4. Managing changes as they occur.</li> <li>5. Maintaining the integrity of baselines.</li> <li>6. Maintaining the integrity of scope statement.</li> <li>7. Ensure coordination across knowledge areas.</li> </ol>

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<b><u>Project Scope Management</u></b> – Processes required to ensure that the project includes all the work required, and only the work required, to complete the project successfully					
Area Process	Inputs	Tools and Techniques	Outputs / Deliverables	Process Group	Other Notes
<u>Initiation</u> – Formal authorization that a new project or phase can proceed.	<ul style="list-style-type: none"> <li>◆ Product description</li> <li>◆ Strategic plan</li> <li>◆ Project selection criteria</li> <li>◆ Historical information</li> </ul>	<ul style="list-style-type: none"> <li>◆ Project Selection Methods</li> <li>◆ Expert Judgment</li> </ul>	<ul style="list-style-type: none"> <li>◆ Project Charter</li> <li>◆ PM identified and assigned</li> <li>◆ Constraints</li> <li>◆ Assumptions</li> </ul>	Core Initiating	Project Manager should be identified in this phase. PMI considers this an output.
<u>Scope Planning</u> – Progressively elaborating and documenting the work.	<ul style="list-style-type: none"> <li>◆ Project Charter</li> <li>◆ PM identified and assigned</li> <li>◆ Constraints</li> <li>◆ Assumptions</li> </ul>	<ul style="list-style-type: none"> <li>◆ Product Analysis</li> <li>◆ Benefit/Cost Analysis</li> <li>◆ Alternatives Identification</li> <li>◆ Expert Judgment</li> </ul>	<ul style="list-style-type: none"> <li>◆ Scope Statement</li> <li>◆ Supporting detail</li> <li>◆ Scope management plan</li> </ul>	Core Planning	Scope Statement forms the basis for an agreement between the project and project customer and includes: <ul style="list-style-type: none"> <li>◆ Project Justification</li> <li>◆ Project Product</li> <li>◆ Project Objectives</li> <li>◆ Project Deliverables</li> </ul>
<u>Scope Definition</u> – Subdividing the major project deliverables into smaller, more manageable components	<ul style="list-style-type: none"> <li>◆ Scope statement</li> <li>◆ Constraints</li> <li>◆ Assumptions</li> <li>◆ Other planning outputs</li> <li>◆ Historical information</li> </ul>	<ul style="list-style-type: none"> <li>◆ WBS Templates</li> <li>◆ Decomposition</li> </ul>	<ul style="list-style-type: none"> <li>◆ Work Breakdown Structure</li> <li>◆ Scope statement updates</li> </ul>	Core Planning	WBS is used as a basis for many planning activities and is considered very important by PMI.
<u>Scope Verification</u> – Formalizing acceptance of the projects scope	<ul style="list-style-type: none"> <li>◆ Work results</li> <li>◆ Product documentation</li> <li>◆ WBS</li> <li>◆ Scope statement</li> <li>◆ Project plan</li> </ul>	<ul style="list-style-type: none"> <li>◆ Inspection</li> </ul>	<ul style="list-style-type: none"> <li>◆ Formal Acceptance</li> </ul>	Facilitating Controlling	Scope Verification deals with the <b>acceptance</b> of the work, not the <i>correctness</i> of the work. Quality Control deals with the <i>correctness</i> of the work.
<u>Scope Change Control</u> – Controlling changes to the project scope	<ul style="list-style-type: none"> <li>◆ WBS</li> <li>◆ Performance reports</li> <li>◆ Change requests</li> <li>◆ Scope management plan</li> </ul>	<ul style="list-style-type: none"> <li>◆ Scope Change Control System</li> <li>◆ Performance Measurement</li> <li>◆ Additional planning</li> </ul>	<ul style="list-style-type: none"> <li>◆ Scope Changes</li> <li>◆ Corrective Action</li> <li>◆ Lessons Learned</li> <li>◆ Adjusted baseline</li> </ul>	Facilitating Controlling	Corrective Action – Anything done to bring expected future project performance into line with the project plan. (May include fast tracking and crashing.)

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<b><u>Project Time Management</u> - Processes required to ensure timely completion of the project</b>					
<b>Area Process</b>	<b>Inputs</b>	<b>Tools and Techniques</b>	<b>Outputs / Deliverables</b>	<b>Process Group</b>	<b>Other Notes</b>
<b><u>Activity Definition</u></b> – Identifying the specific activities that must be performed to produce the various project deliverables	<ul style="list-style-type: none"> <li>◆ WBS</li> <li>◆ Scope statement</li> <li>◆ Historical information</li> <li>◆ Constraints</li> <li>◆ Assumptions</li> <li>◆ Expert Judgement</li> </ul>	<ul style="list-style-type: none"> <li>◆ Decomposition</li> <li>◆ Templates</li> </ul>	<ul style="list-style-type: none"> <li>◆ Activity List</li> <li>◆ Supporting detail</li> <li>◆ WBS updates</li> </ul>	Core Planning	Decomposition here generates activities (action steps) whereas decomposition in Scope Definition generates deliverables.
<b><u>Activity Sequencing</u></b> – identifying and documenting interactivity dependencies	<ul style="list-style-type: none"> <li>◆ Activity list</li> <li>◆ Product description</li> <li>◆ Mandatory dependencies</li> <li>◆ Discretionary dependencies</li> <li>◆ External dependencies</li> <li>◆ Milestones</li> </ul>	<ul style="list-style-type: none"> <li>◆ Precedence Diagram Method (PDM)</li> <li>◆ Arrow Diagram Method (ADM)</li> <li>◆ Conditional Diagram Techniques (e.g. GERT)</li> <li>◆ Network templates</li> </ul>	<ul style="list-style-type: none"> <li>◆ Project Network Diagrams</li> <li>◆ Activity list updates</li> </ul>	Core Planning	<p>PDM (a.k.a Activity on Node) uses 4 types of dependencies (F-S, F-F, S-S, S-F).</p> <p>ADM (a.k.a. Activity on Arrow) uses only F-S dependencies. Allows dummy activities to show logical relationships. (Usually shown as a dashed line.)</p>
<b><u>Activity Duration Estimating</u></b> – Estimating the number of work periods which will be needed to complete individual activities	<ul style="list-style-type: none"> <li>◆ Activity list</li> <li>◆ Constraints</li> <li>◆ Assumptions</li> <li>◆ Resource requirements</li> <li>◆ Resource capabilities</li> <li>◆ Historical information</li> <li>◆ Identified risks</li> </ul>	<ul style="list-style-type: none"> <li>◆ Expert Judgment</li> <li>◆ Analogous Estimating (Top Down Estimates)</li> <li>◆ Quantitative (Unit productivity * quantity)</li> <li>◆ Reserve time for risk / uncertainty</li> </ul>	<ul style="list-style-type: none"> <li>◆ Activity Duration Estimates</li> <li>◆ Basis of estimates</li> <li>◆ Activity list updates</li> </ul>	Core Planning	Critical Path is the longest path through a network diagram and shows earliest completion of a project. It can be derived using CPM, PERT, or Monte Carlo.
<b><u>Schedule Development</u></b> – analyzing activity sequences, activity durations, and resource requirements to create the project schedule	<ul style="list-style-type: none"> <li>◆ Network diagrams</li> <li>◆ Activity durations</li> <li>◆ Resource requirements</li> <li>◆ Resource pool description</li> <li>◆ Calendars</li> <li>◆ Constraints</li> <li>◆ Assumptions</li> <li>◆ Leads and lags</li> <li>◆ Risk Management plan</li> <li>◆ Activity attributes</li> </ul>	<ul style="list-style-type: none"> <li>◆ Mathematical Analysis</li> <li>◆ Duration Compression (Crashing / Fast tracking)</li> <li>◆ Simulation</li> <li>◆ Resource leveling heuristics</li> <li>◆ PM software</li> <li>◆ Coding structure</li> </ul>	<ul style="list-style-type: none"> <li>◆ Project Schedule</li> <li>◆ Supporting detail</li> <li>◆ Schedule Management Plan</li> <li>◆ Resource requirements update</li> </ul>	Core Planning	<p>CPM uses the most likely estimate to calculate the float to determine project duration and scheduling flexibility.</p> <p>PERT uses the weighted average of - (O)ptimistic, (P)essimistic, and (M)ost Likely - to determine project duration. PERT Formulas:</p> <ul style="list-style-type: none"> <li>◆ Mean - <math>(P+4M+O)/6</math></li> <li>◆ Standard Deviation - <math>(P-O)/6</math></li> <li>◆ Variance - <math>((P-O)/6)^2</math></li> </ul>
<b><u>Schedule Control</u></b> – controlling changes to the project schedule	<ul style="list-style-type: none"> <li>◆ Project schedule</li> <li>◆ Performance reports</li> <li>◆ Change requests</li> <li>◆ Schedule management plan</li> </ul>	<ul style="list-style-type: none"> <li>◆ Schedule Change Control System</li> <li>◆ Performance Measure</li> <li>◆ Additional planning</li> <li>◆ PM software</li> <li>◆ Variance analysis</li> </ul>	<ul style="list-style-type: none"> <li>◆ Schedule Updates</li> <li>◆ Corrective Action</li> <li>◆ Lessons Learned</li> </ul>	Facilitating Controlling	<i>Revisions</i> are a special schedule update, which are changes to the start and finish dates in approved schedule. They are usually revised only in response to scope changes.

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<b><u>Project Cost Management</u></b> - processes required to ensure that the project is completed within the approved budget					
Area Process	Inputs	Tools and Techniques	Outputs / Deliverables	Process Group	Other Notes
<u>Resource Planning</u> – Determining what resources (people, equipment, materials) and what quantities of each should be used to perform project activities	<ul style="list-style-type: none"> <li>◆ WBS</li> <li>◆ Historical information</li> <li>◆ Scope statement</li> <li>◆ Resource pool description</li> <li>◆ Organization policies</li> <li>◆ Activity duration estimates</li> </ul>	<ul style="list-style-type: none"> <li>◆ Expert Judgment</li> <li>◆ Alternatives Identification</li> <li>◆ PM software</li> </ul>	<ul style="list-style-type: none"> <li>◆ Resource requirements</li> </ul>	Core Planning	
<u>Cost Estimating</u> – Developing an approximation (estimate) of the costs of the resources needed to complete project activities	<ul style="list-style-type: none"> <li>◆ WBS</li> <li>◆ Resource requirements</li> <li>◆ Resource rates</li> <li>◆ Activity duration estimates</li> <li>◆ Estimating publications</li> <li>◆ Historical information</li> <li>◆ Chart of accounts</li> <li>◆ Risks</li> </ul>	<ul style="list-style-type: none"> <li>◆ Analogous Estimating (i.e. top-down estimating)</li> <li>◆ Parametric Modeling (e.g. regression analysis and learning curve)</li> <li>◆ Bottom-Up Estimating</li> <li>◆ Computerized tools</li> <li>◆ Other (eg vendor bids)</li> </ul>	<ul style="list-style-type: none"> <li>◆ Cost Estimates</li> <li>◆ Supporting detail</li> <li>◆ Cost Management Plan</li> </ul>	Core Planning	<p>Top down / analogous estimating is generally less costly and less accurate than other techniques.</p> <p>Bottom-up estimating accuracy is driven by size of work items being estimated. Smaller items increase both cost and accuracy.</p>
<u>Cost Budgeting</u> – allocating the overall cost estimate to individual work items	<ul style="list-style-type: none"> <li>◆ Cost estimates</li> <li>◆ WBS</li> <li>◆ Project schedule</li> <li>◆ Risk management plan</li> </ul>	<ul style="list-style-type: none"> <li>◆ See "Cost Estimating" "Tools and Techniques"</li> </ul>	<ul style="list-style-type: none"> <li>◆ Cost Baseline</li> </ul>	Core Planning	Cost baseline is a time-phased budget to measure and monitor cost performance.
<u>Cost Control</u> – controlling changes to the project budget	<ul style="list-style-type: none"> <li>◆ Cost baseline</li> <li>◆ Performance reports</li> <li>◆ Change requests</li> <li>◆ Cost management plan</li> </ul>	<ul style="list-style-type: none"> <li>◆ Cost Change Control System</li> <li>◆ Performance measurement</li> <li>◆ EVM</li> <li>◆ Additional planning</li> <li>◆ Computerized tools</li> </ul>	<ul style="list-style-type: none"> <li>◆ Revised Cost Estimates</li> <li>◆ Budget Updates</li> <li>◆ Corrective Action</li> <li>◆ Estimate at Completion (EAC)</li> <li>◆ Project closeout</li> <li>◆ Lessons Learned</li> </ul>	Facilitating Controlling	<ul style="list-style-type: none"> <li>◆ PV - Planned Value</li> <li>◆ EV - Earned Value</li> <li>◆ AC - Actual Cost</li> </ul> <p>Formulas to remember:</p> <ul style="list-style-type: none"> <li>◆ <math>SV = EV - PV</math> (old BCWP-BCWS)</li> <li>◆ <math>CV = EV - AC</math> (old BCWP-ACWP)</li> <li>◆ <math>SPI = EV / PV</math> (old BCWP/BCWS)</li> <li>◆ <math>CPI = EV / AC</math> (old BCWP/ACWP)</li> <li>◆ <math>BCWR = BAC - EV</math></li> <li>◆ <math>EAC = AC + BCWR</math> or <math>EAC = AC + (BCWR / CPI)</math></li> <li>◆ <math>ETC = EAC - AC</math></li> <li>◆ <math>VAC = BAC - EAC</math></li> </ul>

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<b><u>Project Quality Management</u></b> – processes required to ensure that the project will satisfy the needs for which it was undertaken					
Area Process	Inputs	Tools and Techniques	Outputs / Deliverables	Process Group	Other Notes
<b><u>Quality Planning</u></b> – Identifying which quality standards are relevant to the project and determining how to satisfy them	<ul style="list-style-type: none"> <li>◆ Quality policy</li> <li>◆ Scope statement</li> <li>◆ Product description</li> <li>◆ Standards and regulations</li> <li>◆ Other process inputs</li> </ul>	<ul style="list-style-type: none"> <li>◆ Benefit/Cost Analysis</li> <li>◆ Benchmarking</li> <li>◆ Flowcharting</li> <li>◆ Design of experiments</li> <li>◆ Cost of quality</li> </ul>	<ul style="list-style-type: none"> <li>◆ Quality Management Plan</li> <li>◆ Operational Definitions (i.e. Metrics)</li> <li>◆ Checklists</li> <li>◆ Inputs to other processes</li> </ul>	Facilitating Planning	<p><i>Quality</i>: The totality of characteristics of an entity that bear on its ability to satisfy stated or implied needs.</p> <p><i>Customer Satisfaction</i>: Conformance to requirements, specifications, and fitness for use.</p> <p>Quality is planned in, not inspected in.</p>
<b><u>Quality Assurance</u></b> – Planned and systematic activities to provide confidence that the project will satisfy the relevant quality standards	<ul style="list-style-type: none"> <li>◆ Quality management plan</li> <li>◆ Quality control measurements</li> <li>◆ Operational definitions</li> </ul>	<ul style="list-style-type: none"> <li>◆ See "Quality Planning" "Tools and Techniques"</li> <li>◆ Quality Audits</li> </ul>	<ul style="list-style-type: none"> <li>◆ Quality Improvement (ie. Corrective action)</li> </ul>	Facilitating Executing	<p>Deming: Plan, Do, Check, Act</p> <p><i>Kaizen (Continuous Improvement)</i>: Small improvements in products or processes to reduce costs and ensure consistency of products or services.</p> <p><i>ISO 9000</i>: An international standard that describes a recommended quality system. Does not include quality procedures or forms.</p> <p><i>Heuristic</i> is a rule of thumb (e.g. Rule of Seven)</p>
<b><u>Quality Control</u></b> – Monitoring specific project results to determine if they comply with relevant quality standards and identifying ways to eliminate causes of unsatisfactory performance	<ul style="list-style-type: none"> <li>◆ Work results</li> <li>◆ Quality management plan</li> <li>◆ Operational definitions</li> <li>◆ Checklists</li> </ul>	<ul style="list-style-type: none"> <li>◆ Inspection</li> <li>◆ Control Charts</li> <li>◆ Pareto Diagrams</li> <li>◆ Statistical sampling</li> <li>◆ Flow charting</li> <li>◆ Trend analysis (Project and/or product)</li> </ul>	<ul style="list-style-type: none"> <li>◆ Quality Improvement</li> <li>◆ Acceptance Decisions</li> <li>◆ Rework</li> <li>◆ Completed checklists</li> <li>◆ Process adjustments (Corrective / preventive)</li> </ul>	Facilitating Controlling	<ul style="list-style-type: none"> <li>◆ +/- 1 sigma = 68.26%</li> <li>◆ +/- 2 sigma = 95.46%</li> <li>◆ +/- 3 sigma = 99.73%</li> <li>◆ +/- 6 sigma = 99.99 %</li> </ul> <p><i>Variable</i>: Characteristic to be measured (e.g. size, shape)</p> <p><i>Attribute</i>: The measurement (e.g. inches, pounds)</p> <p><i>Statistical Independence</i>: The probability of 1 event occurring does not affect the probability of another event occurring.</p>

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<b><i>Project Human Resource Management</i></b> – process required to make the most effective use of the people involved with the project					
<b>Area Process</b>	<b>Inputs</b>	<b>Tools and Techniques</b>	<b>Outputs / Deliverables</b>	<b>Process Group</b>	<b>Other Notes</b>
<u>Organizational Planning</u> – Identifying, documenting, and assigning project roles, responsibilities and performing relationships	<ul style="list-style-type: none"> <li>◆ Project interfaces</li> <li>◆ Staffing requirements</li> <li>◆ Constraints</li> </ul>	<ul style="list-style-type: none"> <li>◆ Templates</li> <li>◆ Human Resource Practices</li> <li>◆ Organizational Theory</li> <li>◆ Stakeholder Analysis</li> </ul>	<ul style="list-style-type: none"> <li>◆ Role and responsibility assignments</li> <li>◆ Staffing Mgmt Plan</li> <li>◆ Organization Chart</li> <li>◆ Supporting detail</li> </ul>	Facilitating Planning	<p>PM Forms of Power:</p> <ul style="list-style-type: none"> <li>◆ Formal (legitimate) – Based on Position</li> <li>◆ Reward – Giving Rewards</li> <li>◆ Penalty (coercive) – Penalizing</li> <li>◆ Expert – Knowledge and experience</li> <li>◆ Referent – Charisma / role model.</li> </ul> <p>PMI says best forms of power are Expert and Reward. Formal, Reward, and Penalty are derived from PM's position in organization.</p>
<u>Staff Acquisition</u> – Getting the human resources needed assigned to and working on the project	<ul style="list-style-type: none"> <li>◆ Staffing management plan</li> <li>◆ Staffing pool description</li> <li>◆ Recruitment practices</li> </ul>	<ul style="list-style-type: none"> <li>◆ Negotiations</li> <li>◆ Pre-assignment</li> <li>◆ Procurement</li> </ul>	<ul style="list-style-type: none"> <li>◆ Project Staff Assigned</li> <li>◆ Project Team Directory</li> </ul>	Facilitating Planning	<p>Conflict Resolution Techniques:</p> <ul style="list-style-type: none"> <li>◆ Problem Solving (Address interests)</li> <li>◆ Compromising (Middle ground)</li> <li>◆ Forcing (Impose judgement)</li> <li>◆ Withdrawal (Avoidance)</li> <li>◆ Smoothing (Peace keeping)</li> </ul> <p>PMI recommends Problem Solving as best choice followed by compromising. Forcing is last.</p>
<u>Team Development</u> – Developing individual and group skills to enhance project performance	<ul style="list-style-type: none"> <li>◆ Project staff</li> <li>◆ Project plan</li> <li>◆ Staffing management plan</li> <li>◆ Performance reports</li> <li>◆ External feedback</li> </ul>	<ul style="list-style-type: none"> <li>◆ Team Building Activities</li> <li>◆ General management skills</li> <li>◆ Reward and Recognition Systems</li> <li>◆ Collocation</li> <li>◆ Training</li> </ul>	<ul style="list-style-type: none"> <li>◆ Performance Improvements</li> <li>◆ Input to performance appraisals</li> </ul>	Facilitating Executing	<p>Maslow's Hierarchy of Needs (in order):</p> <ul style="list-style-type: none"> <li>◆ Physiological (Lowest)</li> <li>◆ Safety</li> <li>◆ Social</li> <li>◆ Esteem</li> <li>◆ Self-Actualization (Highest)</li> </ul> <p>MacGregor's Theory X – People must be constantly watched. They are incapable, avoid responsibility, and avoid work.</p> <p>MacGregor's Theory Y – People are willing to work without supervision and want to achieve.</p> <p>Ouchi's Theory Z - People work effectively when secure, consulted and held collectively responsible.</p>

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<b><u>Project Communications Management</u></b> – Processes required to ensure timely and appropriate generation, collection, dissemination, storage and ultimate disposition of project information					
Area Process	Inputs	Tools and Techniques	Outputs / Deliverables	Process Group	Other Notes
<u>Communication Planning</u> – Determining the information and communications needs of the stakeholders	<ul style="list-style-type: none"> <li>◆ Communications requirements</li> <li>◆ Communications technology</li> <li>◆ Constraints</li> <li>◆ Assumptions</li> </ul>	<ul style="list-style-type: none"> <li>◆ Stakeholder Analysis</li> </ul>	<ul style="list-style-type: none"> <li>◆ Communications Management Plan (Who, what, when, where, why, how)</li> </ul>	Facilitating Planning	<p>Communication Methods:</p> <ul style="list-style-type: none"> <li>◆ Formal Written – Project Plans/Charters</li> <li>◆ Formal Verbal – Presentations, Speeches</li> <li>◆ Informal Written – Memos, e-mail</li> <li>◆ Informal Verbal – Meetings, Conversations</li> </ul> <p>Comm. Channels: <math>N(N-1)/2</math> where N is equal to the number of people.</p> <p>◆</p>
<u>Information Distribution</u> – Making needed information available to project stakeholders in a timely manner	<ul style="list-style-type: none"> <li>◆ Work results</li> <li>◆ Communications management plan</li> <li>◆ Project plan</li> </ul>	<ul style="list-style-type: none"> <li>◆ Communication Skills</li> <li>◆ Information retrieval systems</li> <li>◆ Information distribution systems</li> </ul>	<ul style="list-style-type: none"> <li>◆ Project records</li> <li>◆ Project reports</li> <li>◆ Project presentations</li> </ul>	Facilitating Executing	<p>PM should spend 70 - 90% of their time communicating.</p> <p>Basic elements of communication:</p> <ul style="list-style-type: none"> <li>◆ Sender (or encoder)</li> <li>◆ Message.</li> <li>◆ Receiver (or decoder)</li> </ul>
<u>Performance Reporting</u> – Collecting and disseminating performance information to provide stakeholders with information on how resources are being used to achieve project objectives.	<ul style="list-style-type: none"> <li>◆ Project plan</li> <li>◆ Work results</li> <li>◆ Other project records</li> </ul>	<ul style="list-style-type: none"> <li>◆ Performance Reviews</li> <li>◆ Variance Analysis</li> <li>◆ Trend Analysis</li> <li>◆ Earned Value Analysis</li> <li>◆ See "Information Distribution" "Tools and Techniques"</li> </ul>	<ul style="list-style-type: none"> <li>◆ Performance Reports</li> <li>◆ Change Requests</li> </ul>	Core Controlling	<p>Performance Reporting Tools:</p> <ul style="list-style-type: none"> <li>◆ Status Report</li> <li>◆ Progress Report</li> <li>◆ Trend Report</li> <li>◆ Forecasting Report</li> <li>◆ Variance Report</li> <li>◆ Earned Value**</li> </ul>
<u>Administrative Closure</u> – Documenting project results to formalize acceptance of the product of the project by the sponsor or customer.	<ul style="list-style-type: none"> <li>◆ Performance measurement documentation</li> <li>◆ Product documentation</li> <li>◆ Other project records</li> </ul>	<ul style="list-style-type: none"> <li>◆ See "Performance Reporting" "Tools and Techniques"</li> <li>◆ Project reports</li> <li>◆ Project presentations</li> </ul>	<ul style="list-style-type: none"> <li>◆ Project Archives</li> <li>◆ Formal Acceptance</li> <li>◆ Lessons Learned</li> </ul>	Core Closing	Admin Closure should be done for each phase of the project.

## Project Management Institute (PMBOK 2000) – PMP Preparation Worksheet

<b><u>Project Risk Management</u></b> – processes concerned with identifying, analyzing and responding to project risk					
<b>Area Process</b>	<b>Inputs</b>	<b>Tools and Techniques</b>	<b>Outputs / Deliverables</b>	<b>Process Group</b>	<b>Other Notes</b>
<b><u>Risk Management Planning</u></b> Deciding how to approach and plan the risk mgmt activities for a project	<ul style="list-style-type: none"> <li>◆ Project charter</li> <li>◆ Organizational risk management policies</li> <li>◆ Defined roles and responsibilities</li> <li>◆ Stakeholder risk tolerances</li> <li>◆ Organization risk management template</li> <li>◆ WBS</li> </ul>	<ul style="list-style-type: none"> <li>◆ Planning Meetings</li> </ul>	<ul style="list-style-type: none"> <li>◆ Risk Management Plan</li> </ul>	Core Planning	<p>Risk management plan includes methodology, roles, responsibilities, budget, timing, scoring / interpretation, thresholds, reporting, tracking, etc.</p> <p>Risk Categories:</p> <ul style="list-style-type: none"> <li>◆ Technical</li> <li>◆ Project management</li> <li>◆ Organizational</li> <li>◆ External</li> </ul>
<b><u>Risk Identification</u></b> – Determining which risks are likely to affect the project and documenting the characteristics of each	<ul style="list-style-type: none"> <li>◆ Risk management plan</li> <li>◆ Project plan</li> <li>◆ Risk categories</li> <li>◆ Historical information</li> </ul>	<ul style="list-style-type: none"> <li>◆ Documentation reviews</li> <li>◆ Information gathering</li> <li>◆ Checklists</li> <li>◆ Assumptions analysis</li> <li>◆ Diagramming</li> </ul>	<ul style="list-style-type: none"> <li>◆ Risks</li> <li>◆ Triggers</li> <li>◆ Inputs to other processes</li> </ul>	Facilitating Planning	<p>Risk Components:</p> <ul style="list-style-type: none"> <li>◆ Risk event</li> <li>◆ Risk event probability</li> <li>◆ Risk event consequence</li> <li>◆ Risk event status</li> </ul>
<b><u>Qualitative Risk Analysis</u></b> – Assessing the impact & likelihood of identified risks, prioritizing them based on their impact to the project's objectives	<ul style="list-style-type: none"> <li>◆ Risk management plan</li> <li>◆ Identified risks</li> <li>◆ Project status</li> <li>◆ Project type</li> <li>◆ Data precision</li> <li>◆ Scales of probability</li> <li>◆ Assumptions</li> </ul>	<ul style="list-style-type: none"> <li>◆ Risk Probability and Impact</li> <li>◆ Probability / Impact Risk Rating Matrix</li> <li>◆ Project Assumptions Testing</li> <li>◆ Data Precision Ranking</li> </ul>	<ul style="list-style-type: none"> <li>◆ Overall Risk Ranking for the project</li> <li>◆ List of Prioritized risks</li> <li>◆ List of risks for additional analysis &amp; mgmt</li> <li>◆ Trends</li> </ul>	Facilitating Planning	<p>Use of low precision data may lead to faulty analysis.</p> <p>Risks calculated as high or moderate would be prime candidates for further analysis.</p>
<b><u>Quantitative Risk Analysis</u></b> Analyze numerically the probability of each risk and its consequence on overall project activities, as well as the extent of overall project risk	<ul style="list-style-type: none"> <li>◆ Risk management plan</li> <li>◆ Identified risks</li> <li>◆ List of prioritized risks</li> <li>◆ List of risks for additional analysis</li> <li>◆ Historical information</li> <li>◆ Expert judgement</li> <li>◆ Other planning outputs</li> </ul>	<ul style="list-style-type: none"> <li>◆ Interviewing</li> <li>◆ Sensitivity Analysis</li> <li>◆ Decision Tree Analysis</li> <li>◆ Simulation</li> </ul>	<ul style="list-style-type: none"> <li>◆ Prioritized list of Quantitative Risks</li> <li>◆ Probabilistic analysis of the project</li> <li>◆ Probability of achieving the cost and time objectives</li> <li>◆ Trends</li> </ul>	Facilitating Planning	<p>Quantitative analysis follows qualitative analysis.</p> <p>Objectives include:</p> <ul style="list-style-type: none"> <li>◆ Determine probability of reaching project objectives</li> <li>◆ Determine size of contingency needed</li> <li>◆ Identify risks requiring most attention based on their priority</li> <li>◆ Identify realistic cost and scope targets</li> </ul>



## Project Management Institute (PMBOK 2000) – PMP Preparation Worksheet

<b><u>Project Risk Management</u></b> – processes concerned with identifying, analyzing and responding to project risk					
Area Process	Inputs	Tools and Techniques	Outputs / Deliverables	Process Group	Other Notes
<b><u>Risk Response Planning</u></b> – Developing options and determining actions to enhance opportunities and reduce threats to project objectives	<ul style="list-style-type: none"> <li>◆ Risk management plan</li> <li>◆ List of prioritized risks</li> <li>◆ Risk ranking of the project</li> <li>◆ Prioritized list of quantified risks</li> <li>◆ Probabilistic analysis of the project</li> <li>◆ Probability of achieving time &amp; cost objectives</li> <li>◆ List of potential responses</li> <li>◆ Risk thresholds</li> <li>◆ Risk owners</li> <li>◆ Common risk causes</li> <li>◆ Trends</li> </ul>	<ul style="list-style-type: none"> <li>◆ Avoidance</li> <li>◆ Transference</li> <li>◆ Mitigation</li> <li>◆ Acceptance (with fallback / contingency plan)</li> </ul>	<ul style="list-style-type: none"> <li>◆ Risk response plan</li> <li>◆ Residual risks</li> <li>◆ Secondary risks</li> <li>◆ Contractual agreements</li> <li>◆ Contingency reserve amounts needed</li> <li>◆ Inputs to other processes</li> <li>◆ Inputs to revised project plan</li> </ul>	Facilitating Planning	<p><i>Contingency Plan</i> is a management plan that identifies alternative strategies to be used to ensure project success if specified risk events occur.</p> <p>Fallback plan is a management plan that identifies alternative project approaches if the risk has high impact, or if the strategy might not be fully effective.</p> <p>Contingency Reserve accounts for known risks that have been accepted.</p> <p>Secondary risks arise from implementing the contingency plan.</p>
<b><u>Risk Monitoring and Control</u></b> – Keeping track of identified risks, monitoring residual risks and identifying new risks, ensuring the execution of risk plans, and evaluating their effectiveness in reducing risk	<ul style="list-style-type: none"> <li>◆ Risk management plan</li> <li>◆ Risk response plan</li> <li>◆ Project communication</li> <li>◆ Additional risk identification and analysis</li> <li>◆ Scope changes</li> </ul>	<ul style="list-style-type: none"> <li>◆ Project Risk Response Audits</li> <li>◆ Periodic Project Risk Reviews</li> <li>◆ Earned Value Analysis</li> <li>◆ Technical Performance Measurement</li> <li>◆ Additional risk response planning</li> </ul>	<ul style="list-style-type: none"> <li>◆ Workaround plans</li> <li>◆ Corrective action</li> <li>◆ Project change requests</li> <li>◆ Updates to risk response plan</li> <li>◆ Risk database</li> <li>◆ Updates to risk identification checklists</li> </ul>	Facilitating Controlling	<p>Purpose of Risk Monitoring is to determine if:</p> <ul style="list-style-type: none"> <li>◆ Risk responses implemented as planned</li> <li>◆ Risk response actions were as effective as planned</li> <li>◆ Project assumptions are still valid</li> <li>◆ Risk trigger has occurred</li> <li>◆ Risk exposure has changed</li> </ul>

## Project Management Institute (PMBOK 2000) – PMP Preparation Worksheet

<b><u>Project Procurement Management</u></b> – processes required to acquire goods and services from outside the performing organization					
Area Process	Inputs	Tools and Techniques	Outputs / Deliverables	Process Group	Other Notes
<u>Procurement Planning</u> – Determining what to procure and when	<ul style="list-style-type: none"> <li>◆ Scope statement</li> <li>◆ Product description</li> <li>◆ Procurement resources</li> <li>◆ Market conditions</li> <li>◆ Other planning outputs</li> <li>◆ Constraints</li> <li>◆ Assumptions</li> </ul>	<ul style="list-style-type: none"> <li>◆ Make or Buy Analysis</li> <li>◆ Expert Judgment</li> <li>◆ Contract Type Selection</li> </ul>	<ul style="list-style-type: none"> <li>◆ Procurement Management Plan</li> <li>◆ Statements of Work</li> </ul>	Facilitating Planning	<p>Contract Type:</p> <ul style="list-style-type: none"> <li>◆ FP – Fixed Price. (Low cost risk to buyer.)</li> <li>◆ T&amp;M – Time and Materials. (Moderate cost risk to buyer.)</li> <li>◆ CR – Cost Reimbursable. (High cost risk to buyer.)</li> </ul> <p>Incentives – Align to buyer’s objectives.</p>
<u>Solicitation Planning</u> – Documenting project requirements and identifying potential sources	<ul style="list-style-type: none"> <li>◆ Procurement management plan</li> <li>◆ SOW</li> <li>◆ Other planning outputs</li> </ul>	<ul style="list-style-type: none"> <li>◆ Standard Forms</li> <li>◆ Expert Judgment</li> </ul>	<ul style="list-style-type: none"> <li>◆ Procurement Documents</li> <li>◆ Evaluation Criteria</li> <li>◆ SOW Updates</li> </ul>	Facilitating Planning	<p>RFP (Request for Proposal) - Requests detailed proposal on how work will be accomplished.</p> <p>RFQ (Request for Quotation) - Requests a price quote per commodity item, hour, etc.</p> <p>IFB (Invitation for Bid) - Similar to RFQ.</p>
<u>Solicitation</u> – Obtaining responses from prospective sellers.	<ul style="list-style-type: none"> <li>◆ Procurement documents</li> <li>◆ Qualified seller lists</li> </ul>	<ul style="list-style-type: none"> <li>◆ Bidders Conferences</li> <li>◆ Advertising</li> </ul>	<ul style="list-style-type: none"> <li>◆ Proposals</li> </ul>	Facilitating Executing	<p>All bidders conference Q&amp;A should be put in writing and issued to all potential sellers as an addendum to the procurement docs.</p>
<u>Source Selection</u> – choosing from among potential sellers	<ul style="list-style-type: none"> <li>◆ Proposals</li> <li>◆ Evaluation criteria</li> <li>◆ Organizational policies</li> </ul>	<ul style="list-style-type: none"> <li>◆ Contract Negotiation</li> <li>◆ Weighting System</li> <li>◆ Screening System</li> <li>◆ Independent Estimates (i.e. “Should Cost”)</li> </ul>	<ul style="list-style-type: none"> <li>◆ Contract</li> </ul>	Facilitating Executing	<p>Objectives of Negotiation:</p> <ul style="list-style-type: none"> <li>◆ Obtain a fair and reasonable price</li> <li>◆ Develop a good relationship with the seller (should be a win-win situation)</li> </ul> <p>Items to negotiate include: Responsibilities, authority, applicable law, technical and business mgmt approaches, contract financing, and price.</p>
<u>Contract Administration</u> – managing the relationship with the seller	<ul style="list-style-type: none"> <li>◆ Contract</li> <li>◆ Work results</li> <li>◆ Change requests</li> <li>◆ Seller invoices</li> </ul>	<ul style="list-style-type: none"> <li>◆ Contract Change Control System</li> <li>◆ Performance Reporting</li> <li>◆ Payment System</li> </ul>	<ul style="list-style-type: none"> <li>◆ Correspondence</li> <li>◆ Contract Changes</li> <li>◆ Payment Requests</li> </ul>	Facilitating Executing	<p>Contracts are often managed by a contract department who have authority and responsibility for contracts. The PM must work closely with this group to ensure that project objectives are met.</p>
<u>Contract Close-out</u> – completing and settlement of the contract, including resolution of any open items	<ul style="list-style-type: none"> <li>◆ Contract documentation</li> </ul>	<ul style="list-style-type: none"> <li>◆ Procurement Audits</li> </ul>	<ul style="list-style-type: none"> <li>◆ Contract File</li> <li>◆ Formal Acceptance and Closure</li> </ul>	Core Closing	<p>Centralized Contracting – A separate contracting office handles contracts for all projects.</p> <p>Decentralized Contracting – A contract administrator is assigned to each project.</p>