Introduction to the Text Encoding Initiative

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■ Those slides are freely inspired by the TEI course redacted by Ariane Pinche for the 2024 EnExDi summer school: https://github.com/ABC-DH/EnExDi2024/blob/main/materials/2_OCR_TEI/slides/XML_TEI.pdf

- 1 Theoric introduction
- 2 TEI Fundamentals
- 3 Conclusion

- 1 Theoric introduction
 - What is the TEI?
 - A little bit of history
 - Principles
 - The TEI, what for ?
 - The XML format

What is the TEI?

- A community
- A standard
- A way of seeing/modelling "the" text

- 1 Theoric introduction
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- Born in 1987
- 5 versions (actual: P5)
- Originally: SGML; switch to XML in 2007

1 Theoric introduction

- What is the TEI?
- A little bit of history
- Principles
- The TEI, what for ?
- The XML format

■ Separate the appearance and the "essence" of textual objects

del mal del otro o del buen zelo³. Si del mal, cuidando que non devía el omne sofrir tal mal, esta es misericordia, ca misericordia non es otra cosa sinon tristeza del mal que omne sufre sin meresçimiento, segund que dize el philósofo en el IIº de la *Retórica*; mas si toma tristeza del bien, esto es en dos maneras, ca o le pesa del bien que otri ha, maguer que lo él meresca de aver, e esta es enbidia, ca enbidia non es otra cosa sinon // [Fol. 176r] dolor o tristeza del bien que otro ha⁴; [mas si le pesa del bien que otro ha] porque lo non meresçe aver, (e) así es némesis o

Figure: Fragment of an edition of the Castigos de Sancho IV

³ del buen zelo] del bien Glosa.

⁴ En este punto ha producido un salto de texto de igual a igual respecto a la *Glosa*, donde se lee seguidamente el texto que transcribo entre corchetes, que es necesario para que el sentido del pasaje no quede truncado.

⁵ [a los mayores]] om. Castigos respecto a la Glosa.

Separate the appearance and the "essence" of textual objects

del mal del otro o

```
<app>
 <lem>del buen zelo</lem>
 <rdg wit="#Glosa">del bien</rd>
</app>
. Si del mal, cuidando que non devía el omne sofrir tal mal, esta es misericordia, ca
misericordia non es otra cosa sinon tristeza del mal que omne sufre sin merescimiento,
segund que dize el philósofo en el llo de la Retórica; mas si toma tristeza del bien,
esto es en dos maneras, ca o le pesa del bien que otri ha, maguer que lo él meresca de
aver, e esta es enbidia, ca enbidia non es otra cosa sinon<pb n="176r"/> dolor o
tristeza del bien que otro ha:
<choice>
 <sic>mas si le pesa del bien que otro ha</sic>
 <corr/>
</choice>
<note>En este punto ha producido un salto de texto de igual a igual respecto a la Glosa,
 donde se lee seguidamente el texto que transcribo, que es necesario
 para que el sentido del pasaje no quede truncado.</note> porque lo non meresçe aver,
 <supplied>e</supplied> así es némesis o desdén
```

Figure: Its possible representation in XML-TEI

1 Theoric introduction

- What is the TEI?
- A little bit of history
- Principles
- The TEI, what for ?
- The XML format

The TEI, what for?

- Describing a text using the experience of a large community
- Producing semantic data that can be read by the human and by the computer
- Easing documents sharing and reusability

The TEI, what for?

The TEI can be used for

- Describing a manuscript
- Producing the edition with multiple witnesses
- Encoding a set of letters
- Structuring a drama
- Describing a web-native textual object
- ... and so on, including other media types like speech.
- Any kind of human communication could be theoretically represented in TEI, as long as there is some scientific interest in formating the information in this particular way

1 Theoric introduction

- What is the TEI?
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Conformance and validity

- XML stands for eXtensible Markup Language.
- It is a format that allows to describe any kind of textual (or numeric) data
- It is the actual format the TEI uses, but it might change/evolve in the future years.

Conformance and validity

- Two important concepts
- A document must be XML conformant, that is, respect the rules of the XML format
- A document may be validated against a schema, that is a document that verifies some rules are respected
- Some examples of specifications: TEI, EAD, DublinCore, AltoXML, PageXML, RDF, etc...

■ XML is composed of elements, attributes, attribute values and text.

```
<?xml version="1.0" encoding="UTF-8"?>
<TEI xml:id="drp" xmlns="http://www.tei-c.org/ns/1.0">
  <teiHeader>
    <fileDesc>
       <titleStmt>
         <author cert="low">Matthias Gille Levenson</author>
       </titleStmt>
       <publicationStmt>
         <publisher>Unpublished</publisher>
         <availability>
           Creative Commons CC BY-NC-SA 4 0 FR
         </availability>
       </publicationStmt>
    </fileDesc>
  </teiHeader>
</TEI>
```

■ There is little asumption about the types of data allowed in them in XML (hence eXtensible).

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One node to contain them all

One and only one top element that contains everything else

```
<?xml version="1.0" encoding="UTF-8"?>
<TEI xml:id="drp" xmlns="http://www.tei-c.org/ns/1.0">
  <teiHeader>
    <fileDesc>
       <titleStmt>
         <author cert="low">Matthias Gille Levenson</author>
       </titleStmt>
       <publicationStmt>
         <publisher>Unpublished</publisher>
         <availability>
           Creative Commons CC BY-NC-SA 4.0 FR
         </availability>
       </publicationStmt>
    </fileDesc>
  </teiHeader>
</TEI>
```

No overlapping elements

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■ Some elements can contain other elements, but elements can also be empty

```
<?xml version="1.0" encoding="UTF-8"?>
<div type="chapitre" n="1">
  <lb/>ca . i. quomodo diuiduntur potentiae
  <lb/>anime et inquibus potenciis
  <lb/>habent esse
  <lb/>uirtutes
</div>
```

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Attribute values must be inside quotes

```
<?xml version="1.0" encoding="UTF-8"?>
<TEXT>
     <NODE_A type=sentence>Hello
          <NODE_B>World</NODE_B>
           </NODE_A>
</TEXT>
```

Conformant or not?

```
1 < sentence > Longtemps, je me suis couché de bonne heure. < / sentence >
 2
 3 < sentence > Longtemps, je me suis couché de bonne heure. < sentence >
 5<sentence type=incipit>Longtemps, je me suis couché<linebreak></linebreak> de bonne heure.</sentence>
 6
 7<sentence type=incipit>Longtemps, je me suis couché<linebreak/> de bonne heure.</sentence>
 9 < sentence > Longtemps, je me suis couché de bonne heure. < / sent >
10
11 < sentence type="incipit">Longtemps, je me suis couché de bonne heure. < / sentence>
12
13 <paragraph>
14
          <sentence type="incipit">Lonatemps. je me suis couché <strikethrough>de bonne heure.</sentence>
15
          <sentence>Parfois, à peine ma bougie éteinte</strikethrough>,
16
              mes yeux se fermaient si vite que je n'avais pas le temps de me dire</sentence>
17 </paragraph>
18
19 <paragraph>
20
           <sentence type="incipit">Longtemps, je me suis couché <strikethrough>de bonne heure.</strikethrough></sentence>
21
          <sentence><strikethrough>Parfois, à peine ma bouqie éteinte</strikethrough>,
22
              mes yeux se fermaient si vite que je n'avais pas le temps de me dire</sentence>
23 </paragraph>
24
```

Schemas

- A schema is a document that is used to control the quality of some encoding.
- A schema is materialized by several data formats: DTD, RNG, RNC
- The TEI provides **rules and guidelines** (human readable), and **schemas** (machine readable) to check the validity of a given document
- The schema represents the formalisation of your modelling of a given text or genre.

Schemas

```
body div div div lb
1803 •
      <body>
1804 -
       <div type="livre" n="1">
1805 -
         <div type="partie" n="2">
         <div type="chapitre" n="1"><pb n="" facs="../input_files/16369405.jpg"/><fw</pre>
1806 🕶
            type="titre courant"/><|b break="?" rend="rubric"
1807
            xml:id="elem eSc line 99cc2a93"/>Capitulum . i, Iguno diuiduntur potencie<Ib
1808
            break="yes" rend="rubric"
1809
            xml:id="elem eSc line 7f955f55"/>anime et in quibus potenciis habet esse uirtutes. <lb
1811
1812
            xml:id="elem eSc line 6ea8e267"/>ostquam auxiliante deo compleui<lb break="no"
1813
            xml:id="elem eSc line df9d9bc9"/>mus primampartem hujus primi libri inquo<|b
1814
            break="ves"
1815
            xml:id="elem eSc line b36db744"/>agitur de regimine sui ostendentes<lb break="no"
1816
            xml:id="elem eSc line 2c352677"/>i quo reges et pncipes suam felici □ □ poner<|b
1817
            break="no"
            xml:id="elem eSc line 54b88267"/>e di quia non decet eos suum finem pone in din<1b
1818
1819
            break="no"
           xml:id="elem eSc line_a6468504"/>ciis. nec in ciuili potentia. nec in aliquibus
           talibus sed omni<|b break="no"
1821
            xml:id="elem_eSc_line_5efa6adc"/>bus hijs ut supra plenius perba debet uti tanguam<lb
1822
            break="yes"
1823
            xml:id="elem eSc line 33a63380"/>organis ad felicitatem sed poneredz in a<|b
1824
            break="no"
            xml:id="elem eSc line c991f46c"/>ctu pruden ∏ prout talis actus est inpatu∏<|b
1826
1827
            break="ves"
1828
            xml:id="elem_eSc_line_388d53ce"/>a cari □ □ Nam tunc reges habet felicita<|b
1829
            xml:id="elem eSc line 4b955e3e"/>tem suo statui debitas et condignam quando<|b
1831
            break="ves"
            xml:id="elem_eSc_line_c422ed80"/>instigante dei dilectione secundum prudenciam<lb
1832
            hreak-"vec"
```

Figure: This fragment is well-formed, but it is not TEI compliant / valid. A paragraph p or an anonymous block ab should wrap the lines.

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Schemas

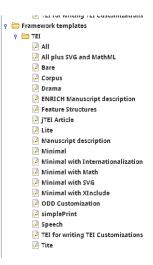


Figure: There are multiple TEI schemas available, adapted to the user's usecases

One Document Does it all (ODD)

- ODD stands for "One Document Does it All"
- An ODD is a TEI document that is used to create documentation and schema, with a transformation script maintained by the TEI community
- See Lou Burnard. "What Is TEI Conformance, and Why Should You Care?" In: *Journal of the Text Encoding Initiative* 12 (2019). ISSN: 2162–5603

- 1 Theoric introduction
- **2** TEI Fundamentals
- 3 Conclusion

The TEI guidelines

- https://tei-c.org/guidelines/
- The TEI guidelines is the document you will consult everyday starting now. You can print it and use it as a bedside reading.
- The guidelines are made of two main parts:
 - a description of good editing practices, in natural language
 - the individual description of each element (possible attributes, elements inside, elements containing the current element, etc.)

The TEI guidelines

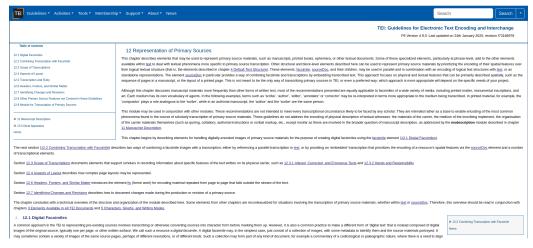


Figure: The natural language part of the TEI

The TEI guidelines



Figure: The description of the 1b element (simplified to fit in the slide)

2 TEI Fundamentals

- Main components
- Structure
- Document layout description and material description of sources
- Exercise 1. Encoding a poem.
- What's next? Manipulating XML trees
- Editing documents

```
<TEI xmlns="http://www.tei-c.org/ns/1.0">
 <teiHeader>
   <fileDesc>
     <titleStmt>
      <title>Title</title>
     </titleStmt>
     <publicationStmt>
      Publication information
     </publicationStmt>
     <sourceDesc>
      Information about the source
     </sourceDesc>
   </fileDesc>
 </teiHeader>
 <text>
   <body>
     Some text here.
     <figure>
      <graphic url="http://www.tei-c.org/logos/TEI-glow.png"/>
     </figure>
   </body>
 </text>
</TEI>
```

Figure: The minimal TEI document

■ Two main components: data and metadata

The teiHeader element

- The teiHeader contains the metadata and all the information about the sources you are describing.
- Four main components: fileDesc, encodingDesc, profileDesc, revisionDesc.

The fileDesc element

■ The fileDesc contains the bibliographic information about the source. It is the only mandatory component in TEI.

```
<teiHeader>
<fileDesc>
 <titleStmt>
  <title>
<!-- title of the resource -->
  </title>
 </titleStmt>
 <publicationStmt>
   >
<!-- Information about distribution of the resource -->
   </publicationStmt>
 <sourceDesc>
   >
<!-- Information about source from which the resource derives -->
  </sourceDesc>
</fileDesc>
</teiHeader>
```

Figure: Example of the fileDesc taken from the TEI Guidelines

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The encodingDesc element

The encodingDesc is used to describe the principles that the editor has been following to produce the TEI document.

```
<encodingDesc>
 ctDesc>
 Originally prepared for use in the production of a series of old-spelling
     concordances in 1968, this text was extensively checked and revised for use during
     editing of the new Oxford Shakespeare (Wells and Taylor, 1989).
<editorialDecl>
 <correction>
  Turned letters are silently corrected.
 </correction>
 <normalization>
  original spelling and typography is retained, except that long s and ligatured
       forms are not encoded.
 </normalization>
</editorialDecl>
 <refsDecl xml:id="ASLREF">
 <cRefPattern matchPattern="(\S+) ([^.]+)\.(.*)"
  replacementPattern="#xpath(//div1[@n='$1']/div2/[@n='$2']//lb[@n='$3'])">
  A reference is created by assembling the following, in the reverse order as that
       listed here: <list>
    <item>the <att>n</att> value of the preceding <qi>lb</qi>
    </item>
    <item>a period</item>
    <item>the <att>n</att> value of the ancestor <qi>div2</qi>
    </item>
    <item>a space</item>
    <item>the <att>n</att> value of the parent <qi>div1</qi>
    </item>
   </list>
  </cRefPattern>
</refsDecl>
</encodingDesc>
```

Figure: Example of the encodingDesc taken from the TEI Guidelines

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The text

■ The text element contains the text *per se*. It contains three main elements: front, body, back

Facsimiles

- Facsimile information is stored in a specific element after the teiHeader, in facsimile element. It is an element that's being used everyday more and more due to the apparition of efficient HTR algorithms.
- This element tends to be created automatically, as it can contain lots and lots of subelements (page and line

Facsimiles

```
<facsimile>
 <surface ulx="0" uly="0" lrx="200" lry="300">
  <graphic url="Bovelles-49r.png"/>
  <zone ulx="25" uly="25" lrx="180" lry="60">
<!-- contains the title -->
  </zone>
  <zone ulx="28" uly="75" lrx="175" lry="178"/>
<!-- contains the paragraph in italics -->
  <zone ulx="105" uly="76" lrx="175"
  lry="160"/>
<!-- contains the figure -->
  <zone ulx="45" uly="125" lrx="60" lry="130"/>
<!-- contains the word "pendans" -->
 </surface>
</facsimile>
```

Figure: The description of the elements on the page with their coordinates

- Main components
- Structure
- Document layout description and material description of sources
- Exercise 1. Encoding a poem.
- What's next? Manipulating XML trees
- Editing documents

Some basic structuring elements

https://www.tei-c.org/release/doc/tei-p5-doc/en/html/DS.html

- div: for any division of a text. They can be nested, and attributes like @type or @n are used to specify the type and level of structure
- head: for encoding headings
- p: for encoding paragraphs
- ab (for anonymous block): for encoding any sub-div block
- 1g (line group)
- 1 (verse)

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Some basic structuring elements

https://tei-c.org/release/doc/tei-p5-doc/en/html/MS.html

- pb: page beginning
- cb: columns beginning
- 1b: line begining
- fw (forme work): for encoding headers, footers, page number, catchwords, etc

Some basic structuring elements

```
<div type="livre" n="1">
 <div type="partie" n="2">
  <div type="chapitre" n="1"><ab><pb n="" facs="../input files/16369405.jpg"/><fw</pre>
    type="titre courant"/><|b break="?" rend="rubric"
    xml:id="elem eSc line 99cc2a93"/>Capitulum . i. Iguno diuiduntur potencie<Ib
    break="ves" rend="rubric"
    xml:id="elem eSc line 7f955f55"/>anime et in quibus potenciis habet esse uirtutes. <lb
    break="ves"
    xml:id="elem eSc line 6ea8e267"/>ostquam auxiliante deo compleui<lb break="no"
    xml:id="elem eSc line df9d9bc9"/>mus primampartem huius primi libri inquo<lb
    break="ves"
    xml:id="elem_eSc_line_b36db744"/>agitur de regimine sui ostendentes<|b break="no"
    xml:id="elem eSc line 2c352677"/>i quo reges et pncipes suam felici ∏ poner<lb
    break="no"
    xml:id="elem eSc line 54b88267"/>e dî quia non decet eos suum finem pone in din<|b
    break="no"
    xml:id="elem eSc line a6468504"/>ciis. nec in ciuili potentia. nec in aliquibus
   talibus sed omni<|b break="no"
    xml:id="elem eSc line 5efa6adc"/>bus hiis ut supra plenius perba debet uti tanquam<|b
```

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Shakespeare's Sonnet 18

- Encode the Sonnet 18, available in the github repo: materials/2_OCR_TEI/TEI/data/sonnet_18.txt
- The "verse" section of the guidelines will help you here: https://www.tei-c.org/release/doc/tei-p5-doc/en/html/VE.html
- The type of each stanza has to be specified, as well as its position in the poem when it is relevant

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XPath

■ XPath is the base language to navigate trees

XSLT

- XSLT is a transformation language that is built on the same logic as XML: nesting
- It is usefull for creating complex (web-based or LATEX) editions

```
<xsl:template
  match="text()"
  mode="secondePasse">
  <xsl:for-each select="tokenize(.. '\s+')">
     <xsl:analyze-string select="." regex="([():,;¿?!¡.])">
       <xsl:matching-substring>
          <xsl:element name="pc" namespace="http://www.tei-c.org/ns/1.0">
            <xsl:value-of select="regex-group(1)"/>
          </xsl:element>
       </xsl:matching-substring>
       <xsl:non-matching-substring>
          <xsl:element name="w" namespace="http://www.tei-c.org/ns/1.0">
            <xsl:value-of select="."/>
         </xsl:element>
       </xsl:non-matching-substring>
     </xsl:analyze-string>
  </xsl:for-each>
</xsl:template>
```

Figure: A rule to tokenize a text into words and punctuation with XSLT

XQuery

 XQuery is a query language that is used to build XML databases and create dynamic editions.

Figure: Example of query on a collection of tombstones inscriptions encoded in XML-TEI. Taken from James Cummings' workshop at TEI Conference 2006.

Python

- Python is usefull to plug external tools for text processing. It is really helpfull for NLP tasks (annotation, segmentation, etc)
- It can be more performant for treating large corpora than XSLT/XQuery
- It follows a linear logic and therefore is not adapted to in-depth transformations of XML sources (not suited for editing for instance)

```
with open(xml_file, "r") as input_file:
    f = etree.parse(input file)
line_breaks = f.xpath("//tei:lb[not(parent::tei:fw)]", namespaces=namespace_declaration)
text_lines = [utils.clean_and_normalize_encoding(line.tail) for line in line_breaks]
predictions = []
steps = len(text_lines) // batch_size
for n in range(steps):
    batch = text_lines[n * batch_size: (n * batch_size) + batch_size]
    predicted_batch = tagger.tag_and_detect_lb(batch)
    predictions.extend(predicted_batch)
predictions.extend(tagger.tag_and_detect_lb(text_lines[(n + 1) * batch_size:]))
```

Figure: Some code that extracts each line of a transcription to detect hyphenated lines

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Editing documents

- https://www.tei-c.org/release/doc/tei-p5-doc/en/html/TC.html
- app: the apparatus entry
- 1em: the accepted reading, that will be shown in the edition
- rdg: the rejected reading(s), that might be indicated in the apparatus

```
<app>
  <lem wit="#E1 #Hg">Experience</lem>
  <rdg wit="#La" type="substantive">Experiment</rdg>
  <rdg wit="#Ra2" type="substantive">Eryment</rdg>
  </app>
```

Figure: Example of apparatus modelling (taken from the TEI Guidelines)

Editing documents

- Each witness, manuscript or print, has to be specified with an attribute, @wit
- The witnesses has to be described somewhere, in general in the teiHeader and more precisely in the sourceDesc: see the usage of the listWit in the guideline.

Exercise 2

• Represent the edition by Delphine Demelas of the Chanson d'Otinel in XML-TEI. Try to preserve any information you can.

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- TEI-based editions without publication of the XML sources is quite unuseful
- Please publish your data alongside its documentation!



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