

**Figure 9.18** A B-spline with curve segments  $Q_3$  through  $Q_9$ . This figure and many others in this chapter were created with a program written by Carles Castellsaquè.

and final points at  $t_3$  and  $t_{m+1}$  are also called knots, so there are a total of  $m - 1$  knots. Figure 9.18 shows a 2D B-spline curve with its knots marked. A closed B-spline curve is easy to create: The control points  $P_0, P_1, P_2$  are repeated at the end of the sequence— $P_0, P_1, \dots, P_m, P_0, P_1, P_2$ .

The term **uniform** means that the knots are spaced at equal intervals of the parameter  $t$ . Without loss of generality, we can assume that  $t_3 = 0$ , and the interval  $t_{i+1} - t_i = 1$ . Nonuniform nonrational B-splines, which permit unequal spacing between the knots, are discussed in Section 9.2.5. (In fact, the concept of knots is introduced in this section to set the stage for nonuniform splines.) The term **non-rational** is used to distinguish these splines from rational cubic polynomial curves, discussed in Section 9.2.6, where  $x(t)$ ,  $y(t)$ , and  $z(t)$  are each defined as the ratio of two cubic polynomials. The “B” stands for basis, since the splines can be represented as weighted sums of polynomial basis functions, in contrast to the natural splines, for which the weighted-sum property is not true.

Each of the  $m - 2$  curve segments of a B-spline curve is defined by four of the  $m + 1$  control points. In particular, curve segment  $Q_i$  is defined by points  $P_{i-3}, P_{i-2}, P_{i-1}$ , and  $P_i$ . Thus, the **B-spline geometry matrix**  $G_{B_{S_i}}$  for segment  $Q_i$  is

$$G_{B_{S_i}} = [P_{i-3} \ P_{i-2} \ P_{i-1} \ P_i], \quad 3 \leq i \leq m. \quad (9.31)$$

The first curve segment,  $Q_3$ , is defined by the points  $P_0$  through  $P_3$  over the parameter range  $t_3 = 0$  to  $t_4 = 1$ ,  $Q_4$  is defined by the points  $P_1$  through  $P_4$  over the parameter range  $t_4 = 1$  to  $t_5 = 2$ , and the last curve segment,  $Q_m$ , is defined by points  $P_{m-3}, P_{m-2}, P_{m-1}$ , and  $P_m$  over the parameter range  $t_m = m - 3$  to  $t_{m+1} = m - 2$ . In general, curve segment  $Q_i$  begins somewhere near point  $P_{i-2}$  and ends somewhere near point  $P_{i-1}$ . We shall see that the B-spline blending functions are constrained to sum to unity, so the curve segment  $Q_i$  is constrained

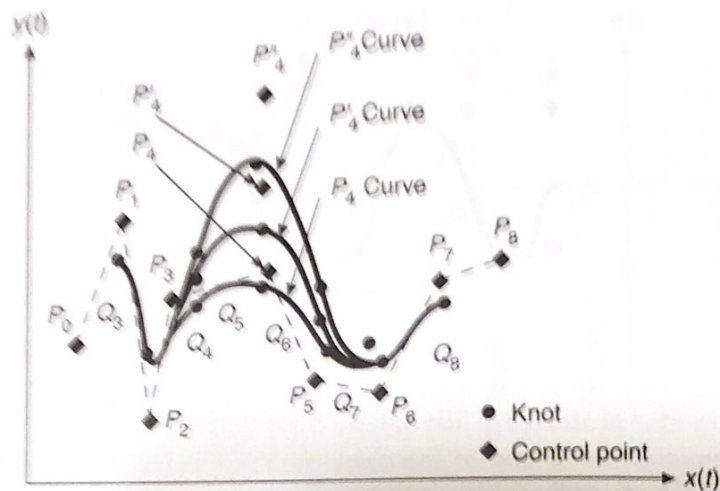


Figure 9.19 A B-spline with control point  $P_4$  in several different locations.

Just as each curve segment is defined by four control points, each control point (except for those at the beginning and end of the sequence  $P_0, P_1, \dots, P_m$ ) influences four curve segments. Moving a control point in a given direction moves the four curve segments it affects in the same direction; the other curve segments are totally unaffected (see Fig. 9.19). This behavior is the local control property of B-splines and of all the other splines discussed in this chapter.

If we define  $T_i$  as the column vector  $[(t - t_i)^3 \ (t - t_i)^2 \ (t - t_i) \ 1]^T$ , then the B-spline formulation for curve segment  $i$  is

$$Q_i(t) = G_{B_{3i}} \cdot M_{B_3} \cdot T_i, \quad t_i \leq t < t_{i+1}. \quad (9.32)$$

We generate the entire curve by applying Eq. (9.32) for  $3 \leq i \leq m$ .

The **B-spline basis matrix**,  $M_{B_3}$ , relates the geometrical constraints  $G_{B_3}$  to the blending functions and the polynomial coefficients:

$$M_{B_3} = \frac{1}{6} \begin{bmatrix} -1 & 3 & -3 & 1 \\ 3 & -6 & 3 & 0 \\ -3 & 0 & 3 & 0 \\ 1 & 4 & 1 & 0 \end{bmatrix}. \quad (9.33)$$

This matrix is derived in [BART87].

The B-spline blending functions  $B_{B_3}$  are given by the product  $M_{B_3} \cdot T_i$  analogously to the previous Bézier and Hermite formulations. Note that the blending functions for each curve segment are exactly the same, because, for each segment  $i$ , the values of  $t - t_i$  range from 0 at  $t = t_i$  to 1 at  $t = t_{i+1}$ .



$$\begin{aligned}
 B_{Bs} &= M_{Bs} \cdot T = [B_{Bs-3} \ B_{Bs-2} \ B_{Bs-1} \ B_{Bs0}]^T \\
 &= \frac{1}{6} [-t^3 + 3t^2 - 3t + 1 \quad 3t^3 - 6t^2 + 4 \quad -3t^3 + 3t^2 + 3t + 1 \quad t^3]^T \\
 &= \frac{1}{6} [(1-t)^3 \quad 3t^3 - 6t^2 + 4 \quad -3t^3 + 3t^2 + 3t + 1 \quad t^3]^T, \quad 0 \leq t < 1. \quad (9.34)
 \end{aligned}$$

Figure 9.20 shows the B-spline blending functions  $B_{Bs}$ . Because the four functions sum to 1 and are nonnegative, the convex-hull property holds for each curve segment of a B-spline. See [BART87] to understand the relation between these blending functions and the Bernstein polynomial basis functions.

Expanding Eq. (9.32), again replacing  $t - t_i$  with  $t$  at the second equal-to sign, we have

$$\begin{aligned}
 Q_i(t - t_i) &= G_{Bs_i} \cdot M_{Bs} \cdot T_i = G_{Bs_i} \cdot M_{Bs} \cdot T \\
 &= G_{Bs_i} \cdot B_{Bs} = P_{i-3} \cdot B_{Bs-3} + P_{i-2} \cdot B_{Bs-2} + P_{i-1} \cdot B_{Bs-1} + P_i \cdot B_{Bs0} \\
 &= \frac{(1-t)^3}{6} P_{i-3} + \frac{3t^3 - 6t^2 + 4}{6} P_{i-2} + \frac{-3t^3 + 3t^2 + 3t + 1}{6} P_{i-1} \\
 &\quad + \frac{t^3}{6} P_i, \quad 0 \leq t < 1. \quad (9.35)
 \end{aligned}$$

It is easy to show that  $Q_i$  and  $Q_{i+1}$  are  $C^0$ ,  $C^1$ , and  $C^2$  continuous where they join. The additional continuity afforded by B-splines is attractive, but it comes at the cost of less control of where the curve goes. We can force the curve to interpolate specific points by replicating control points; this is useful both at endpoints and at intermediate points on the curve. For instance, if  $P_{i-2} = P_{i-1}$ , the curve is pulled closer to this point because curve segment  $Q_i$  is defined by just three different points, and the point  $P_{i-2} = P_{i-1}$  is weighted twice in Eq. (9.35)—once by  $B_{Bs-2}$  and once by  $B_{Bs-1}$ .

If a control point is used three times—for instance, if  $P_{i-2} = P_{i-1} = P_i$ —then Eq. (9.35) becomes

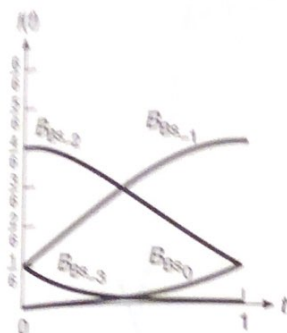
$$Q_i(t) = P_{i-3} \cdot B_{Bs-3} + P_i \cdot (B_{Bs-2} + B_{Bs-1} + B_{Bs0}). \quad (9.36)$$

$Q_i$  is clearly a straight line. Furthermore, the point  $P_{i-2}$  is interpolated by the line at  $t = 1$ , where the three weights applied to  $P_i$  sum to 1, but  $P_{i-3}$  is not in general interpolated at  $t = 0$ . Another way to think of this behavior is that the convex hull for  $Q_i$  is now defined by just two distinct points, so  $Q_i$  has to be a line. Figure 9.21 shows the effect of multiple control points at the interior of a B-spline.

Another technique for interpolating endpoints, **phantom vertices**, is discussed in [BARS83; BART87]. We shall see in the next section that, with nonuniform B-splines, endpoints and internal points can be interpolated in a more natural way than they can with the uniform B-splines.

## 9.2.5 Nonuniform, Nonrational B-Splines

**Nonuniform, nonrational B-splines** differ from the uniform, nonrational B-splines discussed in Section 9.2.4 in that the parameter interval between successive



**Figure 9.20**  
The four B-spline blending functions from Eq. (9.34). At  $t = 0$  and  $t = 1$ , just three of the functions are nonzero.