Graph Theory

Definition.

- 1. A **Graph** G = (V, E), where V is a set of vertices, and $E \subseteq V \times V$ is a set of edges. Let |V| = n, then $0 \le |E| \le n^2$
- 2. A **Directed Graph** is a graph where each edge (u, v) has a direction going form u to v.
- 3. An Undirected Graph is a graph where edges has no direction. If (u, v) is an edge, then so is (v, u)
- 4. A Weighted Graph is a graph with weight function $W: E \to \mathbb{R}$
- 5. A graph is Connected when there is a path between every pair of vertices. $n-1 \le |E| \le n^2$ and |V| = n > 2. If |E| is close to n^2 , then G is called a **dense** graph If E is much less than n^2 , then G is called a **sparse** graph
- 6. A Connected Undirected Graph is a graph where for every pair of vertices $u, v \in E$, there is a path $p = \langle v_0, \dots v_k \rangle$ $((v_i, v_{i+1}) \in E)$ with $v_0 = u$ and $v_k = v$
- 7. A Weakly Connected Directed Graph same definition as above
- 8. A Strongly Connected Directed Graph is a graph such that for every pair $u, v \in E$, there is a path $p: u \to v$, and a path $p': v \to u$

Graph Representation

- 1. Adjacency List
 - (a) size O(|V| + 2|E|) = O(|V| + |E|) for undirected graphs (each edge counted twice) or O(|V| + |E|) for directed graphs
 - (b) search O(E)
- 2. Adjacency Matrix
 - (a) size $O(|V|^2)$
 - (b) search O(1)

Definition. Breadth-First Search (BFS)

```
Function Breadth-First-Search (G, s)
             for u \in V \setminus \{s\} do
     \mathbf{2}
                  discovered[u] \leftarrow False
     3
                  dist[u] \leftarrow \infty
     4
                  parent[u] \leftarrow NIL
     5
             discovered[s] \leftarrow True
     6
             dist[s] \leftarrow 0
     7
             parent[s] \leftarrow NIL
     8
             Q \leftarrow \emptyset be a queue
1.
             \mathtt{Enqueue}(Q,s)
    10
             while Q is not empty do
    11
                  u \leftarrow \mathtt{Dequeue}(Q)
    12
                  for v \in Adj[u] do
    13
                       if discovered[v] is False then
    14
                           discovered[v] \leftarrow True
    15
                           dist[v] \leftarrow dist[u] + 1
    16
    17
                           parent[v] \leftarrow u
                           \mathtt{Enqueue}(Q, v)
    18
```

- 2. **BFS-Tree** is tree generated with BFS on a tree, which is also the shortest path tree for that graph
- 3. Complexity analysis Space complexity is $\Theta(|V|)$, which is just space required for Q. Time complexity is $\Theta(|V| + |E|)$. Queue operation runs at most twice on each $v \in V$. since discovered[v] is set to true as soon as v is dequeued and discovered[v] is never set back to false again, so each v can be in the queue only once. So queue operations takes O(|V|) in total. For each $v \in V$, we traversed Adj[v], which takes O(|E|) in total for traversing the adjacency list.
- 4. Proof of correctness
 - (a) claim 1: If $(u, v) \in E$ then $\delta(s, v) \leq \delta(s, u) + 1$

Proof. Let $p: s \to u$ be shortest path from s to u.

i. If p along with (u, v) is shortest path from s to v, then

$$\delta(s, v) = \delta(s, u) = 1$$

ii. else, there exists some $p': s \to v$ such that

$$\delta(s, v) < \delta(s, u) = 1$$

(b) claim 2: Upon termination of BFS, $\delta(s, u) \leq dist[u]$ for all $u \in V$.

Proof. By induction on the number k vertices discovered by the algorithm. If k = 1, $\delta(s, s) = 0 = dist[s]$, holds. If k > 1, then assume results holds for $\leq k - 1$ Prove it holds for kth vertex. Say v is discovered from u, then by induction hypothesis $dist[u] = \delta(s, u)$, we have

$$dist[v] = dist[u] + 1 = \delta(s, u) + 1$$

By claim 1

$$dist[v] \geq \delta(s,v)$$

(c) claim 3: In any step, if the queue Q consists of v_1, \dots, v_k then

$$dist[v_1] \le dist[v_2] \le \dots \le dist[v_k] \le dist[v_1] + 1$$

Proof. By induction on state of queue. If $Q = \{s\}$, $dist[s] \leq dist[s] + 1$, claim holds. Now assume claim true upto current configuration of Q, two cases. An element is dequeued, the claim holds trivially. Then vertex v_{k+1} is enqueued to back of Q. By algorithm $dist[v_{k+1}] = dist[v_1] + 1$, the claim holds.

(d) the algorithm is correct. Define $\delta(s, v)$ is the shortest distance of u from s in G. We claim that $dist[v] = \delta(s, v)$ for all $v \in V$.

Proof.

- i. $\delta(s,v) = \infty$ then $dist[v] = \infty$ by claim 2, claim true.
- ii. $\delta(s,v) \neq \infty$, do induction on $\delta(s,v)$ If $\delta(s,v) = 0$, then s = v, so dist[s] = 0 = dist[v]. Now we assume result holds for $\delta(s,v) \leq k-1$, then consider a vertex w with $\delta(s,w) = k$ By claim 3, $\delta(s,w) > k$, the algorithm discovers w after discovering every $v \in V$ such that $\delta(s,v) = k-1$. Now consider parent vertex u such that $(w,u) \in E$, hence by the algorithm dist[u] = k-1. By induciton hypothesis, we have

$$dist[w] = dist[u] + 1 = \delta(s, u) + 1 = k - 1 + 1 = k$$

Definition. Breadth-First Search (BFS)

```
Function Depth-First-Search (G, s)
            for u \in V \setminus \{s\} do
    2
                discovered[u] \leftarrow False
    3
                parent[u] \leftarrow NIL
     4
            discovered[s] \leftarrow True
            parent[s] \leftarrow NIL
            S \leftarrow \emptyset be a stack
1.
            Put(S, s)
            while S is not empty do
                u \leftarrow \text{Pop}(S)
   10
                for v \in Adj[u] do
   11
                     if discovered[v] is False then
   12
                         discovered[v] \leftarrow True
   13
                         parent[v] \leftarrow u
   14
                         Put(S, v)
   15
```

Shortest Path Algorithm

Given a weighted directed graph with weight function $W: E \to \mathbb{R}$ A source node $s \in G$. Find the shortest path weights from s to all reachable vertices. If

$$p = \langle v_0 \stackrel{w_0}{\to} v_1 \cdots \stackrel{w_{k-1}}{\to} v_k \rangle$$

then $w(P) = \sum_{i=1}^{k-1} w_i$. So the shortest-path weight $\delta(s, v)$ is defined as

$$\delta(s,v) = \begin{cases} \min\{w(P) : s \xrightarrow{p} v\} & \text{if such path exists} \\ \infty & \text{otherwise} \end{cases}$$

Possible variations

- 1. single source shortest path
- 2. single destination shortest path (Find solution to this problem if solution to first problem is known by reversing the edge directions, i.e. transpose of G = (V, E) is $G^T = (V, E^T)$)
- 3. All pairs shortest path
- 4. Weights are not non-negative

Proposition. Optimal substructure of shortest path. In other words, if $p = \langle v_0, \dots, v_k \rangle$ is a shortest path from v_0 to v_k , then $\langle v_i \dots v_j \rangle$ is also a shortest path from v_i to v_j or $0 \le i \le j \le k$

 \Box

Bellman-Ford algorithm

```
1 Function Bellman-Ford-algorithm (G, w, s)
        for v \in V \setminus \{s\} do
            dist[v] \leftarrow \infty
 3
            parent[v] \leftarrow NIL
 4
        dist[s] \leftarrow 0
 \mathbf{5}
        parent[s] \leftarrow NIL
 6
        for i = 1 to |V| - 1 do
 7
 8
            for (u, v) \in E do
                if dist[u] > dist[u] + w(u, v) then
9
                     dist[v] \leftarrow dist[u] + w(u, v)
10
                    parent[v] = w
11
        for (u,v) \in E do
12
            if dist[v] > dist[u] + w(u, v) then
13
                return False
14
15
        {\bf return}\ \mathit{True}
```

Note the algorithm fails, i.e. return false, if there is a negative-weight cycle. A negative-weight cycle C is a cycle such that w(C) < 0. Because each iteration over the cycle will decrement the weight by w(C)