

# SQL:

# Data Manipulation Language

csc343, Introduction to Databases

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# Introduction

- So far, we have defined database schemas and queries mathematically.
- SQL is a formal language for doing so with a DBMS.
- “Structured Query Language”, but it’s for more than writing queries.
- Two sub-parts:
  - DDL (Data Definition Language), for defining schemas.
  - DML (Data Manipulation Language), for writing queries and modifying the database.

# PostgreSQL

- We'll be working in PostgreSQL, an open-source relational DBMS.
- Learn your way around the documentation; it will be very helpful.
- Standards?
  - There are several, the most recent being SQL:2008.
  - The standards are not freely available. Must purchase from the International Standards Organization (ISO).
  - PostgreSQL supports most of it SQL:2008.
  - DBMSs vary in the details around the edges, making portability difficult.

# A high-level language

- SQL is a very high-level language.
  - Say “what” rather than “how.”
- You write queries without manipulating data. Contrast languages like Java or C++.
- Provides physical “data independence:”
  - Details of how the data is stored can change with no impact on your queries.
- You can focus on readability.
  - But because the DMBS optimizes your query, you get efficiency.

# Heads up: SELECT vs $\sigma$

- In SQL,
  - “SELECT” is for choosing columns, *i.e.*,  $\Pi$ .
  - Example:

```
SELECT surName
FROM Student
WHERE campus = 'StG';
```
- In relational algebra,
  - “select” means choosing rows, *i.e.*,  $\sigma$ .

# Basic queries

[Slides 8-16 are essentially covered by Prep4]

# Meaning of a query with one relation

```
SELECT name  
FROM Course  
WHERE dept = 'CSC';
```

$$\pi_{\text{name}} (\sigma_{\text{dept}=\text{"csc"}} (\text{Course}))$$



## ... and with multiple relations

```
SELECT name  
FROM Offering, Took  
WHERE Offering.id = Took.oid and  
      dept = 'CSC';
```

$$\pi_{\text{name}} (\sigma_{\text{Offering.id=Took.id} \wedge \text{dept='csc'}} (\text{Offering} \times \text{Took}))$$

# Temporarily renaming a table

- You can rename tables (just for the duration of the statement):

```
SELECT e.name, d.name  
FROM employee e, department d  
WHERE d.name = 'marketing'  
AND e.name = 'Horton';
```

- Can be convenient vs the longer full names:

```
SELECT employee.name, department.name  
FROM employee, department  
WHERE department.name = 'marketing'  
AND employee.name = 'Horton';
```

- This is like  $\rho$  in relational algebra.

# Self-joins

- As we know, renaming is *required* for self-joins.
- Example:

```
SELECT e1.name, e2.name  
FROM employee e1, employee e2  
WHERE e1.salary < e2.salary;
```

# \* In SELECT clauses

- A \* in the SELECT clause means “all attributes of this relation.”

- Example:

```
SELECT *  
FROM Course  
WHERE dept = 'CSC';
```

# Renaming attributes

- Use *AS «new name»* to rename an attribute in the result.

- Example:

```
SELECT name AS title, dept  
FROM Course  
WHERE breadth;
```

# Complex Conditions in a WHERE

- We can build boolean expressions with operators that produce boolean results.
  - comparison operators: `=`, `<>`, `<`, `>`, `<=`, `>=`
  - and many other operators:  
see section 6.1.2 of the text and chapter 9 of the postgresSQL documentation.
- Note that “not equals” is unusual: `<>`
- We can combine boolean expressions with:
  - Boolean operators: `AND`, `OR`, `NOT`.

# Example: Compound condition

- Find 3rd- and 4th-year CSC courses:

```
SELECT *  
FROM Offering  
WHERE dept = 'CSC' AND cnum >= 300;
```

# ORDER BY

- To put the tuples in order, add this as the final clause:  
`ORDER BY «attribute list» [DESC]`
- The default is ascending order; DESC overrides it to force descending order.
- The attribute list can include expressions: e.g.,  
`ORDER BY sales+rentals`
- The ordering is the last thing done before the SELECT, so all attributes are still available.



# Case-sensitivity and whitespace

- Example query:

```
SELECT surName  
FROM Student  
WHERE campus = 'StG';
```

- Keywords, like `SELECT`, are not case-sensitive.
  - One convention is to use uppercase for keywords.
- Identifiers, like `Student` are not case-sensitive either.
  - One convention is to use lowercase for attributes, and a leading capital letter followed by lowercase for relations.
- Literal strings, like `'StG'`, are case-sensitive, and require single quotes.
- Whitespace (other than inside quotes) is ignored.

# Expressions in SELECT clauses

- Instead of a simple attribute name, you can use an expression in a SELECT clause.
- Operands: attributes, constants  
Operators: arithmetic ops, string ops

- Examples:

```
SELECT sid, grade+10 AS adjusted  
FROM Took;
```

```
SELECT dept || cnum  
FROM course;
```

# Expressions that are a constant

- Sometimes it makes sense for the whole expression to be a constant (something that doesn't involve any attributes!).

- Example:

```
SELECT dept, cNum,  
       'satisfies' AS breadthRequirement  
FROM Course  
WHERE breadth;
```

# Pattern operators

- Two ways to compare a string to a pattern by:
  - «*attribute*» LIKE «*pattern*»
  - «*attribute*» NOT LIKE «*pattern*»
- Pattern is a quoted string
  - % means: any string
  - \_ means: any single character
- Example:

```
SELECT *  
FROM Course  
WHERE name LIKE ' %Comp% ' ;
```

# Aggregation

# Computing on a column

- We often want to compute something across the values in a column.
- `SUM`, `AVG`, `COUNT`, `MIN`, and `MAX` can be applied to a column in a `SELECT` clause.
- Also, `COUNT ( * )` counts the number of tuples.
- We call this aggregation.
- Note: To stop duplicates from contributing to the aggregation, use `DISTINCT` inside the brackets. (Does not affect `MIN` or `MAX`.)
- **Example:** aggregation.txt

# Grouping

- **Example:** group-by.txt
- If we follow a SELECT-FROM-WHERE expression with GROUP BY <attributes>
  - The tuples are grouped according to the values of those attributes, and
  - any aggregation gives us a single value per group.

# Restrictions on aggregation

- If any aggregation is used, then each element of the SELECT list must be either:
  - aggregated, or
  - an attribute on the GROUP BY list.
- Otherwise, it doesn't even make sense to include the attribute.



# HAVING Clauses

- **Example:** having.txt
- WHERE let's you decide which tuples to keep.
- Similarly, you can decide which *groups* to keep.
- Syntax:
  - . . .
  - GROUP BY «*attributes*»
  - HAVING «*condition*»
- Semantics:

Only groups satisfying the condition are kept.

# Restrictions on HAVING clauses

- Outside subqueries, HAVING may refer to attributes only if they are either:
  - aggregated, or
  - an attribute on the GROUP BY list.
- (Same requirement as for SELECT clauses with aggregation)

# Set operations

# Tables can have duplicates in SQL

- A table can have duplicate tuples, unless this would violate an integrity constraint.
- And SELECT-FROM-WHERE statements leave duplicates in unless you say not to.
- Why?
  - Getting rid of duplicates is expensive!
  - We may want the duplicates because they tell us how many times something occurred.

# Bags

- SQL treats tables as “bags” (or “multisets”) rather than sets.
- Bags are just like sets, but duplicates are allowed.
- $\{6, 2, 7, 1, 9\}$  is a set (and a bag)  
 $\{6, 2, 2, 7, 1, 9\}$  is not a set, but is a bag.
- Like with sets, order doesn't matter.  
 $\{6, 2, 7, 1, 9\} = \{1, 2, 6, 7, 9\}$
- **Example:** Tables with duplicates

# Union, Intersection, and Difference

- These are expressed as:

( «*subquery*» ) UNION ( «*subquery*» )

( «*subquery*» ) INTERSECT ( «*subquery*» )

( «*subquery*» ) EXCEPT ( «*subquery*» )

- The brackets are mandatory.
- The operands must be queries; you can't simply use a relation name.

# Example

```
(SELECT sid  
  FROM Took  
 WHERE grade > 95)  
      UNION  
(SELECT sid  
  FROM Took  
 WHERE grade < 50);
```

# Operations $\cup$ , $\cap$ , and $-$ with Bags

- For  $\cup$ ,  $\cap$ , and  $-$  the number of occurrences of a tuple in the result requires some thought.
- (But it makes total sense.)



# Operations $\cup$ , $\cap$ , and $-$ with Bags

- Suppose tuple  $t$  occurs
  - $m$  times in relation  $R$ , and
  - $n$  times in relation  $S$ .

Operation	Number of occurrences of $t$ in result
$R \cap S$	$\min(m, n)$
$R \cup S$	$m + n$
$R - S$	$\max(m - n, 0)$

# Bag vs Set Semantics: which is used

- We saw that a SELECT-FROM-WHERE statement uses bag semantics by default.
  - Duplicates are kept in the result.
- The set operations use set semantics by default.
  - Duplicates are *eliminated* from the result.

# Motivation: Efficiency

- When doing projection, it is easier not to eliminate duplicates.
  - Just work one tuple at a time.
- For intersection or difference, it is most efficient to sort the relations first.
  - At that point you may as well eliminate the duplicates anyway.

# Controlling Duplicate Elimination

- We can force the result of a SFW query to be a set by using `SELECT DISTINCT ...`
- We can force the result of a set operation to be a bag by using `ALL`, e.g.,

```
(SELECT sid
FROM Took
WHERE grade > 95)
      UNION ALL
(SELECT sid
FROM Took
WHERE grade < 50);
```

- **Examples:** controlling-dups.txt, except-all.txt

# Views

# The idea

- A view is a relation defined in terms of stored tables (called base tables) and other views.
- Access a view like any base table.
- Two kinds of view:
  - **Virtual**: no tuples are stored; view is just a query for constructing the relation when needed.
  - **Materialized**: actually constructed and stored.  
Expensive to maintain!
- We'll use only virtual views.
  - PostgreSQL did not support materialized views until version 9.3 (which we are not running).

# Example: defining a virtual view

- A view for students who earned an 80 or higher in a CSC course.

```
CREATE VIEW toprresults AS
SELECT firstname, surname, cnum
FROM Student, Took, Offering
WHERE
    Student.sid = Took.sid AND
    Took.oid = Offering.oid AND
    grade >= 80 AND dept = 'CSC';
```

# Uses for views

- Break down a large query.
- Provide another way of looking at the same data, e.g., for one category of user.



# Outer Joins

# The joins you know from RA

These can go in a FROM clause:

Expression	Meaning
$R, S$	$R \times S$
$R \text{ cross join } S$	
$R \text{ natural join } S$	$R \bowtie S$
$R \text{ join } S \text{ on Condition}$	$R \bowtie_{\text{condition}} S$

# In practise, natural join is brittle

- A working query can be broken by adding a column to a schema.
  - Example:

```
SELECT sid, instructor
FROM Student NATURAL JOIN Took
      NATURAL JOIN Offering;
```
  - What if we add a column called `campus` to `Offering`?
- Also, having implicit comparisons impairs readability.
- Best practise: Don't use natural join.

Students(sID, surName, campus)

Courses(cID, cName, WR)

Offerings(oID, cID, term, instructor, campus)

Took(sID, oID, grade)

```
SELECT sID, instructor
FROM Student NATURAL JOIN Took
      NATURAL JOIN Offering;
```

# Dangling tuples

- With joins that require some attributes to match, tuples lacking a match are left out of the results.
- We say that they are “dangling”.
- An **outer join** preserves dangling tuples by padding them with **NULL** in the other relation.
- A join that doesn't pad with **NULL** is called an **inner join**.

# Three kinds of outer join

- **LEFT** OUTER JOIN
  - Preserves dangling tuples from the relation on the LHS by padding with nulls on the RHS.
- **RIGHT** OUTER JOIN
  - The reverse.
- **FULL** OUTER JOIN
  - Does both.

# Example: joining R and S various ways

R

A	B
1	2
4	5

S

B	C
2	3
6	7

R NATURAL JOIN S

A	B	C
1	2	3

# Example

R

A	B
1	2
4	5

S

B	C
2	3
6	7

R NATURAL FULL JOIN S

A	B	C
1	2	3
4	5	NULL
NULL	6	7



# Example

R

A	B
1	2
4	5

S

B	C
2	3
6	7

R NATURAL **LEFT** JOIN S

A	B	C
1	2	3
4	5	NULL

# Example

R

A	B
1	2
4	5

S

B	C
2	3
6	7

R NATURAL RIGHT JOIN S

A	B	C
1	2	3
NULL	6	7

# Summary of join expressions

## Cartesian product

`A CROSS JOIN B`

same as `A, B`

## Theta-join

`A JOIN B ON C`

✓ `A {LEFT|RIGHT|FULL} JOIN B ON C`

## Natural join

`A NATURAL JOIN B`

✓ `A NATURAL {LEFT|RIGHT|FULL} JOIN B`

✓ indicates that tuples are padded when needed.

# Keywords INNER and OUTER

- There are keywords `INNER` and `OUTER`, but you never need to use them.
- Your intentions are clear anyway:
  - You get an outer join iff you use the keywords `LEFT`, `RIGHT`, or `FULL`.
  - If you don't use the keywords `LEFT`, `RIGHT`, or `FULL` you get an inner join.

# Impact of having null values

# Missing Information

- Two common scenarios:
  - Missing value.  
E.g., we know a student has some email address, but we don't know what it is.
  - Inapplicable attribute.  
E.g., the value of attribute spouse is inapplicable for an unmarried person.

# Representing missing information

- One possibility: use a special value as a placeholder. E.g.,
  - If age unknown, use 0.
  - If StNum unknown, use 999999999.
- Implications?
- Better solution: use a value not in any domain. We call this a null value.
- Tuples in SQL relations can have **NULL** as a value for one or more components.

# Checking for null values

- You can compare an attribute value to **NULL** with
  - **IS NULL**
  - **IS NOT NULL**
- **Example:**

```
SELECT *  
FROM Course  
WHERE breadth IS NULL;
```



# In SQL we have 3 truth-values

- Because of **NULL**, we need three truth-values:
  - If one or both operands to a comparison is **NULL**, the comparison *always* evaluates to **UNKNOWN**.
  - Otherwise, comparisons evaluate to **TRUE** or **FALSE**.

# Combining truth values

- We need to know how the three truth-values combine with **AND**, **OR** and **NOT**.
- Can think of it in terms of the truth table.
- Or can think in terms of numbers:
  - **TRUE** = 1, **FALSE** = 0, **UNKNOWN** = 0.5
  - **AND** is min, **OR** is max,
  - **NOT** x is  $(1-x)$ , i.e., it “flips” the value

# The three-valued truth table

A	B	A and B	A or B
T	T	T	T
TF or FT		F	T
F	F	F	F
TU or UT		U	T
FU or UF		F	U
U	U	U	U

A	not A
T	F
F	T
U	U

# Thinking of the truth-values as numbers

A	B	as nums	A <b>and</b> B	min	A <b>or</b> B	max
T	T	1, 1	T	1	T	1
TF or FT		1, 0	F	0	T	1
F	F	0, 0	F	0	F	0
TU or UT		1, 0.5	U	0.5	T	1
FU or UF		0, 0.5	F	0	U	0.5
U	U	0.5, 0.5	U	0.5	U	0.5

# Thinking of the truth-values as

A	as a num, $x$	not A	$1 - x$
T	1	F	0
F	0	T	1
U	0.5	U	0.5

# Surprises from 3-valued logic

- Some laws you are used to still hold in three-valued logic. For example,
  - **AND** is commutative.
- But others don't. For example,
  - The law of the excluded middle breaks:  
 $(p \text{ or } (\text{NOT } p))$  might not be **TRUE**!
  - $(0 * x)$  might not be 0.

# Impact of null values on WHERE

- A tuple is in a query result iff the WHERE clause is **TRUE**.
- **UNKNOWN** is not good enough.
- “WHERE is picky.”
- Example: **where-null**

# Impact of null values on aggregation

- Summary: Aggregation ignores **NULL**.
  - **NULL** never contributes to a sum, average, or count, and
  - Can never be the minimum or maximum of a column (unless every value is **NULL**).
- If there are no *non-NULL* values in a column, then the result of the aggregation is **NULL**.
  - Exception: **COUNT** of an empty set is 0.



# Aggregation ignores nulls

	some nulls in A	All nulls in A
<code>min(A)</code>	ignore the nulls	null
<code>max(A)</code>		
<code>sum(A)</code>		
<code>avg(A)</code>		
<code>count(A)</code>		0
<code>count(*)</code>	all tuples count	

 Example: aggregation-nulls

# More re the impact of null values

- Other corner cases to think about:
  - `SELECT DISTINCT`: are 2 `NULL` values equal?
  - natural join: are 2 `NULL` values equal?
  - set operations: are 2 `NULL` values equal?
- And later, when we learn about constraints:
  - `UNIQUE` constraint: do 2 `NULL` values violate?
- This behaviour may vary across DBMSs.

# Summary re NULL

- Any comparison with NULL yields UNKNOWN.
- WHERE is picky: it only accepts TRUE.
- Therefore NATURAL JOIN is picky too.
- Aggregation ignores NULL.
- In other situations where NULLs matter
  - when a truth-value may be NULL
  - when it matters whether two NULL are considered the same

Don't assume. Behaviour may vary by DBMS.

# Subqueries

# Where can a subquery go?

- Relational algebra syntax is so elegant that it's easy to see where subqueries can go.
- In SQL, a bit more thought is required ...

# Subqueries in a FROM clause

- In place of a relation name in the FROM clause, we can use a subquery.
- The subquery must be parenthesized.
- Must name the result, so you can refer to it in the outer query.

## Worksheet, Q1:

```
SELECT sid, dept || cnum as course, grade
FROM Took,
    (SELECT *
     FROM Offering
     WHERE instructor='Horton') Hofferings
WHERE Took.oid = Hofferings.oid;
```

- This FROM is analogous to:  
 $\text{Took} \times \rho_{\text{Hofferings}} (\llbracket \text{subquery} \rrbracket)$
- Can you suggest another version?

# Subquery as a value in a WHERE

- If a subquery is guaranteed to produce exactly one tuple, then the subquery can be used as a value.
- Simplest situation: that one tuple has only one component.



## Worksheet, Q2:

```
SELECT sid, surname
FROM Student
WHERE cgpa >
      (SELECT cgpa
       FROM Student
       WHERE sid = 99999);
```

- We can't do the analogous thing in RA:

$$\pi_{\text{sid, surname}} \sigma_{\text{cgpa} > (\text{«subquery»}) \text{Student}}$$

# Special cases

- What if the subquery returns **NULL**?
- What if the subquery could return more than one value?

# Quantifying over multiple results

- When a subquery can return multiple values, we can make comparisons using a quantifier.

- Example:

```
SELECT sid, surname
FROM Student
WHERE cgpa >
    (SELECT cgpa
     FROM Student
     WHERE campus = 'StG');
```

- We can require that
  - $\text{cgpa} > \text{all of them}$ , or
  - $\text{cgpa} > \text{at least one of them}$ .

# The Operator ANY

- Syntax:

$x \text{ «comparison» ANY («subquery»)$

or equivalently

$x \text{ «comparison» SOME («subquery»)$

- Semantics:

Its value is true iff the comparison holds for at least one tuple in the subquery result, i.e.,

$\exists y \in \text{«subquery results»} \mid x \text{ «comparison» } y$

- $x$  can be a *list* of attributes,  
but this feature is not supported by psql.

# The Operator ALL

- Syntax:

$x \text{ «comparison» ALL («subquery»)}$

- Semantics:

Its value is true iff the comparison holds for every tuple in the subquery result, i.e.,

$\forall y \in \text{«subquery results»} \mid x \text{ «comparison» } y$

- $x$  can be a list of attributes,  
but this feature is not supported by psql.

- Example: any-all

# The Operator IN

- Syntax:  
     $x \text{ IN } (\langle\langle \textit{subquery} \rangle\rangle)$
- Semantics:  
    Its value is true iff  $x$  is in the set of rows generated by the subquery.
- $x$  can be a list of attributes, and `psql` does support this feature.

## Worksheet, Q3:

```
SELECT sid, dept || cnum AS course, grade
FROM Took NATURAL JOIN Offering
WHERE
    grade >= 80 AND
    (cnum, dept) IN (
        SELECT cnum, dept
        FROM Took NATURAL JOIN Offering
            NATURAL JOIN Student
        WHERE surname = 'Lakemeyer' );
```

## Worksheet, Q4:

Suppose we have tables  $R(a, b)$  and  $S(b, c)$ .

1. What does this query do?

```
SELECT a
FROM R
WHERE b IN (SELECT b FROM S);
```

2. Can we express this query without using IN?



# The Operator EXISTS

- Syntax:  
**EXISTS** («*subquery*»)
- Semantics:  
Its value is true iff the subquery has at least one tuple.
- Read it as “exists a row in the subquery result”

# Example: EXISTS

```
SELECT surname, cgpa
FROM Student
WHERE EXISTS (
    SELECT *
    FROM Took
    WHERE Student.sid = Took.sid and
           grade > 85 );
```

## Worksheet, Q5:

```
SELECT instructor
FROM Offering Off1
WHERE NOT EXISTS (
    SELECT *
    FROM Offering
    WHERE
        oid <> Off1.oid AND
        instructor = Off1.instructor );
```

## Worksheet, Q6:

```
SELECT DISTINCT oid
FROM Took
WHERE EXISTS (
    SELECT *
    FROM Took t, Offering o
    WHERE
        t.oid = o.oid AND
        t.oid <> Took.oid AND
        o.dept = 'CSC' AND
        took.sid = t.sid );
```

# Scope

- Queries are evaluated from the inside out.
- If a name might refer to more than one thing, use the most closely nested one.
- If a subquery refers only to names defined inside it, it can be evaluated **once** and used repeatedly in the outer query.
- If it refers to any name defined outside of itself, it must be evaluated **once for each tuple in the outer query**.

These are called **correlated subqueries**.

# Renaming can make scope explicit

```
SELECT instructor
FROM Offering Off1
WHERE NOT EXISTS (
    SELECT *
    FROM Offering Off2
    WHERE
        Off2.oid <> Off1.oid AND
        Off2.instructor = Off1.instructor );
```

# Summary: where subqueries can go

- As a relation in a FROM clause.
- As a value in a WHERE clause.
- With ANY, ALL, IN or EXISTS in a WHERE clause.
- As operands to UNION, INTERSECT or EXCEPT.
- Reference: textbook, section 6.3.

# Modifying a Database



# Database Modifications

- Queries return a relation.
- A modification command does not; it changes the database in some way.
- Three kinds of modifications:
  - Insert a tuple or tuples.
  - Delete a tuple or tuples.
  - Update the value(s) of an existing tuple or tuples.

# Two ways to insert

- We've already seen two ways to insert tuples into an empty table:

```
INSERT INTO «relation» VALUES «list of tuples» ;
```

```
INSERT INTO «relation» («subquery») ;
```

- These can also be used to add tuples to a non-empty table.

# Naming attributes in INSERT

- Sometimes we want to insert tuples, but we don't have values for all attributes.
- If we name the attributes we *are* providing values for, the system will use `NULL` or a default for the rest.
- Convenient!

# Example

```
CREATE TABLE Invite (  
    name TEXT,  
    campus TEXT DEFAULT 'StG',  
    email TEXT,  
    age INT);
```

```
INSERT INTO Invite(name, email)  
(  
    SELECT firstname, email  
    FROM Student  
    WHERE cgpa > 3.4 );
```

Here, name and email get values from the query, campus gets the default value, and age gets **NULL**.

# Deletion

- Delete tuples satisfying a condition:

```
DELETE FROM «relation»  
WHERE «condition»;
```

- Delete all tuples:

```
DELETE FROM «relation»;
```

# Example 1: Delete Some Tuples

```
DELETE FROM Course
WHERE NOT EXISTS (
    SELECT *
    FROM Took JOIN Offering
              ON Took.oid = Offering.oid
    WHERE
        grade > 50 AND
        Offering.dept = Course.dept AND
        Offering.cnum = Course.cnum
);
```

# Updates

- To change the value of certain attributes in certain tuples to given values:

UPDATE *«relation»*

SET *«list of attribute assignments»*

WHERE *«condition on tuples»*;

# Example: update one tuple

- Updating one tuple:

```
UPDATE Student  
SET campus = 'UTM'  
WHERE sid = 999999;
```

- Updating several tuples:

```
UPDATE Took  
SET grade = 50  
WHERE grade >= 47 and grade < 50;
```