



CS 1550

Week 5 – Synchronization with xv6

Teaching Assistant

Maher Khan

(Slides credited to Henrique Potter)

Keep in mind the different qemu

- qemu with xv6 (Labs) - Refer to Lab 1 if needed!
- qemu-x86 i386 (Project 1 and 2)

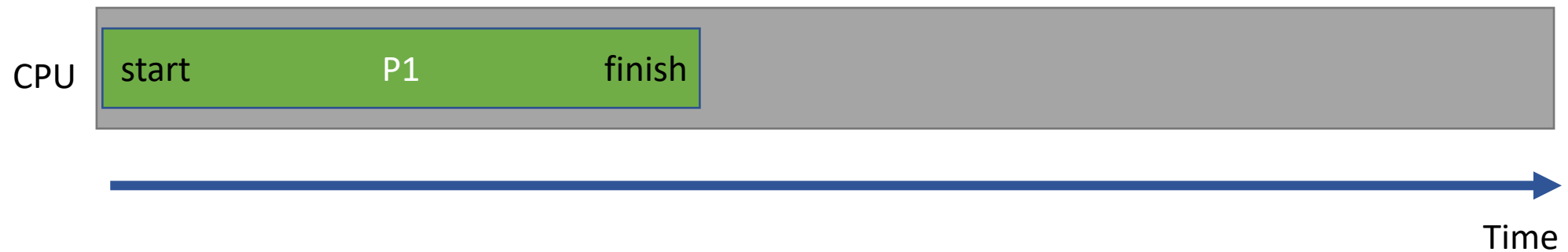
Locks – Processes without sharing CPU



Locks – Processes without sharing CPU

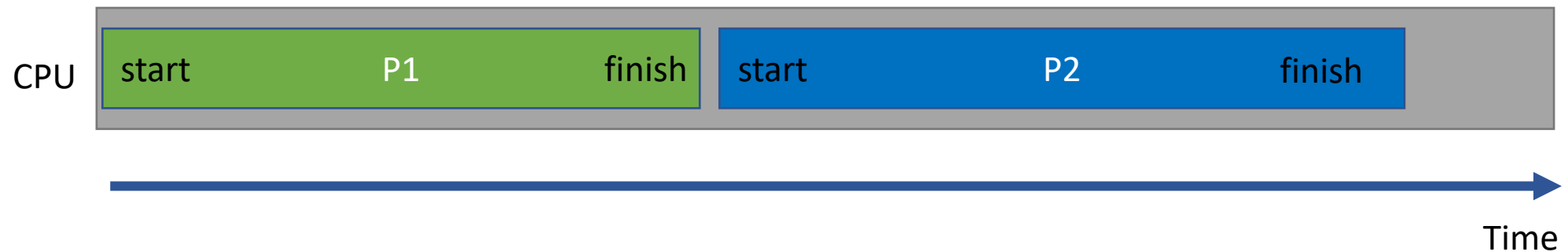


Locks – Processes without sharing CPU



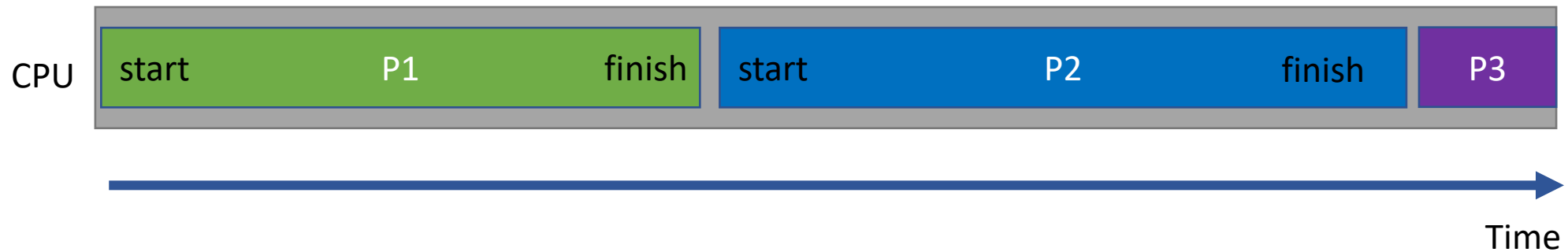
Locks – Processes without sharing CPU

- **OS** chooses another processes to execute once the first finishes



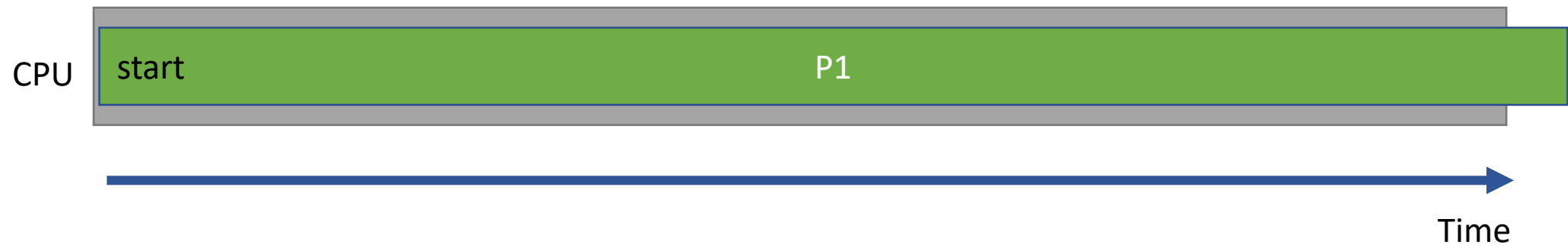
Locks – Processes without sharing CPU

- **OS** chooses another processes to execute once the first finishes



Locks – Processes without sharing CPU

- What if P1 is a big process?



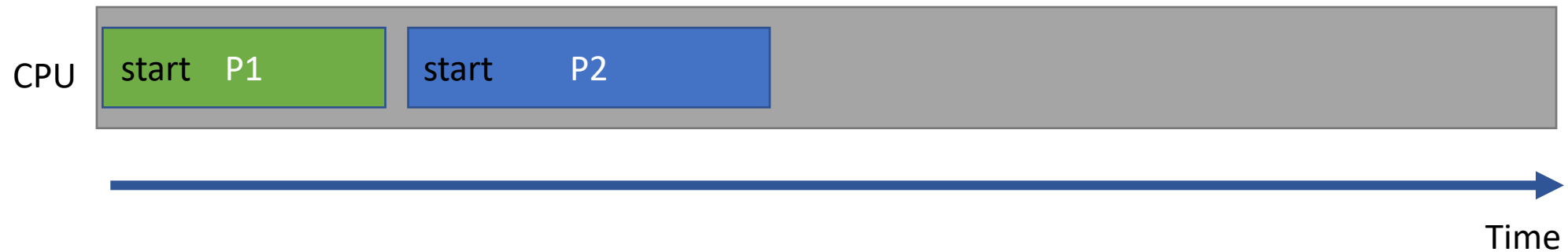
Locks – Processes sharing CPU

- Solution switch processes during their execution.



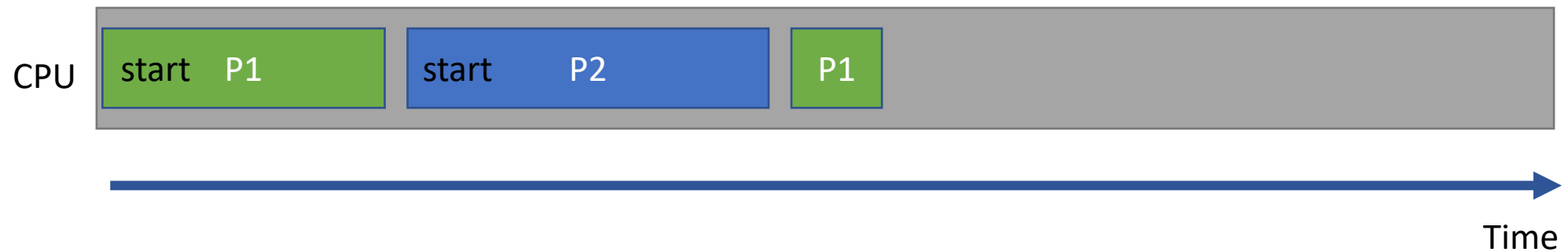
Locks – Processes sharing CPU

- Solution switch processes during their execution.



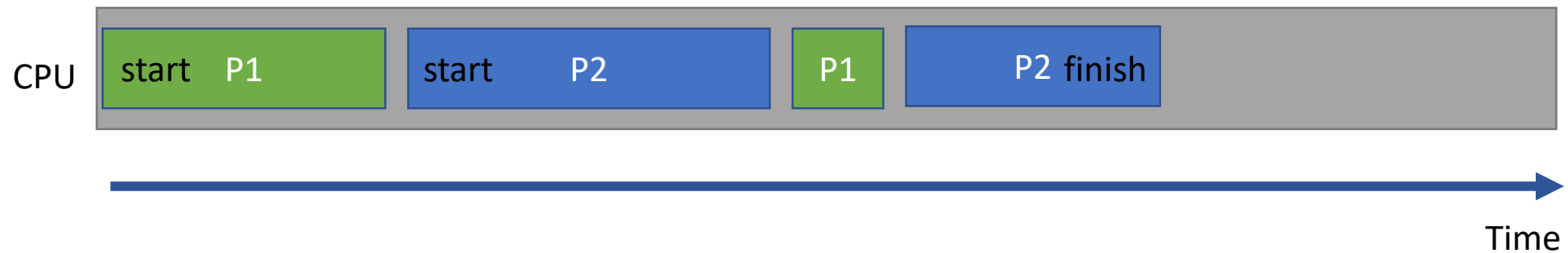
Locks – Processes sharing CPU

- Solution switch processes during their execution.



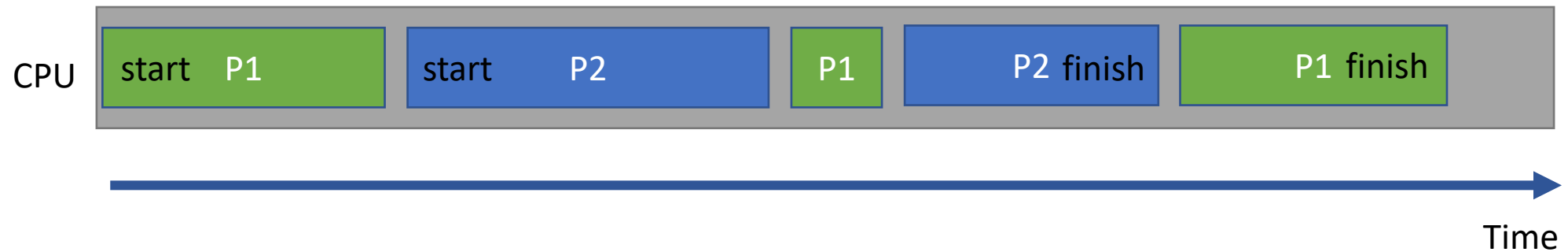
Locks – Processes sharing CPU

- Solution switch processes during their execution.



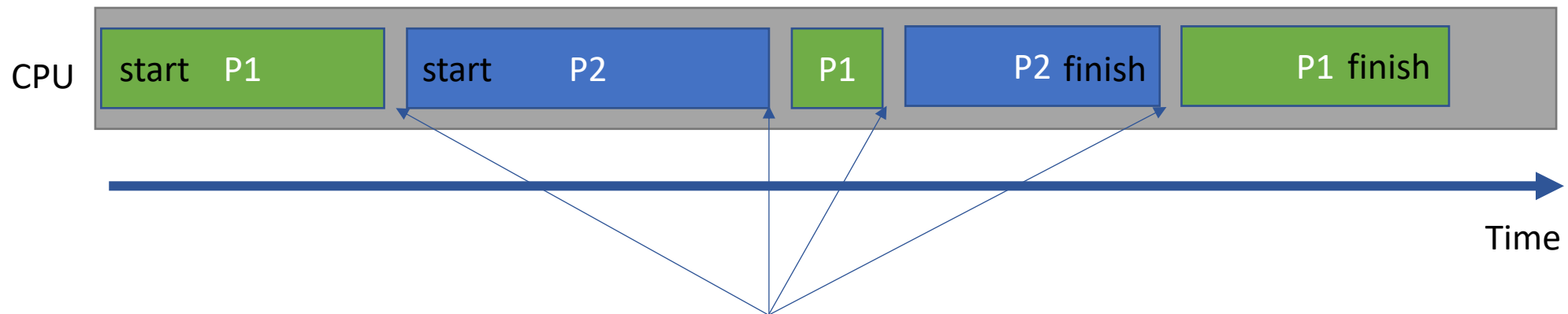
Locks – Processes sharing CPU

- Solution switch processes during their execution.



Locks – Processes sharing CPU

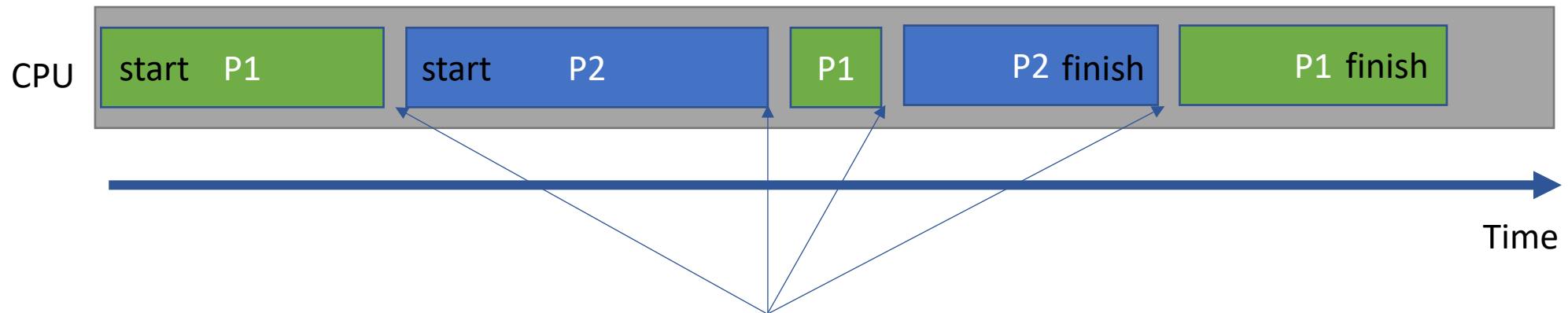
- Solution switch processes during their execution.



What is the little gap?

Locks – Processes sharing CPU

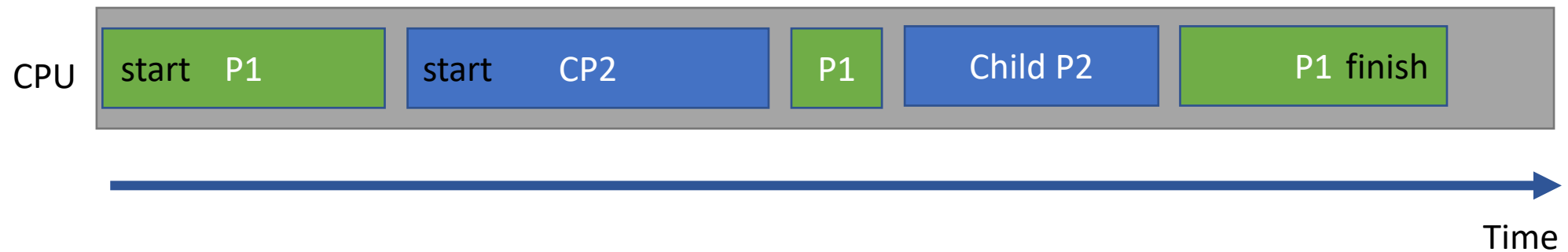
- Solution switch processes during their execution.



What is the little gap?
The OS **Scheduler**

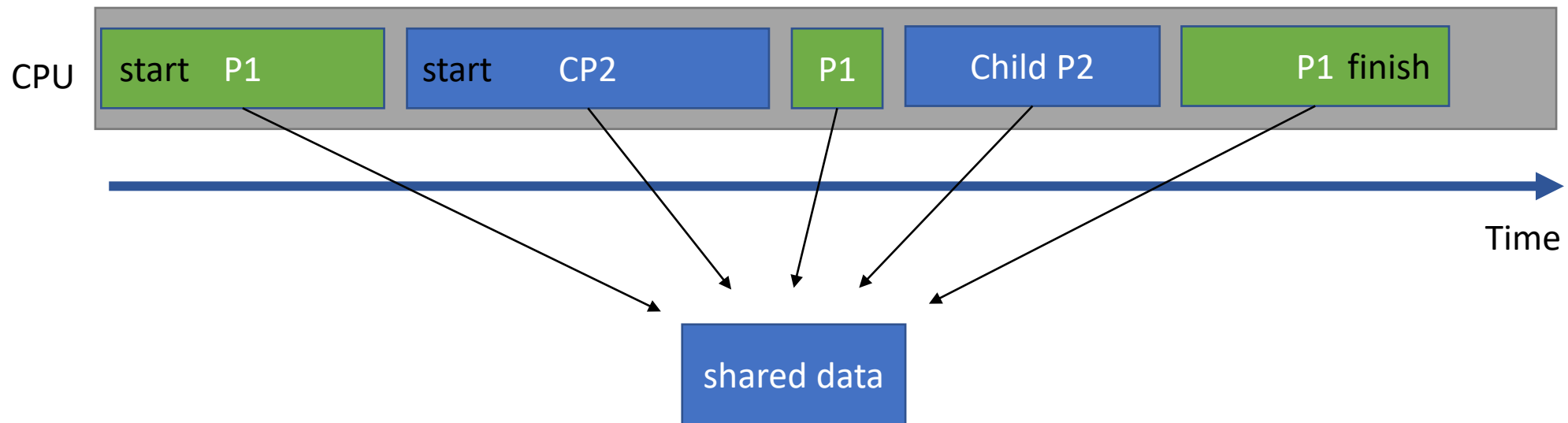
Locks – Processes sharing CPU

- What happens in Parent-Child Process scenario?



Locks – Processes sharing CPU

- What happens in Parent-Child Process scenario?
- How to keep integrity/correctness on race conditions?



Locks – Processes sharing CPU

```
struct list {  
    int data;  
    struct list *next;  
};
```

Locks – Processes sharing CPU

```
struct list {  
    int data;  
    struct list *next;  
};  
  
struct list *list = 0;
```

Locks – Processes sharing CPU

```
struct list {  
    int data;  
    struct list *next;  
};  
  
struct list *list = 0;  
  
void  
insert(int data) {  
    struct list *l;  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
}
```

Locks – Processes sharing CPU

```
struct list {  
    int data;  
    struct list *next;  
};
```

```
struct list *list = 0;
```

```
void  
insert(int data) {  
    struct list *l;  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
}
```

CPU

P1

P1 stops here the
OS switches to P2

Locks – Processes sharing CPU

```
struct list {  
    int data;  
    struct list *next;  
};
```

```
struct list *list = 0;
```

```
void  
insert(int data) {  
    struct list *l;  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
}
```



P2 gets the same reference to the same block of data of list and overwrites it

P1 stopped

Locks – Processes sharing CPU

```
struct list {  
    int data;  
    struct list *next;  
};
```

```
struct list *list = 0;
```

```
void  
insert(int data) {  
    struct list *l;  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
}
```

CPU

P1

CP2

P1

When P1 comes back it will have written the wrong data

CP2 stopped

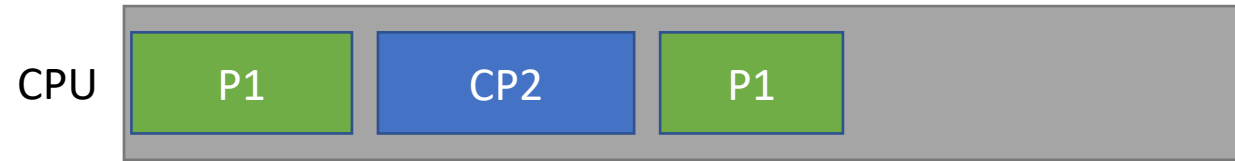
Locks – Processes sharing CPU

- Sharing CPU among processes
- Ensuring data integrity/correctness
- Ensure that a **critical section** of your code is only executed by one process

Locks – Processes sharing CPU

```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{  
    struct list *l;  
  
    acquire(&listlock);  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
    release(&listlock);  
}
```



Locks – Processes sharing CPU

```
struct list *list = 0;  
struct lock listlock;
```

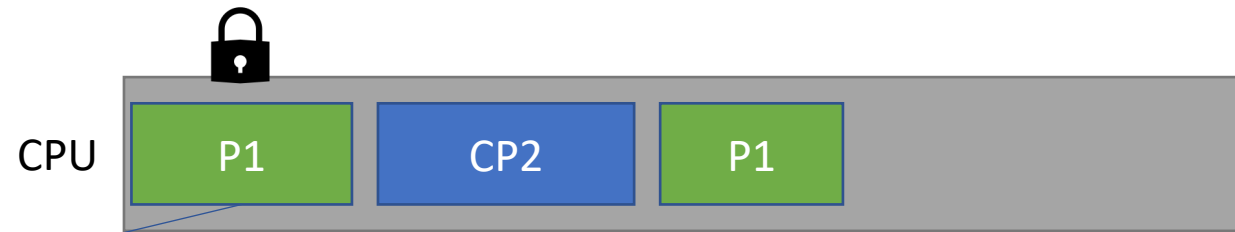
```
void  
insert(int data)  
{  
    struct list *l;  
  
    acquire(&listlock);  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
    release(&listlock);  
}
```



Locks – Processes sharing CPU

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struct list *list = 0;  
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void  
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    struct list *l;  
  
    acquire(&listlock);  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
    release(&listlock);  
}
```

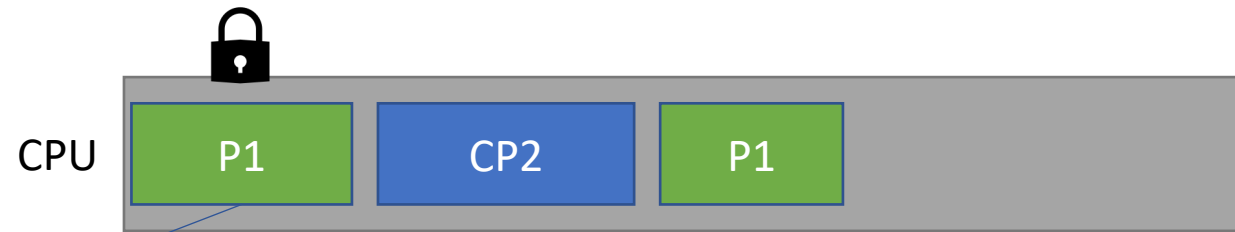


P1 gets locks the lock

Locks – Processes sharing CPU

```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{  
    struct list *l;  
  
    acquire(&listlock);  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
    release(&listlock);  
}
```



P1 gets locks the lock

Locks – Processes sharing CPU

```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{
```

```
    struct list *l;
```

```
        acquire(&listlock);
```

```
        l = malloc(sizeof *l);
```

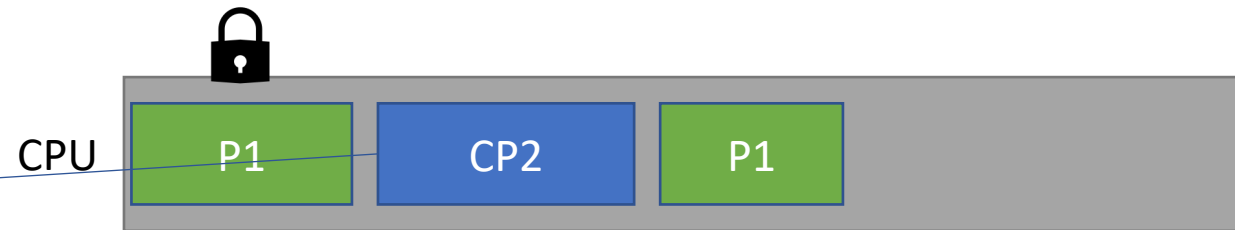
```
P1 stopped    l->data = data;
```

```
        l->next = list;
```

```
        list = l;
```

```
        release(&listlock);
```

```
}
```

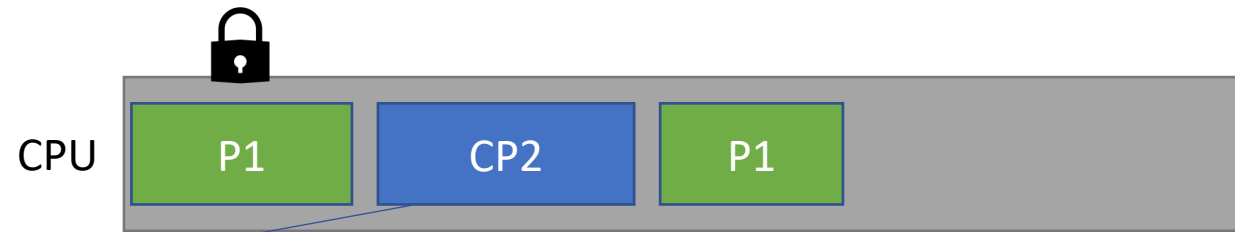


When the OS schedule CP2

Locks – Processes sharing CPU

```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{  
    struct list *l;  
  
    acquire(&listlock);  
    l = malloc(sizeof *l);  
    P1 stopped l->data = data;  
    l->next = list;  
    list = l;  
    release(&listlock);  
}
```



It will try to get the lock but won't.

Locks – Processes sharing CPU

```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{
```

```
    struct list *l;
```

```
    acquire(&listlock);  
    l = malloc(sizeof *l);
```

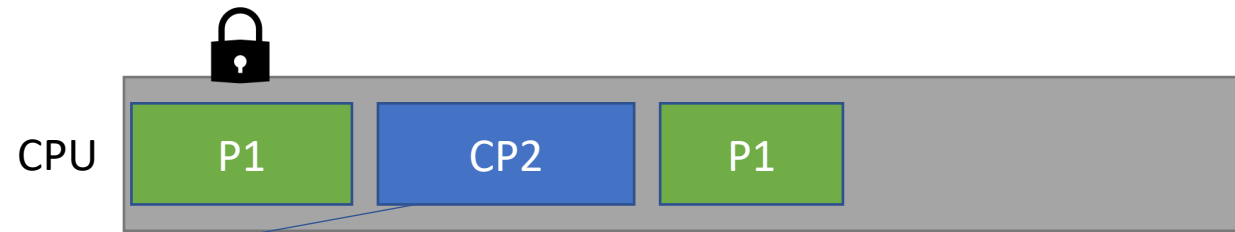
```
P1 stopped    l->data = data;
```

```
    l->next = list;
```

```
    list = l;
```

```
    release(&listlock);
```

```
}
```



It will try to get the lock but won't.

It will be constantly try to get it (in a loop).
Until the OS switches back to P1

Locks – Processes sharing CPU

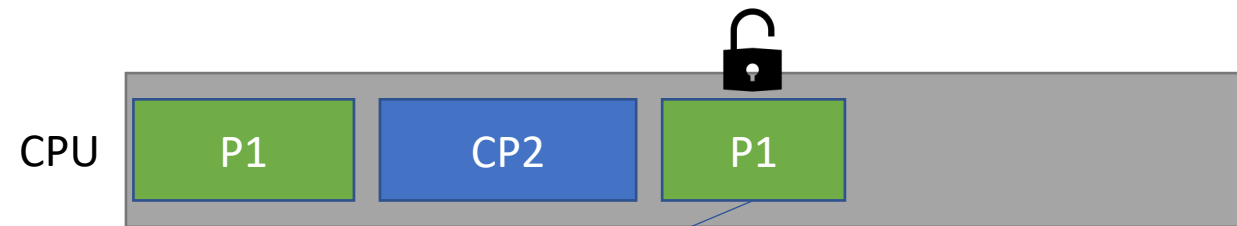
```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{
```

```
    struct list *l;
```

```
CP2 stopped  acquire(&listlock);  
             l = malloc(sizeof *l);  
             l->data = data;  
             l->next = list;  
             list = l;  
release(&listlock);
```

```
}
```



P1 release the lock P2 will finally be able to execute, once scheduled

Locks – Processes sharing CPU

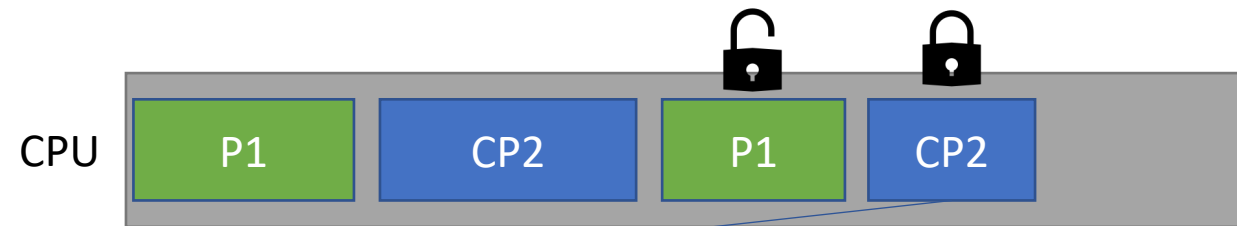
```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{
```

```
    struct list *l;
```

```
    CP2 proceeds acquire(&listlock);  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
    release(&listlock);
```

```
}
```



P1 release the lock P2 will finally be able to execute, once scheduled

Locks – Processes sharing CPU

- SpinLock

```
Void  
acquire(struct spinlock *lk)  
{  
    for(;;) {  
        if(!lk->locked) {  
            lk->locked = 1;  
            break;  
        }  
    }  
}
```

- Keep spinning until find lock is released
- But we can have the same issue as before
- We need to check and lock atomically

Locks – Processes sharing CPU

- Atomically check and change a register value
 - **`xchg(&lk->locked, 1)`**

Locks – Processes sharing CPU

- Swap a word in memory with the contents of a register
- In acquire function:
 - loop xchg instruction
 - Each round atomically read lock and set the lock to 1

```
void
acquire(struct spinlock *lk)
{
    pushcli(); // disable interrupts to
    avoid deadlock.
```

...

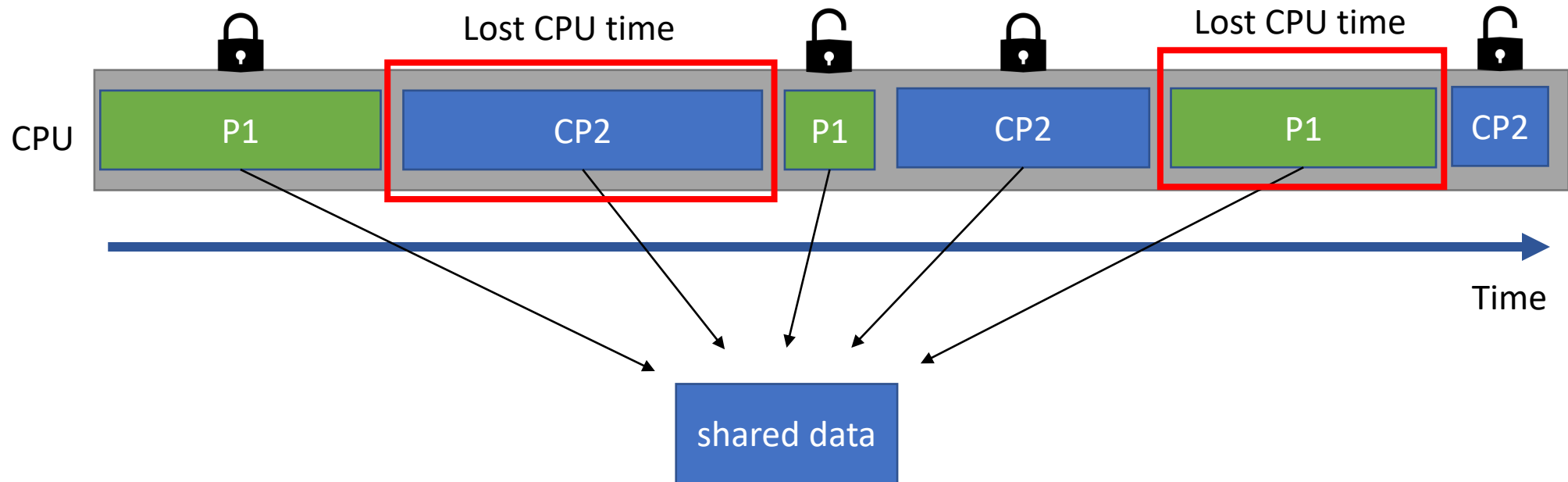
```
// The xchg is atomic.
    while(xchg(&lk->locked, 1) != 0);
```

...

```
// Record info about lock acquisition for
debugging.
    lk->cpu = mycpu();
    getcallerpcs(&lk, lk->pcs);
}
```

Locks – Processes sharing CPU

- But the we have another issue
 - Busy waiting



Locks – Processes sharing CPU

- Sleep Locks
 - For code need to hold a lock for a long time (read/write to disk)
- Avoids the schedule of “spin locked” processes

Locks – Processes sharing CPU

- Sleep Locks
 - For code need to hold a lock for a long time (read/write to disk)
- Avoids the schedule of “spin locked” processes

```
void
acquiresleep(struct sleeplock *lk)
{
    acquire(&lk->lk);
    while (lk->locked) {
        sleep(lk, &lk->lk);
    }
    lk->locked = 1;
    lk->pid = myproc()->pid;
    release(&lk->lk);
}
```

```
void
releasesleep(struct sleeplock *lk)
{
    acquire(&lk->lk);
    lk->locked = 0;
    lk->pid = 0;
    wakeup(lk);
    release(&lk->lk);
}
```

Locks – Processes sharing CPU

- Sleep Locks
 - For code need to hold a lock for a long time (read/write to disk)
- Avoids the schedule of “spin locked” processes

```
void
acquiresleep(struct sleeplock *lk)
{
    acquire(&lk->lk);
    while (lk->locked) {
        sleep(lk, &lk->lk);
    }
    lk->locked = 1;
    lk->pid = myproc()->pid;
    release(&lk->lk);
}
```

```
void
releasesleep(struct sleeplock *lk)
{
    acquire(&lk->lk);
    lk->locked = 0;
    lk->pid = 0;
    wakeup(lk);
    release(&lk->lk);
}
```


Locks – Processes sharing CPU

- Put one process to sleep waiting for event
- Mark current process as sleeping
- Call **sched()** to release the processor

```
void  
sleep(void *chan, struct spinlock *lk)  
{  
    struct proc *p = myproc();  
    ...  
    p->state = SLEEPING;  
  
    sched();  
    ...  
}
```

- Put one process to sleep waiting for event
- Mark current process as sleeping
- Call **sched()** to release the processor

```
void
sleep(void *chan, struct spinlock *lk)
{
    struct proc *p = myproc();

    if(p == 0)
        panic("sleep");

    if(lk == 0)
        panic("sleep without lk");
    if(lk != &ptable.lock){
        acquire(&ptable.lock);
        release(lk);
    }
    p->chan = chan;
    p->state = SLEEPING;

    sched();
    p->chan = 0
    if(lk != &ptable.lock){
        release(&ptable.lock);
        acquire(lk);
    }
}
```

Locks – Processes sharing CPU

- Wake up process when event happened
- Mark a waiting process as runnable

```
static void
wakeup(void *chan)
{
    struct proc *p;
    for(p = ptable.proc; p < &ptable.proc[NPROC]; p++)
        if(p->state == SLEEPING && p->chan == chan)
            p->state = RUNNABLE;
}
```

Locks – Processes sharing CPU

- Who needs to be a syscall?
 - SpinLocks
 - SleepLocks

CS 1550 – Lab exercise 2

- **PROCESS SYNCHRONIZATION IN XV6**

- **Due:** Friday, February 22, 2019 @11:59pm

- Part 2 - step 5: user.h

- Add declaration for init_lock()
 - void init_lock(struct spinlock *);
 - struct condvar;
 - struct spinlock;

- Part 3 - step 8: defs.h

- Add declaration for sleep1()



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