# Monopoles on $\mathbb{R}^3$ , Spectral Curves, and the Equations of Bogomolny and Nahm

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#### Abstract

This paper introduces the Bogomolny equations, describing SU(n) monopoles of Yang-Mills-Higgs theory in three dimensional Euclidean space. After restricting to the case of SU(2), we describe the geometry of the monopole solution space via a scattering approach of Hitchin [1]. We demonstrate how monopole solutions give rise to a spectral curve of eigenvalues on  $T\mathbb{CP}^1$  and how this may more clearly be understood in terms of a rational map idea of Donaldson [2]. We then introduce the Nahm equations of [3] as an application of the ADHM idea to finding solutions to the self-duality conditions in the reduced case of  $\mathbb{R}^3$ , and illustrate the equivalence of the Bogomolny and Nahm equations. Finally, we generalize these ideas by introducing the Nahm transform: a nonabelian generalization of the Fourier transform that relates the self-dual vector bundles on one space to vector bundles on another.

## Introduction

The goal of this paper is to give the reader a gentle introduction to the notable discoveries in the study of monopoles in  $\mathbb{R}^3$ .

In section 1, we give a review of the mathematics of gauge theory. We make use of of these techniques in section 2 to give two derivations of the Bogomolny equations. The first approach derives the equations directly from the anti-self-duality (ASD) conditions for instanton solutions in  $\mathbb{R}^4$  by treating the fourth component of the connection 1-form,  $A_4$ , as a scalar field  $\phi$  and ignoring translations  $\partial_4$  along the  $x_4$  direction. The second approach works directly with the action to derive not only the Bogomolny equations but also an integrality condition on the asymptotics of  $\phi$  that allow  $\mathfrak{su}(2)$  monopole solutions, much like instantons, to be characterized by a single number k: the magnetic charge<sup>1</sup>.

In section 2, we study the (moduli) space of directed lines on  $\mathbb{R}^3$  and make the identification between this space and the (holomorphic) tangent bundle of the Riemann sphere  $T\mathbb{CP}^1$ . From here, we motivate Hitchin's use of a 1-dimensional scattering equation along a line  $(D_t - i\phi)s = 0$  to characterize monopole solutions to the Bogomolny equations as giving rise to a holomorphic vector bundle  $\tilde{E}$  over  $T\mathbb{CP}^1$  corresponding to the solution space of the scattering equation for a given line. An asymptotic analysis of the solutions to this equation naturally leads to both Hitchin's spectral curve  $\Gamma$  and Donaldson's rational map theorem.

In section 3, we motivate the Nahm transform by analogy to the ADHM construction for instantons. The story is a little bit more complicated here, since rather than a reduction to linear

<sup>&</sup>lt;sup>1</sup>For general  $\mathfrak{su}(n)$  instantons, n-1 numbers are required, associated to the Cartan subalgebra of  $\mathfrak{g}$ . We restrict to the  $\mathfrak{su}(2)$  case, as most authors do, although the generalization of many of these statements to other real Lie groups is not difficult.

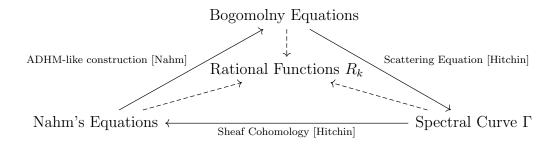


Figure 1: The triangle of ideas in the construction of monopoles.

data, we have a reduction to a Sobolev space of functions on the line segment (0,2). The Nahm equations are the related to the spectral curve  $\Gamma$ . We finally show how a solution of Nahm's equation gives rise to a monopole solution  $(A, \phi)$  on  $\mathbb{R}^3$ .

The main ideas relating to understanding the Bogomolny equations can be simply diagrammed in the triangle of Figure 1.

Historically, the Bogomolny equations were first introduced by Bogomolny [4] together with Prasad and Sommerfield [5] in their studies of spherically-symmetric single-monopole solutions to nonabelian gauge theories. Explicitly, the  $\mathfrak{su}(2)$  single-monopole solution takes the form

$$A = \left(\frac{1}{\sinh|x|} - \frac{1}{|x|}\right) \epsilon_{ijk} \frac{x_j}{|x|} \sigma_k dx^i$$
$$\phi = \left(\frac{1}{\tanh|x|} - \frac{1}{|x|}\right) \frac{x_i}{|x|} \sigma_i$$

where  $\sigma_i$  are the generators of  $\mathfrak{su}(2)$  and we are using Eisntein summation convention.

In [1], Hitchin considered the complex structure of geodesics (i.e. directed lines) in  $\mathbb{R}^3$  and used this together with the previous scattering ideas in the Atiyah-Ward  $\mathcal{A}_k$  ansatz [6] to develop his approach using the spectral curve (righthand arrow in Figure 1). In a separate approach, Nahm [3] made use of the ADHM ansatz to formulate the solutions to the Bogomolny equations for  $\mathfrak{su}(2)$  in terms of solutions to a coupled system of differential equations, now known as the Nahm equations:

$$\frac{dT_j}{ds}(s) = \epsilon_{ijk}[T_j(s), T_k(s)]$$

where  $T_i$  for  $i \in \{1, 2, 3\}$  are  $k \times k$ -matrix valued functions of s on the interval (0, 2), subject to certain conditions. This is the lefthand arrow of Figure 1.

The equivalence of these two approaches, corresponding to the bottom arrow in Figure 1 was demonstrated by Hitchin in [7]. Hitchin considered the spectral curve of a monopole and constructed a set of Nahm data associated to it, from which one could obtain Nahm's equations. This construction involved methods from sheaf cohomology for the construction of a necessary set of bundles  $\mathcal{L}^s$  over  $T\mathbb{CP}^1$ . This general circle of ideas for SU(n) monopoles was completed in [8].

Remarkably, these three various descriptions of monopoles can all be related using relatively straightforward constructions to a fourth object: the space of rational functions of a complex variable z with denominator of degree k. This is the rational map constructed by Donaldson [2].

In general, the role of the Nahm transform in understanding the moduli space instanton-like solutions in  $\mathbb{R}^4/\Lambda$  for  $\Lambda$  a subgroup of translations in  $\mathbb{R}^4$  is as follows:

Yang-Mills(-Higgs) on 
$$\mathbb{R}^4/\Lambda$$
  $\underset{\text{Nahm Transform}}{\longleftarrow}$  Nahm Equations on  $(\mathbb{R}^4)^*/\Lambda^*$ 

## 1 The Mathematics of Gauge Theory

We give a detailed introduction of the material introduced in the first two chapters of [9]. Begin with four-dimensional Euclidean space  $\mathbb{R}^4$  as our base manifold with a principle G-bundle  $\pi: P_G \to \mathbb{R}^4$  with  $G = \mathrm{SU}(n)$ . First, we make some elementary observations and definitions to guide us in understanding gauge theory.

**Observation 1.1.**  $\mathbb{R}^4$  is contractible. Consequently, any G-bundle has a global trivialization<sup>2</sup>. This means that we can pick a global section  $s_{id} : \mathbb{R}^4 \to P(\mathbb{R}^4, G)$  such that  $s_{id}(x) = 1_G$  for each x.

**Definition 1.2** (Gauge Group). We define the group of **gauge transformations**  $\mathcal{G}$  to be the space of global  $P_G$  sections, with identity given by  $s_{id}$  and multiplication given by  $\mathrm{Ad}_{G}$ -action fiberwise. The group G is called the **gauge group** (note the distinction between terminology for these two groups).

**Definition 1.3** (Aut(E) and End(E) bundles). For a given bundle E, we write Aut(E) and End(E) to be the space of all bundle maps on E acting as fiberwise automorphisms and endomorphisms respectively.

**Definition 1.4** (Associated Vector Bundle). A vector bundle  $E \to \mathbb{R}^4$  is called an **associated** bundle to the bundle  $P_G$  if there is a (basepoint preserving) bundle map  $\rho: P_G \to \operatorname{Aut}(E)$  such that  $\rho$  is continuous on  $P_G$ . Here  $\rho$  is a **representation** of G.

**Observation 1.5.**  $\rho$  induces a pushforward  $\rho_* : T_e \mathcal{G} \to \text{End}(E)$ .

**Definition 1.6** (Connection 1-form). Letting  $\mathfrak{g} = \text{Lie } G$  be the Lie algebra of G, define a **connection 1-form** to be Lie algebra-valued 1-form  $A \in \Omega^1(\mathbb{R}^4, \mathfrak{g})$  acting on E by  $(\rho)_*$ .

**Observation 1.7.** Given an  $A \in \Omega^1(\mathbb{R}^4, \mathfrak{g})$ , for each representation  $\rho$  of  $\mathfrak{g}$  there is an associated vector bundle  $E_{\rho}$ . In particular, since  $\mathfrak{g}$  is itself a representation of the  $\mathrm{ad}_{\mathfrak{g}}$  action, A acts on itself (and more generally any  $\mathfrak{g}$ -valued form) by  $\mathrm{ad}_{\mathfrak{g}}$ . It also transforms fiberwise under the  $\mathrm{Ad}_{G}$  action of the gauge group  $\mathcal{G}$  as  $A \to \mathrm{Ad}_{\mathfrak{g}} A + g \, dg$ .

In physics, each different representation of  $\mathfrak{g}$  corresponds to a different class of particle. For example in QCD the quarks transform in the fundamental representation of SU(3) while the force-carrying gluon transforms in the adjoint representation.

**Definition 1.8** (Exterior Covariant Derivative). The **exterior covariant derivative** or **connection**  $D_A$  associated to a connection 1-form A is written as

$$D_A = d + A. (1)$$

This operator allows one to differentiate a section  $s \in \Gamma(\mathbb{R}^4, E)$  along a direction  $v \in \Gamma(\mathbb{R}^4, T_*M)$  by

$$ds(v) + \rho(A(v))(s). \tag{2}$$

**Definition 1.9** (Curvature of a Connection). The **curvature** 2-form  $F \in \Omega^2(\mathbb{R}^4, \mathfrak{g})$  is defined by

$$F := D_A A. \tag{3}$$

By the **Bianchi identity**,  $D_A F = 0$ .

<sup>&</sup>lt;sup>2</sup>The fastest way to see that is that there is only one homotopy class of map  $\mathbb{R}^4 \to BG$ 

Classical Yang-Mills theory on Euclidean space comes from considering an action functional of the 1-form A on a bundle E as:

$$S_E[A] := \frac{1}{8\pi} \int \text{Tr} \left[ F \wedge \star F \right], \tag{4}$$

where the trace is taken over the Lie algebra.

In Einstein's convention, the action's Lagrangian density is written as  $F_{\mu\nu}^a F_a^{\mu\nu}$ , with  $\mu, \nu$  spatial indices and a indexing the Lie algebra. Hamilton's principle  $\delta S = 0$  applied to this action gives the corresponding source-free Yang-Mills Equations of Motion:

$$D_A F = 0, \qquad D_A \star F = 0. \tag{5}$$

The explicit value of the action, however, may depend on the bundle of choice E. For example, the *instanton number*, n, for instantons on  $\mathbb{R}^4$ , depends on the bundle itself. Throughout this paper, when there is no ambiguity, E will refer to the bundle associated with the fundamental representation (rank 2 for  $\mathfrak{su}(2)$ ).

## 2 Monopoles on $\mathbb{R}^3$

We give here an exposition to magnetic monopoles, following the book of Atiyah and Hitchin [9].

#### 2.1 From the Reduction of the ASD Equations

Taking the source-free Yang-Mills equations on  $\mathbb{R}^4$ , consider solutions that are translation invariant under one coordinate, say  $x_4$ . There are two ways forward: either by immediately considering the ASD connections together with translation invariance or by building up the action and seeing how the 3D analogue of the ASD connections emerges.

**Observation 2.1** (ASD Connection). The ASD conditions for instantons on  $\mathbb{R}^4$  can be explicitly written as

$$F_{14} = -F_{32}, \quad F_{24} = -F_{13}, \quad F_{34} = -F_{21}$$
 (6)

For F translation invariant w.r.t.  $x_4$ , we get

$$\partial_2 A_3 - \partial_3 A_2 + [A_2, A_3] = \partial_1 A_4 + [A_1, A_4] \tag{7}$$

and the two other permutations. Taking  $A_4 = \phi$  gives that all three of these equations can be written as

$$\star F = D_A \phi. \tag{8}$$

These are the **Bogomolny equations**. Any solution to this gives us a translation-invariant instanton in  $\mathbb{R}^4$ . Note that these do not satisfy the decay conditions necessary for the instantons of the ADHM construction.

### 2.2 From the Yang-Mills-Higgs Action on $\mathbb{R}^3$

To derive an effective action for the  $\mathbb{R}^3$  field theory from translation invariance in  $\mathbb{R}^4$  we first write:

$$A_{4D} = A_1 dx^1 + A_2 dx^2 + A_3 dx^3 + \phi dx^4.$$

Under the translation assumption, the spatial symmetry group of 4D Euclidean transformations ISO(4) =  $\mathbb{R}^4 \times SO(4)$  reduces down to the 3D group ISO(3) =  $\mathbb{R}^3 \times SO(3)$ . With this reduced symmetry, the  $x^4$  component of A (namely  $\phi$ ) remains invariant under SO(3) transformations and does not mix with the other three components. Thus, we have a reduction of A from lying in  $\Omega^1(\mathbb{R}^4)$ , as a fundamental representation of SO(4,  $\mathbb{R}$ ) fiberwise to lying in an inhomogeneous direct sum  $\Omega^1(\mathbb{R}^3) \oplus \Omega^0(\mathbb{R}^3)$  of the fundamental SO(3,  $\mathbb{R}$ ) representation of SO(3) with the trivial one.

Note that both A and  $\phi$  are still valued in  $\mathfrak{g}$  and transform in the adjoint representation. The covariant derivative becomes  $D_{3D} = d_{3D} + A$ , since  $\phi dx^4 = 0$  on any vector in  $\mathbb{R}^3$ . Now note that the 4D curvature form becomes

$$D_{3D}(A_{3D} + \phi) = F_{3D} + D_{3D}\phi. \tag{9}$$

From now on we write F for  $F_{3D}$  and  $D_A$  for  $D_{3D}$ . The associated action is then

$$S = \frac{1}{8\pi} \int \text{Tr} \left[ F \wedge \star F + (D_A \phi) \wedge \star (D_A \phi) \right] = \frac{1}{8\pi} \int \left[ (F, F) + (D_A \phi, D_A \phi) \right]. \tag{10}$$

where  $(\Omega, \Omega) := \text{Tr}[\Omega \wedge \star \Omega]$  denotes the inner product on *p*-forms induced by the metric on  $\mathbb{R}^3$ . From now on, we restrict to the case  $\mathfrak{g} = \mathfrak{su}(2)$ , and many of the more general results for  $\mathfrak{su}(n)$  follow analogously.

Letting  $B_R$  be ball of radius R centered at the origin in  $\mathbb{R}^3$ , we recover the action as the limit of the integral:

$$\lim_{R \to \infty} \frac{1}{8\pi} \int_{B_R} \left[ (F - \star D_A \phi, F - \star D_A \phi) + 2 \left( \star D_A \phi, F \right) \right]$$

Before tackling this last term, make the following observations:

**Observation 2.2.** For the above action to be well-defined, we require  $|F(\vec{x})| = O(|x|^{-2})$  and  $|D\phi(\vec{x})| = O(|x|^{-2})$ . This implies that the killing norm of  $\phi$ ,  $|\phi|$ , tends to a constant value as  $|x| \to \infty$ .

**Observation 2.3.** If  $(A(\vec{x}), \phi(\vec{x}))$  is solution to the equations of motion, then  $(cA(\vec{x}/c), c\phi(\vec{x}/c))$  is also a solution.

For this reason, without loss of generality we may assume  $|\phi(\vec{x})| \to 1$  as  $|x| \to \infty$ . For R large, this makes  $\phi|_{S_R}: S_R^2 \to S^2$  map from the sphere of radius R in  $\mathbb{R}^3$  to the unit sphere  $S^2$  in  $\mathfrak{su}(2)$ . Let's make one more observation before tackling the second term

$$d(\phi, \star F) = d \operatorname{Tr}[\phi F]$$

$$= \operatorname{Tr}[d\phi \wedge F - \phi dF]$$

$$= \operatorname{Tr}[D_A \phi \wedge F - \phi A \wedge F + \phi A \wedge F]$$

$$= (D_A \phi, \star F)$$

$$= (\star D_A \phi, F)$$
(11)

This implies that the second term can be written as a boundary term:

$$\int_{B_R} (D_A \phi, F) = \int_{S_R^2} \text{Tr}[F \phi]$$

Note  $\phi$  acting on a bundle E transforming in the fundamental representation of  $\mathfrak{su}(2)$  has two eigenspaces of opposite imaginary eigenvalues, and by assumption that  $|\phi| \to 1$ , these eigenvalues cannot both be zero. Thus, they cannot cross and this gives us two well-defined line bundles  $L_+, L_-$  over  $S_R^2$  corresponding to the positive and the negative eigenvalues.

**Proposition 2.4.**  $E = L_+ \oplus L_-$  has vanishing first Chern class  $c_1(E) = 0$ .

*Proof.* This follows from the fact that  $\mathfrak{su}(2)$  is traceless

Corollary 2.5. The first Chern class of  $L_+$  is  $c_1(L_+) = +k$  and  $L_-$  is  $c_1(L_-) = -k$  for an integer  $k^3$ .

*Proof.* After picking an orientation so that the first Chern class of  $L_+$  is positive, the corollary immediately follows upon observing that the Chern classes of complex line bundles over the sphere are always integral, and the first Chern class of a direct sum is the sum of the individual first Chern classes.

Proposition 2.6.  $\lim_{R\to\infty}\int_{S_R^2}(F,\phi)=\pm 4\pi k$ .

*Proof.* By definition, the first Chern class of a vector bundle E is  $\frac{i}{2\pi} \int_{S^R} \text{Tr}(\Omega)$  for  $\Omega$  the curvature two-form associated to E. Now note that on the eigenbundles of  $\phi$ , we have that since  $|\phi| \to 1$ , it acts as  $\pm i$  ( $\sigma_3$  up to gauge) so that we must have (from before)

$$\lim_{R \to \infty} i \int_{S_R^2} \text{Tr}(F_{L_+}) - i \int_{S_R^2} \text{Tr}(F_{L_+}) = \pm (2\pi k c_1(L_+) + 2\pi k c_1(L_-)) = \pm 4\pi k.$$
 (12)

As we take  $R \to \infty$ , this proposition gives us an action of

$$S = \frac{1}{8\pi} \int_{B_B} ||F - \star D_A \phi||^2 \pm k. \tag{13}$$

In this case, the absolute minimum is achieved when  $(A, \phi)$  satisfy the following:

Proposition 2.7 (Bogomolny Equations). The monopole solutions for Yang-Mills theory on  $\mathbb{R}^3$  satisfy

$$\star F(\vec{x}) = D_A \phi(\vec{x}) \tag{14}$$

subject to the constraints (after rescaling of axes and fields) that:

- 1.  $|\phi(\vec{x})| \to 1 \frac{k}{2r} \ as \ |x| = r \to \infty$ ,
- 2.  $\partial |\phi(\vec{x})|/\partial \Omega = O(r^{-2})$ , where  $\Omega$  denotes any angular variable in polar coordinates,
- 3.  $|D_A\phi(\vec{x})| = O(r^{-2}).$

The norm  $|\phi|$  is the standard killing norm on  $\mathfrak{g} = \mathfrak{su}(2)$ . These equations can also describe  $\mathfrak{su}(n)$  monopoles, with adapted decay conditions.

Note under  $\phi \to -\phi$  we get that the Bogomolny equations with  $k \leq 0$  become the anti-Bogomolny equations and  $F = -\star D_A \phi$  and  $k \geq 0$ . Further, spatial inversion together with  $A \to -A$  can flip these to the Bogomolny equations with  $k \geq 0$ . Therefore, it is enough look at solutions to the Bogomolny equations for  $k \geq 0$ .

<sup>&</sup>lt;sup>3</sup>It should be noted that (besides the non-monopole case of k=0), this makes the bundle E nontrivial. This means that E cannot just be the restriction of a (necessarily trivial) vector bundle over  $\mathbb{R}^3$ . To understand this: the non-triviality of E can be seen to come from singularities induced on the vector bundle by the insertion of monopole. In the k=1 BPS case, this corresponds to E being a nontrivial bundle on  $\mathbb{R}^3 \setminus \{0\}$ 

**Definition 2.8** (Magnetic Charge). The positive integer k is called the **monopole number** or **magnetic charge** of the monopole solution.

Though our analysis has been for  $\mathfrak{su}(2)$ , the  $\mathfrak{u}(1)$  case has the same equations characterizing a monopole solution.

**Observation 2.9.** Note when  $\mathfrak{g} = \mathfrak{u}(1)$ , and using the notation  $B_k = \epsilon_{ijk}F_{ij}$  the Bogomolny equation becomes  $B = \nabla \phi$ , giving the first known magnetic monopole, the **Dirac Monopole**:

$$\phi = \frac{k}{2r}.$$

Note. We aim to study the solutions of the Bogomolny equations modulo the action of the gauge group  $\mathcal{G}$ . However, not all gauge transformations preserve the decay conditions on  $D_A\phi$  and  $|\partial\phi/\partial\Omega|$ . Consequently, we study the Bogomolny equations modulo the restricted gauge group  $\tilde{\mathcal{G}}$  of transformations that tend to a constant element g as  $|x| \to \infty$ .

## 3 Hitchin's Scattering Equation, Donaldson's Rational Map, and the Spectral Curve

#### 3.1 The moduli spaces $N_k$ and $M_k$

We make the following notational definition

**Definition 3.1.** Let  $N_k$  be the space of gauge-equivalent  $\mathfrak{su}(2)$  monopoles of magnetic charge k.

This is our main object of study in what follows.

This section involves studying the solutions of "scattering-type" equations along directed lines in  $\mathbb{R}^3$ . Consequently, the covariant derivative operator when restricted to a line, say along a line parallel to the  $x_1$  axis, becomes:

$$D_A \to \frac{d}{dx_1} + A_1 \tag{15}$$

In this case, we can make a gauge transformation

$$A \to gAg^{-1} + g^{-1}dg$$

so as to make  $A_1 = 0$ . This simplifies the covariant derivative along lines parallel to the  $x_1$  axis to become just  $D_A \to \frac{d}{dx_1}$ . A copy of U(1) still remains to act on  $A_2$  and  $A_3$ . Thus, as  $x_1 \to \infty$ , because the decay

A copy of U(1) still remains to act on  $A_2$  and  $A_3$ . Thus, as  $x_1 \to \infty$ , because the decay conditions on  $\phi$ , we have that any gauge transformation tends to a constant element in this U(1) subgroup. In this context, define:

**Definition 3.2** (Framing). Define a **framed gauge transformation** [7, 10] to be one that tends to the identity as  $x_1 \to \infty$ .

If we only identify solutions modulo framed gauge, then the asymptotic U(1) element as  $x_1 \to \infty$  will differentiate between solutions that are otherwise equivalent modulo the full gauge group. We thus make a definition

**Definition 3.3.** Define  $M_k$  to the space of solutions to the Bogomolny equations modulo framed gauge. This is fibered over  $N_k$  with fiber  $S_1$ 

$$S_1 \hookrightarrow M_k \to N_k$$

*Proof.* We have seen that upon choosing  $A_1 = 0$ , gauge transformations can still have an asymptotic value in a  $U(1) \cong S^1$  subgroup. Thus, quotienting out by only *framed* gauge transformations to get  $M_k$  leaves a piece of  $S^1$  information that  $N_k$  does not have. We will call this  $S^1$  element the *phase* of a given monopole solution.

Note.  $M_k$  depends on a choice of oriented  $x_1$ -axis in  $\mathbb{R}^3$ . A more coordinate-free way of defining this extension  $M_k$  of  $N_k$  is given in [9]. It relies on a simple observation from the previous section that asymptotically the restriction of E over  $S_R^2$  is a direct sum of k-twisted bundles:  $E_k = L_{-k} \oplus L_k$ . The automorphism group in SU(2) fixing this direct sum is exactly the U(1) diagonal action:

$$\begin{pmatrix} e^{i\theta} & 0 \\ 0 & e^{-i\theta} \end{pmatrix}$$

Thus, up to this U(1) automorphism determining phase, every k-monopole solution is asymptotically equivalent to a fixed  $E_k$ . Informally: restricting the gauge transformation group so as to retain this automorphism information gives us  $M_k = N_k \times S^1$ .

#### 3.2 Hitchin's Scattering Transform

In [1] Hitchin made use of a scattering method to show the following equivalence:

**Theorem 3.4** (Hitchin). Given a solution  $(A, \phi)$  to the Bogomolny equations satisfying the criteria of 2.7, then let  $\ell$  be a directed line in  $\mathbb{R}^3$  pointing along a direction  $\hat{n}$  with distance parameterized by t and consider the following scattering equation along  $\ell$ 

$$(D_{\hat{n}} - i\phi)\psi = 0. \tag{16}$$

Here  $D_{\hat{n}}$  is a restriction of the covariant derivative  $D_A$  to act along  $\ell$ ,  $\phi$  is the scalar field restricted to  $\ell$ , and  $\psi$  is a section of the restriction of the vector bundle E associated to the fundamental representation  $\mathbb{C}^2$  to the line  $\ell$ .

The solutions to this equation form a complex two-dimensional space  $\tilde{E}_{\ell}$  of sections. If  $A, \phi$  satisfy the Bogomolny equations, then  $\tilde{E}_{\ell}$  is a holomorphic vector bundle over the space of directed lines in  $\mathbb{R}^3$ .

There are several propositions that need to be developed before this theorem can be made sense of. Firstly,

**Proposition 3.5.** The space of directed lines in  $\mathbb{R}^3$  forms a complex variety isomorphic to the tangent bundle to the Riemann sphere  $T\mathbb{CP}^1$  with a real structure  $\sigma$ .

*Proof.* Once a normal direction  $\hat{n}$  is chosen, a directed line  $\ell$  in  $\mathbb{R}^3$  is uniquely determined by a vector  $\vec{v} \perp \hat{n}$ . Thus our space is

$$\{(n,v): |n| = 1, u \cdot v = 0\}$$
(17)

Clearly  $\hat{n}$  sits on a sphere  $S^2$  and  $(\hat{n}, v)$  form  $TS^2$ . It is sufficient to find a complex structure to make this into the complex variety  $T\mathbb{CP}^1$ . We will form a complex structure on  $\mathbb{CP}^1$  and then this lifts to one on the tangent bundle. The complex structure J acting on a point (n, v) is given by taking  $J(v) = \hat{n} \times v$ . This corresponds exactly to the complex structure on the holomorphic tangent bundle of the Riemann sphere.

The real structure  $\sigma$  comes from reversing the orientation of a line  $(\hat{n}, v) \to (-\hat{n}, v)$ . It is easy to see  $\sigma^2 = 0$ , and since it reverses orientation in  $\mathbb{R}^3$  is takes  $J \to -J$ .

**Example 3.6.** To make thus picture clearer for the reader, let's note that given a point  $(x_1, x_2, x_3)$ , each direction  $\hat{n}$  has a unique line  $(\hat{n}, v)$  passing through this point. Thus, a point  $\vec{x} \in \mathbb{R}^3$  determines a section  $s : \mathbb{CP}^1 \to T\mathbb{CP}^1$ . Explicitly, picking a local coordinate  $\zeta$  on  $\mathbb{CP}^1$  we get:

$$s(\zeta) = ((x_1 + ix_2) - 2x_3\zeta - (x_1 - ix_2)\zeta^2)\frac{d}{d\zeta}.$$
 (18)

The fact that the coefficient is a degree 2 polynomial in  $\zeta$  is a consequence of the tangent bundle being a bundle of degree 2 over  $\mathbb{CP}^1$ . Note further that this corresponds to describing  $\mathbb{R}^3$  as the space of real holomorphic vector fields on the Riemann sphere, namely  $\mathfrak{so}(3,\mathbb{R})$ .

Next, let us try to study this scattering equation. It will be useful to restrict, without loss of generality, to lines parallel to the  $x_1$  axis.

**Proposition 3.7.** The solutions to the scattering equation on a line form a two dimensional space.

*Proof.* In the gauge  $A_1 = 0$  developed before, this is an easy consequence of the fact that E is rank two and so upon decomposing E into eigenspaces of  $\phi$ ,  $L_+ \oplus L_-$ , the scattering equation decouples into two linear differential equations:

$$\left[\frac{d}{dx} - i\lambda_j(x_1)\right] s_j = 0, \qquad j = 1, 2.$$
(19)

Because these equations are both linear and first-order, they each have a one-dimensional space of solutions.  $\Box$ 

We can now understand the vector bundle that Hitchin constructed on  $T\mathbb{CP}^1$ .

**Observation 3.8.** Let  $\tilde{E} \to T\mathbb{CP}^1$  denote the two-dimensional space of solutions to the scattering equation associated to a given line in  $\mathbb{R}^3$ . This forms a vector bundle.

We are now ready to prove Hitchin's theorem.

**Proposition 3.9** (Construction of a Holomorphic Vector Bundle). If  $(A, \phi)$  satisfy the Bogomolny equations, then  $\tilde{E}$  is holomorphic.

*Proof.* Hitchin appeals to a theorem of Nirenberg [11]: that it is sufficient to construct an operator

$$\bar{\partial}: \Gamma(T\mathbb{CP}^1, \tilde{E}) \to \Gamma(T\mathbb{CP}^1, \Omega^{(0,1)}(\tilde{E})).$$

The existence of  $\bar{\partial}$  on  $\tilde{E}$  would give  $\tilde{E}$  a holomorphic structure for which  $\bar{\partial}$  plays the role of the anti-holomorphic differential. Let s be a section of  $\tilde{E}$  for a given directed line  $\ell$  in  $\mathbb{R}^3$ . Let t be the coordinate alone this line an x,y be orthogonal coordinates in the plane perpendicular to  $\ell$ . In this case, define:

$$\bar{\partial}s = [D_x + iD_y] s(dx - idy). \tag{20}$$

Where  $D_x, D_y$  are shorthand for the x and y components of the covariant derivative  $D_A$ .

It is easy to show that this operator satisfies the Leibniz rule together with  $(\bar{\partial})^2 = 0$ , but we must show that it is well-defined as an operator from  $\Gamma(T\mathbb{CP}^1, \tilde{E}) \to \Gamma(T\mathbb{CP}^1, \Omega^{(0,1)}(\tilde{E}))$ . Namely, we must show that it fixes  $\tilde{E}$ , meaning that:

$$\left(\frac{d}{dt} - i\phi\right)(D_x + iD_y) = 0. \tag{21}$$

But this can be written as the requirement that the following commutator vanishes:

$$0 = \left[\frac{d}{dt} - i\phi, D_x + iD_y\right] = F_{12} + iF_{13} - D_y\phi + iD_x\phi$$

$$\Rightarrow F_{12} = D_y\phi \quad F_{31} = D_x\phi.$$
(22)

These are exactly the Bogomolny equations, as desired. We have thus shown that Hitchin's construction works.  $\Box$ 

#### 3.3 The Spectral Curve

Given the above discussion, it is worth trying to understand what the solutions of this scattering equation mean. We know from before that the null space of the scattering operator consists of two linearly independent solutions,  $s_0$  and  $s_1$ . Let us look at their asymptotics. Again, let  $\ell$  be a line parallel to the  $x_1$  axis with  $A_1 = 0$ . Then

**Proposition 3.10.** As  $t \to \infty$ , the two solutions to Hitchin's scattering equation are combinations of the following two solutions:

$$s_0(t) = t^{k/2} e^{-t} e_0, s_1(t) = t^{-k/2} e^t e_1$$
 (23)

where  $e_0$  and  $e_1$  are constant vectors in E in the asymptotic gauge.

*Proof.* Since  $A_1 = 0$ , the scattering equation becomes

$$\frac{d}{dt} - i\phi = 0. (24)$$

Using asymptotics on  $\phi$  from the prior section, we get

$$\frac{d}{dt} - i\left(1 - \frac{k}{2t}\right) \begin{pmatrix} i & 0\\ 0 & -i \end{pmatrix} + O(1/t^2) = 0. \tag{25}$$

This yields two differential equations:

$$\frac{d}{dt} + \left(1 - \frac{k}{2t}\right) + O(1/t^2) = 0, \qquad \frac{d}{dt} - \left(1 - \frac{k}{2t}\right) + O(1/t^2) = 0, \tag{26}$$

which in turn yield two solutions as  $t \to \infty$ :

$$s_0(t) \to t^{k/2} e^{-t} e_0, \qquad s_1(t) \to t^{-k/2} e^t e_1.$$
 (27)

Note that (by t-reversal symmetry) we must have the same type of solutions as  $t \to -\infty$ . Namely, there is a basis where one solution blows up as  $t \to -\infty$  and the other decays to zero. The solution that decays to zero, s', must necessarily be some linear combination of the  $t \to \infty$  solutions  $s_0$  and  $s_1$ . We thus have:

$$s' = as_0 + bs_1. (28)$$

In the special case that b=0, we get that s' decays not only as  $t\to -\infty$  but also as  $t\to \infty$ . Physically, this is called a **bound state**.

**Definition 3.11** (Bound state). A bound state  $\psi(\vec{x})$  is a state of a physical system that decays "sufficiently quickly" (i.e. as  $e^{-|x|}$ ) as  $|x| \to \infty$ ). It captures the notion of a localized particle.

Since the linear combination for s' is a relationship between sections of a holomorphic line bundle, the ratio a/b is a well-defined meromorphic function on  $T\mathbb{CP}^1$ . Fixing  $\hat{n}$ , the poles of this function generically give k points on  $T_{\hat{n}}\mathbb{CP}^1$ . Letting  $\hat{n}$  vary gives Hitchin's **spectral curve**  $\Gamma$  on  $T\mathbb{CP}^1$ . Note this is a k-fold cover of  $\mathbb{CP}^1$ , and an application of the Riemann-Hurwitz formula would yield that  $\Gamma$  in fact has genus k-1. We will illustrate more on why this curve deserves its name using the Nahm transform in section 4.

Hitchin gives the following theorem, which we will state without proof:

**Theorem 3.12** (Hitchin). If two monopole solutions  $(A, \phi), (A', \phi')$  have spectral curves  $\Gamma', \Gamma$ , then  $(A, \phi)$  is a gauge transform of  $(A', \phi')$ .

Note that here there is no assumption on framing. The spectral curve itself does not carry information about the phase of the monopole solution. On the other hand, the section s' associated to a given line for a monopole solution gives rise to a distinguished line bundle  $\mathcal{L}$  over  $\Gamma$ , alongside the standard restriction of the vector bundle  $\tilde{E}$  to  $\Gamma$ .

Note that  $\Gamma$  is holomorphic and *real* in the sense that it is preserved by the real structure  $\sigma$  on  $T\mathbb{CP}^1$ .

The proof that a spectral curve satisfying the conditions imposed on  $\Gamma$  will give rise to a monopole solution is done by going through the Nahm equations. As mentioned before, Hitchin [7] showed using ideas from sheaf cohomology that a spectral curve on  $T\mathbb{CP}^1$  naturally gives rise to a set of Nahm data from which the Nahm equations can be constructed. In this way, the construction of monopoles goes in the direction of Figure 1.

## 3.4 The Rational Map

Let  $x_1 = t$  and  $z = x_2 + ix_3$ . Let  $\ell$  be a line parallel to the  $x_1$  axis. Note it is determined by its intersection z with the  $x_2, x_3$  plane. a and b are as before: the linear combination of  $s' = as_0 + bs_1$ , the solution decaying as  $t \to -\infty$ .

It is a powerful result of Donaldson [2] that tells us: for a fixed direction  $x_1$  we not only obtain a meromorphic function of the lines  $\ell$  parallel to  $x_1$ , namely S(z) = a(z)/b(z), but that in fact any meromorphic function on  $\mathbb{CP}^1$  with denominator degree k has an interpretation as a k-monopole solution. This rational function depends on the point of  $M_k$  specifying the monopole. In this sense it is almost gauge invariant, except for the  $S^1$  phase associated to it. The poles of this rational function correspond to when the solution has  $s' = s_0$  from before, namely a bound state.

We state Donaldson's result:

**Theorem 3.13** (Donaldson). For any  $m \in M_k$ , the scattering function  $S_m$  is a rational function of degree k with  $S_m(\infty) = 0$ . Denote this space of rational functions by  $R_k$ . The identification of  $m \to S_m$  gives a scattering map diffeomorphism  $M_k \to R_k$ .

**Example 3.14.** For k=1 we have  $R_k$  takes functions of the form  $\frac{\alpha}{z-\beta}$ , which turns out to correspond to a monopole at  $(\log 1/\sqrt{|\alpha|}, \operatorname{Re}(\beta), \operatorname{Im}(\beta))$ . The argument of  $\alpha$  describes the U(1) phase at  $t \to \infty$ . This means  $M_1$  has complex structure  $\mathbb{C} \times \mathbb{C}^{\times}$ .

**Example 3.15.** For higher k, in the generic case a rational function in  $R_k$  will split as a sum of simple poles

$$\sum_{i} \frac{\alpha_i}{z - \beta_i}.$$

This has the interpretation of monopoles having centers at positions  $(\log 1/\sqrt{|\alpha_i|}, \operatorname{Re}(\beta_i), \operatorname{Im}(\beta_i))$  and phases described by the arguments of the  $\alpha_i$ .

## 4 The Nahm Equations

#### 4.1 Motivation

By adopting the monad construction of ADHM, Nahm succeeded in adapting their formalism to solving the 3D Bogomolny equation. The idea of Nahm (and indeed, the idea behind the Nahm transform more broadly) was to recognize monopoles on  $\mathbb{R}^3$  as solutions to the anti-self-duality equations in  $\mathbb{R}^4$  that were invariant under translation along one direction, and then appropriately modify ADHM to account for the different decay conditions and symmetries of the configuration.

In what follows, a quaternionic vector space of dimension k is taken to mean k copies of  $\mathbb{C}^2$ ,  $\mathbb{C}^{2k}$ , where each copy has quaternionic structure.

Review. The ADHM construction for  $\mathfrak{su}(2)$  starts with W a real vector space of dimension k and V a quaternionic vector space of dimension k+1 with inner product respecting the quaternionic structure. Then, for a given  $x \in \mathbb{R}^4$  it forms the operator:

$$\Delta(x): W \to V. \tag{29}$$

The operator  $\Delta(x)$  is written as Cx + D where C, D are constant matrices and  $x \in \mathbb{H}$  is viewed a quaternionic variable once a correspondence is made  $\mathbb{R}^4 \cong \mathbb{H}$ .

If  $\Delta$  is of maximal rank, then the adjoint  $\Delta^*(x): V \to W$  has a one-dimensional quaternionic subspace  $E_x$  that, as x varies, can be described as a bundle over  $\mathbb{H} \cong \mathbb{R}^4$ . The orthogonal projection to  $E_x$  (viewed as a horizontal subspace) in V defines the (Ehresman) connection on the vector bundle  $E \to \mathbb{R}^4$ . [7]

Here, we will use the zero-indexed  $(x_0, x_1, x_2, x_3)$  to label the coordinates so that the imaginary quaternionic structure of the latter three becomes more clear. Nahm's approach [3] was to seek vector spaces W, V fulfilling the same function, and look for the following conditions:

- 1.  $\Delta(x)^*\Delta(x)$  is real and invertible (as before).
- 2.  $\ker \Delta(x)^*\Delta(x)$  has quaternionic dimension 1 (as before).
- 3.  $\Delta(x+x_0) = U(x_0)^{-1}\Delta(x)U(x_0)$ .

This last point is equivalent to the translation invariance of the connection in  $x_0$ , up to gauge transformation.

Because of this new condition, unlike the case of ADHM, V and W turn out to be infinite dimensional. Consequently,  $\Delta, \Delta^*$  become differential (Dirac) operators.

#### 4.2 Construction

To construct V, first consider the space of all complex-valued  $L^2$  integrable functions on the interval (0,2). Denote this space by  $H^0$  (this notation coming from the fact that this is the zeroth Sobolev space). This space has a real structure coming not only from  $f(s) \to \bar{f}(s)$  but also from  $f(s) \to \bar{f}(2-s)$ . Define  $V = H^0 \otimes \mathbb{C}^k \otimes \mathbb{H}$ , where  $\mathbb{C}^k$  is taken to have a real structure.

Similarly, we define W by considering the space of functions whose derivatives are  $L^2$  integrable. This will be denoted by  $H^1$  (again with motivation deriving from a corresponding Sobolev space concept). Define

$$W = \{ H^1 \otimes \mathbb{C}^k : f(0) = f(1) = 0 \}.$$

Now define  $\Delta: W \to V$  by

$$\Delta(x)f = i\frac{df}{ds} + x_0 f + \sum_{i=1}^{3} (x_i e_i + iT_i(s)e_i)f,$$
(30)

where  $e_i$  denote multiplication by the quaternions i, j, k respectively and  $T_i(s)$  are  $k \times k$  matrices. It is clear that this operator is the form Cx + D with C = 1 and  $D = i \frac{d}{ds} + i \sum T_j e_j$ .

Using the language of [7] we make the following proposition

#### **Proposition 4.1.** The following hold:

- 1. The requirement that  $\Delta$  is quaternionic implies  $T_i(s) = T_i(2-s)^*$ .
- 2. The requirement that  $\Delta$  is real implies  $T_i(s)$  are anti-hermitian and also that  $[T_i, T_j] = \epsilon_{ijk} \frac{dT_k}{dt}$ .
- 3. The requirement that  $\Delta$  is invariant under  $x_0$  translation is automatically satisfied
- 4. The requirement that  $\Delta^*$  has kernel of quaternionic dimension 1 comes from requiring that the residues of  $T_i$  at s = 0, 2 form a representation of SU(2)

*Proof.* The first two are relatively straightforward to see. The new condition follows immediately from

$$e^{ix_0(s-1)}[\Delta(x)]e^{-ix_0(s-1)}f = e^{ix_0(s-1)}\left[i\frac{d}{ds} + \dots\right](e^{-ix_0(s-1)}f)$$

$$= \Delta(x)f + x_0f$$

$$= \Delta(x+x_0)f.$$
(31)

The last item states that since the residues of a  $k \times k$  matrix valued functions are themselves  $k \times k$  matrices, that in fact the commutation relations of these residue matrices at s = 0 and 2 form k-dimensional representations of SU(2). This requires a bit of work, and can be found in [7].

We thus have the following data:

 $T_1(s), T_2(s), T_3(s)$   $k \times k$  matrix-valued functions for  $s \in (0, 2)$  satisfying

$$\frac{dT_i}{ds} + \epsilon_{ijk}[T_j, T_k] = 0. (32)$$

together with the requirements

- 1.  $T_i(s)^* = -T_i(s)$
- 2.  $T_i(2-s) = -T_i(s)$
- 3.  $T_i$  has simple poles at 0 and 2 and is otherwise analytic
- 4. At each pole, the residues  $T_1, T_2, T_3$  define an irreducible representation of  $\mathfrak{su}(2)$ .

#### These are Nahm's equations.

For a given solution of Nahm's equations, the associated Dirac operator  $\Delta^*(x)$ , depending on a chosen  $\vec{x}$ , can be shown to again yield a 1-dimensional quaternionic (2-dimensional complex) kernel  $E_x$ . Here, though, it does not specify a connection on  $\mathbb{R}^4$  but instead gives rise to A and  $\phi$  through the following way construction:

Construction 4.2 (3D Monopole from Nahm's Equations). Pick an orthonormal basis of  $E_x = \ker \Delta^*(x) \cong C^2$ . Call this  $v_1, v_2$ . We view  $E_x$  as a fiber at x corresponding to a  $\mathbb{C}^2$  bundle, and construct  $\phi$  and A by their actions on a given  $v_a$  at x.

$$\phi(\vec{x})(v_a) = i \frac{v_1}{||v_1||_{L^2}} \int_0^2 (v_1, (1-s)v_a) ds + i \frac{v_2}{||v_2||_{L^2}} \int_0^2 (v_2, (1-s)v_a) ds,$$

$$A(\vec{x})(v_a) = \frac{v_1}{||v_1||_{L^2}} \int_0^2 (v_1, \partial_i v_a) ds + \frac{v_2}{||v_2||_{L^2}} \int_0^2 (v_2, \partial_i v_a) ds.$$
(33)

## 4.3 The Spectral Curve in Nahm's Equations

For any complex number  $\zeta$  we can make a definition:

$$A(\zeta) = (T_1 + iT_2) + 2T_3\zeta - (T_1 - iT_2)\zeta^2,$$
  

$$A_+ = iT_3 - (iT_1 + T_2)\zeta.$$
(34)

Nahm's equations can then be recast as:

$$\frac{dA}{ds} = [A_+, A]. \tag{35}$$

This is the **Lax Form** of Nahm's equations. This can be solved by considering the curve **S** in  $\mathbb{C}^2$  with coordinates  $(\eta, \zeta)$  defined by

$$\det\left(\eta - A(\zeta)\right)$$
.

**Proposition 4.3.** The above equation is independent of s.

*Proof.* Let v be an eigenvector of A and let it evolve as  $\frac{dv}{ds} = A_+v$ . Then

$$\frac{d(Av)}{ds} = [A_+, A]v + AA_+v = A_+Av = \lambda A_+v, \tag{36}$$

so this gives

$$\frac{d}{ds}(A - \lambda v) = 0. (37)$$

Since  $A - \lambda v = 0$  at s = 0, it is always zero. Thus, this curve of eigenvalues is independent of s.

It is in fact a remarkable result that:

**Proposition 4.4.** The curve S constructed above is the same as the spectral curve  $\Gamma$  constructed previously.

Hitchin showed this by associating to a given spectral curve  $\Gamma$  a set of Nahm data in [7].

## 5 The Nahm Transform and Periodic Monopoles

The Nahm transform is a nonlinear generalization of the Fourier transform, related to the Fourier-Mukai transform. It allows for the construction of instantons on  $\mathbb{R}^4/\Lambda$ . Some examples are below:

- 1.  $\Lambda = 0$ : ADHM Construction of Instantons on  $\mathbb{R}^4$ ,
- 2.  $\Lambda = \mathbb{R}$ : The monopole construction that this paper has described,
- 3.  $\Lambda = \mathbb{R} \times \mathbb{Z}$ : Periodic monopoles on  $\mathbb{R}^3$  (calorons),
- 4.  $\Lambda = (\mathbb{R} \times \mathbb{Z})^2$ : Hitchin system on a torus.

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