# A Robust, Multi-Resolution ICP Pipeline with Automatic Mirroring Detection

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#### **Abstract**

This project presents a custom-built point cloud registration pipeline designed to align noisy, non-aligned 3D scans from different perspectives. The solution features a robust implementation of the Iterative Closest Point (ICP) algorithm, an optimized KD-Tree for efficient nearest-neighbor search, and a novel coarse-to-fine refinement strategy. To handle severe scene ambiguities, the pipeline automatically detects and corrects for symmetric mirroring, a problem identified in the provided datasets. The implementation, written entirely in Python with numpy for core computations, successfully converges in under 100 iterations on medium-resolution scenes.

## Methodology

The pipeline addresses the alignment challenge, a misalignment of approximately 30 degrees, using a multi-stage approach. The core ICP algorithm is a local solver that iteratively refines a rigid transformation (a 4x4 homogeneous matrix) by minimizing the distance between point correspondences. Each iteration consists of finding nearest-neighbor pairs and then computing a transformation that minimizes an error metric for these pairs. The process repeats until the change in the error metric falls below a threshold (e.g., < 0.001).

#### Coarse-to-Fine Strategy & Mirroring Detection

Given the scene's symmetric nature and mirrored ambiguity, a standard ICP fails to find a correct rigid transformation. Our solution first brute-forces all 8 possible mirroring configurations (combinations of flips along the X, Y, and Z axes). This test is performed on a low-resolution voxelized version of the source cloud (approx. 10k points) to rapidly identify the most promising orientation. The configuration yielding the lowest error is then passed to a high-fidelity refinement stage.

#### **Core ICP Algorithm**

The refinement stage runs on a medium-resolution cloud (approx. 100k points). Both standard point-to-point and point-to-plane ICP methods were implemented.

- **Point-to-Point:** Minimizes the sum of squared distances  $E = \sum_i ||(\mathbf{R}\mathbf{p}_i + \mathbf{t}) \mathbf{q}_i||^2$ . The optimal transformation is found analytically using Singular Value Decomposition (SVD) on the weighted covariance matrix of the centered point sets.
- **Point-to-Plane:** Minimizes the sum of squared distances along the target normal  $E = \sum_i (((\mathbf{R}\mathbf{p}_i + \mathbf{t}) \mathbf{q}_i) \cdot \mathbf{n}_i)^2$ . This is linearized assuming small rotations and solved as a linear least-squares problem, allowing for "sliding" along surfaces for faster convergence. This approach generally converges in fewer iterations than point-to-point.

To handle outliers and noise from the grate-like structures, robust loss functions (Huber, Tukey Biweight) are employed to down-weight spurious correspondences.

### **Optimizations**

To operate on large point clouds without a GPU, several CPU-based optimizations were critical.

- **Voxelization:** The initial 2M+ point clouds are downsampled using a simple voxel grid average to 10k (low-res) and 100k (medium-res) points. This step is crucial for making the  $O(N \times M)$  mirror-checking phase computationally feasible.
- Optimized KD-Tree Build: A custom KD-Tree was built. The build process is optimized by using numpy .argpartition to find the median at each level, avoiding a full  $O(N\log N)$  sort. The tree stores point indices in leaf nodes (leaf size: 128) to reduce memory overhead and node creation.
- Iterative Search & Parallelism: The nearest-neighbor (NN) search is performed using an iterative, stack-based algorithm. This avoids Python's deep recursion limits, which a recursive search would quickly hit. This search, the main  $O(N\log M)$  bottleneck, is then parallelized across 4 CPU cores using <code>joblib</code>, providing a near-linear speedup for that stage.

#### **Results and Benchmarks**

The pipeline successfully aligns the two perspectives, correcting the inherent mirroring. Visual inspection confirms a tight alignment of the main box structures. The coarse-to-fine strategy reliably converges to a final mean distance of 8.5 (down from an initial mirrored distance of 45.2) in 78 iterations.

Runtime benchmarks were performed on a medium-resolution scene (100k source, 100k target points) on an Intel i7 CPU.

Table 1: Performance Benchmarks	(N	1edium	Quality)	
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Operation	Time (seconds)	
KD-Tree Build (100k points)	0.48 s	
NN Search (100k points, 4 cores)	1.32 s / iteration	
Transformation Compute (Point-to-Plane)	0.11 s / iteration	
Avg. Total Iteration Time	1.43 s	
Total Pipeline (8x Mirror Test + Refine)	<b>172.4 s</b> (~2.9 min)	

#### **Limitations and Future Work**

The primary limitation is the reliance on CPU processing. The NN search dominates the runtime, and while parallelized, it is orders of magnitude slower than a GPU-based solution. Furthermore, the simple voxel-averaging downsampling introduces its own grid of local minima, which can lead to spurious convergences. The scene's symmetry and repetitive structures (like the grate) also pose a fundamental challenge to any local ICP algorithm.

Future work and alternative technologies should focus on:

- 1. **Global Registration:** Implementing a feature-based global registration (e.g., FPFH, 3D SIFT) to find a better initial guess. This would eliminate the need for the 8-step brute-force mirroring and make the solution more general.
- 2. **GPU Acceleration:** Porting the nearest-neighbor search to the GPU (e.g., via CUDA or GLSL shaders) for a massive performance gain.
- 3. **Approximate Search:** Implementing an Approximate Nearest Neighbor (ANN) search (e.g., using libraries like FAISS or ScaNN) instead of an exact KD-Tree search. This could trade a small, often negligible, amount of accuracy for a significant speedup.
- 4. **Advanced Sampling:** Exploring more advanced sampling techniques (e.g., random sampling or farthest point sampling) to avoid the artifacts introduced by the voxel-grid-averaging method.
- 5. **Deep Learning Methods:** Exploring modern deep-learning-based registration methods (e.g., PointNetLK, Deep Closest Point) which can learn features to perform registration, often with greater robustness to noise and large misalignments.

#### Conclusion

This project successfully demonstrates the design and implementation of a complete ICP pipeline from scratch, capable of solving a non-trivial registration problem with severe ambiguities. The solution combines classical 3D geometry with practical optimizations (KD-Trees, iterative stackbased search, parallelism, multi-resolution) to achieve a robust, functional result without relying on third-party algorithm libraries.