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# Nearest Neighbor Lookup: Iterative quantization of feature vector from the final layer and do a nearest neighbor lookup

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## Abstract

1 In this we use last layer of neural network to learn similarity-preserving binary  
2 codes for efficient similarity search in large-scale image collections. To reduce the  
3 quantization error we use a method iterative quantization(ITQ) in which we find a  
4 orthogonal matrix for applying rotation to the zero centered data.

## 5 1 Introduction

6 We learn similarity preserving binary codes for large scale image properties. An effective  
7 implementation must have the following properties:  
8 The codes should be short to enable the storage of large amounts of images in memory. and than the  
9 codes map images that are similar (either in terms of feature space distance or semantic distance) to  
10 binary strings with a low Hamming distance. Learning the parameters of the binary code and for  
11 encoding a new test image should be efficient and scalable.  
12

## 13 2 Methods

14 A common initial step in many binary coding methods is to perform principal component analysis  
15 (PCA) to ensure good generalization ability for small code sizes. Since the variance of the data in  
16 each PCA direction is different in general, encoding each direction with the same number of bits  
17 is bound to produce poor performance. There are several methods that deal with this like Spectral  
18 Hashing which uses a separable Laplacian eigenfunction formulation that ends up assigning more  
19 bits to directions along which the data has a greater range. Semi-supervised hashing (SSH) relaxes the  
20 orthogonality constraints of PCA to allow successive projection directions to capture more of the  
21 data variance.  
22 Applying a random orthogonal transformation, does a very good job of balancing the variance of dif-  
23 ferent PCA directions and outperforming both Spectral hashing and non-orthogonal relaxation(SSH).  
24 For updating the initial orthogonal transformation to reduce the quantization error iteratively we use  
25 Iterative quantization approach(ITQ).

## 26 3 Iterative quantization

27 Let the matrix after applying pca be  $V$  and  $B$  be the binary code matrix. Applying a random orthogonal  
28 transformation doesn't change the optimal solution and We begin with a random initialization of the  
29 orthogonal matrix( $R$ ). In each iteration, each data point is first assigned to the nearest vertex( $B$ ) of

30 the binary hypercube, and then  $R$  is updated to minimize the quantization loss given this assignment.  
 31 We should minimize the quantization loss  
 32  $Q(B, R) = \|B - VR\|_F^2$ , where  $\|\cdot\|_F$  denotes frobenius norm. - (1)

### 33 3.1 Fix rotational matrix( $R$ ) and then update $B$

34 Expanding (1) we get,  $Q(B, R) = \|B\|_F^2 + \|V\|_F^2 - 2tr(BR^TV^T)$  - (2)  
 35 Minimizing the above equation is same as maximizing  
 36  $tr(BR^TV^T) = \sum_{i=1}^n \sum_{j=1}^c B_{ij} \tilde{V}_{ij}$ , where,  $\tilde{V}_{ij}$  is the element of the matrix  $VR$  - (3).  
 37 To maximize the above equation,  $B_{ij} = 1$  whenever  $\tilde{V}_{ij} \geq 0$  and -1 otherwise. Here,  $\{-1, 1\}$  are used  
 38 instead of  $\{0, 1\}$  to center data which are later converted to  $\{0, 1\}$ . This is same as applying signum  
 39 function to  $VR$  i.e  $B = \text{sgn}(VR)$   
 40 Using the above formulation we update  $B$ .

### 41 3.2 Fix $B$ and then update rotational matrix( $R$ )

42 Expanding (1) we get,  $Q(B, R) = \|B\|_F^2 + \|V\|_F^2 - 2tr(BR^TV^T)$  - (2)  
 43 In this to minimize the quantization error a rotation is found which align one point with another. The  
 44 SVD of  $c \times c$  matrix  $B^TV$  is used which is  $S\Omega\hat{S}^T$  and then we assign the rotational matrix  $R$  to  $\hat{S}S^T$   
 45 i.e  $R = \hat{S}S^T$   
 46 Using the above formulation we update  $R$ .

## 47 4 Extracting feature vector

48 We use inception model which has been trained on ILSVRC-2012 images, which is a different  
 49 dataset than CIFAR-10 to extract features. The network has been trained for the 1000 classes  
 50 of the ILSVRC-2012 dataset but instead of taking the last layer - the prediction layer - we use the  
 51 penultimate layer. This layer can be regarded as a representation layer, which represents images as  
 52 2048 dimensional feature vectors. We also used gist descriptor to test the method.

## 53 5 Nearest Neighbour lookup

54 We take the top 130 neighbours for each in the test data of the CIFAR 10 dataset to calculate the  
 55 precision for different code sizes and printing them.

56 **5.1 Output image**

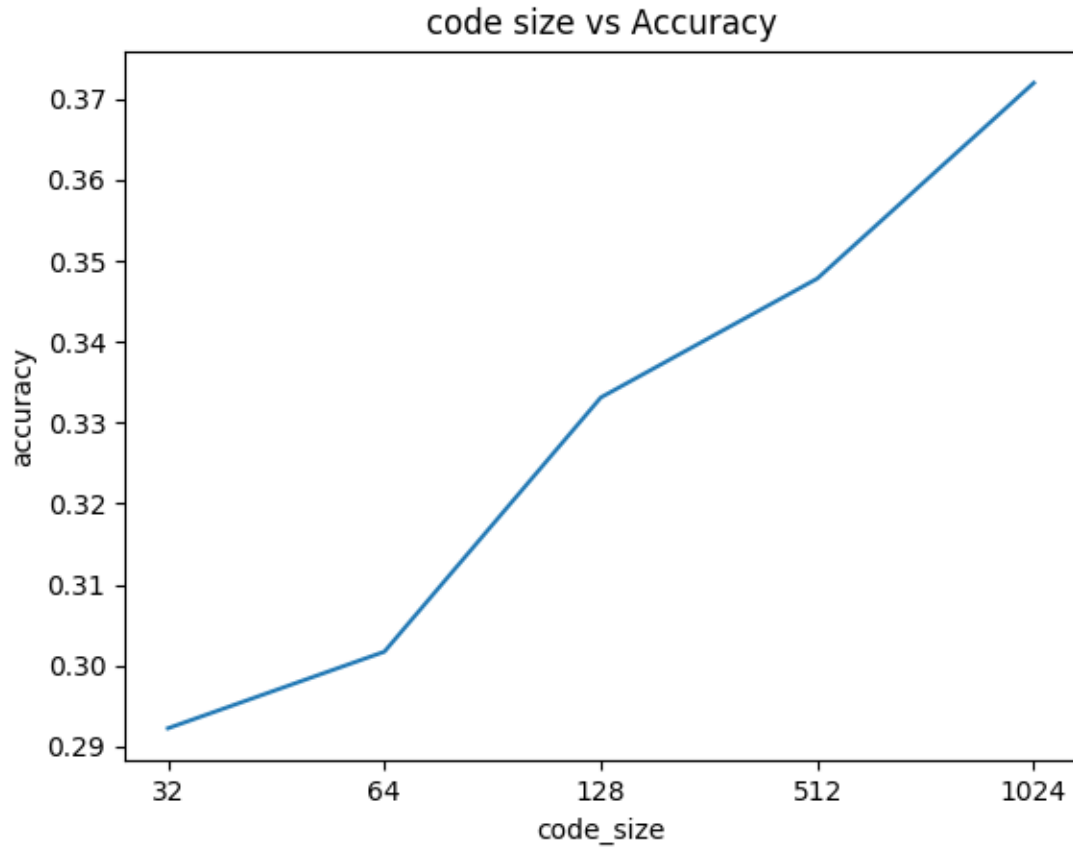
57 output below is after taking the second element in the test data and printing its top 130 nearest  
58 neighbours. The Topmost left image being the query image and others are its neighbours.



59

## 5.2 Accuracy

We calculated the classification accuracy across the test data for the top 130 nearest neighbours. The graph is as follows:



## 6 Inferences

We observe that although the code size is 32 which is reduced from 2048 vector has close accuracy to that of code size of 1024. From this we can observe that this method works better for low code sizes. We also observe that the feature vector obtained from the neural network give a better accuracy than gist descriptor for the same code size.

## References

[1] Yunchao thong, Svetlana Lazebnik, Albert Gordo, Florent Perronnin. Iterative Quantization : A Procrustean Approach to Learning Binary Codes for Large-scale Image Retrieval