

1. **Hard-Coding a Network** In this problem, you need to find a set of weights and biases for a multilayer perceptron which determines if a list of length 4 is in sorted order. More specifically, you receive four inputs  $x_1, \dots, x_4$ , where  $x_i \in \mathbb{R}$ , and the network must output 1 if  $x_1 < x_2 < x_3 < x_4$ , and 0 otherwise. All hidden units and the output unit use a hard threshold activation function

$$\phi(z) = \begin{cases} 1 & z \geq 0 \\ 0 & z < 0 \end{cases}$$

*Solution.*

□

We will set weight and biases such that  $h_j$  activates if  $x_j < x_{j+1}$ . For example, we want  $h_1 = 1$  if  $x_1 < x_2$ , that is for

$$w_{11}x_1 + w_{12}x_2 + w_{13}x_3 + w_{14}x_4 + b_1 > 0$$

weights  $(1, -1, 0, 0)$  and bias of  $-0.1$  satisfies the constraint. Similarly, we can hard code weights and biases for  $h_2$  and  $h_3$ .

$$\mathbf{W}^{(-1)} = \begin{pmatrix} 1 & -1 & 0 & 0 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 1 & -1 \end{pmatrix} \quad \mathbf{b}^{(1)} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$$

Now we set weights and bias for computing  $y$  such that  $y$  activates if and only if all  $h_1, h_2, h_3$  activates

$$\mathbf{w}^{(2)} = (-10 \quad -10 \quad -10) \quad b^{(2)} = 0.5$$

2. **Backprop** Consider a neural network with  $N$  input units,  $N$  output units, and  $K$  hidden units. The activations are computed as follows:

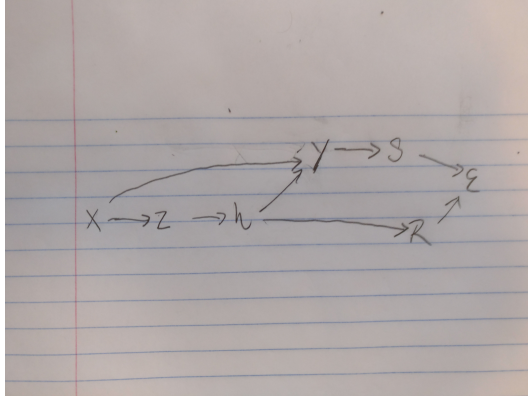
$$\begin{aligned} \mathbf{z} &= \mathbf{W}^{(1)}\mathbf{x} + \mathbf{b}^{(1)} \\ \mathbf{h} &= \sigma(\mathbf{z}) \\ \mathbf{y} &= \mathbf{x} + \mathbf{W}^{(2)}\mathbf{h} + \mathbf{b}^{(2)} \end{aligned}$$

The cost involves both  $\mathbf{h}$  and  $\mathbf{y}$

$$\begin{aligned} \mathcal{E} &= \mathcal{R} + \mathcal{S} \\ \mathcal{R} &= \mathbf{r}^T \mathbf{h} \\ \mathcal{S} &= \frac{1}{2} \|\mathbf{y} - \mathbf{s}\|^2 \end{aligned}$$

for given vector  $\mathbf{r}$  and  $\mathbf{s}$

(a) Draw computation graph



(b) Derive backprop equations for computing  $\bar{x} = \partial \mathcal{E} / \partial \mathbf{x}$

*Solution.*

□

We first derive scalar form for the forward pass

$$\mathcal{E} = \mathcal{R} + \mathcal{S}$$

$$\mathcal{S} = \frac{1}{2} \sum_{i=1}^N (y_i - s_i)^2$$

$$\mathcal{R} = \sum_{j=1}^K r_j h_j$$

$$y_i = x_i + \sum_j^K w_{ij}^{(2)} h_j + b_i^{(2)}$$

$$h_j = \sigma(z_j)$$

$$z_j = \sum_{i=1}^N w_{ji}^{(1)} x_i + b_j^{(1)}$$

for  $i = 1, 2, \dots, N$  and  $j = 1, 2, \dots, K$ . Then we derive the scalar form for the

reverse pass,

$$\begin{aligned}
\bar{\mathcal{E}} &= 1 \\
\bar{\mathcal{R}} &= \bar{\mathcal{E}} \frac{\partial \mathcal{E}}{\partial \mathcal{R}} = 1 \\
\bar{\mathcal{S}} &= \bar{\mathcal{E}} \frac{\partial \mathcal{R}}{\partial \mathcal{S}} = 1 \\
\bar{y}_i &= \bar{\mathcal{S}} \frac{\partial \mathcal{S}}{\partial y_i} = y_i - s_i \\
\bar{h}_j &= \bar{\mathcal{R}} \frac{\partial \mathcal{R}}{\partial h_j} + \sum_{i=1}^N \bar{y}_i \frac{\partial y_i}{\partial h_j} = r_j + \sum_{i=1}^N \bar{y}_i w_{ij}^{(2)} \\
\bar{z}_j &= \bar{h}_j \frac{\partial h_j}{\partial z_j} = \bar{h}_j \sigma'(z_j) \\
\bar{x}_i &= \sum_{j=1}^K \bar{z}_j \frac{\partial z_j}{\partial x_i} + \bar{y}_i \frac{\partial y_i}{\partial x_i} = \sum_{j=1}^K \bar{z}_j w_{ji}^{(1)} + \bar{y}_i
\end{aligned}$$

Then vectorize the result

$$\begin{aligned}
\bar{\mathcal{E}} &= 1 \\
\bar{\mathcal{R}} &= 1 \\
\bar{\mathcal{E}} &= 1 \\
\bar{\mathbf{y}} &= \mathbf{y} - \mathbf{s} \\
\bar{\mathbf{h}} &= \mathbf{r} + \mathbf{W}^{(2)\mathbf{T}} \bar{\mathbf{y}} \\
\bar{\mathbf{z}} &= \bar{\mathbf{h}} \circ \sigma'(\mathbf{z}) \\
\bar{\mathbf{x}} &= \mathbf{W}^{(1)\mathbf{T}} \bar{\mathbf{z}} + \bar{\mathbf{y}}
\end{aligned}$$

### 3. Sparsifying Activation Function

*Solution.*

□

$\frac{\partial \mathcal{L}}{\partial w_1}$	YES
$\frac{\partial \mathcal{L}}{\partial w_2}$	YES
$\frac{\partial \mathcal{L}}{\partial w_3}$	NO

If  $h_1$  is 0, then  $y$  do not depend on  $w_1 h_1 = 0$  and so the a measure in change of  $\mathcal{L}$  with respect to  $w_1$  while holding other variables constant is zero, i.e.  $\frac{\partial \mathcal{L}}{\partial w_1} = 0$ . A infinitesimal change in  $w_2$  yields negative value as input to  $h_1$ , by similar argument shown previously, we have  $\frac{\partial \mathcal{L}}{\partial w_2} = 0$ . However, a small change in  $w_3$  might result in changes in  $y$  if both  $h_2, h_3$  activates, and so  $\frac{\partial \mathcal{L}}{\partial w_3}$  might not be zero.