

### Problem 1

1. Give a detailed argument that for all decision problems  $D$  and  $E$ , if  $D \leq_p E$  and  $E \in NP$ , then  $D \in NP$ .

*Proof.* Assume  $D, E$  represented as formal languages. We wish to construct a polynomial-time verification algorithm for  $D$ . Since  $D \leq_p E$ , there exists a polynomial-time reduction function  $f$  such that for any  $x \in \{0, 1\}^*$ , an instance  $x \in D$  if and only if the transformed instance  $f(x) \in E$ . Also given  $E \in NP$ , there exists a polynomial time verification algorithm  $A$  such that

$$E = \{x \in \{0, 1\}^* : \exists \text{ certificate } y \text{ where } y \in O(|x|^c) \text{ such that } A(x, y) = 1\}$$

So we have

$$D = \{x \in \{0, 1\}^* : \exists \text{ certificate } y \text{ where } y \in O(|f^{-1}(x)|^c) \text{ such that } A(f^{-1}(x), y) = 1\}$$

Let  $B(x, y) = A(f^{-1}(x), y)$ , which is a composite of polynomial reduction function  $f$  and polynomial-time verification algorithm  $A$ , hence  $B$  is a polynomial-verification algorithm for  $D$ , so  $D \in NP$   $\square$

2. By analogy with the definition of  $NP$ -hardness, give a precise definition of what it means for a decision problem  $D$  to be  $coNP$ -hard.

*Solution.*  $\square$

$D$  is  $coNP$ -hard if for all  $L \in coNP$ ,  $L \leq_p D$ . In other words,  $D$  is  $coNP$ -hard if every problem in  $coNP$  is polynomial-time reducible to  $D$ .

3. Show that if decision problem  $D$  is  $coNP$ -hard, then  $D \in NP$  implies  $NP = coNP$ .

*Proof.* 2 directions

- (a) Prove  $coNP \subseteq NP$ . Let arbitrary  $L \in coNP$ . Since  $D$  is  $coNP$ -hard, then  $L \leq_p D$ . Given  $D \in NP$ , by result from part a of this question, we have  $L \in NP$ . So  $L \in coNP \rightarrow L \in NP$  implies  $coNP \subseteq NP$
- (b) Prove  $NP \subseteq coNP$ . Similarly, let arbitrary  $L \in NP$ , then  $\bar{L} \in coNP$ . Since  $D$  is  $coNP$ -hard,  $\bar{L} \leq_p D$ . Since  $D \in NP$ , then by result from part a of this question, we have  $\bar{L} \in NP$ , this implies,  $L \in coNP$ . So  $L \in NP \rightarrow L \in coNP$  implies  $NP \subseteq coNP$

Since  $coNP \subseteq NP$  and  $NP \subseteq coNP$ , so  $NP = coNP$   $\square$

## Problem 2

For each decision problem  $D$  below, state whether  $D \in P$  or  $D \in NP$ , then justify your claim.

- For decision problems in  $P$ , describe an algorithm that **decides** the problem in polytime (including a brief argument that your decider is correct and runs in polytime).
- For decision problems in  $NP$ , describe an algorithm that **verifies** the problem in polytime (including a brief argument that your verifier is correct and runs in polytime), and give a detailed reduction to show that the decision problem is NP-hard for your reduction(s), you must use one of the problems shown to be NP-hard during lectures or tutorials.

### 1. EXACTCYCLE

- **Input** Undirected graph  $G$  and  $k \in \mathbb{Z}$
- **Question** Does  $G$  contain some simple cycle on **exactly**  $k$  vertices?

*Solution.*

□

EXACTCYCLE can be represented as

$$\text{EXACTCYCLE} = \{\langle G, k \rangle : G \text{ contains a simple cycle of size } k\}$$

#### (a) Prove EXACTCYCLE $\in NP$

*Proof.* We prove this by finding an polynomial-time verification algorithm. Given an instance of EXACTCYCLE  $\langle G, k \rangle$ . The certificate is a cycle of vertices  $\langle v_0, \dots, v_k \rangle$ . The algorithm checks

- There are  $k$  unique vertex in the cycle, with  $v_0 = v_k$
- Each of  $(v_i, v_{i+1})$  is a valid edge in  $E$

and outputs 1 (yes) if both checks are true and 0 (no) otherwise. Both steps can be done in polynomial time easily.

- We can check for uniqueness of vertices in the sequence by making  $\binom{k}{2}$  pairwise comparison, which takes  $O(k^2)$  time.
- The second step is simply a look up in the graph and there are a total of  $k$  edges to verify, which takes a total of  $O(k)$ , assuming a constant time lookup in adjacency matrix representation of the graph.

So the verification algorithm is a polynomial time algorithm. If the certificate is a simple cycle of size  $k$ , then the verification algorithm will output 1 accordingly as the checks the algorithm performs is equivalent in definition to a simple cycle of size  $k$ . If the certificate, either is not simple, does not contain a cycle, or contain invalid edges, the algorithm will output 0 accordingly. □

(b) Prove for all  $L \in NP$ ,  $L \leq_p \text{EXACTCYCLE}$  (i.e. NP-hard)

*Proof.* By lemma in clrs, we can find a NP-complete problem HAM-CYCLE and a polynomial time reduction algorithm mapping  $x \in \text{HAM-CYCLE}$  to  $f(x) \in \text{EXACTCYCLE}$  to prove that EXACTCYCLE is NP-complete. Given an instance of HAM-CYCLE  $\langle G \rangle$ , the reduction algorithm computes  $k = |G.V|$  and outputs an instance of  $\langle G' = G, k \rangle$  to EXACTCYCLE. The transformation function  $f$  is polynomial, in fact constant as we are only computing the size of vertices in  $G$ . Now we prove that the transformation is a valid reduction

- i. Suppose  $C$  is a hamiltonian cycle in  $G$ . Then we have  $k = |G.V| = |C|$ . We claim that  $C$  is a simple cycle of length  $k$  in  $G'$ . Indeed, we have  $|C| = k$  by construction. Therefore there is a simple cycle of size  $k$  in  $G'$
- ii. Suppose there is a simple cycle of size  $k$  in  $G'$ . Let  $C$  be such simple cycle. We claim that  $C$  is hamiltonian cycle in  $G$ . There are  $k$  vertices in  $G$  by construction, the fact that  $C$  is a simple cycle of size  $k$  implies that  $C$  is a hamiltonian cycle, which is simply a simple cycle over every vertex ( $k$  of them).

□

## 2. LARGE CYCLE

- **Input** Undirected graph  $G$  and  $k \in \mathbb{Z}$
- **Question** Does  $G$  contain some simple cycle on **at least**  $k$  vertices?

*Solution.*

□

LARGE CYCLE can be represented as

$$\text{LARGE CYCLE} = \{ \langle G, k \rangle : G \text{ contains a simple cycle of size } \geq k \}$$

(a) Prove  $\text{LARGE CYCLE} \in NP$

*Proof.* We prove this by finding an polynomial-time verification algorithm. The algorithm is exactly that of the EXACTCYCLE verification algorithm with one difference, we are checking if the certificate, a sequence of vertices, have length greater than or equal to  $k$  instead of testing if the length is equal to  $k$ . The complexity and correctness analysis follows similarly. □

(b) Prove for all  $L \in NP$ ,  $L \leq_p \text{LARGE CYCLE}$  (i.e. NP-hard)

*Proof.* By lemma in clrs, we can find a NP-complete problem HAM-CYCLE and a polynomial time reduction algorithm mapping  $x \in \text{HAM-CYCLE}$  to  $f(x) \in \text{LARGE CYCLE}$  to prove that LARGE CYCLE is NP-complete. Given an instance of HAM-CYCLE  $\langle G \rangle$ , the reduction algorithm computes  $k = |G.V|$  and outputs

an instance of  $\langle G' = G, k \rangle$  to LARGE-CYCLE. The transformation function  $f$  is polynomial, in fact constant as we are only computing the size of vertices in  $G$ . Now we prove that the transformation is a valid reduction

- i. Suppose  $C$  is a hamiltonian cycle in  $G$ . Then we have  $k = |G.V| = |C|$ . We claim that  $C$  is a simple cycle of length  $k$  in  $G'$ . Indeed, we have  $|C| = k$  by construction. Therefore there is a simple cycle of size  $k$  in  $G'$ , implying there is a simple cycle of size at least  $k$  in  $G'$
- ii. Suppose there is a simple cycle of size at least  $k$  in  $G'$ . Let  $C$  be such simple cycle. Since  $|G'.V| = k$ , the simple cycle has exactly size  $k$ . We claim that  $C$  is hamiltonian cycle in  $G$ . There are  $k$  vertices in  $G$  by construction, the fact that  $C$  is a simple cycle of size  $k$  implies that  $C$  is a hamiltonian cycle, which is simply a simple cycle over every vertex ( $k$  of them).

□

### 3. SMALL-CYCLE

- **Input** Undirected graph  $G$  and  $k \in \mathbb{Z}$
- **Question** Does  $G$  contain some simple cycle on **at most**  $k$  vertices?

*Solution.*

□

SMALL-CYCLE can be represented as

$$\text{SMALL-CYCLE} = \{\langle G, k \rangle : G \text{ contains a simple cycle of size } \leq k\}$$

- (a) Prove  $\text{SMALL-CYCLE} \in NP$

*Proof.* We prove this by finding an polynomial-time verification algorithm. The algorithm is exactly that of the EXACT-CYCLE verification algorithm with one difference, we are checking if the certificate, a sequence of vertices, have length less than or equal to  $k$  instead of testing if the length is equal to  $k$ . The complexity and correctness analysis follows similarly. □

- (b) Prove for all  $L \in NP$ ,  $L \leq_p \text{SMALL-CYCLE}$  (i.e. NP-hard)

*Proof.* By lemma in clrs, we can find a NP-complete problem HAM-CYCLE and a polynomial time reduction algorithm mapping  $x \in \text{HAM-CYCLE}$  to  $f(x) \in \text{SMALL-CYCLE}$  to prove that SMALL-CYCLE is NP-complete. Given an instance of HAM-CYCLE  $\langle G \rangle$ , the reduction algorithm computes  $k = |G.V|$  and outputs an instance of  $\langle G' = G, k \rangle$  to SMALL-CYCLE. The transformation function  $f$  is polynomial, in fact constant as we are only computing the size of vertices in  $G$ . Now we prove that the transformation is a valid reduction

- i. Suppose  $C$  is a hamiltonian cycle in  $G$ . Then we have  $k = |G.V| = |C|$ . We claim that  $C$  is a simple cycle of length  $k$  in  $G'$ . Indeed, we have  $|C| = k$  by construction. Therefore there is a simple cycle of size  $k$  in  $G'$ , implying there is a simple cycle of size at most  $k$  in  $G'$
- ii. Suppose there is a simple cycle of size at most  $k$  in  $G'$ . Let  $C$  be such simple cycle. Since  $|G'.V| = k$ , the simple cycle has exactly size  $k$ . We claim that  $C$  is hamiltonian cycle in  $G$ . There are  $k$  vertices in  $G$  by construction, the fact that  $C$  is a simple cycle of size  $k$  implies that  $C$  is a hamiltonian cycle, which is simply a simple cycle over every vertex ( $k$  of them).

□

### Problem 3

Consider the following PARTITION search problem.

1. **Input** A set of integers  $S = \{x_1, \dots, x_n\}$  each integer can be positive, negative, or zero.
  2. **Output** A partition of  $S$  into subsets  $S_1, S_2$  with equal sum, if such a partition is possible; otherwise, return the special value *nil*. ( $S_1, S_2$  is a partition of  $S$  if every element of  $S$  belongs to one of  $S_1$  or  $S_2$ , but not to both.)
1. Give a precise definition for a decision problem PART related to the Partition search problem.

*Solution.*

□

Given a set of integers  $S = \{x_1, \dots, x_n\}$  where each integer  $x_i$  can be positive, negative, or zero. We want to find if there exists a partition  $S_1, S_2$  with equal sums.

$$\text{PART} = \left\{ \langle S \rangle : \exists S_1, S_2 \subseteq S \text{ s.t. } S_1 \cup S_2 = S \text{ and } S_1 \cap S_2 = \emptyset \text{ where } \sum_{x \in S_1} x = \sum_{x \in S_2} x \right\}$$

Let PARTDECIDE be the algorithm that decides the decision problem PART, specifically

$$\text{PARTDECIDE}(S) = \begin{cases} 1 & \text{if } \langle S \rangle \in \text{PART} \\ 0 & \text{otherwise} \end{cases}$$

2. Give a detailed argument to show that the PARTITION search problem is polynomial-time self-reducible. (Warning: Remember that the input to the decision problem does not contain any information about the partition if it even exists.)

*Solution.*

□

- (a) We will provide an efficient algorithm utilizing PARTDECIDE, assumed to be efficient, to solve for PARTITION

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1 Function Partition( $S$ )
2   if Not PartDecide( $S$ ) then
3     return nil
4    $S_1 \leftarrow \emptyset$ 
5    $S_2 \leftarrow S$ 
6   while Sum( $S_1$ )  $\neq$  Sum( $S_2$ ) do
7      $x \leftarrow$  be next integer in  $S_2$ 
8     if PartDecide(Sum( $S_1 \cup \{x\}$ )  $\cup$   $S_2 \setminus x$ ) then
9        $S_1 \leftarrow S_1 \cup \{x\}$ 
10       $S_2 \leftarrow S_2 \setminus \{x\}$ 
11   return ( $S_1, S_2$ )

```

- (b) **Proof of correctness** If  $S$  does not contain an equal partition, then PARTDECIDE( $S$ ) will return 0 (false), and program returns *nil*, which is correct. Let  $(E_1, E_2)$  be equal partition of  $S$ , if exists. The algorithm works by maintaining a pair of set  $(S_1, S_2)$  with loop variant

At each step in the for loop,  $S_1$  is in some equal partition of  $S$

Initially,  $S_1$  is an emptyset, so trivially true. Inductive step, assume  $S_1^i$  is in some equal partition  $E_1$  of  $S$ . Note  $S_1^{i+1} = S_1^i \cup \{x\}$  is the only possible way to change  $S_1^i$ , which is executed only if **PartDecide**(Sum( $S_1 \cup \{x\}$ )  $\cup$   $S_2 \setminus x$ ). By induction hypothesis,  $S_1^i$  is in some equal partition of  $S$ , therefore we can treat the all elements in  $S_1^i$  as a single element  $e$  with value the summation of all integers in  $S_1^i$  and the  $e \in E$  is still valid. The decision algorithm returns true if and only if  $x + e$  is still in  $E$ , implying  $x$  must be in  $S_2$ . The following assignment of  $S_1^{i+1}$  simply incorporates  $x$  to  $S_1^i$  and by truthy evaluation of PARTDECIDE,  $S_1^{i+1}$  will still be in some equal partition of  $S$ . Otherwise, since  $S_1^{i+1} = S_1^i$  and  $S_1^i$  is in some equal partition of  $S$ , so claim also holds in this case. So the loop invariant holds. Additionally, we claim that the while loop will terminate at some point. Note execution reaches the while loop only if PARTDECIDE( $S$ ) returns 1 (true), so there will not be cases where we stuck in the loop because we cannot find a solution. Additionally, the while loop adds some  $x$  to  $S_1$  in at most  $|S_2|$  iterations until  $S_1$  becomes one of the equal partitions, the loop therefore will terminate. By loop termination condition and the loop invariant, the pair  $(S_1, S_2)$  will be an equal partition, so the algorithm is correct.

- (c) **Runtime** The for loop iterates  $O(n^2)$  times, each performing some constant set operation with PARTDECIDE. Assume PARTDECIDE has a worst case runtime of  $O(T)$ , The worst case complexity of the algorithm is therefore  $O(n^2T)$
- (d) **Proof of self-reducibility** Given that the algorithm is correct and the runtime,  $O(n^2T)$ , is polynomial to the worst case runtime of PARTDECIDE. If  $T$  is

polynomial, in other words, if there exists an efficient algorithm for solving PART-DECIDE, then we can solve PARTITION in  $O(n^2T)$ , which is still in polynomial time. Therefore the search problem PARTITION is **self-reducible**

#### Problem 4

Your friends want to break into the lucrative coffee shop market by opening a new chain called The Coffee Pot. They have a map of the street corners in a neighbourhood of Toronto (shown on the right), and estimates  $p_{i,j}$  of the profits they can make if they open a shop on corner  $(i, j)$ , for each corner. However, municipal regulations forbid them from opening shops on corners  $(i-1, j)$ ,  $(i+1, j)$ ,  $(i, j-1)$ , and  $(i, j+1)$  (for those corners that exist) if they open a shop on corner  $(i, j)$ . As you can guess, they would like to select street corners where to open shops in order to maximize their profits!

1. Consider the greedy algorithm to try and select street corners

```

1  $C \leftarrow \{(i, j) : 1 \leq i \leq m \text{ and } 1 \leq j \leq n\}$ 
2  $S \leftarrow \emptyset$ 
3 while  $C \neq \emptyset$  do
4   Pick  $(i, j) \in C$  with maximum value of  $p_{i,j}$ 
5    $S \leftarrow S \cup \{(i, j)\}$ 
6    $C \leftarrow C \setminus \{(i, j), (i-1, j), (i+1, j), (i, j-1), (i, j+1)\}$ 
7 return  $S$ 

```

Give a precise counter-example to show that this greedy algorithm does not always find an optimal solution. State clearly the solution found by the greedy algorithm, and show that it is not optimal by giving another selection with a larger profit.

*Solution.*

□

Define the profit of a set of corners as follows

$$P(C) = \sum_{(i,j) \in C} p_{i,j}$$

Let  $m = n = 2$ , so we have 4 corners to choose from

$$\begin{array}{ccc} (2, 1) & \cdots & (2, 2) \\ \vdots & & \vdots \\ (1, 1) & \cdots & (1, 2) \end{array}$$

Now assign  $p_{i,j}$  as follows

$$\begin{array}{ccc} 4 & \cdots & 3 \\ \vdots & & \vdots \\ 3 & \cdots & 1 \end{array}$$

The greedy algorithm picks  $(2, 1)$  which has the largest initial profit 4, after removing corners  $(1, 1)$  and  $(2, 2)$ , the greedy algorithm picks the remaining  $(1, 2)$  and adds it to corners  $C$ . Therefore

$$P(C) = 4 + 1 = 5$$

Apparently the selection  $\{(1, 1), (2, 2)\}$  is optimal and yields a total profit of  $3 + 3 = 6$

2. Prove that the greedy algorithm from part (a) has an approximation ratio of 4. (Hint: Let  $S$  be the selection returned by the greedy algorithm and let  $T$  be any other valid selection of street corners. Show that for all  $(i, j) \in T$ , either  $(i, j) \in S$  or there is an adjacent  $(i', j') \in S$  with  $p_{i', j'} \geq p_{i, j}$ . What does this mean for all  $(i, j) \in S$  and their adjacent corners?)

*Proof.* Let  $S$  be selection returned by the greedy algorithm and let  $T$  be any other valid selection of street corners (including the optimal selection  $O$ ). Now we claim that for all  $(i, j) \in T$ , either  $(i, j) \in S$  or there exists an adjacent corner  $(i', j') \in S$  such that  $p_{i', j'} \geq p_{i, j}$ . Let  $(i, j) \in T$  be arbitrary. If  $(i, j) \in S$ , then done, otherwise  $(i, j) \notin S$ , this implies at some point the greedy algorithm removed  $(i, j)$  from the set of available corners  $C$ . The algorithm only removes adjacent corners to the one picked (i.e. one with largest  $p_{i, j} \in C$ ), which we denote as  $(i_{\text{picked}}, j_{\text{picked}})$ . Note  $p_{i_{\text{picked}}, j_{\text{picked}}} \geq p_{i, j}$  since otherwise the algorithm would have picked  $p_{i, j}$  instead. Since  $(i_{\text{picked}}, j_{\text{picked}})$  and  $(i, j)$  are adjacent corners, the claim thus holds. Now we claim that for each  $(i, j) \in S$ ,  $4p_{i, j}$  is an upper bound on the total profit of any possible valid selection  $R$  of corners  $T$  restricted to  $\{(i, j), (i-1, j), (i+1, j), (i, j-1), (i, j+1)\}$ , we have either

- (a)  $(i, j) \in T$ , and since  $T$  is a valid selection of corners, no adjacent corners to  $(i, j)$  is in  $T$ , and so  $R = \{(i, j)\}$  with

$$4p_{i, j} \geq P(\{(i, j)\}) = P(R)$$

- (b) Otherwise,  $(i, j) \notin T$ , then any adjacent corners may be selected by  $T$ , therefore  $R = \{(i-1, j), (i+1, j), (i, j-1), (i, j+1)\}$ . Since by previous conclusion proved, we have  $p_{i, j}$  be greater than any of its adjacent vertices and so

$$4p_{i, j} \geq P(\{(i-1, j), (i+1, j), (i, j-1), (i, j+1)\}) = P(R)$$

Therefore the claim holds. Note the set  $\{(i, j), (i-1, j), (i+1, j), (i, j-1), (i, j+1)\}$  is exactly the set of corners being removed from  $C$  in each iteration of the loop. By loop exit condition,  $C = \emptyset$ . Therefore the cumulation of any valid selection  $R_i$  in each iteration equates to the  $T$ , i.e.  $\bigcup_i R_i = T$ . We claim that once the loop terminates the total profit  $4P(S)$  is an upper bound on profit of any other possible selection  $P(T)$

$$4P(S) = 4 \sum_{(i, j) \in S} p_{i, j} \geq \sum_i R_i = P(T)$$



Since  $T$  is arbitrary, let  $O$  be the optimal selection and we have

$$4P(S) \geq P(O) \rightarrow \frac{P(O)}{P(S)} \leq 4$$

Hence the greedy algorithm has an approximation ratio of 4.  $\square$

### Problem 5

You are using a multi-processor system to process a set of jobs coming in to the system each day. For each job  $i = 1, 2, \dots, n$ , you know  $v_i$ , the time it takes one processor to complete the job.

Each job can be assigned to one and only one of  $m$  processors no parallel processing here! The processors are labelled  $\{A_1, A_2, \dots, A_m\}$ . Your job as a computer scientist is to assign jobs to processors so that each processor processes a set of jobs with a reasonably large total running time. Thus given an assignment of each job to one processor, we can define the **spread** of this assignment to be the minimum over  $j = 1, 2, \dots, m$  of the total running time of the jobs on processor  $A_j$ .

Example: Suppose there are 6 jobs with running times 3, 4, 12, 2, 4, 6, and there are  $m = 3$  processors. Then, in this instance, one could achieve a spread of 9 by assigning jobs  $\{1, 2, 4\}$  to the first processor ( $A_1$ ), job 3 to the second processor ( $A_2$ ), and jobs 5 and 6 to the third processor ( $A_3$ ). The ultimate goal is find an assignment of jobs to processors that **maximizes** the spread. Unfortunately, this optimization problem is NP-Hard (you do not need to prove this). So instead, we will try to approximate it.

1. Give a polynomial-time algorithm that approximates the maximum spread to within a factor of 2. That is, if the maximum spread is  $s$ , then your algorithm should produce a selection of processors for each job that has spread at least  $\frac{s}{2}$ . In your algorithm you may assume that no single job has a running time that is significantly above the average; specifically, if  $V = \sum_{i=1}^n v_i$  denotes the total running time of all jobs, then you may assume that no single job has a running time exceeding  $\frac{V}{2m}$ .

*Solution.*  $\square$

Briefly, the algorithm works by greedily assign the next longest job to the processor with the least current spread. Let  $A$  be a processor representing arbitrary set of jobs  $i = 1, \dots, n$ . Define the total time  $T$  of a set of jobs as

$$T(A) = \sum_{i \in A} v_i$$

We define spread  $s$  as follows

$$s = \min_{j \in 1, 2, \dots, m} \sum_{i \in A_j} v_i = \min_{j \in 1, 2, \dots, m} T(A_j)$$

Let  $S$  be the set of jobs  $\{1, \dots, n\}$ . Let  $v$  be the corresponding run time. Let  $m$  be the number of processors. Let  $s_j$  be the spread of  $j$ -th processor  $A_j$ . Let  $Q$  be a minimum priority queue of processors  $A_j$  with keys corresponding to summation of runtime of all jobs in  $A_j$

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1 Function Approx-Max-Spread( $S, v, m$ )
2    $Q \leftarrow \text{MinPriorityQueue}$ 
3   for  $j = 1$  to  $m$  do
4      $A_j \leftarrow \emptyset$ 
5      $A_j.\text{key} \leftarrow 0$ 
6      $\text{Insert}(Q, A_j)$ 
7   while  $S \neq \emptyset$  do
8      $i \leftarrow$  arbitrary job in  $S$ 
9      $S \leftarrow S \setminus \{i\}$ 
10     $A \leftarrow \text{ExtractMin}(Q)$ 
11     $A \leftarrow A \cup \{i\}$ 
12     $A.\text{key} \leftarrow \sum_{i \in A} v_i$ 
13     $\text{Insert}(Q, A)$ 
14  return  $A_j$  for  $j = 1, \dots, m$ 

```

We claim that

assignments  $A_s$  returned by the algorithm APPROX-MAX-SPREAD is a polynomial-time 2-approximation algorithm for finding maximum spread.

*Proof.* 3 parts

- (a) First we prove that  $A_j$  for  $j = 1, \dots, m$  are valid assignments. Note we initialize the empty set  $A_j$  and then for each iteration choose to pick an arbitrary job  $i$  in  $S$  and assign the job  $i$  to some  $A$  in the queue. By loop exit condition,  $S$  is an empty set, therefore we have assigned all jobs  $i \in S$  to some processor  $A$ . Therefore, the returned sets of  $A_j$  are valid assignments including every  $i = 1, \dots, n$
- (b) Now we prove the algorithm runs in polynomial-time. Note all priority queue implemented with heap takes  $O(\lg n)$  worst case running time. The 2 for loop iterates  $m$  and  $n$  times respectively, each doing some priority queue operation and constant time assignment operations. Therefore the total runtime  $O((m+n) \lg n)$  is in polynomial-time

- (c) Now prove that the algorithm is a 2-approximation algorithm. Let the run time of all jobs be  $V = \sum_{i=1}^n v_i$ . The best assignment possible happens when every processor gets an equal share of runtime, which is a fixed  $\frac{V}{m}$ , in other words,

$$\sum_{i \in A_j} v_i = \frac{V}{m} \quad \text{for all } j = 1, \dots, m$$

Let  $s^*$  be the spread of an optimal assignment.  $s^*$  is therefore bounded above by the spread of the best possible assignment.

$$s^* \leq \frac{V}{m}$$

Now we claim that  $s \geq \frac{V}{2m}$ . Prove by contradiction, assume the final spread from the algorithm be  $s_f < \frac{V}{2m}$ . Let  $A_f$  be the process with least total runtime when the algorithm terminates, i.e.

$$T(A_f) = s_f \leq T(A_j) \text{ for all } j = 1, \dots, m$$

Since all jobs are assigned when program terminates, there must be at least one  $A$  for which  $T(A) > \frac{V}{m}$ . Otherwise, the total runtime of assignment returned  $\sum_{j=1}^m T(A_j) < V$ . Let  $A_j$  be the first processor with  $T(A_j) > \frac{V}{m}$ . At some point, the algorithm picks  $A_j$  as the processor because it has determined that  $A_j.key = T(A_j) = \sum_{i \in A_j} v_i$  is the minimum of all processor and adds the next job

$v_i$  to  $A_j$  (where  $A'_j = A_j \cup \{i\}$ ) such that  $T(A'_j) > \frac{V}{m}$ . However note that no single job has a running time exceeding  $\frac{V}{2m}$ , specifically,  $v_i \leq \frac{V}{2m}$ . Then we have

$$T(A'_j) = T(A_j) + v_i \rightarrow T(A_j) = T(A'_j) - v_i \geq T(A'_j) - \frac{V}{2m}$$

$T(A'_j) > \frac{V}{m}$  implies  $T(A_j) > \frac{V}{2m}$ . We have  $A_f$  as a processor with  $T(A_f) \leq \frac{V}{2m}$  when the program terminates. Since we have only increased keys to processors in the queue,  $A_f$  will have a total runtime  $T(A_f) \leq \frac{V}{2m}$  during the step where the program decides to pick  $v_i$  and add to  $A_j$ . This is a contradiction because

the algorithm guarantees to pick the processor with minimum total runtime but  $T(A_f) \leq \frac{V}{2m} < T(A_j)$ . Therefore, the claim that  $s \geq \frac{V}{2m}$  holds. Hence,

$$s^* \leq \frac{V}{m} \leq 2s$$

So then we proved that the algorithm described is a 2-approximation algorithm for finding maximum spread.

□