

## 二维哈密顿量构建的思路

Pre-work Part II 横向哈密顿量的构造

纵向哈密顿量的构造：

在row=1时 $III = I_{2^0, 2^0} = I_{1 \times 1}$ .

在row=2=L-1时 $III = I_{2^3 \times 2^3} = I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2}$

For row=L

Appendix 3x3-spin system

# 二维哈密顿量构建的思路

这一块的的整体框架是：

```
%pre-work 准备算符 —— Part I
for row=1:L-1
    %pre-work 准备算符 —— Part II

    for site=0:L-1
        %when site = 0 纵向哈密顿量

        if site>0
            %横向哈密顿量

            %为下一次循环准备算符
            %pre-work —— Part III

    end

row=L; %对最后一行的处理：
```

对于2x2-spin系统，希伯尔特空间为 $D = 2^4 = 16$ , 最后的哈密顿量矩阵大小应该为: 16x16

我们一部分一部分来看，其中可能混杂一些对3x3-spin系统的讨论。

## Pre-work Part II 横向哈密顿量的构造

在row=1时 $II = I_{4 \times 4}$ .

```
II=eye(2^(row*L),2^(row*L));
Sp0 = kron(II,sp);%row行的算符
Sz0 = kron(II,sz);
Sp1 = kron(II,sp);
Sz1 = kron(II,sz);
Id = eye(size(Sp0));
```

```
Sz0 =
    0.5000         0         0         0         0         0         0         0
         0   -0.5000         0         0         0         0         0         0
         0         0    0.5000         0         0         0         0         0
         0         0         0   -0.5000         0         0         0         0
         0         0         0         0    0.5000         0         0         0
         0         0         0         0         0   -0.5000         0         0
         0         0         0         0         0         0    0.5000         0
         0         0         0         0         0         0         0   -0.5000
```

```
Sz1 =
    0.5000         0         0         0         0         0         0         0
         0   -0.5000         0         0         0         0         0         0
         0         0    0.5000         0         0         0         0         0
         0         0         0   -0.5000         0         0         0         0
         0         0         0         0    0.5000         0         0         0
         0         0         0         0         0   -0.5000         0         0
         0         0         0         0         0         0    0.5000         0
         0         0         0         0         0         0         0   -0.5000
```

$$\Pi = I_{2^2 \times 2^2}, \rightarrow \text{Sz1, Sz0: } I_{4 \times 4} \otimes S_{2 \times 2}^z = I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \quad (1)$$

与一维的情况有所不同，这里 $\text{Sp0}, \text{Sp1}, \text{Sz0}, \text{Sz1}$ 的初始化我们在算符 $\text{sp}, \text{sz}$ 上有所扩充而在 $\text{row}=1=L-1$ 的内部，对每个site和一维情况一样构建横向哈密顿量：

```
%outside:
for site=0:L-1

%inside:
if site>0 %site starts from 1 to L-1
    h = 0.5*kron(Sp1, sp'); %kronecker 张量积
    H = H + h + h' + kron(Sz1, sz); %完成一个横向哈密顿量

    if L>2
        if site == L-1
            h = 0.5*kron(Sp0, sp');
            H = H + h + h' + kron(Sz0, sz);
        end

        if site < L-1
            Sp1 = kron(Id, sp);
            Sz1 = kron(Id, sz);

            Sp0 = kron(Sp0, id);
            Sz0 = kron(Sz0, id);
            Id = eye(size(Sz0));
        end
    end
end
end
```

1. For  $\text{site}=1=L-1$

是 $I_{4 \times 4} \otimes S_{2 \times 2}^z$ 这样一个矩阵作为一个整体 $\text{Sz1}$ 和 $S^z$ 进行张量积，在 $L = 2$ 的情况下，到这儿已经结束了。

也就是：

$$I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes S_{2 \times 2}^z \quad (2)$$

我们意识到，这不全啊，对于2x2-spin的横向哈密顿量，应该还有一项为：

$$S_{2 \times 2}^z \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \quad (3)$$

也就是说，对于横向哈密顿量来说，还有row=0的工作

```
row=0;
for site=1:L-1 %L-1
    h = 0.5*kron(Sp1, sp'); %kronecker 张量积,此时的Sp1是site-1的
    H = kron(H, id) + h + h' + kron(Sz1,sz);%完成一个哈密顿量 此时的Sz1是site-1的

    if L>2
        %对最后一个格点的处理
        if site == L-1
            h = 0.5*kron(Sp0, sp');
            H = H + h + h' + kron(Sz0,sz);
        end

        if site < L-1
            Sp1 = kron(Id, sp); %此时的Sp1是site的
            Sz1 = kron(Id, sz); %此时的Sz1是site的

            Sp0 = kron(Sp0, id); %此时的Sp0是site的
            Sz0 = kron(Sz0, id); %此时的Sz0是site的
            Id = eye(size(Sz0));
        end
    end
end
```

它的作用是产生：

$$S_{2 \times 2}^z \otimes S_{2 \times 2}^z \quad (4)$$

这一项呢，在后续kron(H, id)时会进行自动补全： $S_{2 \times 2}^z \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2}$ 。

我们可以继续看一下3x3-spin的情况，

对于2x2-spin system, row=1:L-1=1:1, For row=1,

$$\Pi = I_{2^2 \times 2^2}, \rightarrow Sz1, Sz0: I_{4 \times 4} \otimes S_{2 \times 2}^z = I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \quad (5)$$

对于3x3-spin system, row=1:L-1=1:2, For row=1,

$$\Pi = I_{2^3 \times 2^3}, \rightarrow Sz1, Sz0: I_{8 \times 8} \otimes S_{2 \times 2}^z = I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \quad (6)$$

For row=2,

$$\Pi = I_{2^6 \times 2^6}, \rightarrow \text{Sz1, Sz0: } I_{2^6 \times 2^6} \otimes S_{2 \times 2}^z \quad (7)$$

...

对于row=0，我们沿用一维时的哈密顿量构造方法能够成功构造出：

$$\begin{aligned} H = & \left[ \frac{1}{2} (S_1^+ \otimes S_2^- \otimes I_3 + S_1^- \otimes S_2^+ \otimes I_3) + S_1^z \otimes S_2^z \otimes I_3 \right. \\ & + \frac{1}{2} (I_1 \otimes S_2^+ \otimes S_3^- + I_1 \otimes S_2^- \otimes S_3^+) + I_1 \otimes S_2^z \otimes S_3^z \\ & \left. + \frac{1}{2} (S_1^+ \otimes I_2 \otimes S_3^- + S_1^- \otimes I_2 \otimes S_3^+) + S_1^z \otimes I_2 \otimes S_3^z \right] \end{aligned} \quad (8)$$

在后续kron(H,id)时会进行自动补全。也就是在这里，实现了 $H_1, H_3, H_5$ 。

现在先来看row=1,  $II = I_{2^3 \times 2^3}$ 。

我们知道

```
% Sz1 = kron(II,sz);
H = ... + kron(Sz1,sz);
```

先实现的是

$$I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes S_{2 \times 2}^z \quad (9)$$

在 $0 < \text{site} < L-1 = 3-1=2$ 时：

```
Sz1 = kron(Id, sz);
Sz0 = kron(Sz0, id);
Id = eye(size(Sp0));
```

$$\begin{aligned} \text{Sz1: } & I_{16 \times 16} \otimes S_{2 \times 2}^z, \\ \text{Sz0: } & I_{8 \times 8} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \end{aligned} \quad (10)$$

在外部再次经历：（此时site=2=L-1）

```
H = ... + kron(Sz1,sz);
```

$$I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes S_{2 \times 2}^z \rightarrow 64 \times 64 \text{ matrix} \quad (11)$$

符合条件site=L-1时，经历：

```
if site == L-1
    h = 0.5*kron(Sp0, sp');
    H = H + h + h' + kron(Sz0,sz);
end
```

$$I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \rightarrow 64 \times 64 \text{ matrix} \quad (12)$$

此时实现了 $H_7, H_9, H_{11}$ .

然而，对于3x3-spin system, 还有`row=L-1=2`的循环，不难料到，这个循环可以实现 $H_{13}, H_{15}, H_{17}$ .

---

## 纵向哈密顿量的构造：

算符的准备 Part I:

```
for i=1:L
    Sp{i}=1
    Sz{i}=1
end
for i=1:L
    for j=1:L
        if (j==i)
            Sp{j}=kron(Sp{j},sp)
            Sz{j}=kron(Sz{j},sz)
        else
            Sp{j}=kron(Sp{j},id)
            Sz{j}=kron(Sz{j},id)
        end
    end
end
end
```

纵向哈密顿量这部分的介绍，我就直接以3x3-spin大小的系统进行举例了。

---

对于**3x3-spin**系统，输出的Sz为1x3数组，每个元素为8x8矩阵：

```
Sz =

1x3 cell 数组

{8x8 double}    {8x8 double}    {8x8 double}
```

```
Sz{1} =

0.5000         0         0         0         0         0         0         0
         0    0.5000         0         0         0         0         0         0
         0         0    0.5000         0         0         0         0         0
         0         0         0    0.5000         0         0         0         0
         0         0         0         0   -0.5000         0         0         0
         0         0         0         0         0   -0.5000         0         0
         0         0         0         0         0         0   -0.5000         0
         0         0         0         0         0         0         0   -0.5000
```

It's:

$$Sz(1) : S_{2 \times 2}^z \otimes I_{4 \times 4} = S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \quad (20)$$

```
Sz{2} =
    0.5000         0         0         0         0         0         0         0
         0    0.5000         0         0         0         0         0         0
         0         0   -0.5000         0         0         0         0         0
         0         0         0   -0.5000         0         0         0         0
         0         0         0         0    0.5000         0         0         0
         0         0         0         0         0    0.5000         0         0
         0         0         0         0         0         0   -0.5000         0
         0         0         0         0         0         0         0   -0.5000
```

$$Sz(2) : I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \quad (21)$$

```
Sz{3} =
    0.5000         0         0         0         0         0         0         0
         0   -0.5000         0         0         0         0         0         0
         0         0    0.5000         0         0         0         0         0
         0         0         0   -0.5000         0         0         0         0
         0         0         0         0    0.5000         0         0         0
         0         0         0         0         0   -0.5000         0         0
         0         0         0         0         0         0    0.5000         0
         0         0         0         0         0         0         0   -0.5000
```

$$Sz(3) : I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \quad (22)$$

在纵向哈密顿量构建过程中：

```
for i=1:L
    Sp{i}=kron(III,ssp{i});%row-1行的算符
    Sz{i}=kron(III,ssz{i});
end
% III=eye(2^(row*L-L),2^(row*L-L))
```

在row=1时 $III = I_{2^0,2^0} = I_{1 \times 1}$ .

1. For site=0:

```
kron(kron(Sz{site+1},eye(2^(site),2^(site))),sz)
```

$$\begin{aligned} & Sz(1) \otimes I_{1 \times 1} \\ & \rightarrow S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{1 \times 1} \\ & \rightarrow S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{1 \times 1} \otimes S_{2 \times 2}^z \rightarrow 16 \times 16 \text{ matrix} \end{aligned} \quad (23)$$

完成第一个纵向哈密顿量，这是在构建横向哈密顿量之前

2. For site=1

```
H = kron(H,id) +...+ kron(kron(Sz{site+1},eye(2^site,2^site)),sz)
```

$$\begin{aligned}
& S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \\
& + I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z
\end{aligned} \tag{24}$$

gives 32x32 matrix.

3. For  $\text{site}=2=L-1$

$$H = \text{kron}(H, \text{id}) + \dots + \text{kron}(\text{kron}(S_{\text{site}+1}, \text{eye}(2^{\text{site}}, 2^{\text{site}})), \text{sz})$$

$$\begin{aligned}
& S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \\
& + I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \\
& + I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z
\end{aligned} \tag{25}$$

在 $\text{row}=2=L-1$ 时 $III = I_{2^3 \times 2^3} = I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2}$

1. For  $\text{site}=0$ :

$$\text{kron}(\text{kron}(S_{\text{site}+1}, \text{eye}(2^{\text{site}}, 2^{\text{site}})), \text{sz})$$

$$\begin{aligned}
& I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{1 \times 1} \\
& \rightarrow I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \rightarrow 2^7 \times 2^7 \text{ matrix}
\end{aligned} \tag{26}$$

2. For  $\text{site}=1$

$$H = \text{kron}(H, \text{id}) + \dots + \text{kron}(\text{kron}(S_{\text{site}+1}, \text{eye}(2^{\text{site}}, 2^{\text{site}})), \text{sz})$$

$$\begin{aligned}
& I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \\
& + I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z
\end{aligned} \tag{27}$$

gives  $2^8 \times 2^8$  matrix.

3. For  $\text{site}=2=L-1$

$$H = \text{kron}(H, \text{id}) + \dots + \text{kron}(\text{kron}(S_{\text{site}+1}, \text{eye}(2^{\text{site}}, 2^{\text{site}})), \text{sz})$$

$$\begin{aligned}
& I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \\
& + I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \\
& + I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z
\end{aligned} \tag{28}$$

Gives  $2^9 \times 2^9$  matrix.

因此，我们总结 $\text{row} = 1, 2$ 时总的纵向哈密顿量：

$$\begin{aligned}
&= S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{8 \times 8} \\
&+ I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{8 \times 8} \\
&+ I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{8 \times 8} \\
&+ I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \\
&+ I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \\
&+ I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z
\end{aligned} \tag{29}$$

绿色是循环中 $\text{kron}(H, \text{id})$ 导致的补全，因此，我们看到，这部分代码的功能实现了 $H_2, H_4, H_6, H_8, H_{10}, H_{12}$ 。

所以还有一部分呢，在 $\text{row}=\text{L}$ 中实现：

### Forrow=L

```

row = L
for i=1:L
    Sp{i}=kron(III,ssp{i});
    Sz{i}=kron(III,ssz{i});
end
% III=eye(2^(row*L-2*L),2^(row*L-2*L))
for i=1:L
    H = H + kron(ssz{i},Sz{i})
end

```

$$III : (row - 2) * L = 3 \rightarrow I_{2^3 \times 2^3} \tag{30}$$

$$\begin{aligned}
&\text{kron}(\text{ssz}(i), \text{Sz}(i)) \\
&S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \\
&+ I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \\
&+ I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes I_{2 \times 2} \otimes S_{2 \times 2}^z
\end{aligned} \tag{31}$$

因此， $\text{row}=\text{L}$ 实现了纵向哈密顿量的补全。

那么，不难看出，纵向哈密顿量这部分的构建，在 $\text{row}=1$ 时构建第一行的纵向哈密顿量，在 $\text{row}=\text{L}-1$ 时构建第 $\text{L}-1$ 行纵向哈密顿量，在 $\text{row}=\text{L}$ 时单独构建最后一行纵向哈密顿量，而最后一行哈密顿量是周期性地“连接”到第一行元素

### Appendix 3x3-spin system

哈密顿量：



$$\begin{aligned}
H_1 &= S_1 S_2 I_3 I_4 I_5 I_6 I_7 I_8 I_9 \\
H_2 &= S_1 I_2 I_3 S_4 I_5 I_6 I_7 I_8 I_9 \\
H_3 &= I_1 S_2 S_3 I_4 I_5 I_6 I_7 I_8 I_9 \\
H_4 &= I_1 S_2 I_3 I_4 S_5 I_6 I_7 I_8 I_9 \\
H_5 &= S_1 I_2 S_3 I_4 I_5 I_6 I_7 I_8 I_9 \\
H_6 &= I_1 I_2 S_3 I_4 I_5 S_6 I_7 I_8 I_9 \\
H_7 &= I_1 I_2 I_3 S_4 S_5 I_6 I_7 I_8 I_9 \\
H_8 &= I_1 I_2 I_3 S_4 I_5 I_6 S_7 I_8 I_9 \\
H_9 &= I_1 I_2 I_3 I_4 S_5 S_6 I_7 I_8 I_9 \\
H_{10} &= I_1 I_2 I_3 I_4 S_5 I_6 I_7 S_8 I_9 \\
H_{11} &= I_1 I_2 I_3 S_4 I_5 S_6 I_7 I_8 I_9 \\
H_{12} &= I_1 I_2 I_3 I_4 I_5 S_6 I_7 I_8 S_9 \\
H_{13} &= I_1 I_2 I_3 I_4 I_5 I_6 S_7 S_8 I_9 \\
H_{14} &= S_1 I_2 I_3 I_4 I_5 I_6 S_7 I_8 I_9 \\
H_{15} &= I_1 I_2 I_3 I_4 I_5 I_6 I_7 S_8 S_9 \\
H_{16} &= I_1 S_2 I_3 I_4 I_5 I_6 I_7 S_8 I_9 \\
H_{17} &= I_1 I_2 I_3 I_4 I_5 I_6 S_7 I_8 S_9 \\
H_{18} &= I_1 I_2 S_3 I_4 I_5 I_6 I_7 I_8 S_9
\end{aligned} \tag{32}$$