

CS396: Selected CS2 (Deep Learning for visual recognition)

Spring 2022

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Lecture 3: Convolution Neural Network

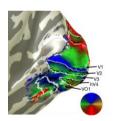
(CNN or ConvNets)

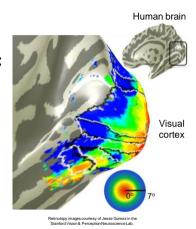
## Convolutional Neural Networks

Convolutional neural networks (**ConvNets** or **CNNs**) is one of the main categories to do images recognition, images classifications. Objects detections, recognition faces etc., are some of the areas where CNNs are widely used.

## A bit of history

Topographical mapping in the cortex: nearby cells in cortex represent nearby regions in the visual field





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## Hierarchical organization

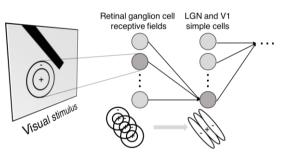


Illustration of hierarchical organization in early visual pathways by Lane McIntosh, copyright CS231n 2017

#### Simple cells: Response to light orientation

## Complex cells: Response to light orientation and movement

Hypercomplex cells: response to movement with an end point





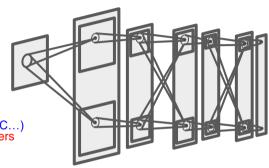


Response (end point)

## A bit of history:

# **Neocognitron** [Fukushima 1980]

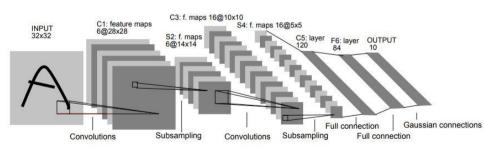
"sandwich" architecture (SCSCSC...) simple cells: modifiable parameters complex cells: perform pooling



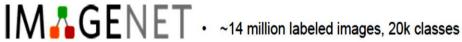
For more information, you can see: https://www.youtube.com/watch?v=Qil4kmvm2Sw

### LeNet-5 – A Classic CNN Architecture

Yann LeCun et al., proposed a neural network architecture for handwritten and machine-printed character recognition in 1990's which they called **LeNet-5**. The architecture is straightforward and simple to understand that's why it is mostly used as a first step for teaching <u>Convolutional Neural Network</u>.



## ImageNet & ILSVRC

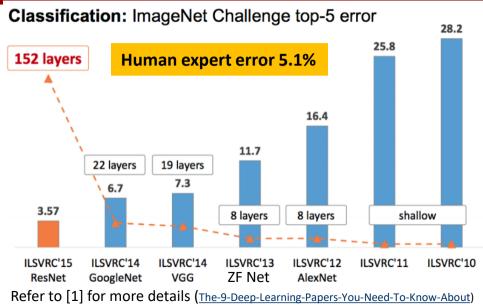




- Images gathered from Internet
- Human labels via Amazon MTurk
- ImageNet Large-Scale Visual Recognition Challenge (ILSVRC): 1.2 million training images, 1000 classes

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### CNN architectures won in ILSVRC

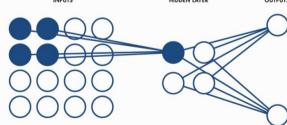


## Typical NN & Convolutional NN

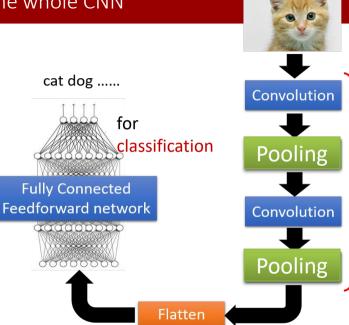
TYPICAL NEURAL NETWORK



CONVOLUTIONAL NEURAL NETWORK



### The whole CNN



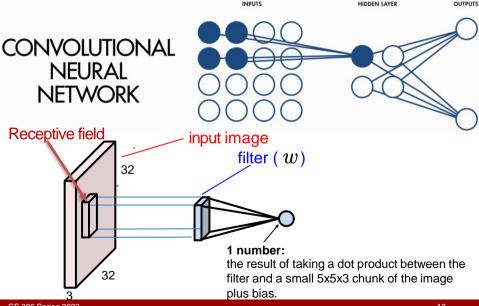
Can repeat many times for feature

extraction

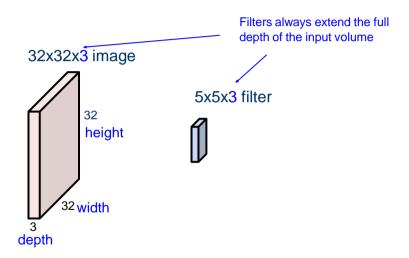
# Convolutional Layer

(Conv layer)

## Convolution Layer: Terminology



## Convolution Layer: Filter depth

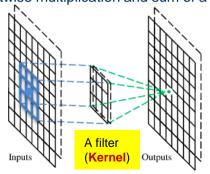


## **Convolutional Operation**

A convolutional layer has a number of filters that does **convolutional operation** of two signals:

$$f[x,y] * g[x,y] = \sum_{n_1 = -\infty}^{\infty} \sum_{n_2 = -\infty}^{\infty} f[n_1, n_2] \cdot g[x - n_1, y - n_2]$$

elementwise multiplication and sum of a filter and the signal (image)



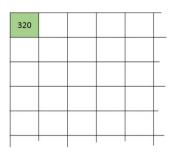
**Convolve** the filter with the image i.e. "slide over the image spatially, computing dot products"

## Convolution Operation: Example 1

0	0	0	0	0	0	
0	105	102	100	97	96	
0	103	99	103	101	102	7
0	101	98	104	102	100	
0	99	101	106	104	99	1
0	104	104	104	100	98	
						9

#### Filter(Kernel)

0	-1	0
-1	5	-1
0	-1	0



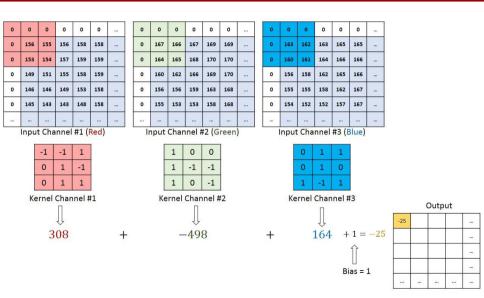
Input Image

$$0*0+0*-1+0*0 +0*-1+105*5+102*-1 +0*0+103*-1+99*0 = 320$$

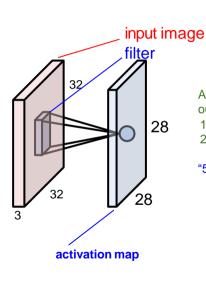
Activation map (Feature map)

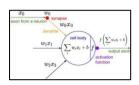
Convolution with horizontal and vertical strides = 1

## Convolution Operation: Example 2



## Convolution Operation: Activation Map



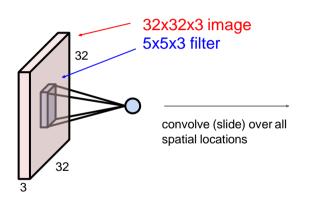


An activation map is a 28x28 sheet of neuron outputs:

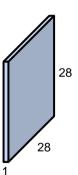
- 1. Each is connected to a small region in the input
- All of them share parameters

"5x5 filter" -> "5x5 receptive field for each neuron"

## Convolution Layer: Filters

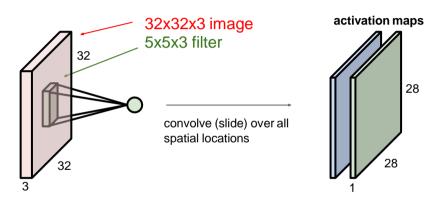


#### activation map



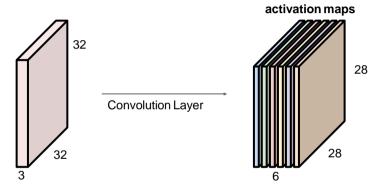
## Convolution Layer: Filters

### consider a second, green filter



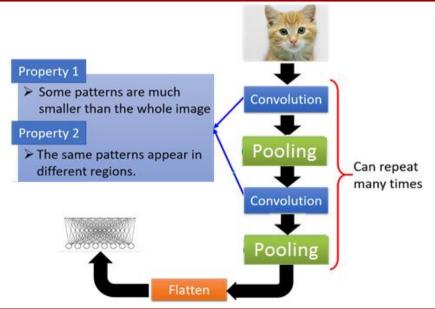
## Convolution Layer: Filters

For example, if we had 6 5x5 filters, we'll get 6 separate activation maps:



We stack these up to get a "new image" of size 28x28x6!

## **Convolution Layer Properties**

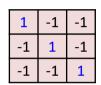


# Two Filters Example: Property 1

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

## These are the network parameters to be learned.



Filter 1 Matrix

-1	1	-1
-1	1	-1
-1	1	-1

Filter 2 Matrix

: :

Property 1

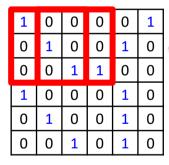
Each filter detects a small pattern (3 x 3)

## Two Filters Example: Stride=1

1	-1	-1
-1	1	-1
-1	-1	1

Filter 1

stride=1



Dot product 3

6 x 6 image

## Two Filters Example: Stride=2

1	-1	-1		
-1	1	-1		
-1	-1	1		

Filter 1

If stride=2

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

3 - 3

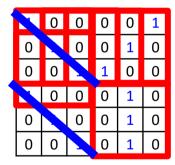
6 x 6 image

## Two Filters Example: Property 2

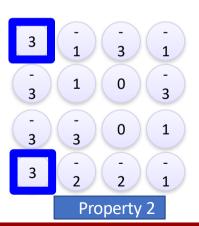
-1 -1 -1 -1 -1 -1 -1 \

Filter 1

#### stride=1



6 x 6 image



## Two Filters Example: Feature map

Feature map=activation map

-1	1	-1
-1	1	-1
-1	1	-1

Filter 2

stride=1

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

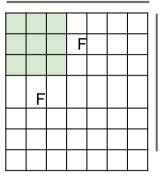
## Repeat this for each filter



Feature map= 4 x 4 x 2

## Feature Map Output Size





Feature map output size: (N - F) / stride + 1

e.g. 
$$N = 7$$
,  $F = 3$ :  
stride  $1 \Rightarrow (7 - 3)/1 + 1 = 5$   
stride  $2 \Rightarrow (7 - 3)/2 + 1 = 3$   
stride  $3 \Rightarrow (7 - 3)/3 + 1 = 2.33$ :

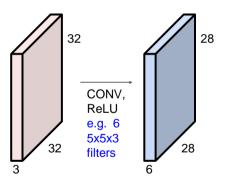
### doesn't fit!

cannot apply 3x3 filter on 7x7

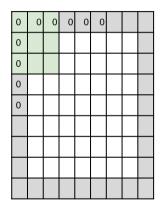
input with stride 3.

#### Remember back to...

E.g. 32x32 input convolved repeatedly with 5x5 filters shrinks volumes spatially! (32 -> 28 ...). Shrinking too fast is not good, doesn't work well.



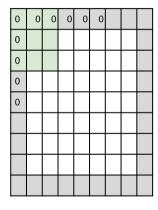
## In practice: Common to zero padding the border



e.g. input 7x7
3x3 filter, applied with stride 1
pad with 1 pixel border => what is the output?

```
(recall:)
(N - F) / stride + 1
```

## In practice: Common to zero pad the border



e.g. input 7x7
3x3 filter, applied with stride 1
pad with 1 pixel border => what is the output?

7x7 output!

### In practice: Common to zero pad the border

0	0	0	0	0	0		
0							
0							
0							
0							

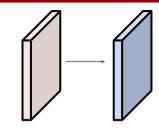
e.g. input 7x7
3x3 filter, applied with stride 1
pad with 1 pixel border => what is the output?

#### 7x7 output!

in general, common to see CONV layers with stride 1, filters of size FxF, and zero-padding with (F-1)/2. (will preserve size spatially)

e.g. F = 3 => zero pad with 1 F = 5 => zero pad with 2 F = 7 => zero pad with 3

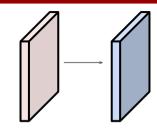
Input volume: **32x32x3** 10 5x5 filters with stride 1, pad 2



Output volume size: ?

Input volume: 32x32x3

10 5x5 filters with stride 1, pad 2

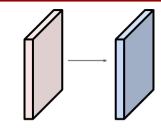


Output volume size:

$$(32+2*2-5)/1+1 = 32$$
 spatially, so

32x32x10

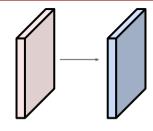
Input volume: **32x32x3** 10 5x5 filters with stride 1, pad 2



Number of parameters in this layer?

Input volume: 32x32x3

10 5x5 filters with stride 1, pad 2



Number of parameters in this layer? each filter has 5\*5\*3 + 1 = 76 params

(+1 for bias)

=> 76\*10 = **760** 

## Convolution Layer Summary

#### Summary. To summarize, the Conv Layer:

- Accepts a volume of size  $W_1 imes H_1 imes D_1$
- · Requires four hyperparameters:
  - Number of filters K,
  - their spatial extent F,
  - $\circ$  the stride S.
  - $\circ$  the amount of zero padding P.

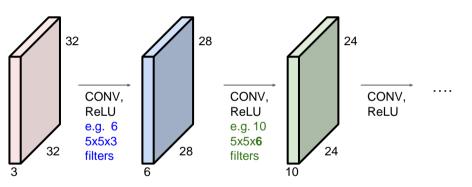
#### Common settings:

- F = 3, S = 1, P = 1
- F = 5, S = 1, P = 2
- F = 1, S = 1, P = 0

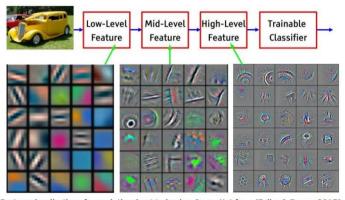
- Produces a volume of size  $W_2 \times H_2 \times D_2$  where:
  - $W_2 = (W_1 F + 2P)/S + 1$
  - $H_2 = (H_1 F + 2P)/S + 1$  (i.e. width and height are computed equally by symmetry)
  - $D_2 = K$
- With parameter sharing, it introduces  $F \cdot F \cdot D_1$  weights per filter, for a total of  $(F \cdot F \cdot D_1) \cdot K$  weights and K biases.
- In the output volume, the d-th depth slice (of size  $W_2 \times H_2$ ) is the result of performing a valid convolution of the d-th filter over the input volume with a stride of S, and then offset by d-th bias.

### Sequence of Convolution Layers

**Preview:** ConvNet is a sequence of Convolutional Layers, interspersed with activation functions



#### **Preview**



Feature visualization of convolutional net trained on ImageNet from [Zeiler & Fergus 2013]

## Pooling Layer

(Pool layer)

## Pooling Layer Property



#### Property 1

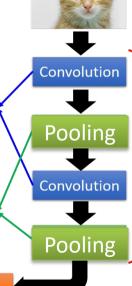
Some patterns are much smaller than the whole image

#### Property 2

➤ The same patterns appear in different regions.

#### **Property 3**

Subsampling the pixels will not change the object



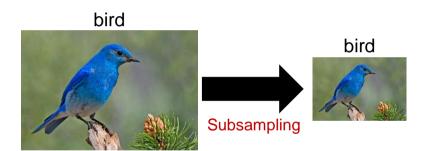
Can repeat many times

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Flatten

## Why Pooling?

Subsampling pixels will not change the object

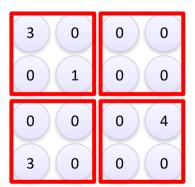


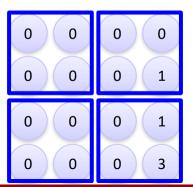
We can subsample the pixels to make image smaller fewer parameters to characterize the image

## Max Pooling

- operates over each activation map independently
- max pool with 2x2 filters and stride 2

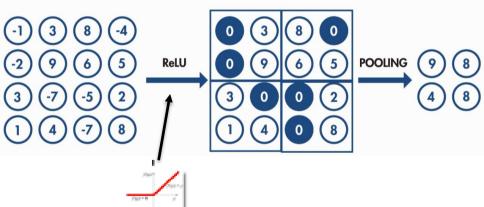
## Ex: Feature map 4x4x2





## Note:

#### Feature Map



## Pooling Layer Summary

- Accepts a volume of size  $W_1 imes H_1 imes D_1$
- Requires tvvo hyperparameters:
  - $\circ$  their spatial extent F,
  - the stride S,
- Produces a volume of size  $W_2 imes H_2 imes D_2$  where:

$$W_2 = (W_1 - F)/S + 1$$

$$H_2 = (H_1 - F)/S + 1$$

- Introduces zero parameters since it computes a fixed function of the input
- · Note that it is not common to use zero-padding for Pooling layers

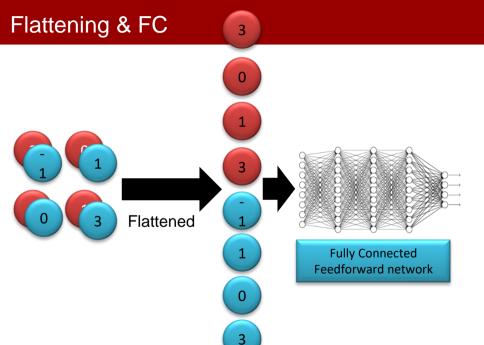
#### Common settings:

$$F = 2, S = 2$$
  
 $F = 3, S = 2$ 

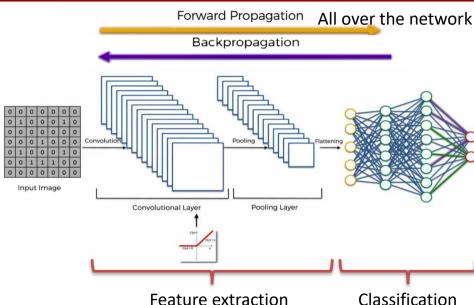
# Flattening Layer

(FC)

Fully connected network



#### CNN: Extraction & Classification



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Classification

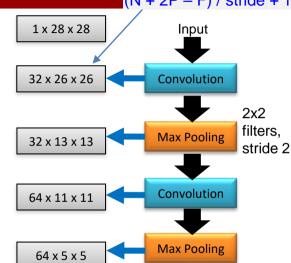


Recall:) Volume Size (N + 2P – F) / stride + 1

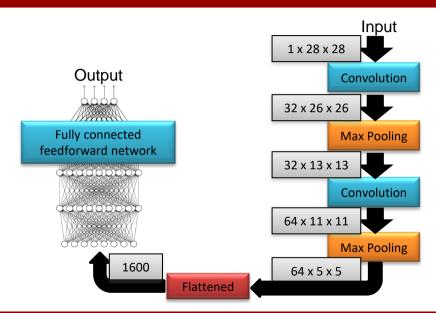
How many parameters for each filter, if we use 32 3x3 filter, with stride 1, pad 0?

3x3x1 +1=10

How many parameters for each filter, if we use 64 3x3 filter, with stride 1, pad 0?

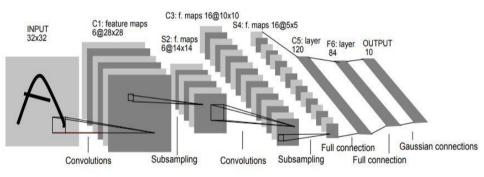


#### **Example**



#### Case Study: LeNet-5

[LeCun et al., 1998]



Conv filters were 5x5, applied at stride 1 Subsampling (Pooling) layers were 2x2 applied at stride 2 i.e. architecture is [CONV-POOL-CONV-POOL-CONV-FC]

#### This lecture references

[1] https://adeshpande3.github.io/adeshpande3.github.io/The-9-Deep-Learning-Papers-You-Need-To-Know-About.html

- · CS231 Stanford:
  - https://www.youtube.com/watch?v=LxfUGhug-iQ
- Dr. Ghada's Slides of Pattern recognition course Spring 2018 <a href="http://www.fcih.net/ghada/pattern-recognition/">http://www.fcih.net/ghada/pattern-recognition/</a>
- https://www.mathworks.com/videos/introduction-to-deep-learningwhat-are-convolutional-neural-networks--1489512765771.html
- http://speech.ee.ntu.edu.tw/~tlkagk/courses/ML 2016/Lecture/C NN (v2).pdf
- https://ai.stackexchange.com/questions/8701/what-is-the-differencebetween-a-receptive-field-and-a-feature-map