+0.9 "This book is fantastic! It tells wonderful and beautiful things..." "In those days, sadness -0.5 shadowed my heart"

"[...] is the field of study that analyzes people's opinions, sentiments, appraisals, attitudes, and emotions towards entities and their attributes expressed in written text. The entities can be products, services, organizations, individuals, events, issues, or topics"

(Liu, 2015)

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### Matthew L. Jockers

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#### A Novel Method for Detecting Plot

POSTED BY MATTHEW JOCKERS IN COMMENTARY, TEXT-MINING

**≈ COMMENTS OFF** 

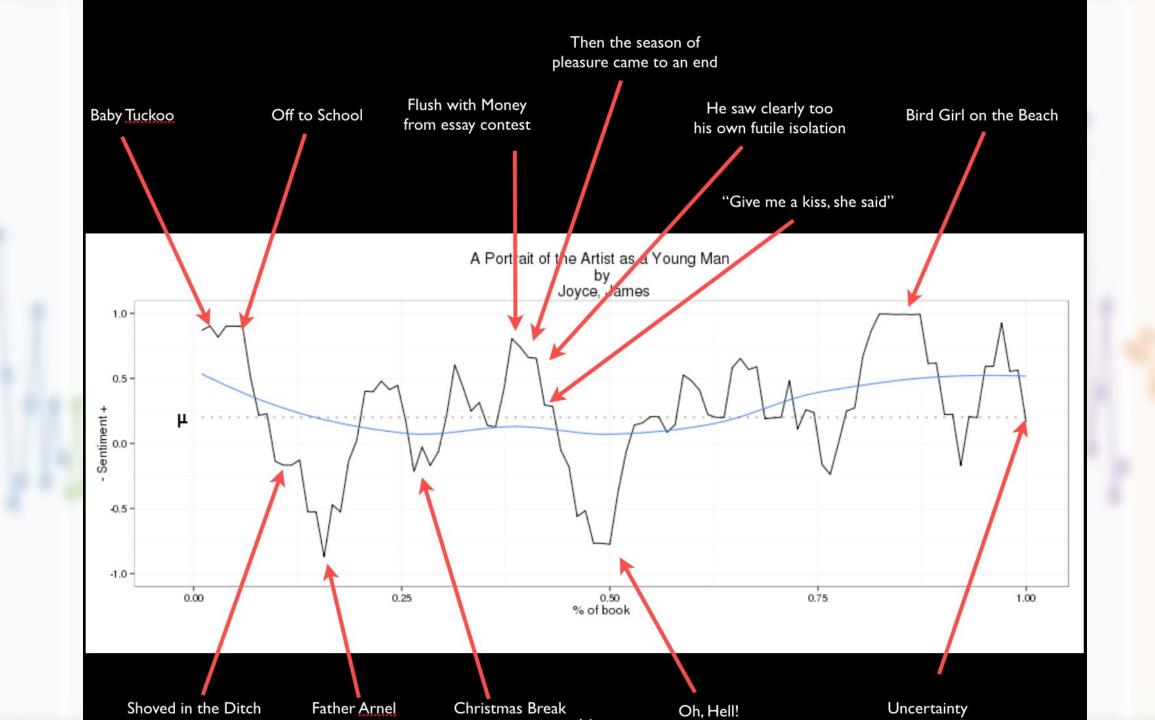
While studying anthropology at the University of Chicago, Kurt Vonnegut proposed writing a master's thesis on the shape of narratives. He argued that "the fundamental idea is that stories have shapes which can be drawn on graph paper, and that the shape of a given society's stories is at least as interesting as the shape of its pots or spearheads." The idea was rejected.

In 2011, Open Culture featured a video in which Vonnegut expanded on this idea and suggested that computers might someday be able to model the shape of stories, that is, the movement of the narratives, the plots. The video is about four minutes long; it's worth watching.

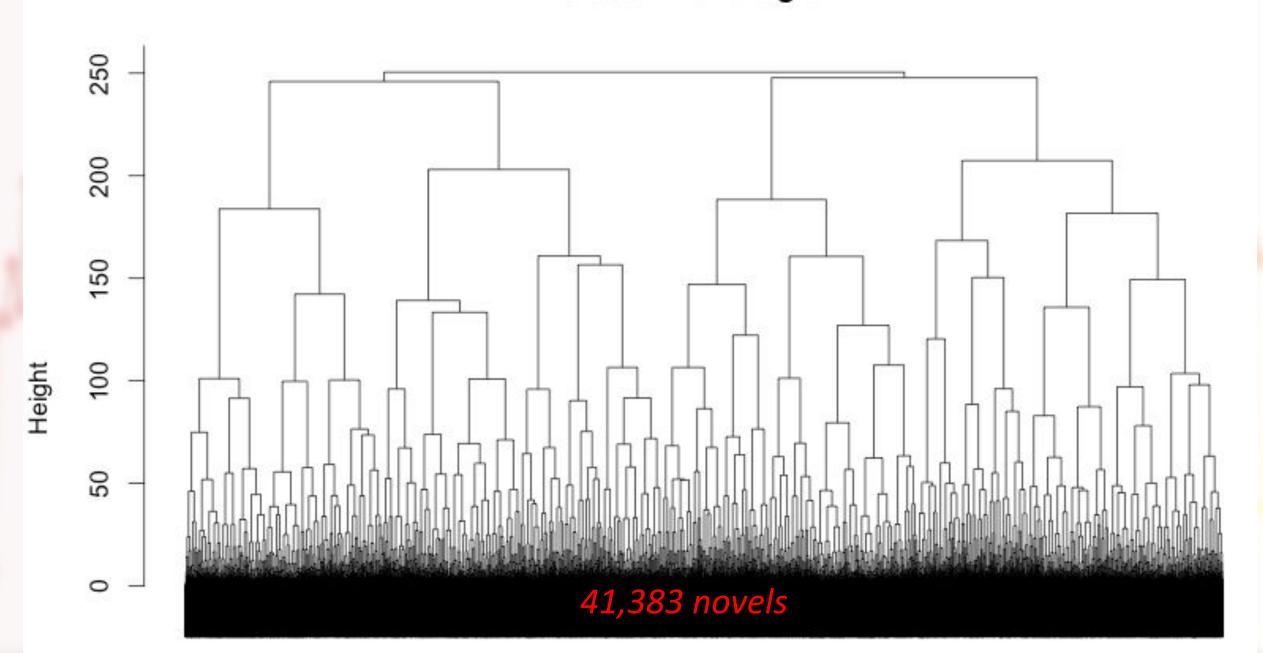
#### . CONTACT

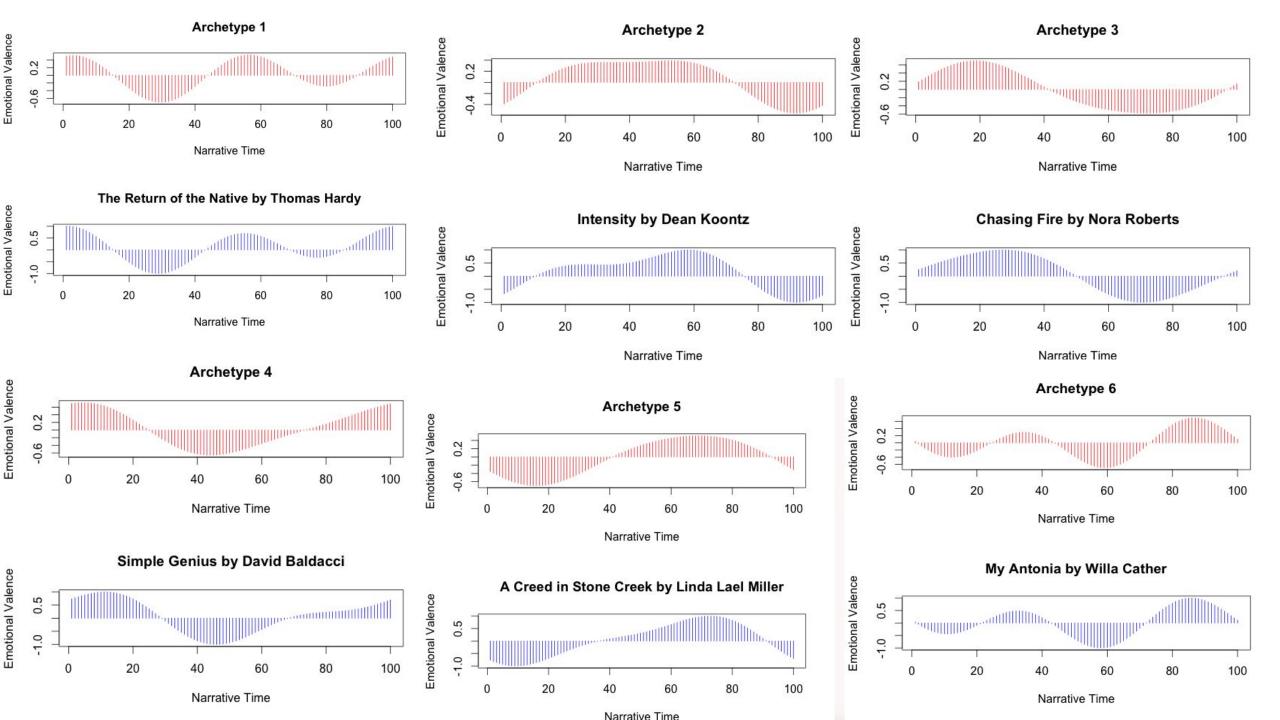


Matthew L. Jockers Susan J. Rosowski Associate Professor of English Department of English University of Nebraska-Lincoln Lincoln, NE 68588



#### **Cluster Dendrogram**





## The Shapes of Stories by Kurt Vonnegut



#### Man in Hole

#### Boy Meets Girl

#### From Bad to Worse

#### Which Way Is Up?



The main character gets into trouble then gets out of it again and ends up better off for the experience.



Arsenic and Old Lace



Harold & Kumar Go To White Castle



The main character comes across something wonderful, gets it, loses it, then gets it back forever.



Jane Eyre



Eternal Sunshine of the Spotless Mind



The main character starts off poorly then gets continually worse with no hope for improvement.



The Metamorphosis



The Twilight Zone



The story has a lifelike ambiguity that keeps us from knowing if new developments are good or bad.



Hamlet



The Sopranos

#### **Creation Story**



In many cultures' creation stories, humankind receives incremental gifts from a deity. First major staples like the earth and sky, then smaller things like sparrows and cell phones. Not a common shape for Western stories, however.

#### Old Testament



Humankind receives incremental gifts from a deity, but is suddenly ousted from good standing in a fall of enormous proportions.



Great Expectations with original ending

#### **New Testament**



Humankind receives incremental gifts from a deity, is suddenly ousted from good standing, but then receives off-the-charts bliss.



Great Expectations with revised ending

#### Cinderella



It was the similarity between the shapes of Cinderella and the New Testament that thrilled Vonnegut for the first time in 1947 and then over the course of his life as he continued to write essays and give lectures on the shapes of stories.

## Sentiment Analysis - Critical Aspects

#### From a theoretical point of view:

- Jockers called his software «syuzhet», referring to Russian formalism (see Vladimir Propp) and narratology (the "science of narration")
- However, traditional narratological studies (see Gérard Genette, Mieke Bal, et al.) do not consider emotions at all
- Only Patrick Colm Hogan wrote a book on Affective Narratology (2012), but looking at much more complex phenomena than "plot arcs"

#### From a practical point of view:

- Emotions are subjective (of course!), so their measurement can be unreliable
- SA software are generally unstable: you modify a few parameters, and you get completely different results

#### SA and cognitive literary studies

Jacobs, A. M., Schuster, S., Xue, S., and Lüdtke, J. (2017). What's in the brain that ink may character... A quantitative narrative analysis of Shakespeare's 154 sonnets for use in (Neuro-)cognitive poetics. *Scientific Study of Literature*, 7(1): 4-51.

#### SA for the study of secondary literature

Mellmann, K. and Du, K. (2018). "Sentimentanalyse in Unstrukturierten Texten (Am Bsp. Literaturgeschichtlicher Rezeptionsanalyse)." In DHd 2018 Konferenzabstracts, 305–8. Cologne: Universität zu Köln.

#### SA for the study of social reading

Rebora, S. and Pianzola F. (2018). A New Research Programme for Reading Research: Analysing Comments in the Margins on Wattpad. DigitCult - Scientific Journal on Digital Cultures, 3(2): 19–36

#### SA for Italian language

Sprugnoli, R., Tonelli, S., Marchetti, A., and Moretti, G. (2016). Towards sentiment analysis for historical texts. *Digital Scholarship in the Humanities*, 31(4): 762-772.

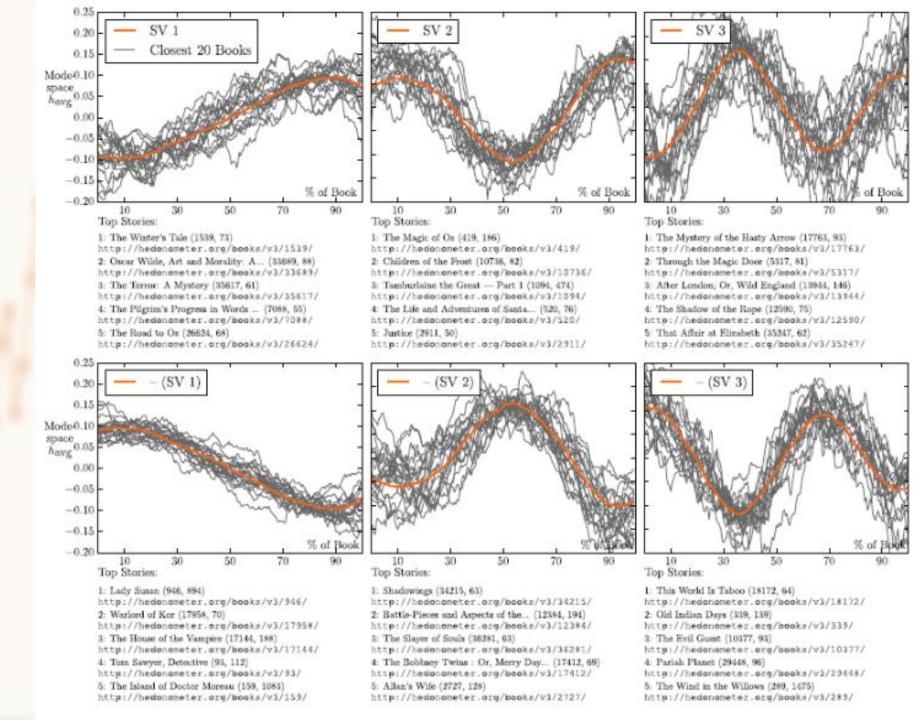
#### **SA for German language**

Zehe, A., Becker, M., Jannidis, F., and Hotho, A. (2017). Towards Sentiment Analysis on German Literature. In *Joint German/Austrian Conference on Artificial Intelligence (Künstliche Intelligenz*). Cham: Springer, pp. 387-394.

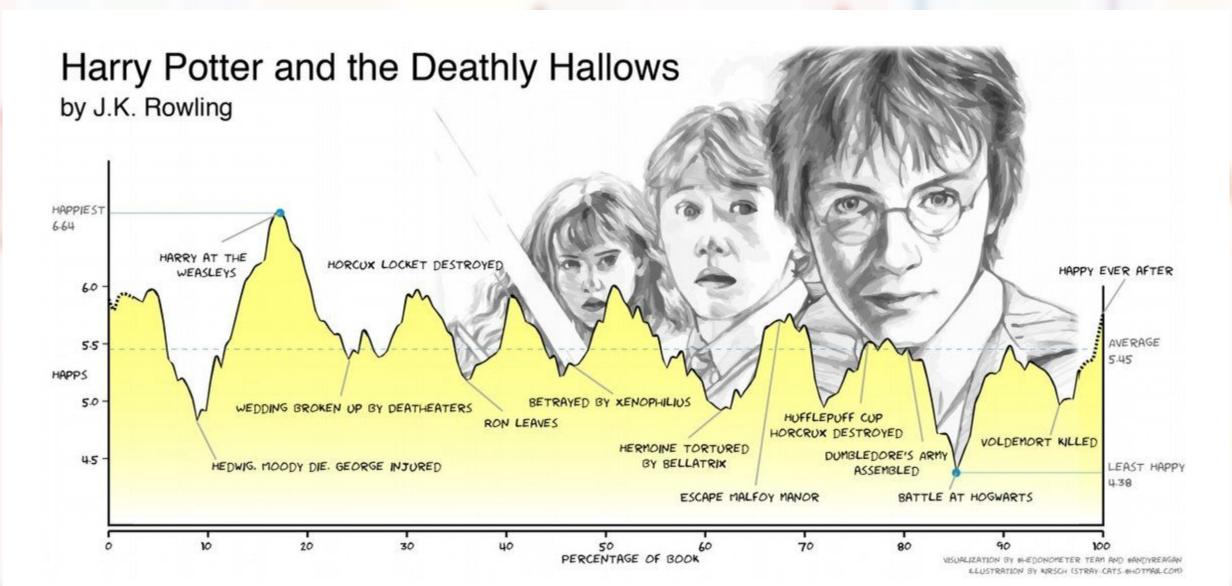
#### SA for emotional arcs (again)

Reagan, A. J., Mitchell, L., Kiley, D., Danforth, C. M., and Dodds, P. S. (2016). The emotional arcs of stories are dominated by six basic shapes. *EPJ Data Science*, *5*(1): 31.

Andrew J Reagan et al. 2016. "The emotional arcs of stories are dominated by six basic shapes." *EPJ Data Science* 



## http://hedonometer.org/

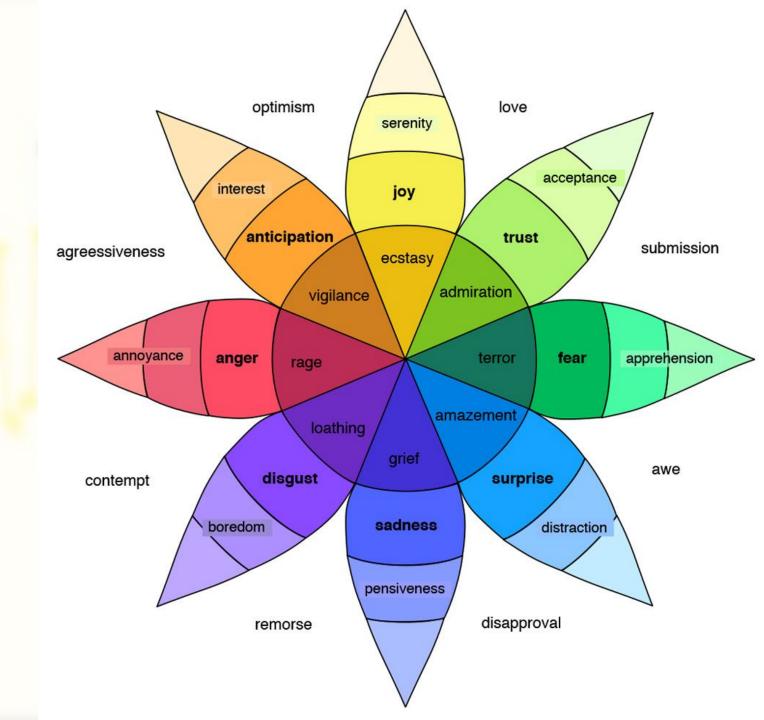


# Sentiment Analysis Tools - A (Simple) Taxonomy

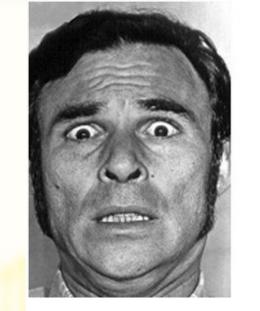
#### Two defining elements:

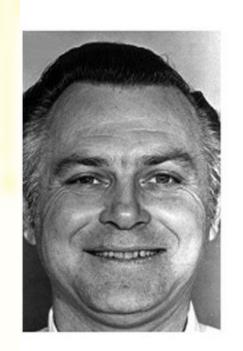
- the emotion theory adopted by the tool
- the technique to accomplish the analysis

1. Plutchik's eight basic emotions



2. Ekman's
Theory of (Six)
Basic Emotions





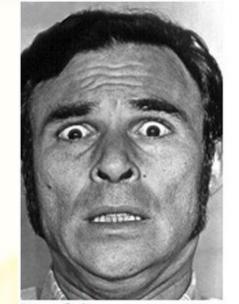




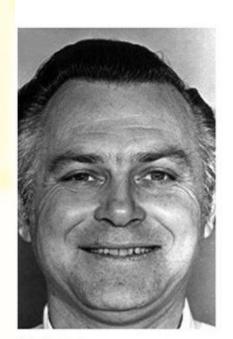




2. Ekman's
Theory of (Six)
Basic Emotions



Fearful



Нарру



Angry



Disgusted

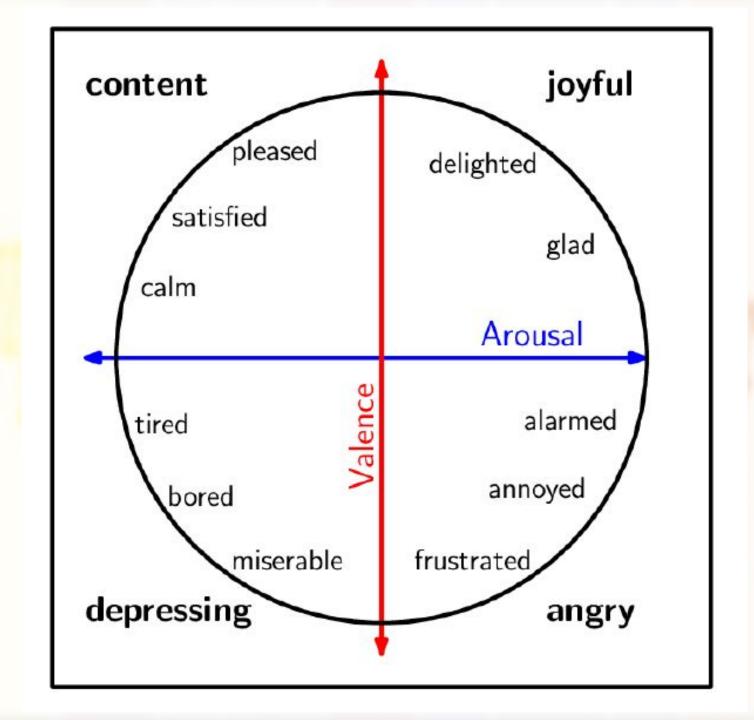


Sad



Surprised

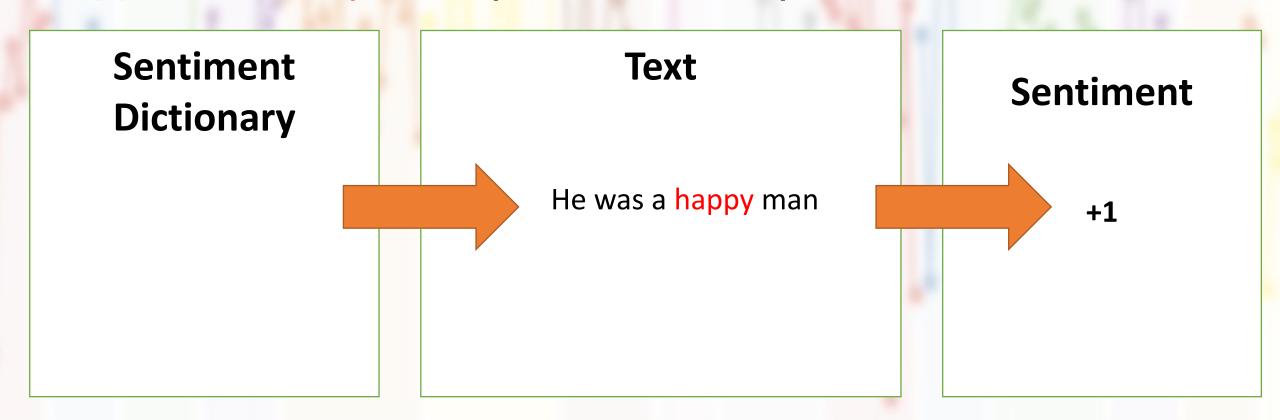
3. Russel's Circumplex Model

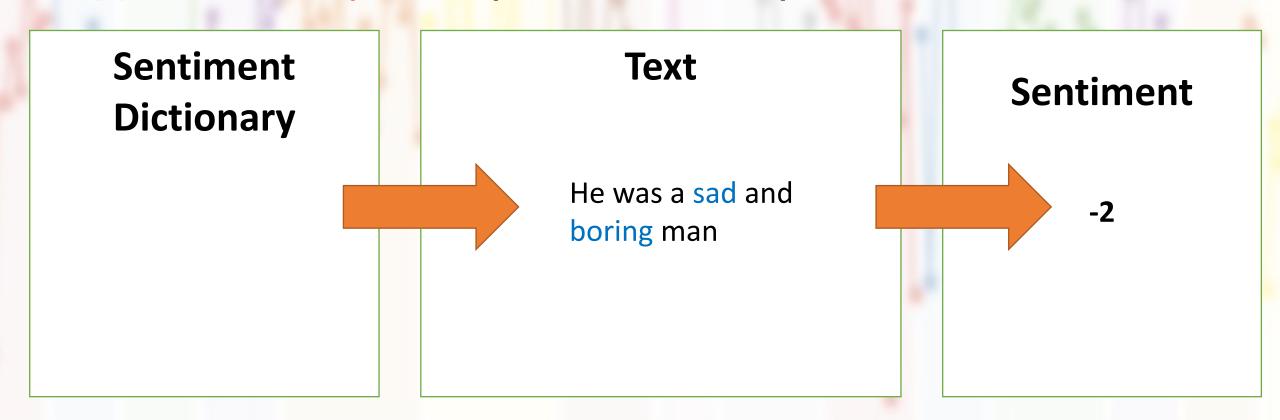


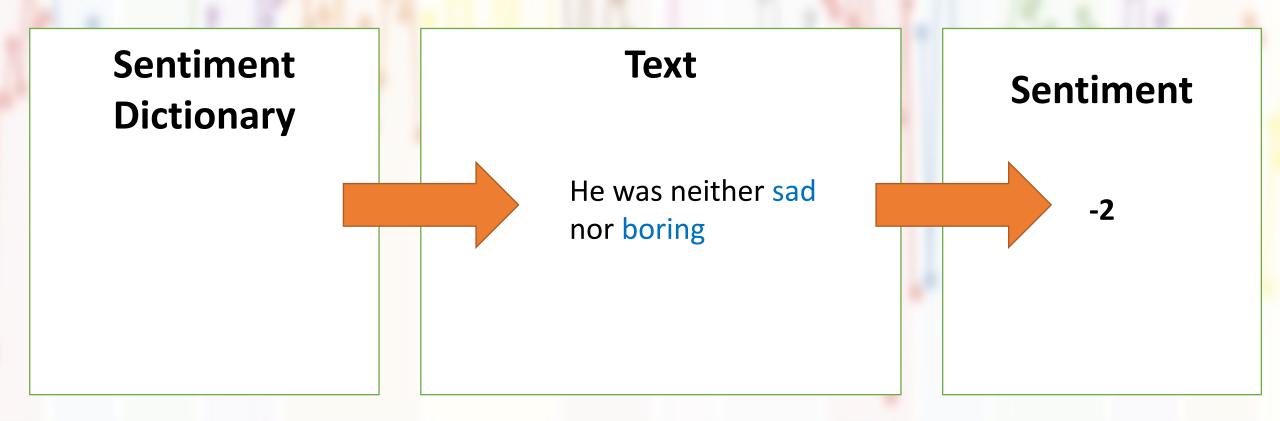
Approach\_1: Syuzhet (Jockers, 2015)

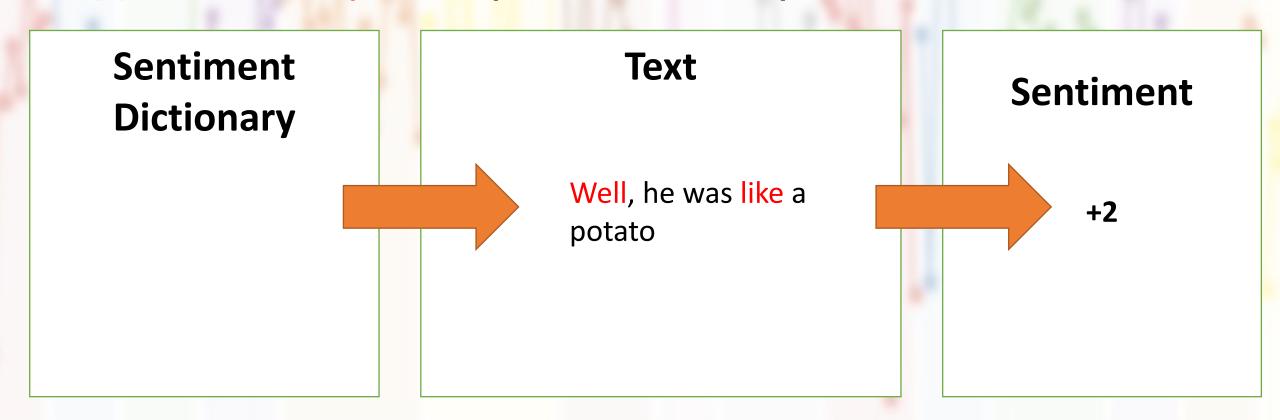
**Sentiment Dictionary** 

English Word	Arabic Translation (Google Translate)	Basque Trans (Google Trans		Positive (Valence)	Negative	e (Valence)	
aback		abackالى ال	iaccj	· · · · · · · · · · · · · · · · · · ·	0		0
abacus		abakoطبلية		0			0
abandon		alde batera utziتخلی		0			1
abandoned	).	abandonatuمهجو			0		1
abandonment		abandonoھجر			0		1
abate	ر	abateانحس			0		0
abatement	ار	murriztekoانحس			0		0
abba		أباabba			1		0
abbot	الدير	abadeرئيس			0		0
abbreviate	ىر	laburtuاختص			0		0
abbreviation	صار	laburduraاالاخت			0		0
abdomen		abdominalakبطن	NRC-Fn	notion-Lexicon-v0.9	2		0
abdominal	C	sabelekoالبطن		mmad and Turney,			0
abduction	اف	urrunketaالختط	(IVIOIIAI	illilau allu Tulliey,	2013)		1
aberrant		aberranteariشاذ			0		1
aberration	ف	aberrazioانحرا			0		1
abeyance	abeyance	etena			0		0
abhor		gaitzestenمقت			0		1
abhorrent	ز	nazkagarriaمشمئ			0		1

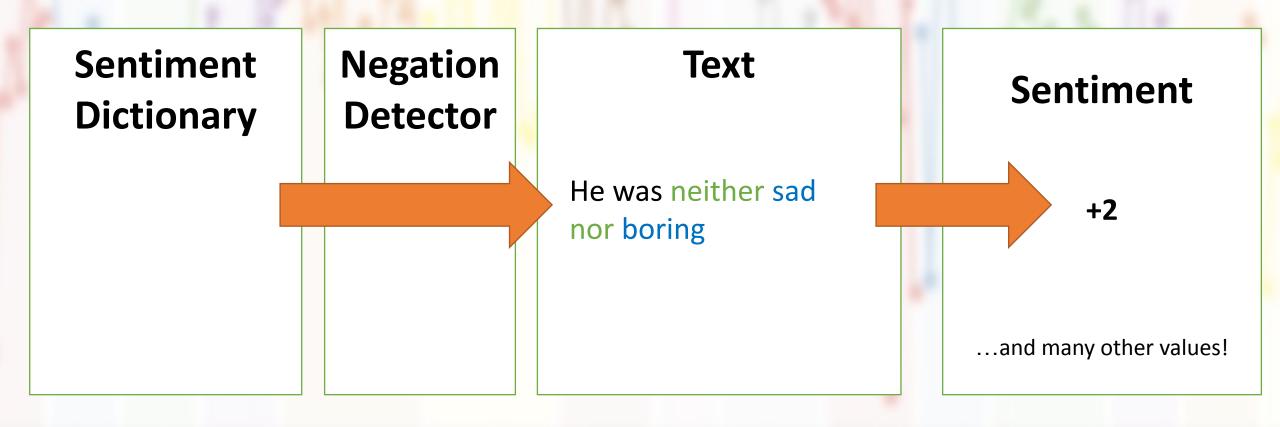






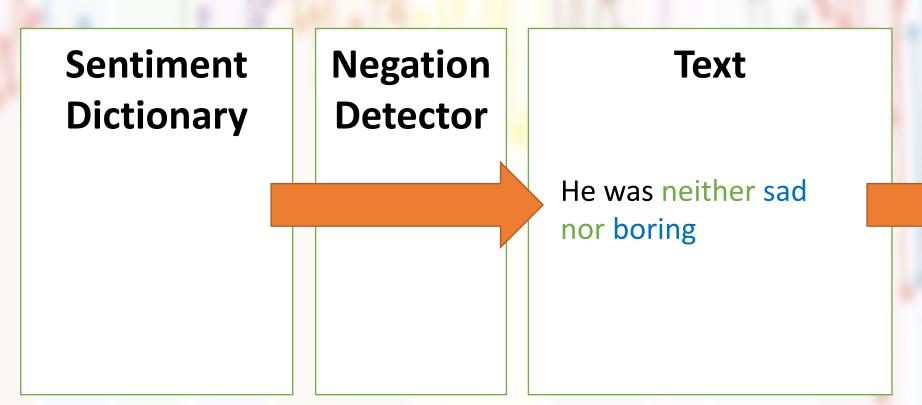


Approach\_2: SEANCE (Crossley et al., 2017)



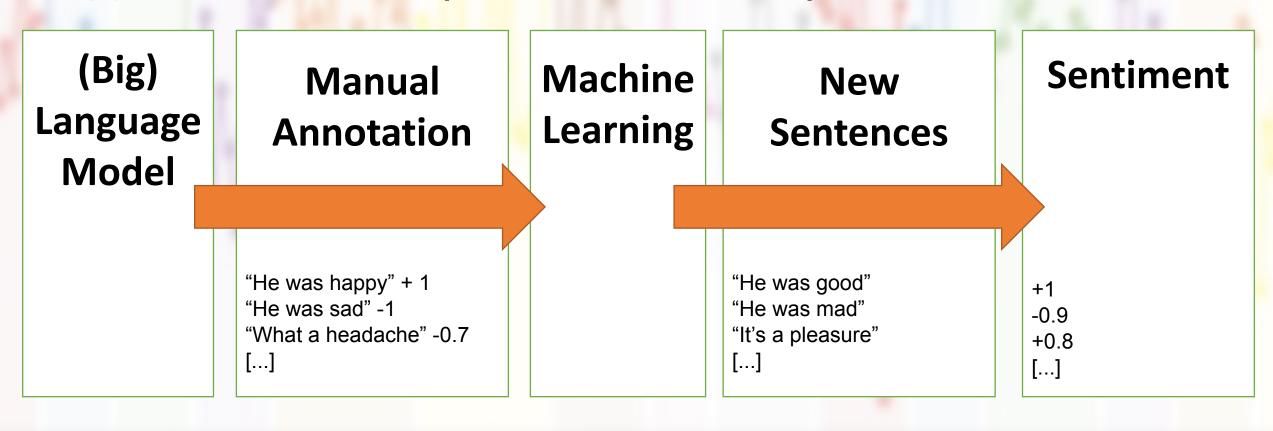
				Negation	
Number	Index	Variable description	POS	Filtered	examples (not POS specific)
	1filename	name of source file	NA	NA	NA
	2nwords	number of words in source file	NA	NA	NA
	3Admiration/Awe_GALC	Admiration/Awe	All	No	admir*, ador*, awe*, dazed, dazzl*
	4Amusement_GALC	Amusement	All	No	amus*, fun*, humor*, laugh*, play*
	5Anger_GALC	Anger	All	No	anger, angr*, cross*, enrag*, furious
	6Anxiety_GALC	Anxiety	All	No	anguish*, anxi*, apprehens*, diffiden*, jitter*
	7Beingtouched_GALC	Beingtouched	All	No	affect*, mov*, touch*
	8Boredom_GALC	Boredom	All	No	bor*, ennui, indifferen*, languor*, tedi*
	9Compassion_GALC	Compassion	All	No	commiser*, compass*, empath*, pit*,
1	0Contempt_GALC	Contempt	All	No	contempt*, denigr*, deprec*, deris*, despi*
1	1Contentment_GALC	Contentment	All	No	comfortabl*, content*, satisf*
1	2Desperation_GALC	Desperation	All	No	deject*, desolat*, despair*, desperat*, despond*
1	.3Disappointment_GALC	Disappointment	All	No	comedown, disappoint*, discontent*, disenchant*, disgruntl*
	4Disgust_GALC	Disgust	All	No	abhor*, avers*, detest*, disgust*, dislik*
	5Dissatisfaction GALC	Dissatisfaction	All	No	dissatisf*, unhapp*
	6Envy_GALC	Envy	All	No	envious*, envy*
	7Fear GALC	Fear	All	No	afraid*, aghast*, alarm*, dread*, fear*
	8Feelinglove_GALC	Feelinglove	All	No	affection*, fond*, love*, friend*, tender*
	9Gratitude GALC	Gratitude	All	No	grat*, thank*
2	OGuilt_GALC	Guilt	All	No	blame*, contriti*, guilt*, remorse*, repent*
2	1Happiness_GALC	Happiness	All	No	cheer*, bliss*, delect*, delight*, enchant*
2	2Hatred_GALC	Hatred	All	No	acrimon*, hat*, rancor*

Approach\_2: SEANCE (Crossley et al., 2017)



Admiration/Awe GALC 1 Amusement GALC Anger\_GALC Anxiety GALC Beingtouched GALC Boredom GALC Compassion GALC Contempt\_GALC Contentment GALC Desperation GALC Disappointment GALC 0 Disgust GALC Dissatisfaction GALC Envy GALC Fear\_GALC Feelinglove GALC Gratitude GALC Guilt GALC Happiness GALC Hatred GALC Hope GALC Humility GALC Interest/Enthusiasm GA LC Irritation GALC Lealous SALC Lust G/ C Pleasure/Enjoyment GA LC Pride GALC Relaxation/Serenity\_GAL Relief GALC Sadness\_GALC Shame GALC Surprise GALC Tension/Stress GALC

## Approach\_3: BERT (Devlin et al., 2019)



## **SA Evaluation**

TABLE 3 Results on the Sentiment Treebank for binary and fine-grained classification

	Accuracy			
Model	Fine-grained	Binary		
RNN (Li et al., 2015)	42.0	80.7		
RsNN (Socher et al., 2013)	43.2	82.4		
Bi-RNN (Li et al., 2015) (+)	43.5	81.6		
MV-RsNN (Socher et al., 2013)(*)	44.4	82.9		
RsNTN(Socher et al., 2013)(*)	45.7	85.4		
LSTM (Tai et al., 2015)	46.4	84.9		
CNN-multichannel (Kim, 2014)	47.4	88.1		
CNN-non-static (Kim, 2014)	48	87.2		
DTree-LSTM (Tai et al., 2015)	48.4	85.7		
DCNN(Kalchbrenner et al., 2014)	48.5	86.8		
2-layer Bi-LSTM (Tai et al., 2015) (+)	48.5	87.5		
Paragraph-Vec (Le & Mikolov, 2014)	48.7	87.8		
DRsNN (Irsoy & Cardie, 2014)	49.8	86.6		
CTree-LSTM(Tai et al., 2015)	51.0	88.0		

(Rojas-Barahona, 2016)

## **SA Evaluation**

TABLE 3 Results on the Sentiment Treebank for binary and fine-grained classification

- Even the most advanced SA tools hardly reach 90% accuracy in distinguishing positive from negative emotions
- Accuracy drops to below 50%, when distinguishing more fine-grained emotions (Ekman, Plutchick, etc.)

(Rojas-Barahona, 2016)