## A solutions manual for Set Theory by Thomas Jech

## 1. Axioms of Set Theory

**Exercises** 

**1.1.** Verify (1.1) (a, b) = (c, d) if and only if a = c and b = d.

**Proof.** If a = c and b = d, then  $(a, b) = \{\{a\}, \{a, b\}\} = \{\{c\}, \{c, d\}\} = (c, d)$ . Assume that (a, b) = (c, d) and a = b. Then  $\{\{c\}, \{c, d\}\} = \{\{a\}\}\}$ ; thus  $\{c, d\} \in \{\{a\}\}\}$  and  $\{c\} \in \{\{a\}\}\}$ , so  $\{c, d\} = \{a\} = \{c\}$ . Hence c = d = a. Since it was assumed that a = b, a = c and b = d. Assume that (a, b) = (c, d) and  $a \neq b$ . Since  $\{\{a\}, \{a, b\}\} = \{\{c\}, \{c, d\}\}\}$  and  $\{a\} \neq \{a, b\}$ ,  $\{c\} = \{a\}$  and  $\{a, b\} = \{c, d\}$ ; thus c = a and d = b.  $\square$ 

**1.2.** There is no set X such that  $P(X) \subset X$ .

**Proof.** Suppose  $P(X) \subset X$ , then we have a surjective function  $f: X \to P(X)$ . But the set  $Y = \{x \in X : x \notin f(x)\}$  is not in the range of f. Suppose not. If  $z \in X$  were such that f(z) = Y, then  $z \in Y$  if and only if  $z \notin Y$ , a contradiction; hence f is not a surjective function. Therefore, there is no set X such that  $P(X) \subset X$ .  $\square$ 

Let

$$\mathbb{N} = \bigcap \{X : X \text{ is inductive}\}.$$

 $\mathbb{N}$  is the smallest inductive set. Let us use the following notation:

$$0 = \emptyset$$
,  $1 = \{0\}$ ,  $2 = \{0, 1\}$ ,  $3 = \{0, 1, 2\}$ , ....

If  $n \in \mathbb{N}$ , let  $n+1 = n \cup \{n\}$ . Let us define < (on  $\mathbb{N}$ ) by n < m if and only if  $n \in m$ .

A set T is transitive if  $x \in T$  implies  $x \subset T$ .

**1.3.** If X is inductive, then the set  $\{x \in X : x \subset X\}$  is inductive. Hence  $\mathbb{N}$  is transitive, and for each  $n, n = \{m \in \mathbb{N} : m < n\}$ .

**Proof.** Let  $Y = \{x \in X : x \subset X\}$ . Since  $\emptyset \subset X$ , and  $\emptyset \in X$ ,  $\emptyset \in Y$ . Now let  $y \in Y$ . Since  $Y \subset X$ , and X is inductive,  $y \in X$ , i.e.,  $\{y\} \subset X$ , and  $y \cup \{y\} \in X$ , and since  $y \subset X$ ,  $y \cup \{y\} \subset X$ ; thus  $y \cup \{y\} \in Y$ . Therefore, we have that Y is inductive.

Let  $Y_{\mathbb{N}} = \{x \in \mathbb{N} : x \subset \mathbb{N}\}$ , then  $Y_{\mathbb{N}} \subset \mathbb{N}$ , and since  $Y_{\mathbb{N}}$  is inductive,  $\mathbb{N} \subset Y_{\mathbb{N}}$ ; thus  $\mathbb{N} = Y_{\mathbb{N}}$ , and so we have that  $x \in \mathbb{N}$  implies  $x \subset \mathbb{N}$ . Therefore,  $\mathbb{N}$  is transitive.

It's obvious that  $k \in n \cup \{n\}$  if and only if  $k \in n$  or k = n. So it follows that for all  $k, n \in \mathbb{N}, k < n + 1$  if and only if k < n or k = n. Now let P(x) be the property " $x = \{m \in \mathbb{N} : m < x\}$ ". P(0) holds, and assume that P(n) holds.  $n + 1 = n \cup \{n\} = \{m \in \mathbb{N} : m < n\} \cup \{n\} = \{m \in \mathbb{N} : m < n \text{ or } m = n\} = \{m \in \mathbb{N} : m < n\}$ 

 $\{m \in \mathbb{N} : m < n+1\} = P(n+1)$  holds. Therefore, for each  $n, n = \{m \in \mathbb{N} : m < n\}$ .  $\square$ 

**1.4.** If X is inductive, then the set  $\{x \in X : x \text{ is transitive}\}$  is inductive. Hence every  $n \in \mathbb{N}$  is transitive.

**Proof.** Let  $Y = \{x \in X : x \text{ is transitive}\}$ . Since  $\emptyset \in X$ , and  $\emptyset$  is transitive,  $\emptyset \in Y$ . Now let  $y \in Y$ . Since  $Y \subset X$ , and X is inductive,  $y \in X$ , and  $y \cup \{y\} \in X$ . Let  $z \in y \cup \{y\}$ , then either  $z \in y$  or z = y; since y is transitive, in any case,  $z \subset y \cup \{y\}$ . Thus  $y \cup \{y\}$  is transitive, and so  $y \cup \{y\} \in Y$ . Therefore, we have that Y is inductive.

Let  $Y_{\mathbb{N}} = \{x \in \mathbb{N} : x \text{ is transitive}\}$ , then  $Y_{\mathbb{N}} \subset \mathbb{N}$ , and since  $Y_{\mathbb{N}}$  is inductive,  $\mathbb{N} \subset Y_{\mathbb{N}}$ ; thus  $\mathbb{N} = Y_{\mathbb{N}}$ , and so we have that every  $n \in \mathbb{N}$  is transitive.  $\square$ 

**1.5.** If X is inductive, then the set  $\{x \in X : x \text{ is transitive and } x \notin x\}$  is inductive. Hence  $n \notin n$  and  $n \neq n+1$  for each  $n \in \mathbb{N}$ .

**Proof.** Let  $Y = \{x \in X : x \text{ is transitive and } x \notin x\}$ . Since  $\emptyset \in X$ , and  $\emptyset$  is transitive and  $\emptyset \notin \emptyset$ ,  $\emptyset \in Y$ . Now let  $y \in Y$ . Since  $Y \subset X$ , and X is inductive,  $y \in X$ , and  $y \cup \{y\} \in X$ . We already have that  $y \cup \{y\}$  is transitive. Suppose  $y \cup \{y\} \in y \cup \{y\}$ , then  $y \cup \{y\} \in y$ , i.e.,  $y \cup \{y\} \subset y$  or  $y \cup \{y\} = y$ ; in any case,  $\{y\} \subset y$ , i.e.,  $y \in y$ ; a contradiction. Thus  $y \cup \{y\} \notin y \cup \{y\}$ , and so  $y \cup \{y\} \in Y$ . Therefore, we have that Y is inductive.

Let  $Y_{\mathbb{N}} = \{x \in \mathbb{N} : x \text{ is transitive and } x \notin x\}$ , then  $Y_{\mathbb{N}} \subset \mathbb{N}$ , and since  $Y_{\mathbb{N}}$  is inductive,  $\mathbb{N} \subset Y_{\mathbb{N}}$ ; thus  $\mathbb{N} = Y_{\mathbb{N}}$ , and so  $n \notin n$ . Suppose n+1=n, i.e.,  $n \cup \{n\} = n$ , then  $\{n\} \subset n$ , i.e.,  $n \in n$ ; a contradiction. Therefore,  $n \notin n$  and  $n \neq n+1$  for each  $n \in \mathbb{N}$ .  $\square$ 

**1.6.** If X is inductive, then  $\{x \in X : x \text{ is transitive and every nonempty } z \subset x \text{ has an } \epsilon\text{-minimal element}\}$  is inductive (t is  $\epsilon\text{-minimal}$  in z if there is no  $s \in z$  such that  $s \in t$ ).

**Proof.** Let  $Y = \{x \in X : x \text{ is transitive and every nonempty } z \subset x \text{ has an } \epsilon\text{-minimal element}\}$ . Since  $\emptyset \in X$ , and  $\emptyset$  is transitive and has no nonempty set,  $\emptyset \in Y$ . Now let  $y \in Y$ . Since  $Y \subset X$ , and X is inductive,  $y \in X$ , and  $y \cup \{y\} \in X$ . We already have that  $y \cup \{y\}$  is transitive. Now suppose that there exists  $a \in y$  such that  $y \in a$ , then  $y \in a \in y$ , and by transitivity of y,  $y \in a \subset y$ , i.e.,  $y \in y$ , and then  $\{y\} \subset y$  and  $\{a,y\} \subset y$  do not have  $\epsilon$ -minimal element  $(...y \in y \in y..., ...y \in a \in y \in a...)$ ; a contradiction. It follows that y is  $\epsilon$ -maximal in y; thus every nonempty  $z \subset y \cup \{y\}$  has an  $\epsilon$ -minimal element, and so  $y \cup \{y\} \in Y$ . Therefore, we have that Y is inductive.  $\square$ 

**1.7.** Every nonempty  $X \subset \mathbb{N}$  has an  $\epsilon$ -minimal element. [Pick  $n \in X$  and look at  $X \cap n$ .]

**Proof.** Since  $\mathbb{N}$  is the smallest inductive set, from **1.6**, we have that every  $n \in \mathbb{N}$  has an  $\epsilon$ -minimal element. Let  $n \in X$ . If  $n \cap X = \emptyset$ , then n is an  $\epsilon$ -minimal element. Suppose not. There exists  $m \in X \setminus n$  such that  $m \in n$ , but since  $n = \{m \in \mathbb{N} : m < n\}$ , a contradiction. If  $n \cap X \neq \emptyset$ , then  $n \cap X \subset n$  has

an  $\epsilon$ -minimal element, and it's an  $\epsilon$ -minimal element of X; otherwise similarly to the previous, a contradiction.  $\square$ 

**1.8.** If X is inductive then so is  $\{x \in X : x = \emptyset \text{ or } x = y \cup \{y\} \text{ for some } y\}$ . Hence each  $n \neq 0$  is m+1 for some m.

**Proof.** Let  $A = \{x \in X : x = \emptyset \text{ or } x = y \cup \{y\} \text{ for some } y\}$ ; let  $a \neq \emptyset \in A$ . Since  $a = y \cup \{y\}$  for some y, so is  $a \cup \{a\}$  for  $y \cup \{y\}$ ; thus  $a \cup \{a\} \in A$ . Therefore, A is inductive, and each  $n \neq 0$  is m + 1 for some m.  $\square$ 

**1.9 (Induction).** Let A be a subset of  $\mathbb{N}$  such that  $0 \in A$ , and if  $n \in A$  then  $n+1 \in A$ . Then  $A = \mathbb{N}$ .

**Proof.** By definition, A is a inductive subset of N. Therefore,  $A = \mathbb{N}$ .  $\square$ 

A set X has n elements (where  $n \in \mathbb{N}$ ) if there is a one-to-one mapping of n onto X. A set is *finite* if it has n elements for some  $n \in \mathbb{N}$ , and *infinite* if it is not finite.

A set S is T-finite if every nonempty  $X \subset P(S)$  has a  $\subset$ -maximal element, i.e.,  $u \in X$  such that there is no  $v \in X$  with  $u \subset v$  and  $u \neq v$ . S is T-infinite if it is not T-finite. (T is for Tarski.)

**1.10.** Each  $n \in \mathbb{N}$  is T-finite.

**Proof.** Let  $A = \{n \in \mathbb{N} : n \text{ is T-finite}\}$ . We show that  $A = \mathbb{N}$  by induction. Since  $P(\emptyset) = \{\emptyset\}$  has the only subset  $\{\emptyset\}$ , and it's T-finite,  $\emptyset \in A$ .

Let  $n \in A$ ; let  $X \subset P(n+1)$ . For some  $Y \subset P(n)$ , X is either Y or  $\{n\} \cup Y$ . From **1.6** and by transitivity of n, n is a  $\subset$ -maximal element of  $\{n\} \cup Y$ ; thus  $n+1 \in A$ . Therefore,  $A = \mathbb{N}$ 

- **1.11.**  $\mathbb{N}$  is T-infinite; the set  $\mathbb{N} \subset P(\mathbb{N})$  has no  $\subset$ -maximal element.
- **1.12.** Every finite set is T-finite.
- **1.13.** Every infinite set is T-infinite. [If S is infinite, consider  $X = \{u \subset S : u \text{ is finite}\}.$ ]
- **1.14.** The Separation Axioms follow from the Replacement Schema. [Given  $\phi$ , let  $F = \{(x, x) : \phi(x)\}$ . Then  $\{x \in X : \phi(x)\} = F(X)$ , for every X.]
- **1.15.** Instead of Union, Power Set, and Replacement Axioms consider the following weaker versions:
- $(1.8) \ \forall X \exists Y \ | \ JX \subset Y, \text{ i.e., } \forall X \exists Y \ (\forall x \in X) \ (\forall u \in x) \ u \in Y,$
- $(1.9) \ \forall X \exists Y P(X) \subset Y$ , i.e.,  $\forall X \exists Y \forall u (u \subset X \rightarrow u \in Y)$ ,
- (1.10) If a class F is a function, then  $\forall X \exists Y F(X) \subset Y$ .

Then axioms 1.4, 1.5, and 1.7 can be proved from (1.8), (1.9), and (1.10), using the Separation Schema (1.3).