# Maxent Example - Non-interacting Case

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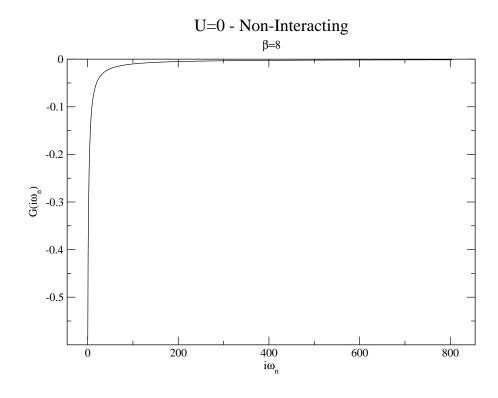
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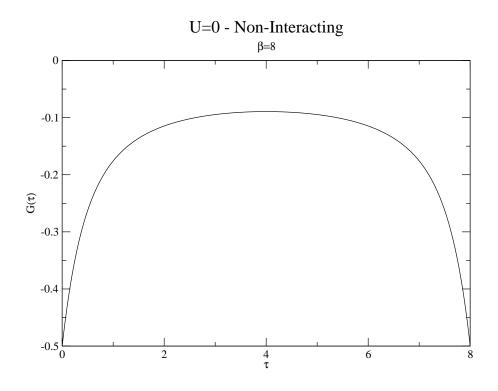
# 1 Introduction

Using DMFT we can set U=0 and generate the non-interacting Hubbard model

$$H = -t \sum_{\langle ij \rangle \sigma} c^{\dagger}_{j\sigma} c_{i\sigma}$$

This produces a Green's function in Matsubara space and Time space:





### 2 File Structure

We'e included several files that will be used to generate the remainder of this document:

```
Files:
   \bullet G_im
        - Im[G(i\omega_n)], column format: i\omega_n Im[G(i\omega_n)] \sigma_{I,n}
                                              Input file - G im
      0.39269908169872 \quad -0.58900239090596 \quad 1\text{e}-05
      1.1780972450962 - 0.40986302909581 \ 1e-05
      1.9634954084936 -0.32440959736089 1e-05
   • G re
        - Re[G(i\omega_n)], column format: i\omega_n \operatorname{Re}[G(i\omega_n)] \sigma_{R,n}
                                              Input file - G re
      #Freq 0 1e-05
      0.39269908169872 4.1987536135299e-16 1e-05
      1.1780972450962 2.1665780666625e-16 1e-05
   • Gomegain - G(i\omega_n) input format for maxent
        - index \operatorname{Im}[G(i\omega_n)] \sigma_n
                                            Input file - Gomegain
      0 -0.58900239090596 1e-05
      1 -0.40986302909581 1e-05
      2 -0.32440959736089 1e-05
   • Gtau
        -G(\tau), column format: \tau G(\tau) \sigma_{\tau}
                                              Input file - Gtau
      0 - 0.5 1e - 05
      0.0078125 -0.49377185389756 1e-05
      0.015625 -0.48766306852771 1e-05
   • Gtauin - G(\tau) input format for maxent
        - index G(\tau) \sigma_{\tau}
                                              Input file - Gtauin
      0 -0.5 1e-05
      1 -0.49377185389756 1e-05
      2 -0.48766306852771 1e-05
```

## 3 Using Maxent

These files are easily used with Maxent. Here is the frequency space input:

#### Param File in.param

```
BETA=8 #inverse temperature NDAT=1024 #num of data points NFREQ=500 #num of output frequencies DATASPACE=frequency #G(i\omega) KERNEL=fermionic #fermionic/bosonic values PARTICLE_HOLE_SYMMETRY=1 #0/1 DATA="Gomegain" #location of data file
```

Maxent then produces the following output:

#### Maxent output

```
./maxent in.param
Using flat default model
using kernel fermionic in domain frequency with ph symmetry
Kernel is set up
# 0
        6.27911e+06
        1.16635e+06
# 1
# 2
        258981
        68739
# 3
# 4
        17040.5
# 5
        3761.79
# 6
        744.024
# 7
        132.167
minimal chi2: 2.55387e-05
alpha it: 0
                WARNING: iteration reached max_it without converging, your
   minimizer is having problems. Please be careful!
Q = 0.5 chi^2-\alpha*entropy: 987272
                                         norm: 1.034
alpha it: 1
                WARNING: iteration reached max_it without converging, your
   minimizer is having problems. Please be careful!
Q = 0.5 chi^2 - \alpha * entropy: 155.23
                                         norm: 1.00046
alpha it: 2
                Q = 0.5 chi^2-\alpha*entropy: 93.5
                                                          norm: 1.00035
alpha it: 3
                Q = 0.5 chi^2-\alpha*entropy: 82.1164
                                                          norm: 1.00033
                Q = 0.5 chi^2-\alpha*entropy: 72.0799
alpha it: 4
                                                          norm: 1.0003
alpha it: 55
                Q = 0.5 chi^2-\alpha*entropy: 0.0395693
                                                          norm: 1.00001
alpha it: 56
                Q = 0.5 chi^2-\alpha*entropy: 0.0337866
                                                          norm: 1.00001
                Q = 0.5 chi^2-\alpha*entropy: 0.028796
alpha it: 57
                                                          norm: 1
alpha it: 58
                Q = 0.5 chi^2-\alpha*entropy: 0.0245097
                                                          norm: 1
alpha it: 59
                Q = 0.5 chi^2-\alpha*entropy: 0.0208447
                                                          norm: 1
Ng: 7.3691
chi2 max: 3.66901
posterior probability of the default model: 1.59791e-24
```

#### 3.1 Time input file

The input file for  $G(\tau)$  is slightly more complex. If your  $\tau$  grid was created using  $\tau_n = n\beta/(ndat - 1)$  with n an integer  $\in [0, ndat]$ , there is no need to supply additional parameters. For any other set of points, you must define TAU n = 0 for each data point.

Param File in tau.param

Using your own  $\tau$ input generates a message confirming the choice of  $\tau$  grid:

```
Using flat default model
using kernel fermionic in domain time with ph symmetry
Using input tau points
Kernel is set up
```

### 3.2 Output Guide

```
Using flat default model using kernel fermionic in domain frequency with ph symmetry Kernel is set up
```

These are the setup messages, confirming your input choices.

```
# 0 6.27911e+06

# 1 1.16635e+06

# 2 258981

# 3 68739

# 4 17040.5

# 5 3761.79

# 6 744.024

# 7 132.167

minimal chi2: 2.55387e-05
```

These represent the eigenvalues that are above precision after the single value decomposition (SVD). The last line represents the smallest  $\chi^2$  value the program thinks it will achieve. If this is  $\gg 1$  there may be something wrong with your input

```
alpha it: 2 Q = 0.5chi^2-\alpha*entropy: 93.5 norm: 1.00035
alpha it: 3 Q = 0.5chi^2-\alpha*entropy: 82.1164 norm: 1.00033
```

The root finding procedure will print the iterations through  $\alpha$ values in the range given by the parameters (default: 60 values  $\in$  [0.01, 20]) If the first two or three do not minimize properly that is ok, as long as the rest continue normally. Notice that the norm stays  $\approx$ 1 for all iterations

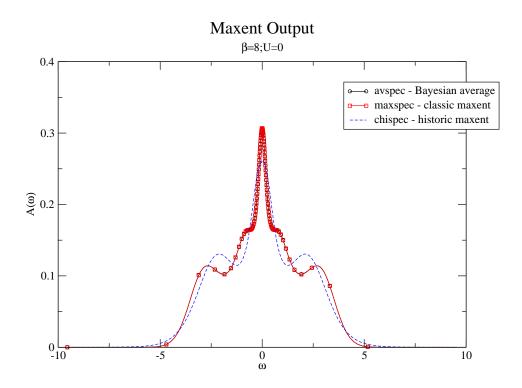
```
Ng: 7.3691
chi2 max: 3.66901
posterior probability of the default model: 1.59791e-24
```

This is posted after completing all  $\alpha$  values and root finding. Ng represents the number of "good input points," chi2 max is the maximum value of  $\chi^2$  in the  $\alpha$  iterations, and the last line is the probability that the default model is the correct representation of the spectral function. Note that that posterior probability has no normalization.

If text output is on, Maxent produces 8 files:

```
name.out.avspec.dat - Spectral function using Bayesian Averaging name.out.chi2.dat - estimated \chi^2 for each \alphavalue solution name.out.chispec.dat - Spectral function satisfying the best \chi^2 - classic Maxent name.out.fits.dat - Fits of each \alphavalue, see comments in file name.out.maxspec.dat - Spectral function with the highest probability - historic Maxent name.out.out.h5 - all oputput data in the hdf5 format name.out.prob.dat- the posterior probability of each \alphavalue name.out.spex.dat - All spectral functions produced; one for each \alpha
```

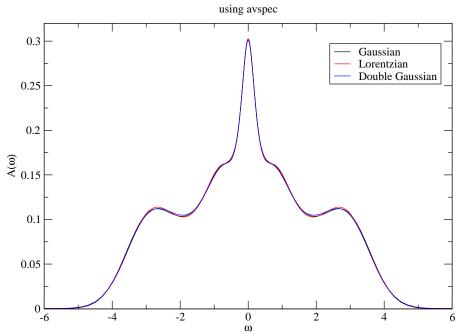
In our example here are the spectral outputs:



# 4 Fine-Tuning Output

Different default models shouldn't change the results much, but sometimes end up doing so. One must be wary not to use a default model whose entropy is too 'strong' so that Maxent gets stuck in that local minimum. Here are a variety of models from the above example:

## Maxent with various Default Models



With  $\sigma = 1, \Gamma = 05, \mu(\text{shift}) = 2$ . This represents well behaved data within Maxent.