## Prioritized Sweeping Neural DynaQ: Neural Networks over All?

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In recent years, reinforcement learning has gained a lot of popularity due to some spectacular successes

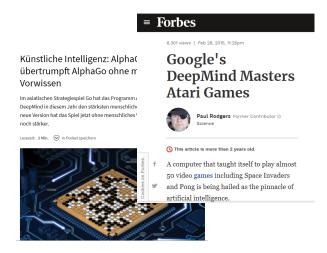
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#### Künstliche Intelligenz: AlphaGo Zero übertrumpft AlphaGo ohne menschliches Vorwissen

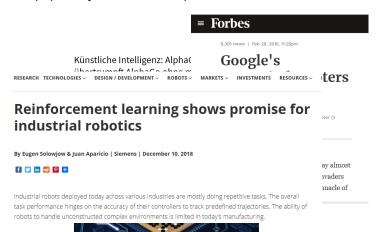
Im asiatischen Strategiespiel Go hat das Programm AlphaGo der Google-Tochter DeepMind in diesem Jahr den stärksten menschlichen Profispieler besiegt. Eine neue Version hat das Spiel jetzt ohne menschliches Vorwissen gelernt und spielt noch stärker



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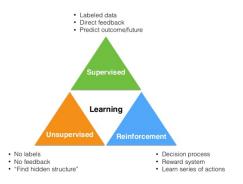


Figure: Categories of Machine Learning [Dishpande, 2016]

- Reinforcement learning (RL) is based on learning processes of biological systems
- Supervised learning: create a model based on training set to classify input

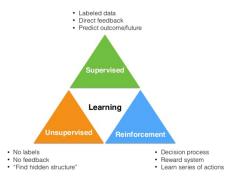


Figure: Categories of Machine Learning [Dishpande, 2016]

- Reinforcement learning (RL) is based on learning processes of biological systems
- Supervised learning: create a model based on training set to classify input
- Unsupervised learning: sort data structures through cluster analysis · Labeled data



- · No feedback
- · "Find hidden structure"

· Learn series of actions

Figure: Categories of Machine Learning [Dishpande, 2016]

Although RL can be effectively applied to some problems, there are some limitations:

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- Storage of world models: computing-heavy and time-consuming for large dynamic environments
- RL is still orders of magnitude above a practical level of sample efficiency [Irpan, 2018]
- → The last two problems are tackled by Aubin et. al [Aubin et al., 2018]

# Paper topic

Recent progress in reinforcement learning: Development of GALMO for Prioritized Sweeping Neural DynaQ Learning by [Aubin et al., 2018].

This solution promises to boost learning due to offline replays, a model of the *hippocampal replays* that occur in rodents.

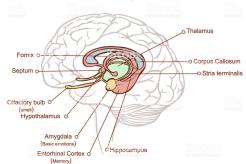
GALMO architecture can also associate multiple outputs to a single input.

## **Outline**

- Introduction
- Biological background
- 3 RL Definitions
- **4** GALMO
- Project and Results

The hippocampus is the main memory of the brain and the switch point between short-term and long-term memory.

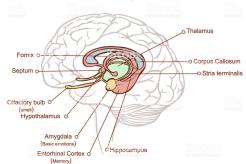
### The Limbic System



The hippocampus is the main memory of the brain and the switch point between short-term and long-term memory.

- Disturbance in this area leads to loss of the ability to store new information [O'Keefe and Dostrovsky, 1971], [Maguire et al., 2006]
- ⇒ Learning no longer possible!

## The Limbic System

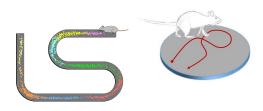


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- $\implies$  Postulation, that the hippocampus functions as a spatial map
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Goal: Convert reactivation of place cells into a RL model

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- Set of states  $S: \{s_1, s_2, \ldots, s_n\}$
- Set of actions  $A: \{a_1, a_2, \ldots, a_n\}$
- Transition function  $T: S \times A \times S$ , which is the probability of going from state s to state s' via action a

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- Reward function  $R: S \times A \times S \rightarrow \mathbb{R}$
- $\implies$  Goal is to find policy  $\Pi$ , where an optimal action is assigned to each state

MDP contains a value function V for its states. It is the expected reward for being in a state  $s \in S$ 

$$V^*(s) = \max_{a \in A} \sum_{s' \in S} T(s, a, s') [R(s, a, s') + \gamma V^*(s')]$$

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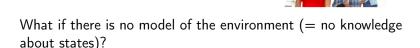
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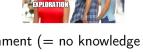
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What if there is no model of the environment (= no knowledge about states)?

 $\implies$  Use the action value function Q instead

$$Q^*(s, a) = \max_{a \in A} \sum_{s' \in S} T(s, a, s') [R(s, a, s') + \gamma \max_{a' \in A} Q^*(s', a')]$$

## **Q-learning**

The function Q(s, a) can be estimated using **Q-Learning**. The value Q(s, a) is iteratively updated using the Bellman Equation:

$$Q(s, a) \leftarrow Q(s, a) + \alpha[r + \gamma \max_{a' \in A} Q(s', a') - Q(s, a)]$$

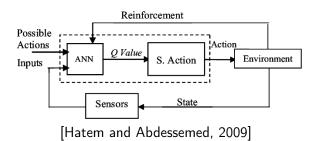
 $\alpha$  : Learning rate

The values are stored in a **Q-table**, where each cell  $(s \in S, a \in A)$  represents the highest achievable reward performing action a in state s.

## **Q-learning**

The amount of time to explore and store each state in the Q-table would be incredibly large for large environments.

In **Deep Q-learning** (DQN), neural networks are used to approximate the Q-value function, where the state is the network's input and the Q-values of all actions are its output.



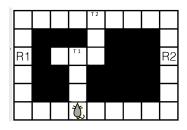
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## Experimental setup

[Aubin et al., 2018] set up an experimental task environment.

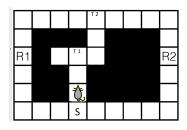
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- Decision points T1 and T2
- Rewarding food pellets at each side



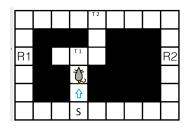
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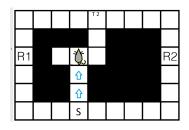
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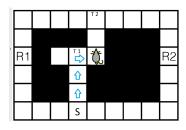
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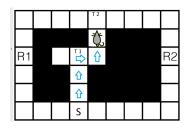
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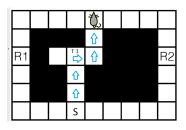
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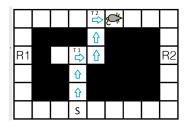
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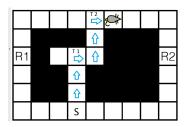
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- Three tasks were given:
  - 1 go to left side
  - 2 go to right side
  - 3 alternate between left and right

The agent's state is a concatenation of 32 location components and 2 reward memory components (left and right).

A problem was encountered, namely that some states may have more than one predecessor.

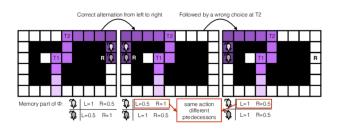
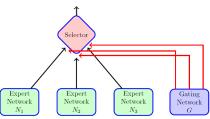


Figure: Multiple predecessors for same location[Aubin et al., 2018]

#### **GALMO**

To confront this issue, a growing algorithm to learn multiple outputs (GALMO) was created.

- Algortihm creates multiple neural neworks  $N_i$  coupled with a gating network (*mixture of expert* [Jacobs et al., 1991])
- Creates a new network on the fly when outlier is detected (Assumption: outlier is caused by an input that should predict multiple outputs). Specializes each network to a predecessor



# Dyna-Q

Second part of the algorithm was neural *Dyna-Q* with *prioritized* sweeping.

*Dyna* is an architecture that mixes direct RL updates and planning updates:

- Agent creates a model from environment experience during initial exploration
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*Dyna* is an architecture that mixes direct RL updates and planning updates:

- Agent creates a model from environment experience during initial exploration
- Policy is updated using simulated experience from the model and actual experience
  - ⇒ Simulated experience replays are treated as model for hippocampal replays!

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#### **GALMO** Results

A framework to analyze the role of hippocampal replays in the learning process was desired.

The state activation analysis has shown that around 15-20% of state reactivations during replays corresponded to actual 3-step sequences.

Unfortunately, no clear pattern could be found comparing the state reactivations during the three tasks.

#### **GALMO** Results

The network architecture proposed by [Aubin et al., 2018] was able to learn multiple predecessor cases. Overall, the system solved all tasks faster than the corresponding Q-learning system.

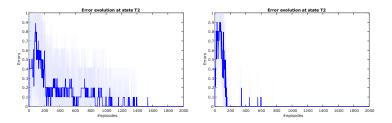


Figure: Learning without and with replays [Aubin et al., 2018]

Unfortunately, no information was given on the duration of the networks' learning, which would be interesting concerning a cost-benefit calculation.

#### **Project**

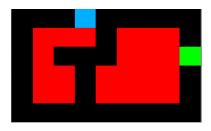
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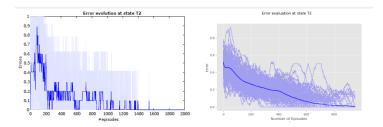
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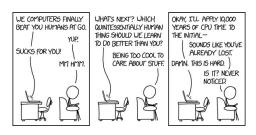
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The own results were slightly better than the corresponding Q-learning due to initial modification, but ultimately can not surpass the Neural Dyna-Q with prioritized sweeping.



Thank you for your attention!

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