

Machine Learning Basics

COMP9312_24T2



UNSW
SYDNEY

About this topic

- Introduce basic knowledge about machine learning
- You need them to understand graph neural networks
- Concepts in this topic **would not be** in assignments/exam

Machine Learning \approx Looking for Function

Speech Recognition

$$f\left(\text{audio waveform}\right) = \text{"Hello World"}$$

Image Recognition

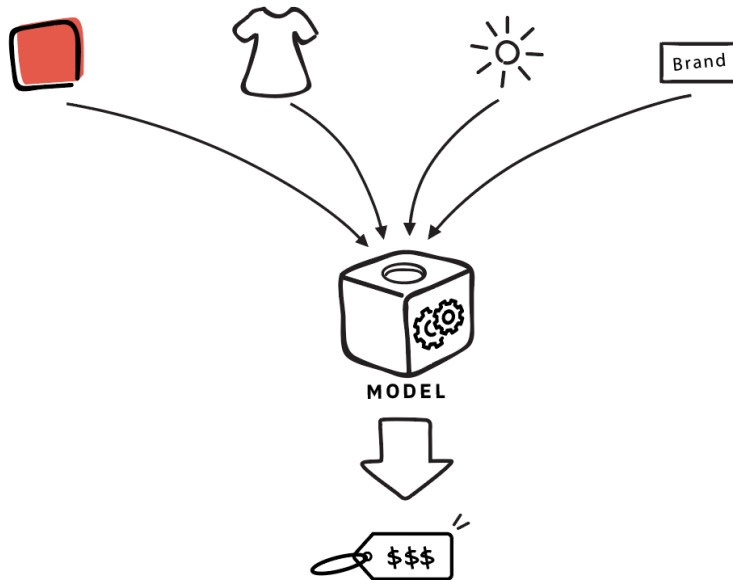
$$f\left(\text{cat image}\right) = \text{"Cat"}$$

ChatGPT

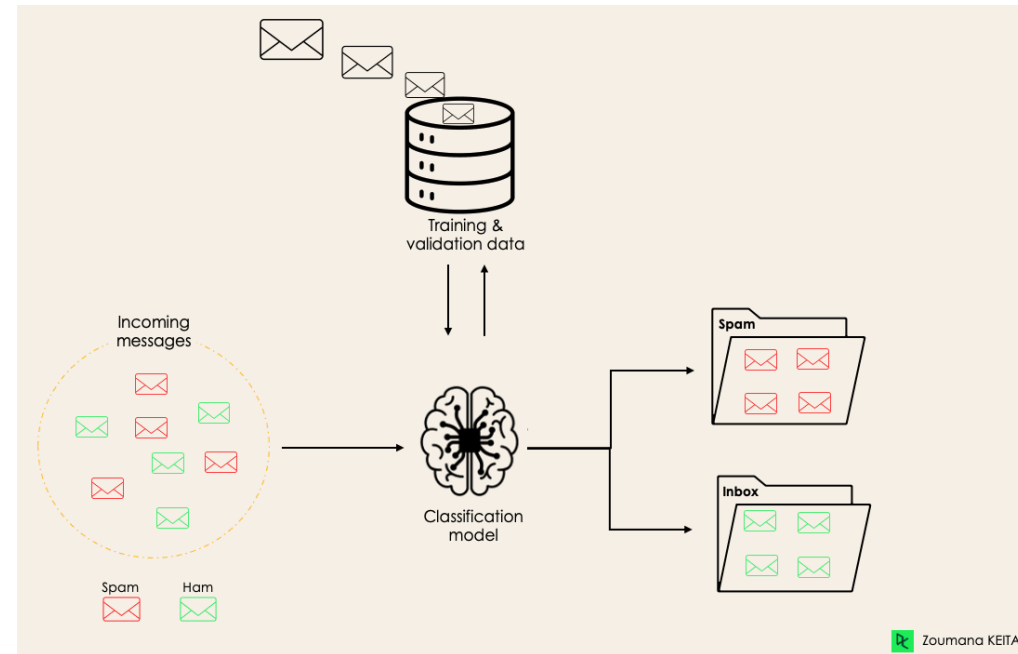
$$f\left(\text{write a solution for my assignment 1}\right) = \text{"..."}$$

Two types of ML function

Regression

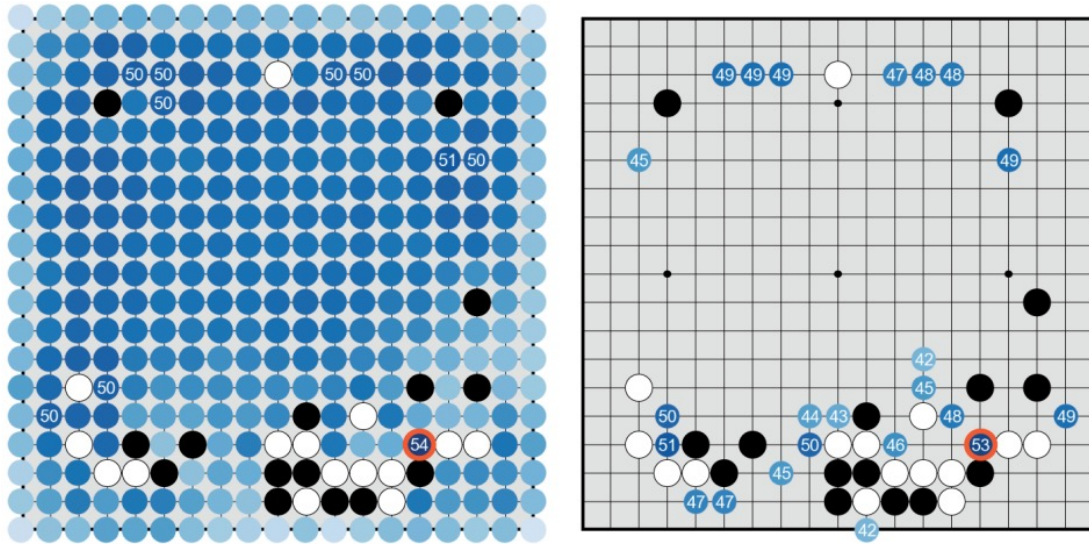


Classification



Some Classification Tasks

AlphaGo



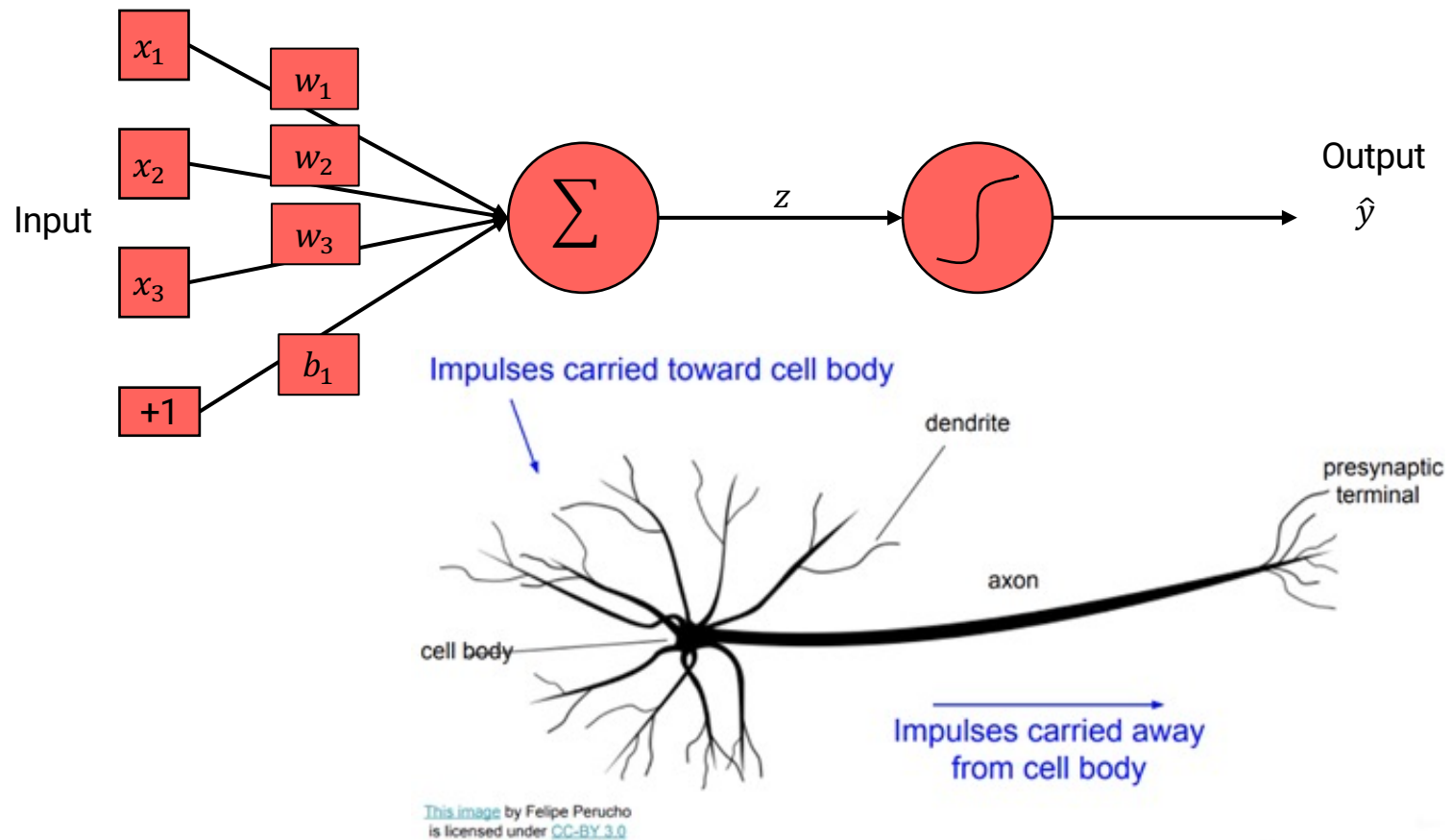
ChatGPT



Machine Learning

- Algorithms that improve automatically through **experience**.
- The algorithm has a (large) number of parameters whose values need to be learned from the data.

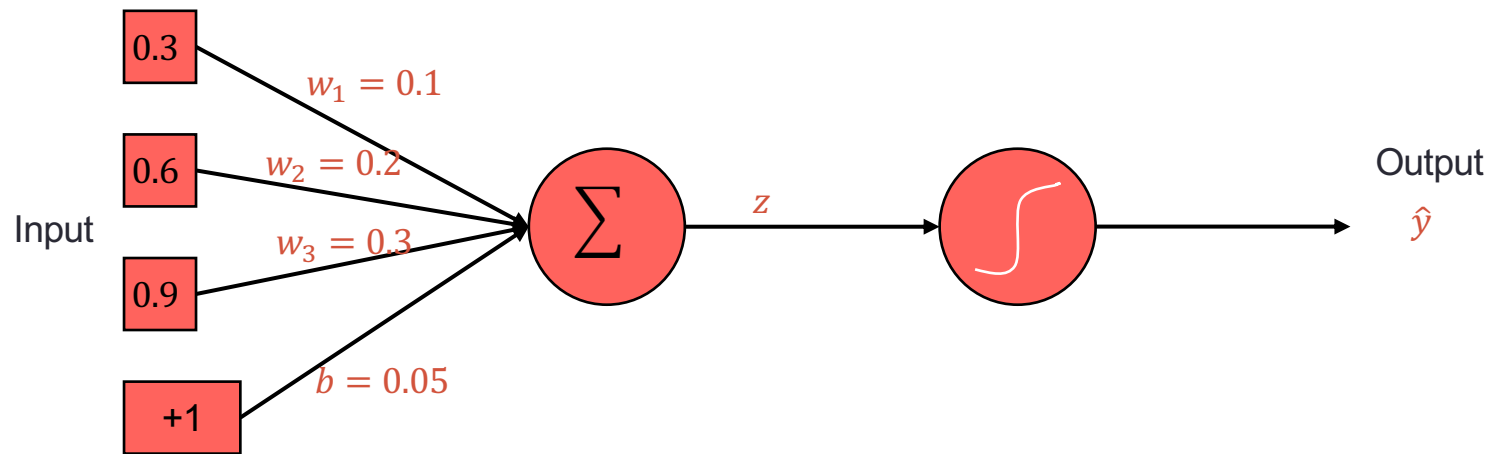
Neurons and Perceptron



What does a Perceptron do? (1)

Suppose a NN initialized to weight w be (0.1, 0.2, 0.3) & bias $b = 0.05$

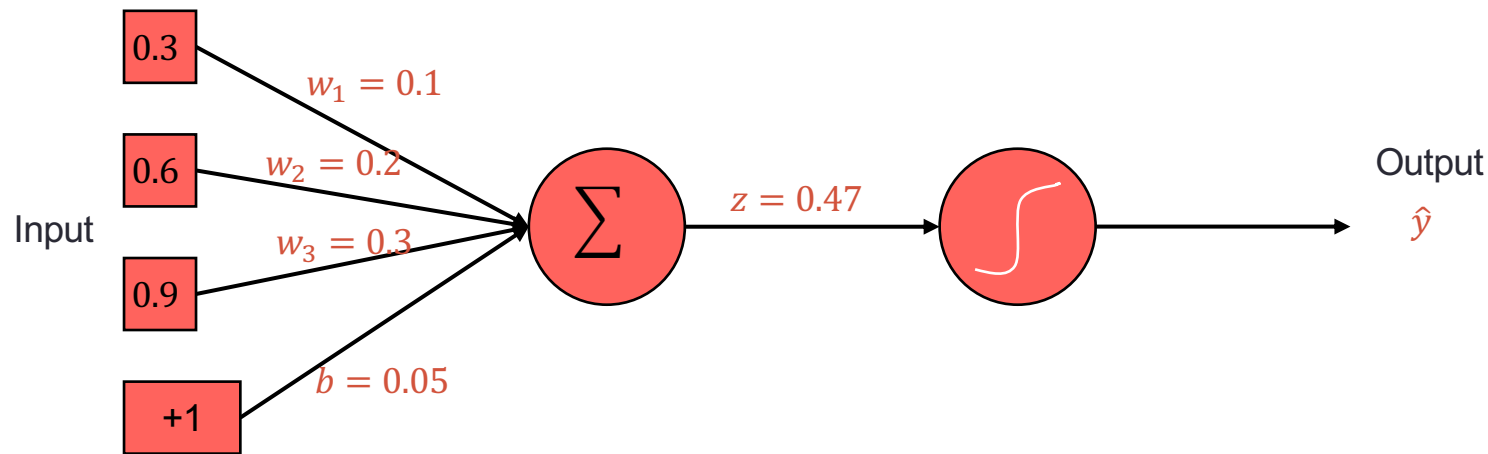
Step0: Take an input x (0.3, 0.6, 0.9)



What does a Perceptron do? (2)

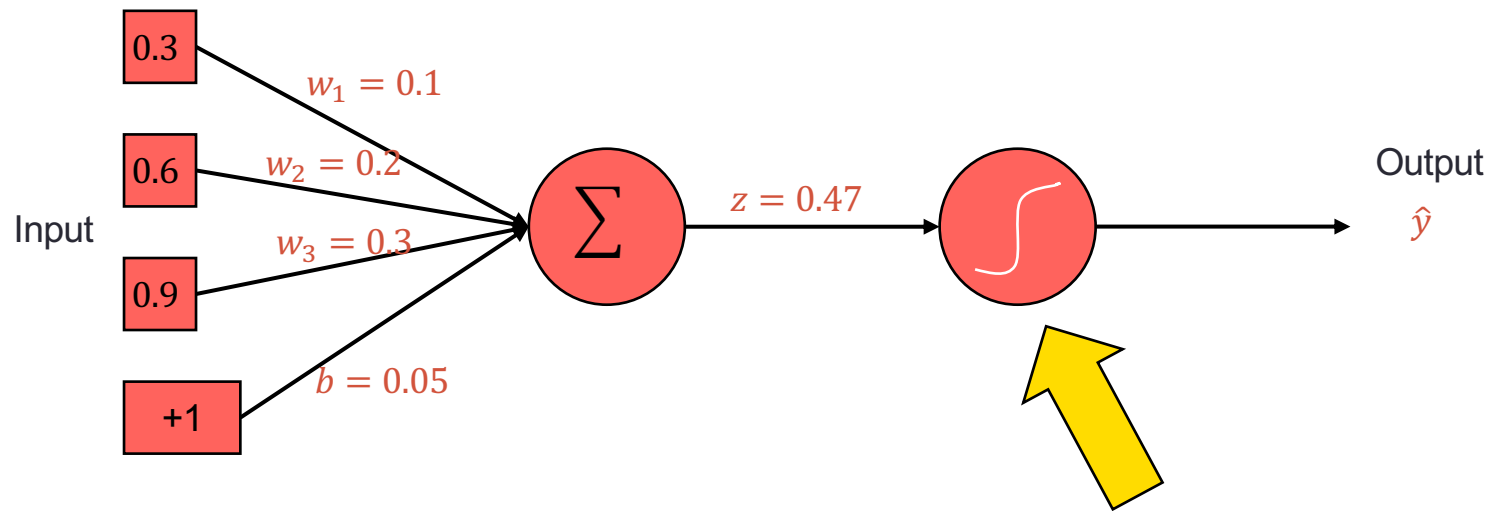
Step1: Calculate a weighted sum

$$z = w^T x + b; z = 0.1 \times 0.3 + 0.2 \times 0.6 + 0.3 \times 0.9 + 0.05 = 0.47$$



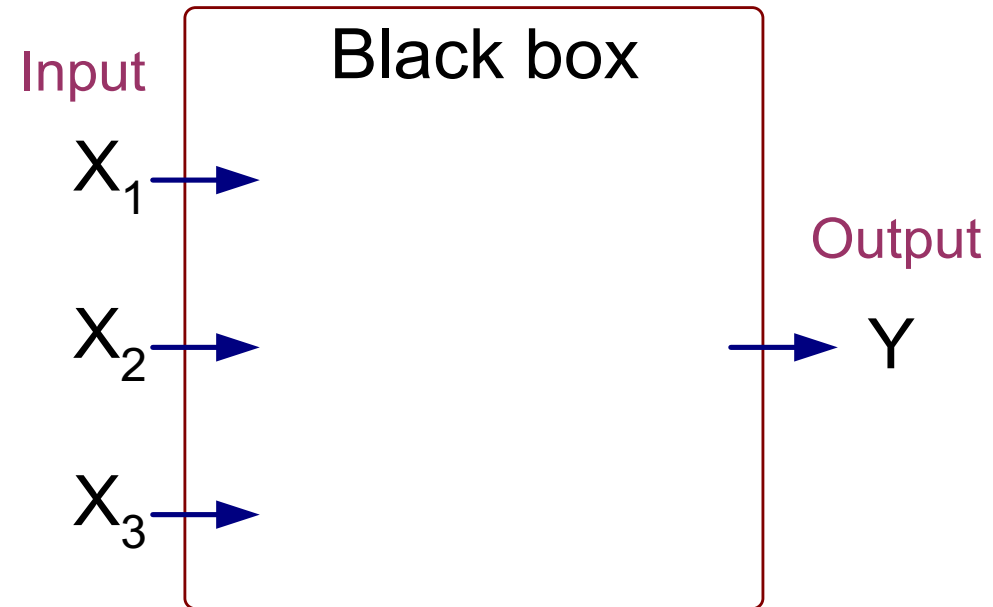
What does a Perceptron do? (3)

Step2: Apply an activation function



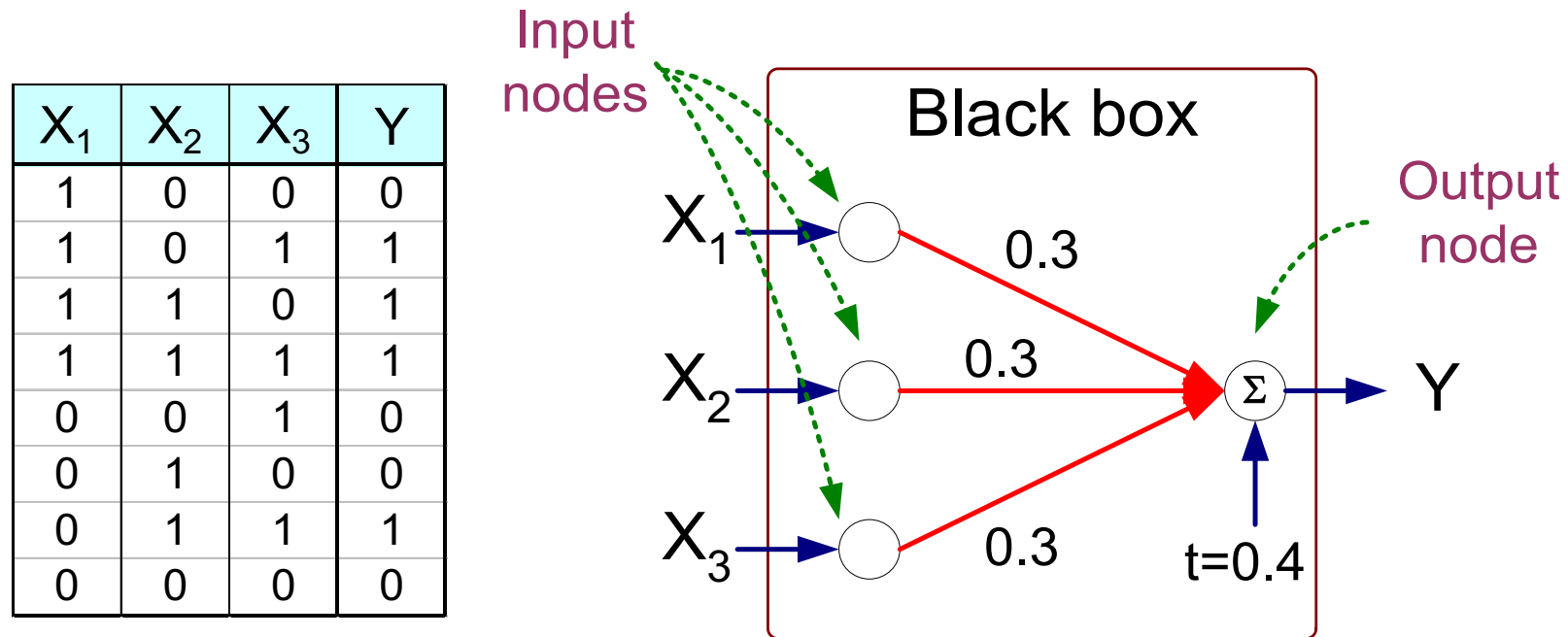
Neural Networks

X_1	X_2	X_3	Y
1	0	0	0
1	0	1	1
1	1	0	1
1	1	1	1
0	0	1	0
0	1	0	0
0	1	1	1
0	0	0	0



Output Y is 1 if at least two of the three inputs are equal to 1.

Neural Networks (cont)

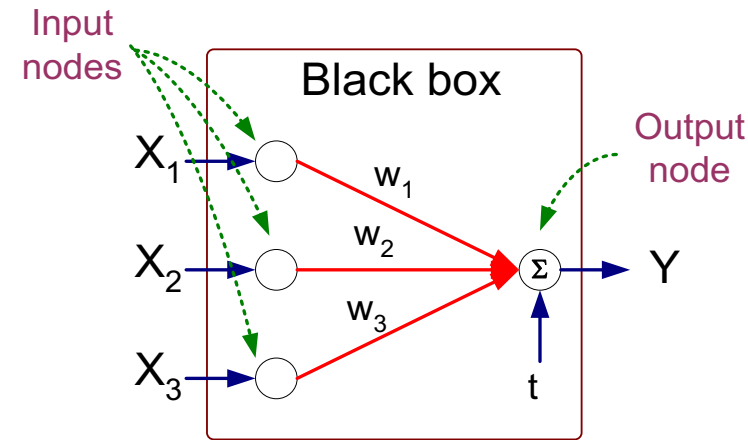


$$Y = I(0.3X_1 + 0.3X_2 + 0.3X_3 - 0.4 > 0)$$

$$\text{where } I(z) = \begin{cases} 1 & \text{if } z \text{ is true} \\ 0 & \text{otherwise} \end{cases}$$

Neural Networks (cont)

- Model is an assembly of inter-connected nodes and weighted links
- Output node sums up each of its input value according to the weights of its links
- Compare output node against some threshold t
- The sign function (activation function) outputs a value +1 if its argument is positive and -1 otherwise.



Perceptron Model

$$Y = I\left(\sum_i w_i X_i - t\right) \quad \text{or}$$

$$Y = \text{sign}\left(\sum_i w_i X_i - t\right)$$

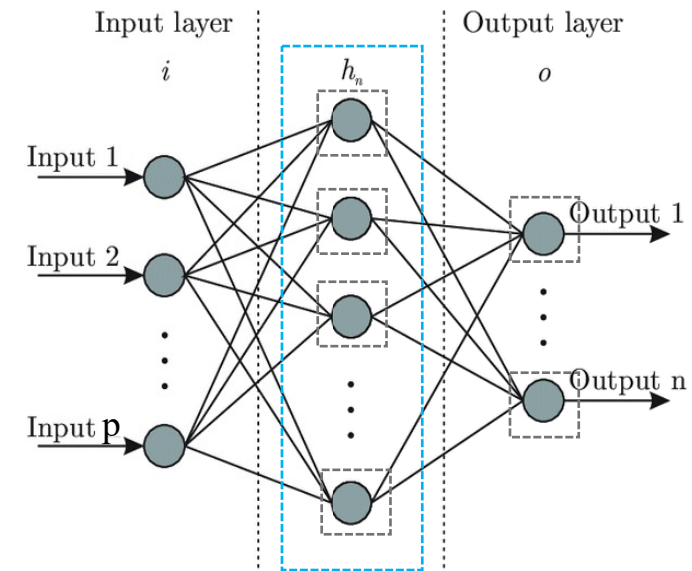
Increase Expressive Power

From Perceptrons to NN

- Perceptrons are a basic unit of a neural network.
- 1-layered neural network on the right

Structure:

- Input layer, output layer,
- Middle are hidden layers.



A Case Study

What is the final mark of a student in 9312? (a regression problem)

$$f(\text{student's mark for COMP9024}) = ??$$

Where does the machine learn from?

Marks of many previous students for 9024 and 9312 (**Supervised Learning**)

Other types: unsupervised learning (NLP), semi-supervised learning, ...

How to get the function

1. Tell the machine what to learn (Parameters)
2. Tell the machine how to evaluate the function (Loss Function)
3. Wait ... (Training)

Step 1 - Parameters

1. Tell the machine what to learn (Parameters)

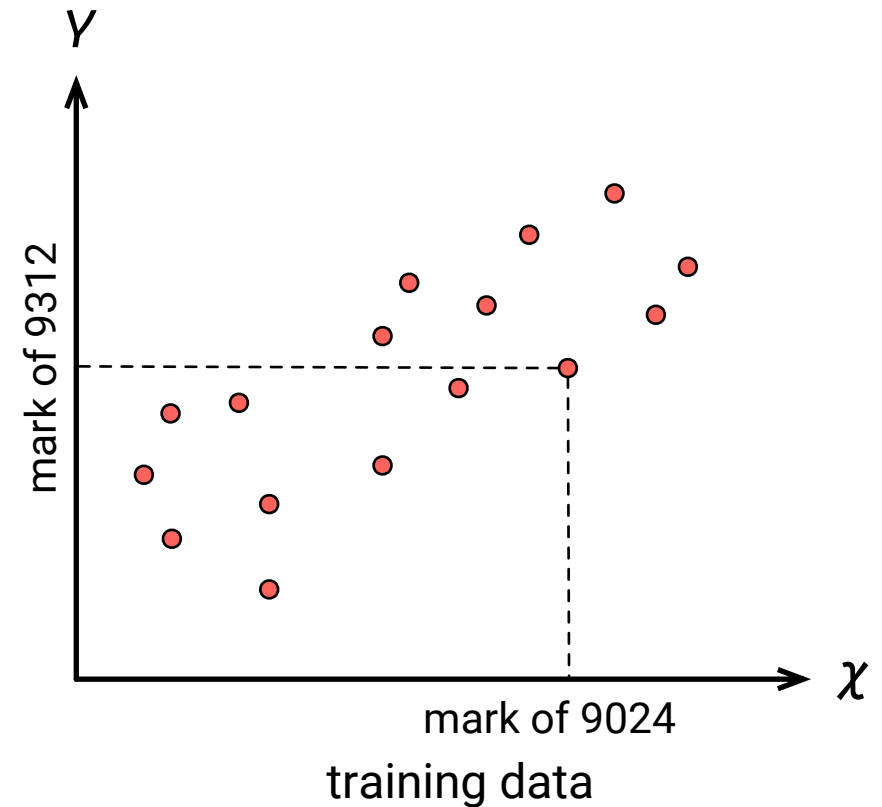
A linear function based on domain knowledge.

$$y = b + wx_1$$

Diagram illustrating the linear function $y = b + wx_1$ with parameter labels:

- w is labeled **weight** (in a red box) with a downward arrow pointing to w .
- b is labeled **bias** (in a yellow box) with an upward arrow pointing to b .
- x_1 is labeled **feature** (in a black box) with an upward arrow pointing to x_1 .

w and b are unknown parameters to learn.



Step 2 – Loss Function

2. Tell the machine how to evaluate the function (Loss Function)

\hat{y} is the label (real value)

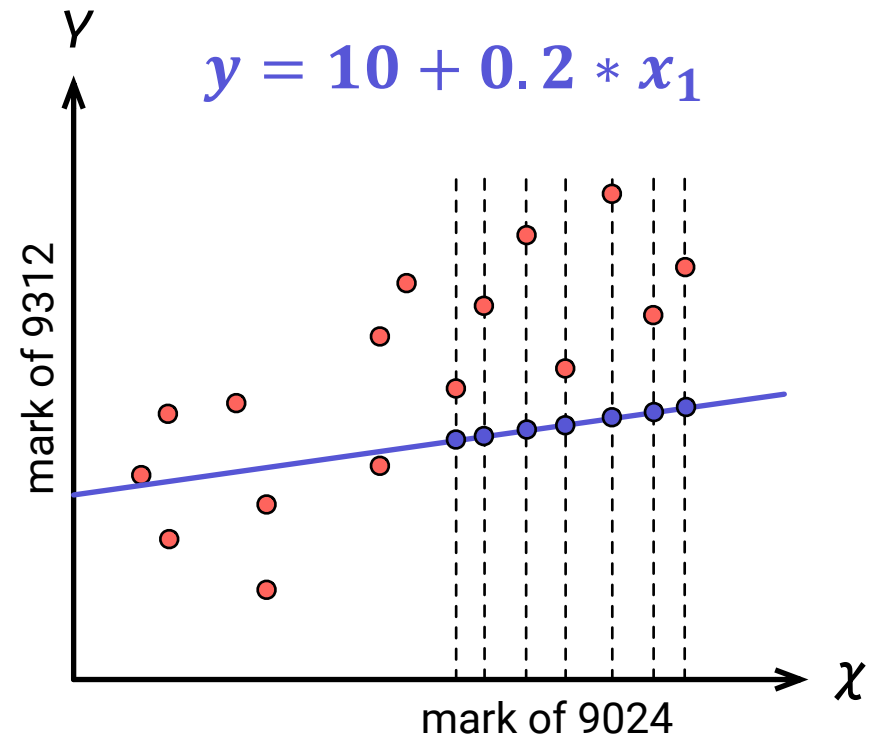
y is the estimation

How good is a value / a function?

$$\text{Loss: } L = \frac{1}{N} \sum_n e_n$$

$e = |y - \hat{y}|$ L is mean absolute error (MAE)

$e = (y - \hat{y})^2$ L is mean square error (MSE)



Step 2 – Loss Function

2. Tell the machine how to evaluate the function (Loss Function)

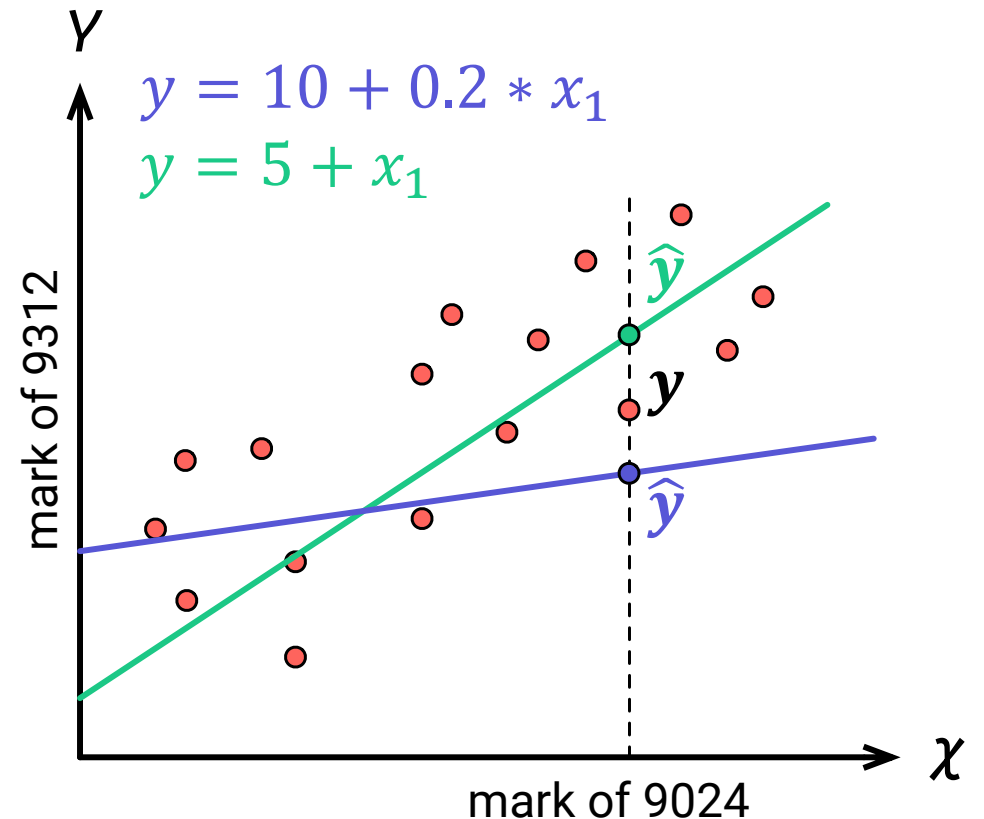
$$y = b + wx_1$$

We aim to find a good w and b to minimize the loss function.

$$\text{Loss: } L = \frac{1}{N} \sum_n e_n$$

$e = |y - \hat{y}|$ L is mean absolute error (MAE)

$e = (y - \hat{y})^2$ L is mean square error (MSE)



Step 3 - Training

How to get good parameters?

$$y = b + wx_1$$

Gradient Descent.

Done by the toolkit (e.g., pytorch...).

Gradient Descent

Optional

$$y = b + wx_1 \quad w^*, b^* = \arg \min_{w, b} L$$

1. pick a random w^0

2. compute gradient $\frac{\partial L}{\partial w} \big|_{w=w^0}$

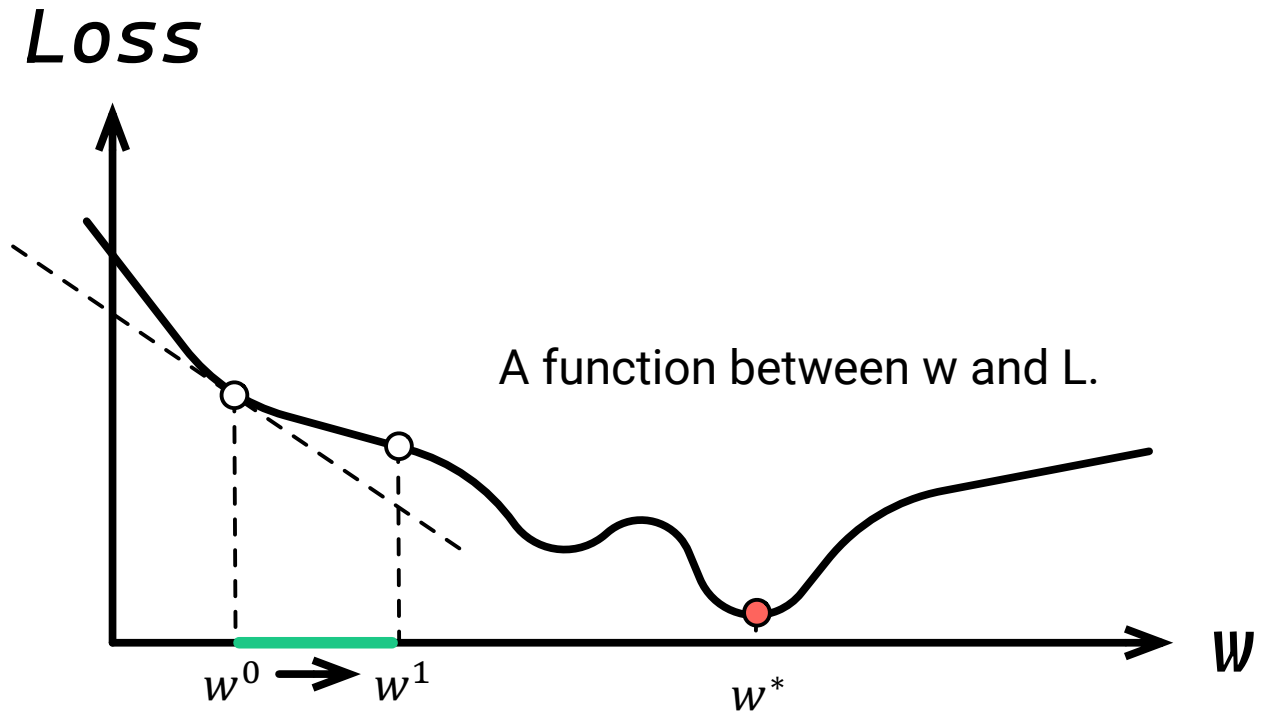
Negative \rightarrow increase w^0

Positive \rightarrow decrease w^0

3. Update w based on a hyperparameter η

$$w^1 \leftarrow w^0 - \eta \frac{\partial L}{\partial w} \big|_{w=w^0}$$

4. Update w iteratively.



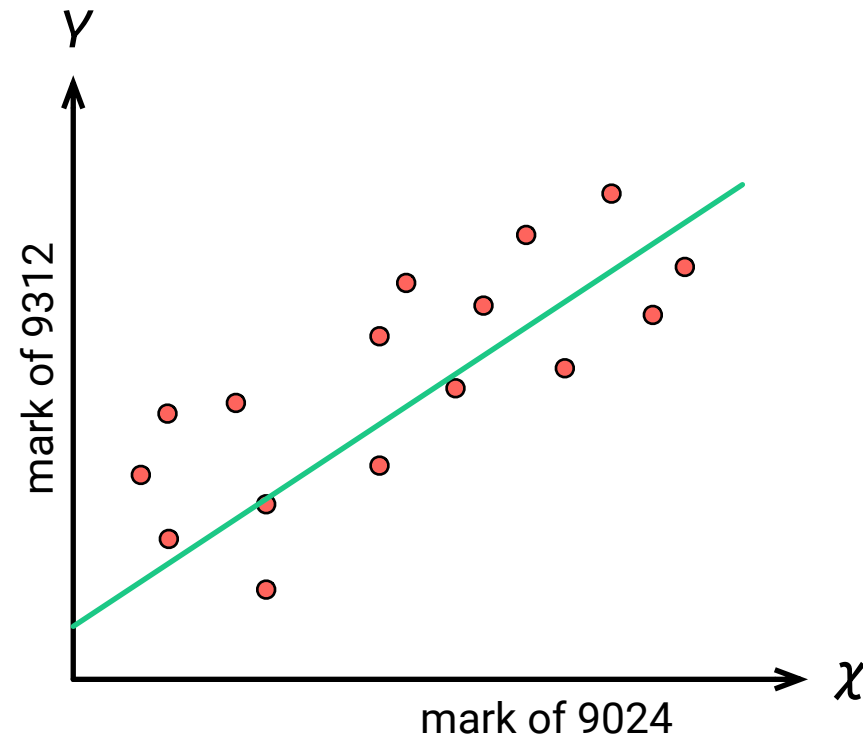
η is also called learning rate.

Evaluate the function

Now we have a good linear function to predict the mark.

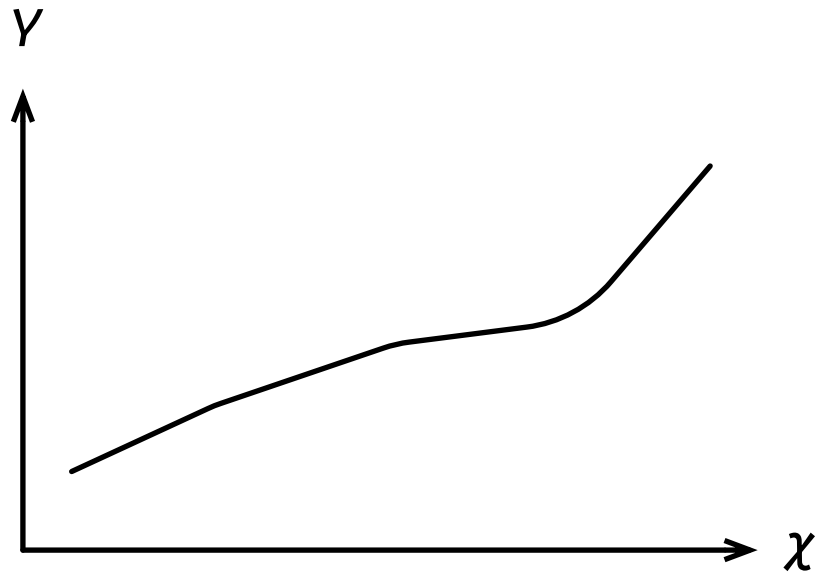
$$f(\text{student's mark for COMP9024}) = ??$$

Are linear models good enough?

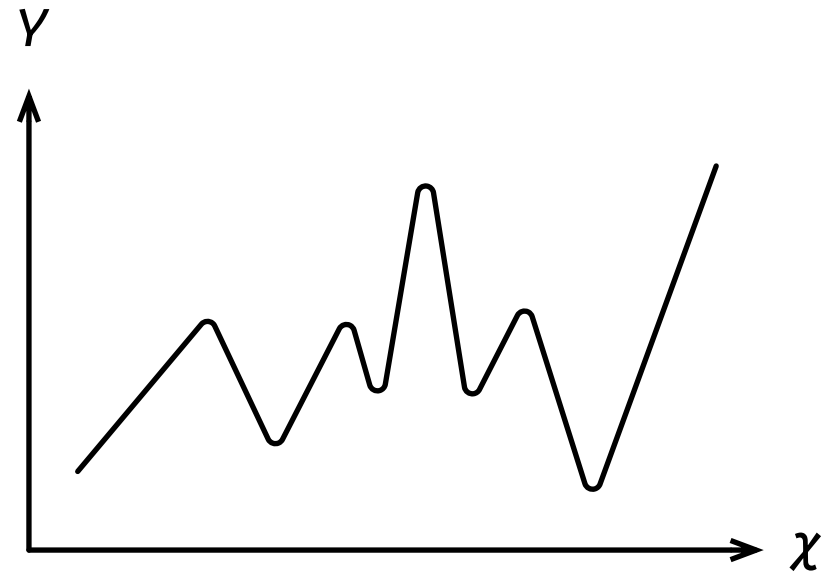


Beyond Linear Models

Real problems are much more sophisticated.



This is what you expect.



Real scenarios may be terrible ...

How to get sophisticated functions

Combine simple functions in two ways:

$$f_1(x) + f_2(x) \qquad f_1(f_2(x))$$

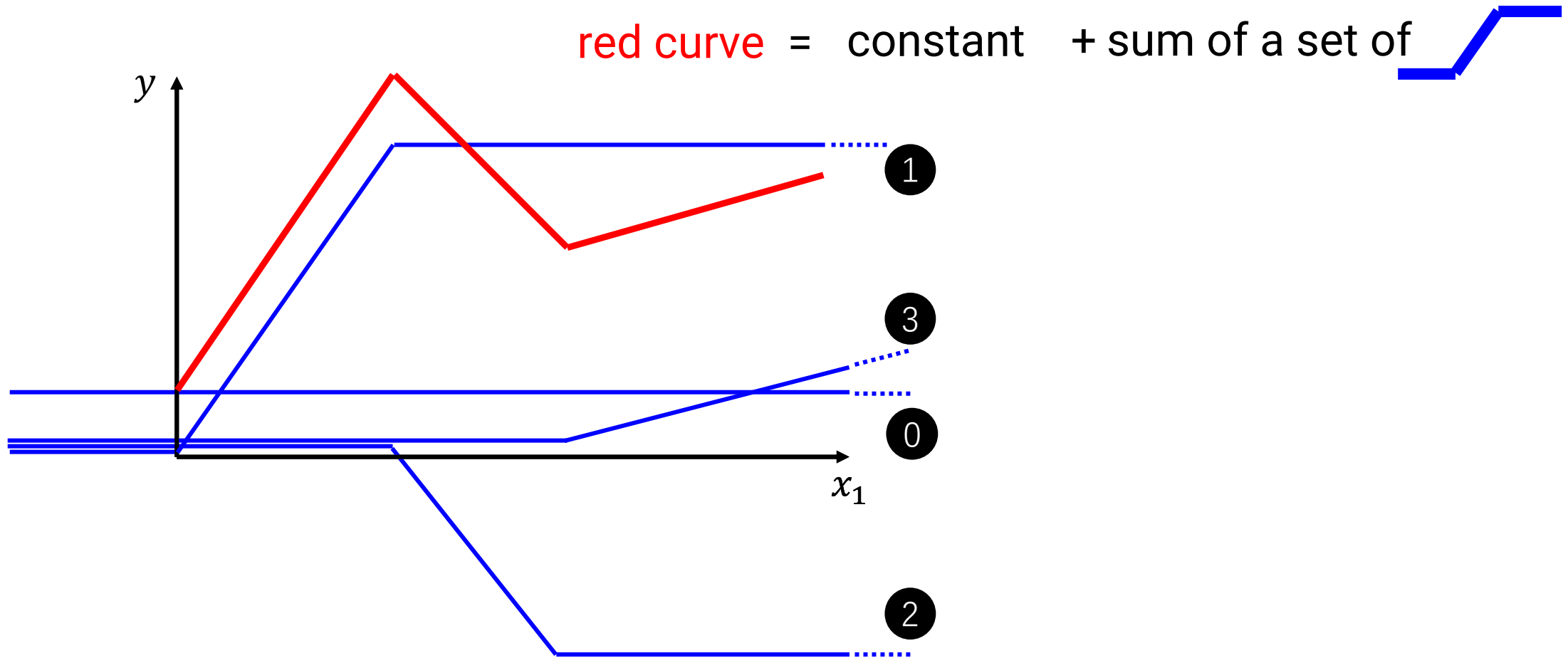
Combine linear functions? The result is still a linear function~

$$y=3x+1 \quad y=5x+2 \quad \rightarrow \quad y=3(5x+2)+1=15x+7$$

Activation functions are required:

- Sigmoid
- Relu

Sigmoid Function



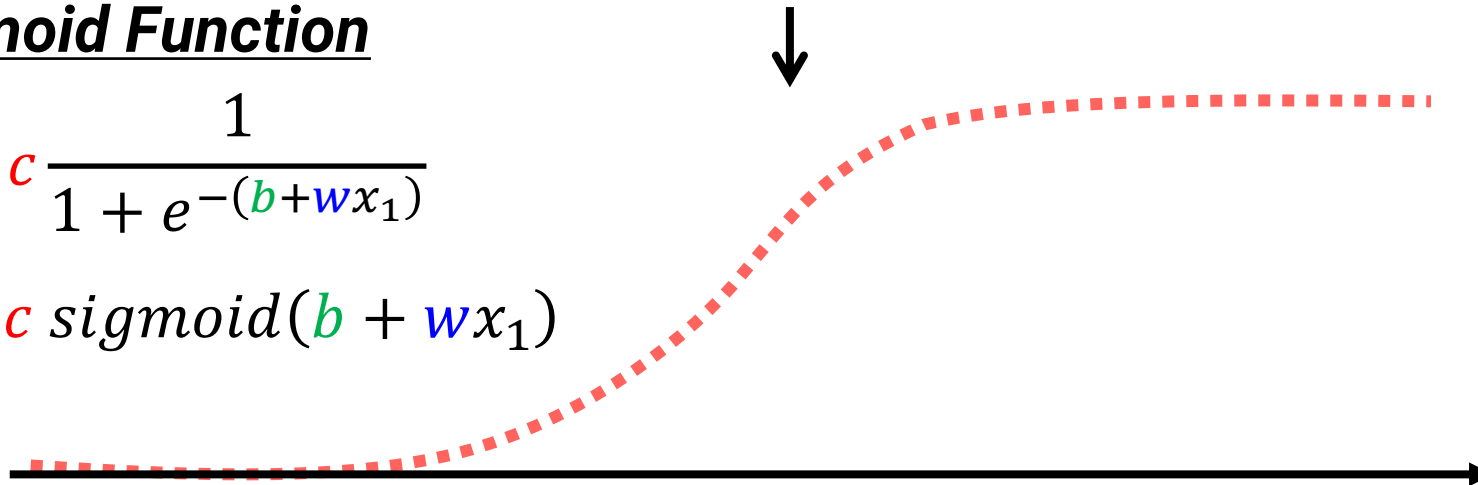
Sigmoid Function

How to represent
this function?

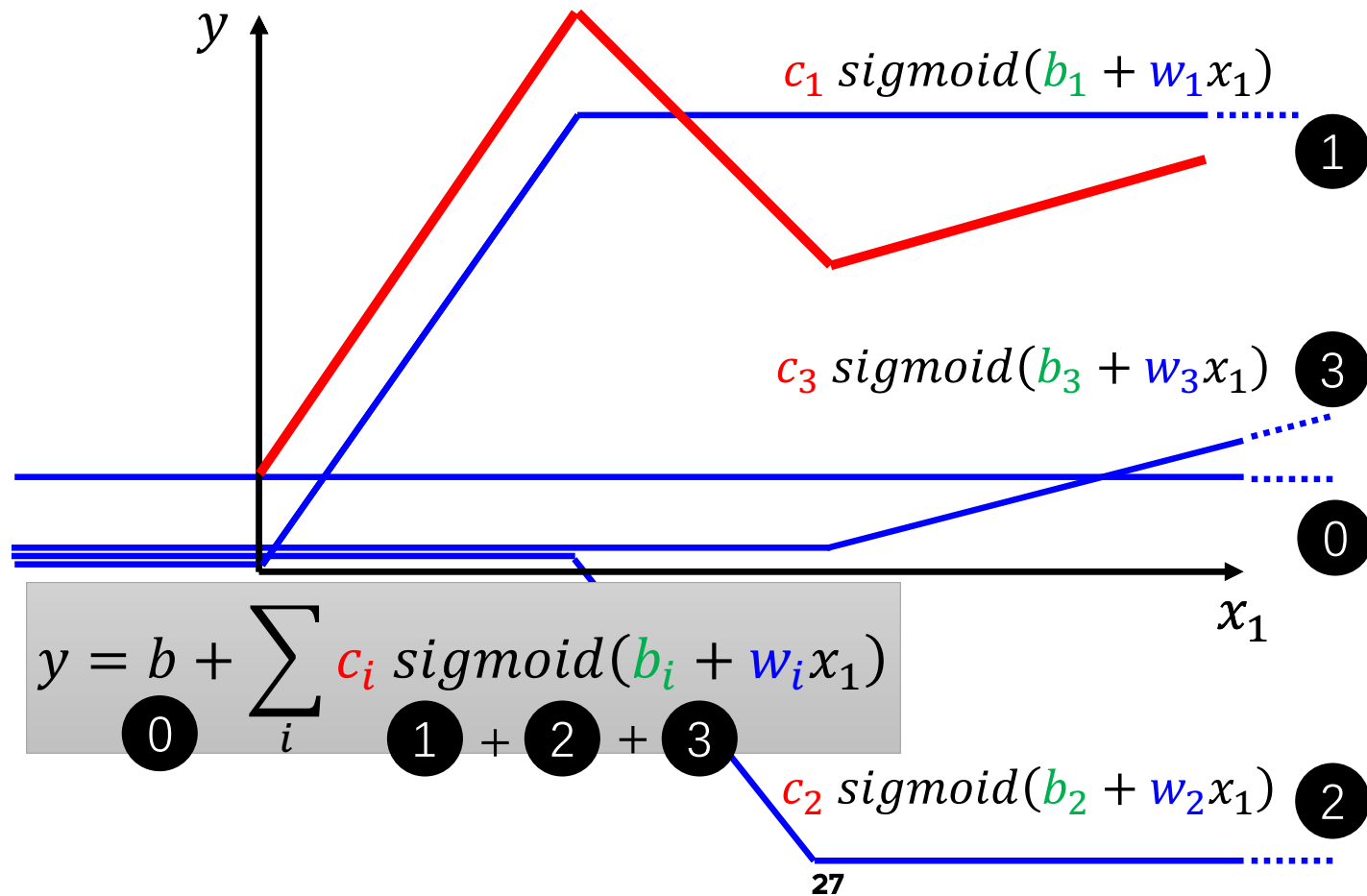
Hard Sigmoid

Sigmoid Function

$$y = c \frac{1}{1 + e^{-(b + wx_1)}}$$
$$= c \operatorname{sigmoid}(b + wx_1)$$



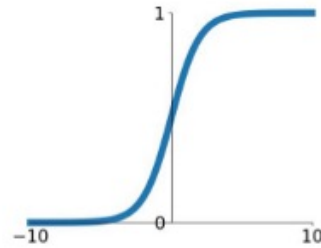
Combining Sigmoid Functions



Other activation functions

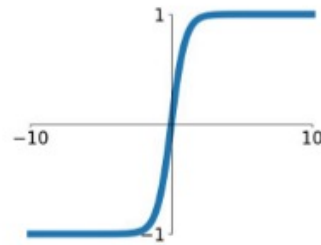
Sigmoid

$$\sigma(x) = \frac{1}{1+e^{-x}}$$



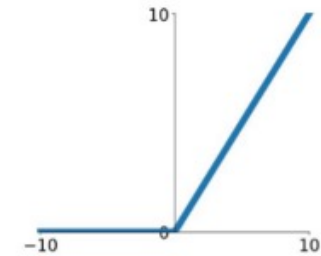
tanh

$$\tanh(x)$$



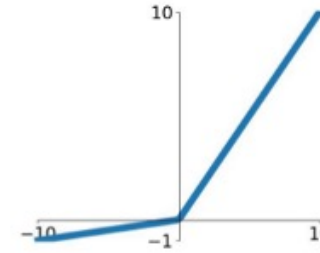
ReLU

$$\max(0, x)$$



Leaky ReLU

$$\max(0.1x, x)$$

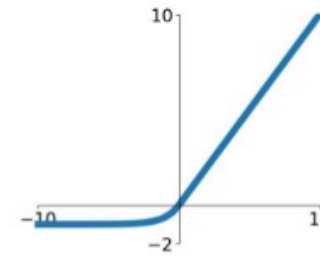


Maxout

$$\max(w_1^T x + b_1, w_2^T x + b_2)$$

ELU

$$\begin{cases} x & x \geq 0 \\ \alpha(e^x - 1) & x < 0 \end{cases}$$



Sigmoid and ReLU

$$y = b + \sum_i c_i \text{ sigmoid } \left(b_i + \sum_j w_{ij} x_j \right)$$

$$y = b + \sum_i c_i \text{ max } \left(0, b_i + \sum_j w_{ij} x_j \right)$$

New Model

Combine i Sigmoid functions

$$y = \underline{b + wx_1} \rightarrow y = b + \sum_i c_i \operatorname{sigmoid}(\underline{b_i + w_i x_1})$$

New Model

Combine i Sigmoid functions

$$y = \underline{b + wx_1} \rightarrow y = b + \sum_i c_i \operatorname{sigmoid}(\underline{b_i + w_i x_1})$$

Combine j features

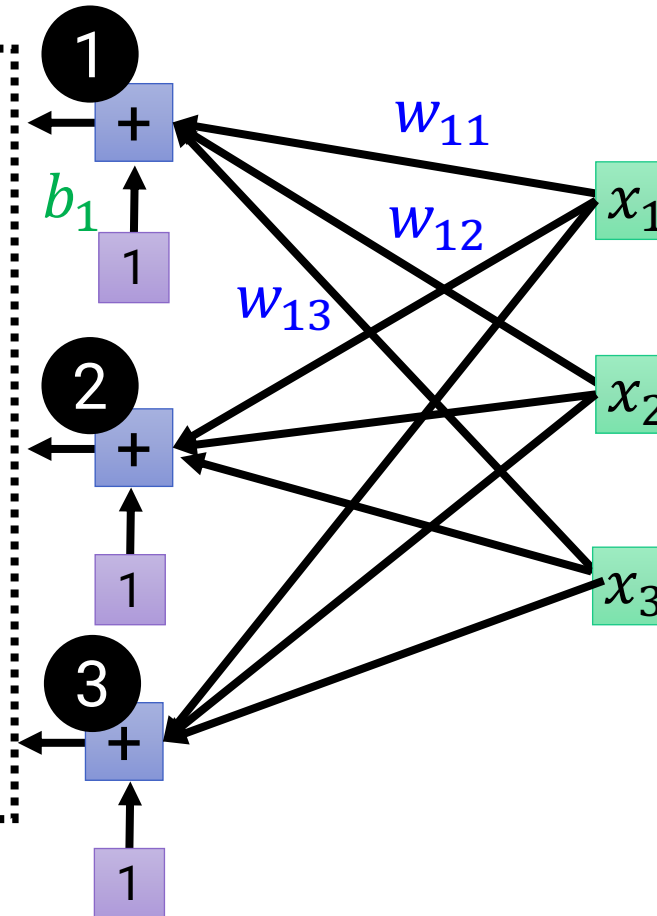
$$y = \underline{b + \sum_j w_j x_j} \rightarrow y = b + \sum_i c_i \operatorname{sigmoid}\left(\underline{b_i + \sum_j w_{ij} x_j}\right)$$

New Model

$$y = b + \sum_i c_i \operatorname{sigmoid} \left(b_i + \sum_j w_{ij} x_j \right)$$

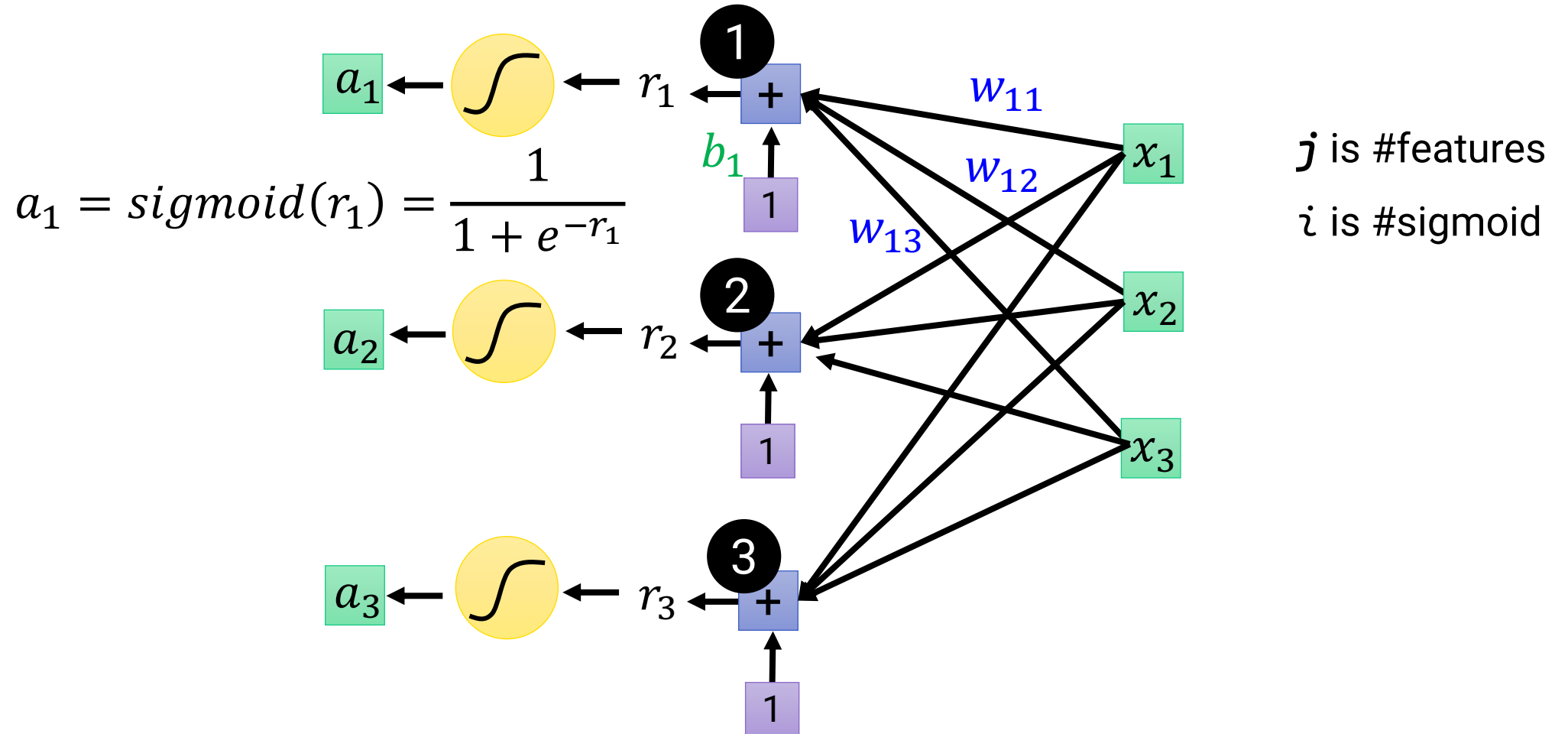
j is #features
 i is #sigmoid

$$\begin{aligned} r_1 &= b_1 + w_{11}x_1 + w_{12}x_2 + w_{13}x_3 \\ w_{ij} &: \text{weight for } x_j \text{ for } i\text{-th sigmoid} \\ r_2 &= b_2 + w_{21}x_1 + w_{22}x_2 + w_{23}x_3 \\ r_3 &= b_3 + w_{31}x_1 + w_{32}x_2 + w_{33}x_3 \end{aligned}$$



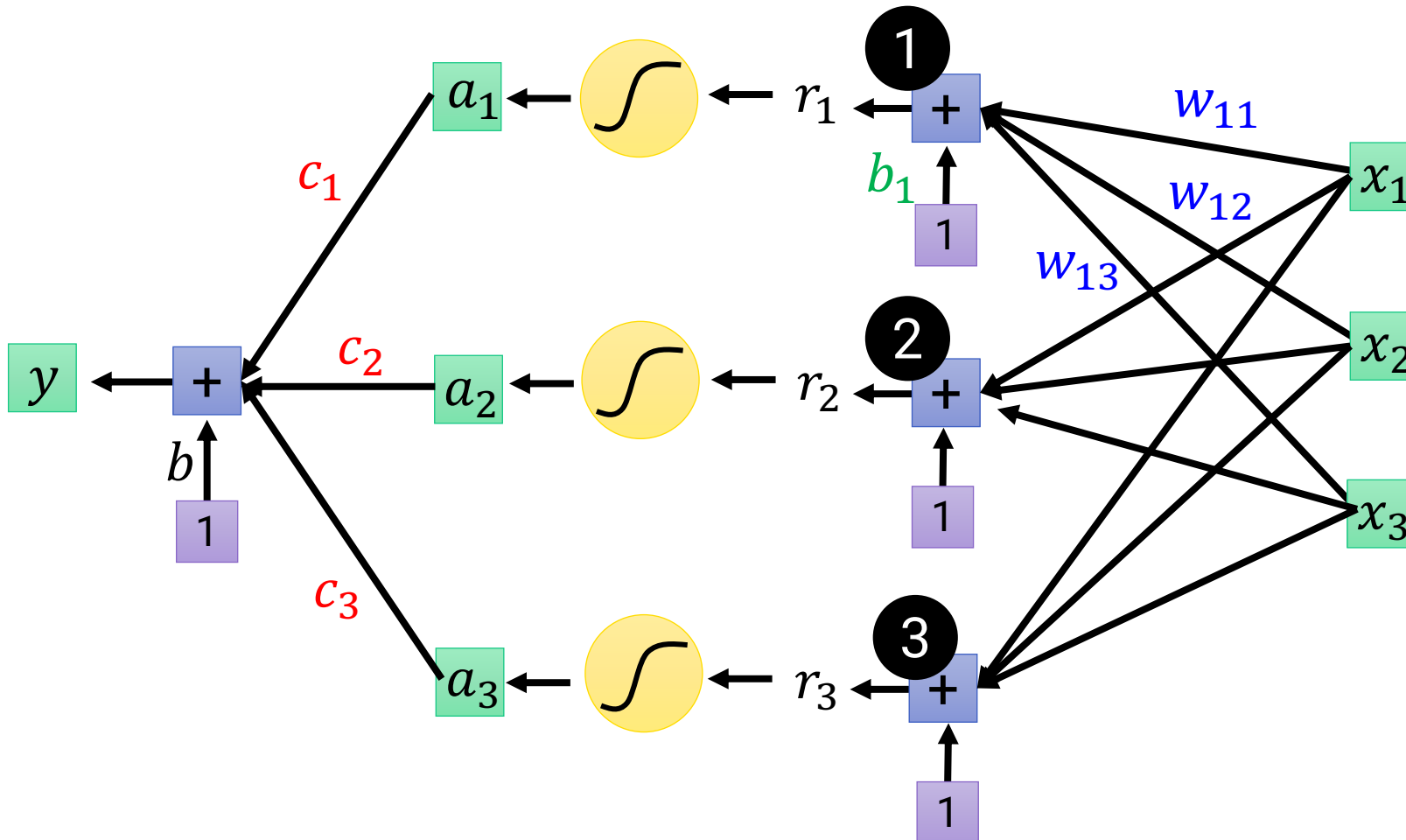
New Model

$$y = b + \sum_i c_i \boxed{\text{sigmoid}\left(b_i + \sum_j w_{ij} x_j\right)}$$



New Model

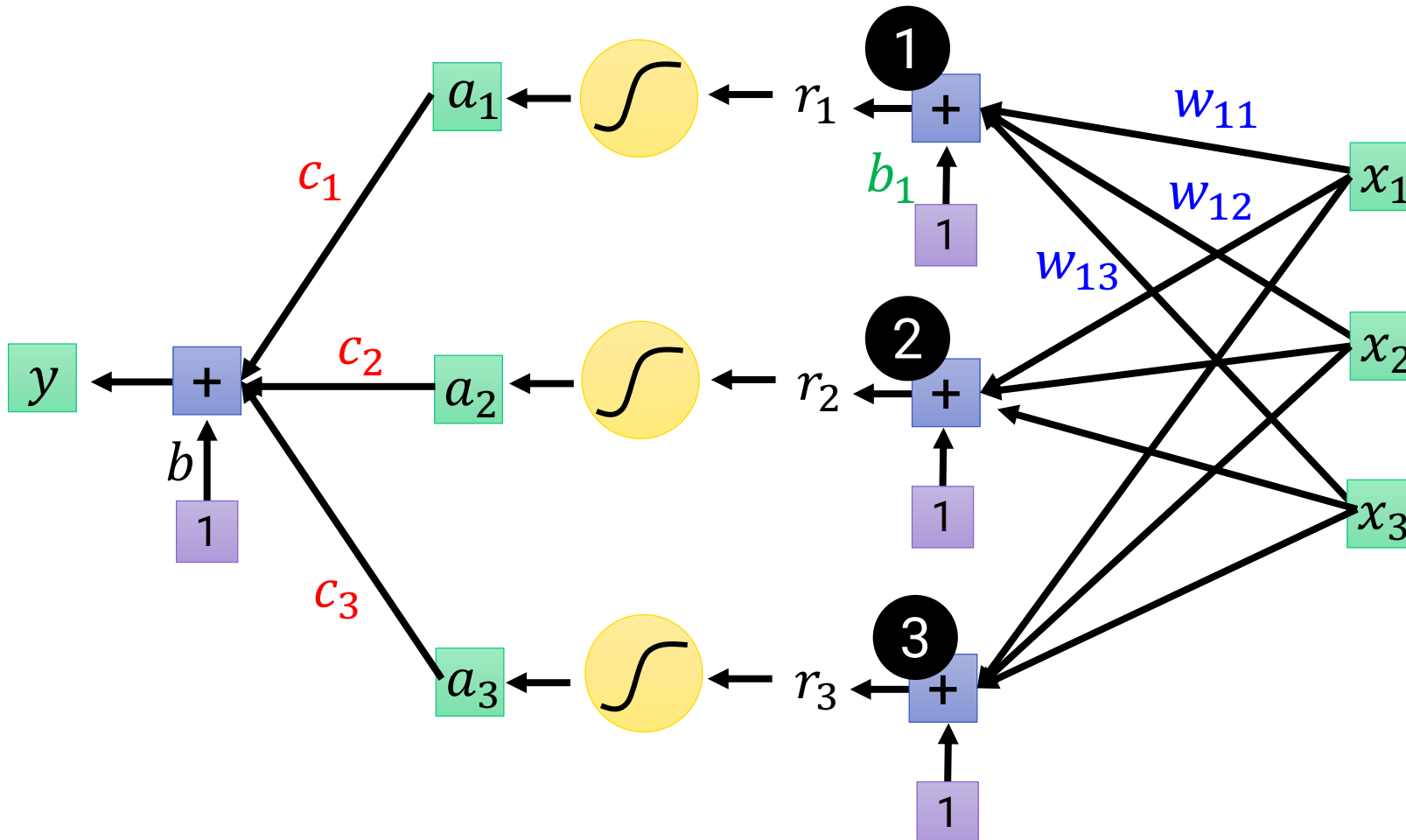
$$y = b + \sum_i c_i \operatorname{sigmoid} \left(b_i + \sum_j w_{ij} x_j \right)$$



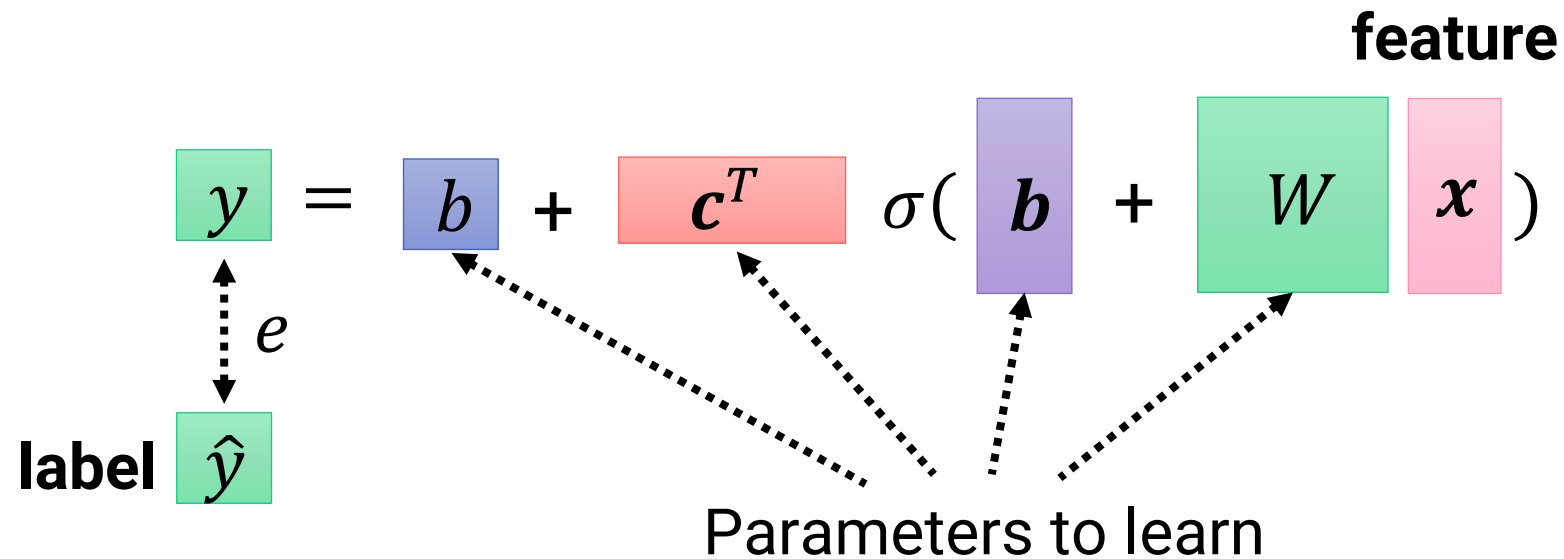
j is #features
 i is #sigmoid

Matrix Style

$$y = b + c^T \sigma(b + W x)$$



Minimize Loss



$$\text{Loss: } L = \frac{1}{N} \sum_n e_n$$

Multiple parameters

Optional

$$\theta^* = \arg \min_{\theta} L$$

$$\theta = \begin{bmatrix} \theta_1 \\ \theta_2 \\ \theta_3 \\ \vdots \end{bmatrix}$$

(Randomly) Pick initial values θ^0

$$\text{gradient } \mathbf{g} = \begin{bmatrix} \frac{\partial L}{\partial \theta_1} |_{\theta=\theta^0} \\ \frac{\partial L}{\partial \theta_2} |_{\theta=\theta^0} \\ \vdots \end{bmatrix} \quad \begin{bmatrix} \theta_1^1 \\ \theta_2^1 \\ \vdots \end{bmatrix} \leftarrow \begin{bmatrix} \theta_1^0 \\ \theta_2^0 \\ \vdots \end{bmatrix} - \begin{bmatrix} \eta \frac{\partial L}{\partial \theta_1} |_{\theta=\theta^0} \\ \eta \frac{\partial L}{\partial \theta_2} |_{\theta=\theta^0} \\ \vdots \end{bmatrix}$$

$$\mathbf{g} = \nabla L(\theta^0)$$

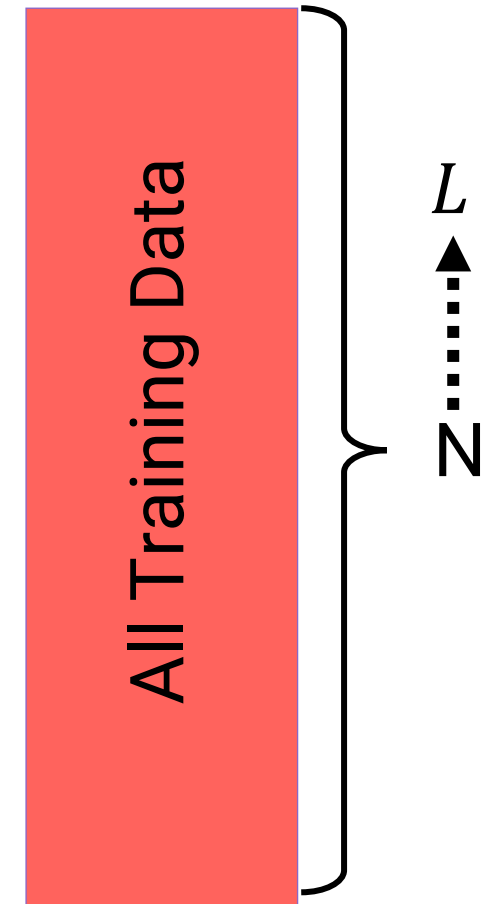
$$\theta^1 \leftarrow \theta^0 - \eta \mathbf{g}$$

Compute the Loss for all data?

$$\theta^* = \arg \min_{\theta} L \quad \text{Loss: } L = \frac{1}{N} \sum_n e_n$$

- (Randomly) Pick initial values θ^0
- Compute gradient $g = \nabla L(\theta^0)$
 $\theta^1 \leftarrow \theta^0 - \eta g$
- Compute gradient $g = \nabla L(\theta^1)$
 $\theta^2 \leftarrow \theta^1 - \eta g$
- ...
- Repeat a set of iterations

Inefficient & ...

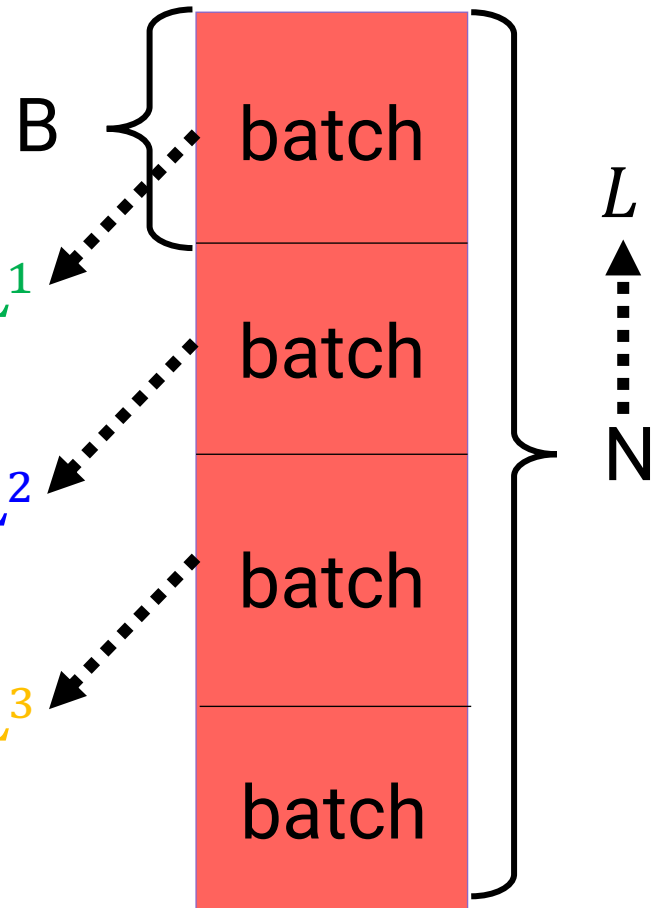


Compute the Loss for all data?

$$\theta^* = \arg \min_{\theta} L \quad \text{Loss: } L = \frac{1}{N} \sum_n e_n$$

- (Randomly) Pick initial values θ^0
- Compute gradient $\mathbf{g} = \nabla L^1(\theta^0)$
update $\theta^1 \leftarrow \theta^0 - \eta \mathbf{g}$
- Compute gradient $\mathbf{g} = \nabla L^2(\theta^1)$
update $\theta^2 \leftarrow \theta^1 - \eta \mathbf{g}$
- Compute gradient $\mathbf{g} = \nabla L^3(\theta^2)$
update $\theta^3 \leftarrow \theta^2 - \eta \mathbf{g}$

1 **epoch** = see all the batches once

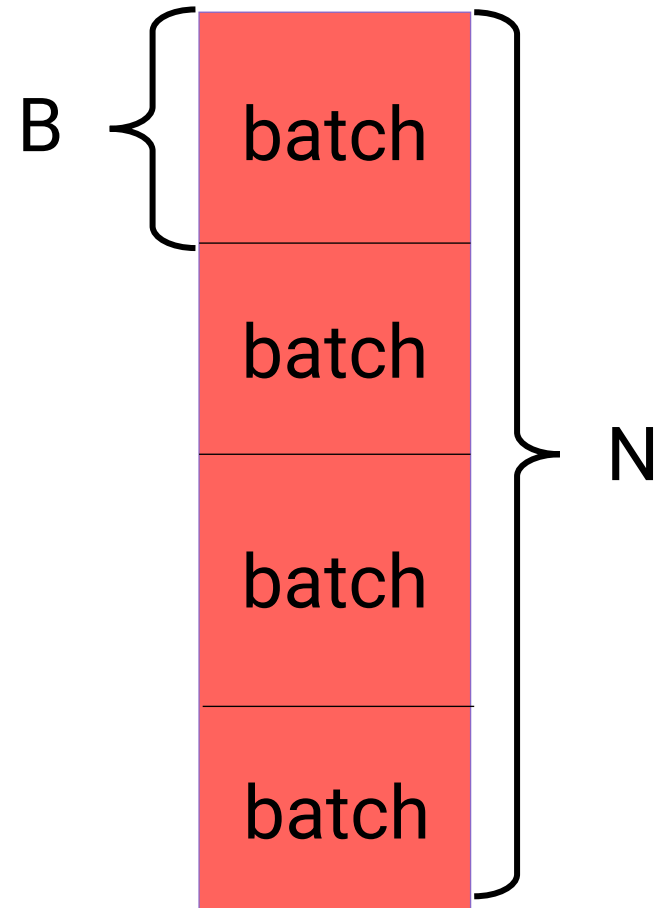


Quiz

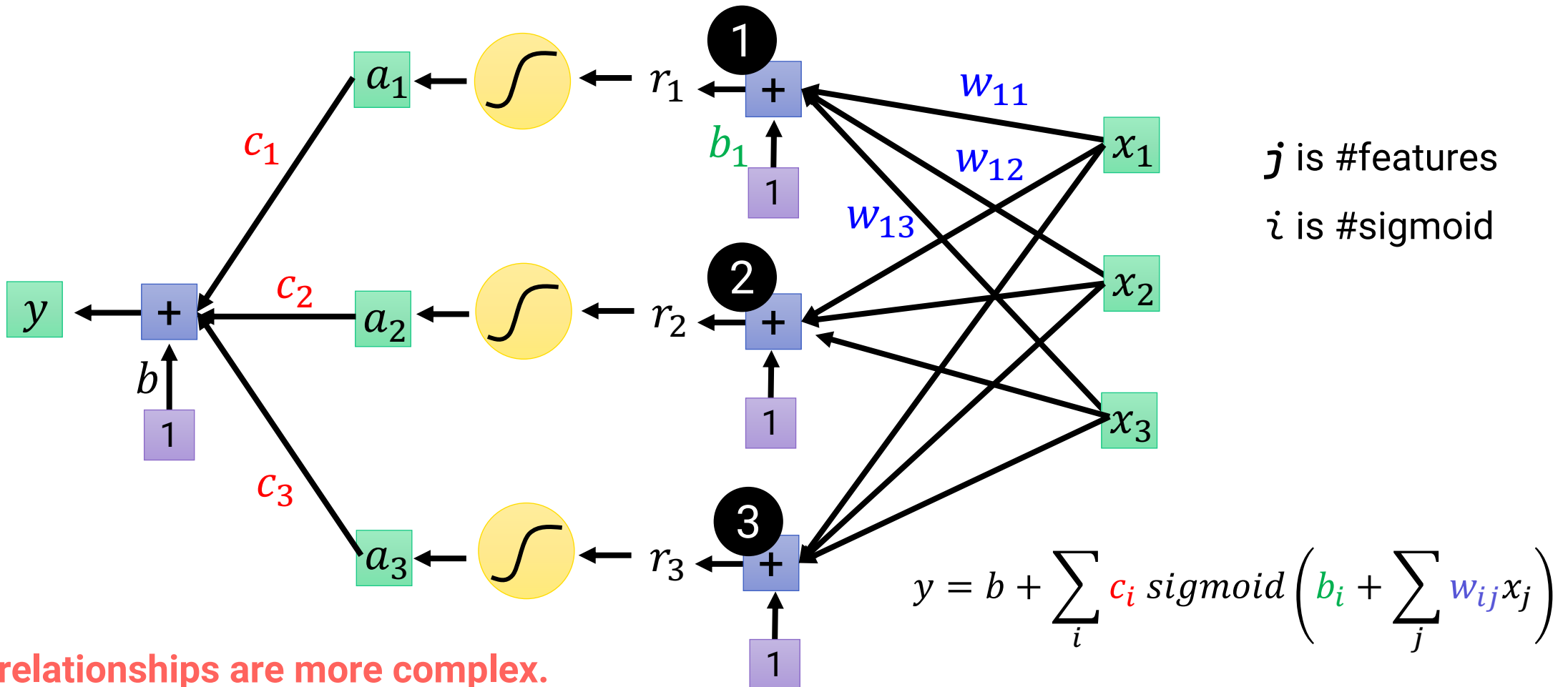
- 10,000 examples ($N = 10,000$)
- Batch size is 10 ($B = 10$)

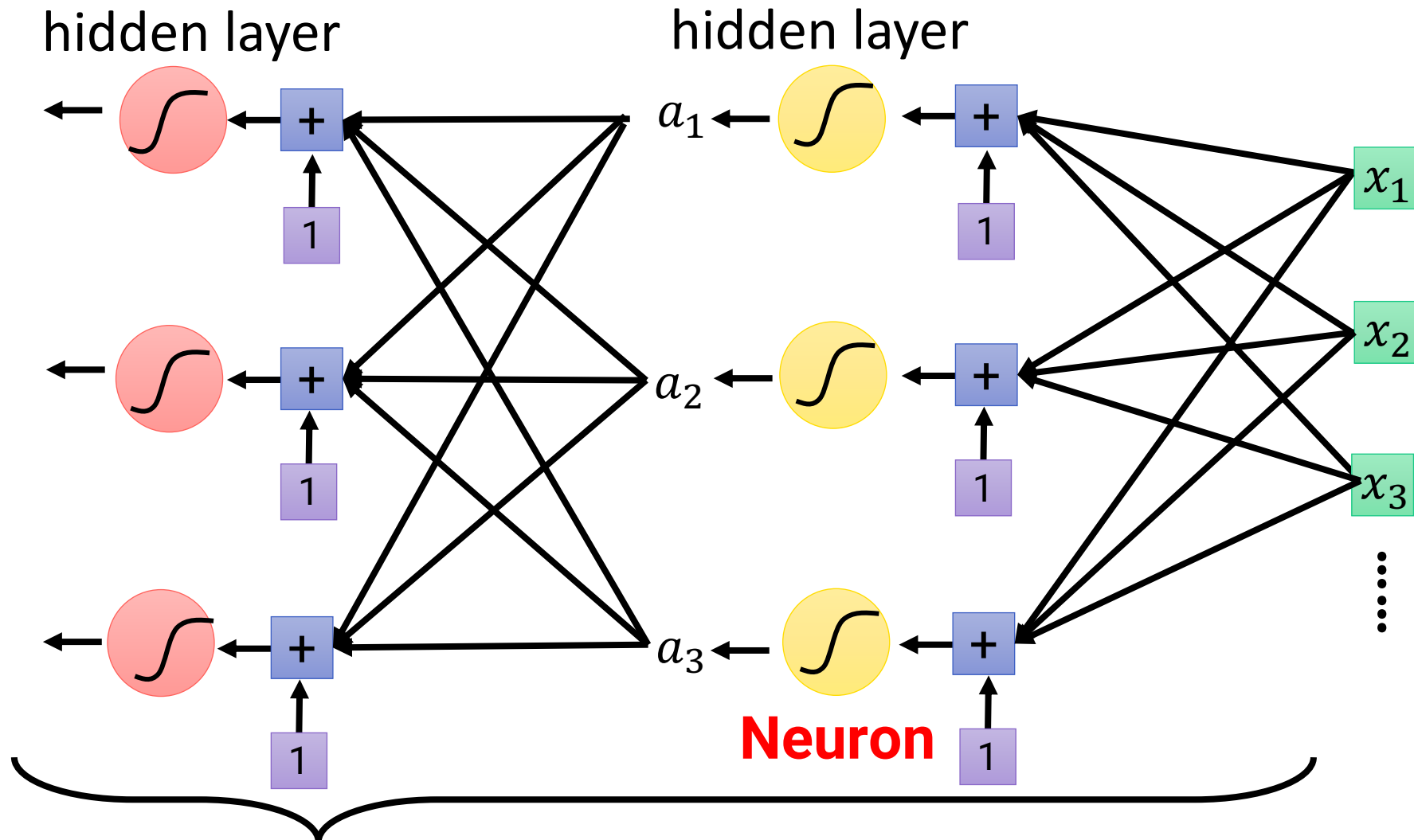
How many update in **1 epoch**?

1,000 updates



Machine Learning to Deep Learning



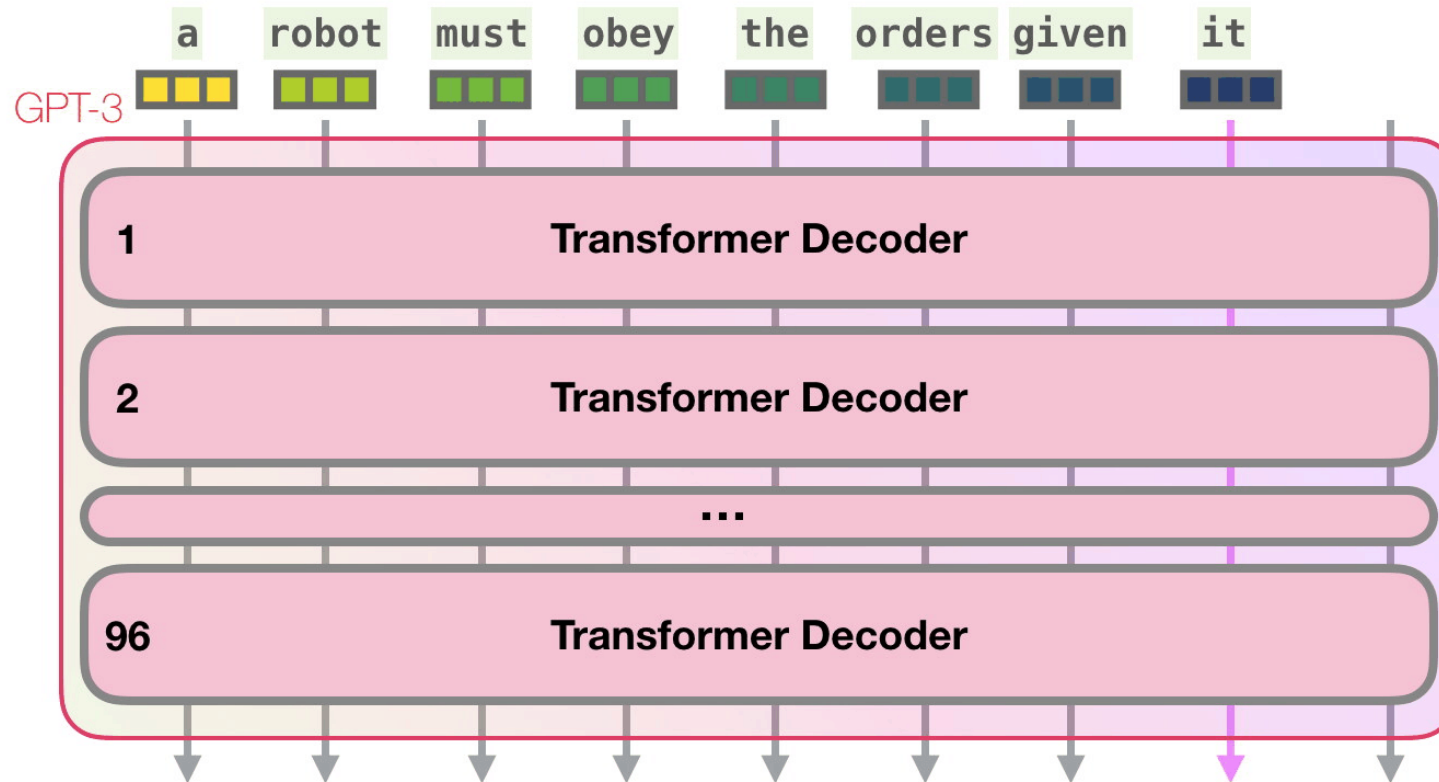


Neural Network

Deep means many layers

#Layers in GPT-3

<https://jalammar.github.io/how-gpt3-works-visualizations-animations/>



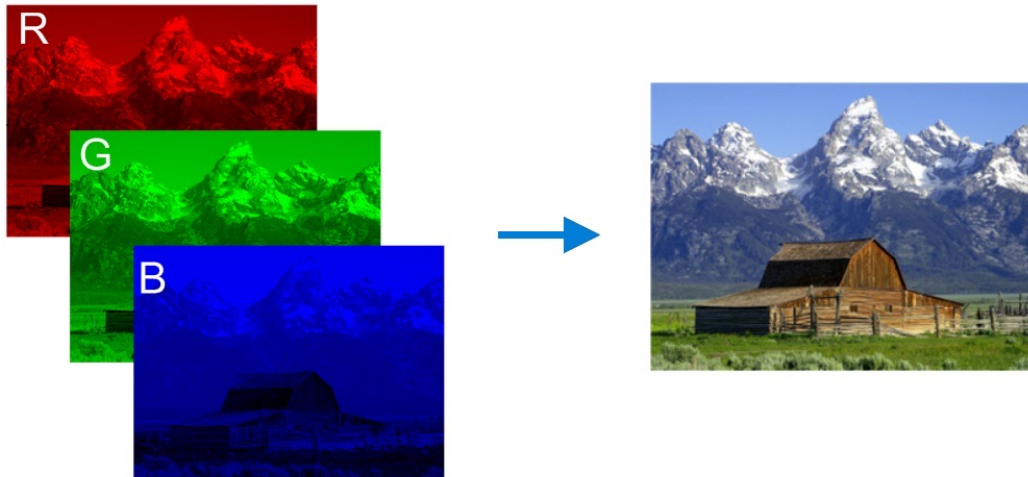
generative pre-trained transformer

Deep vs Wide

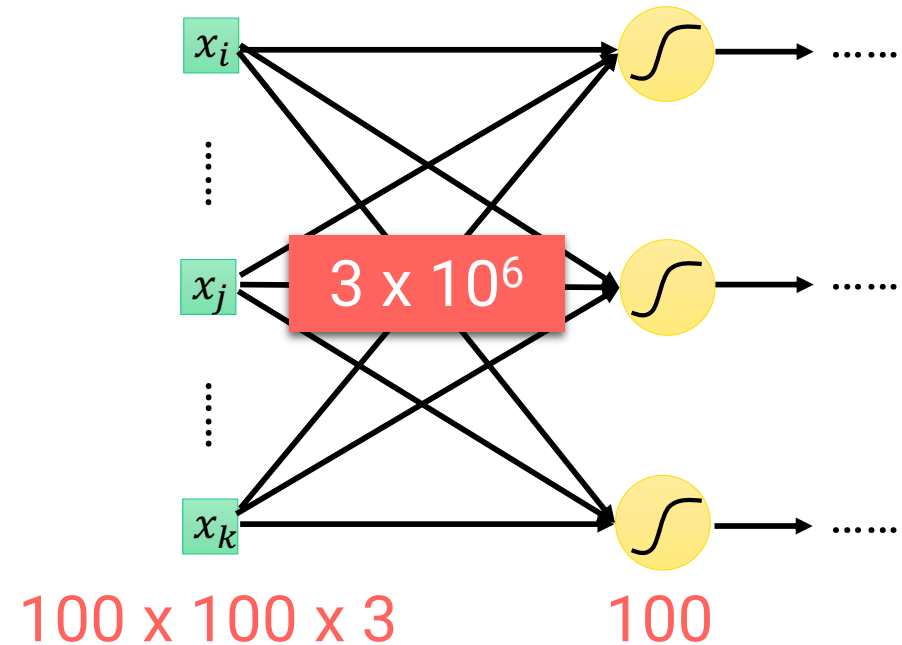
DL in real applications

Domain knowledge -> customized model (neural network)

RGB

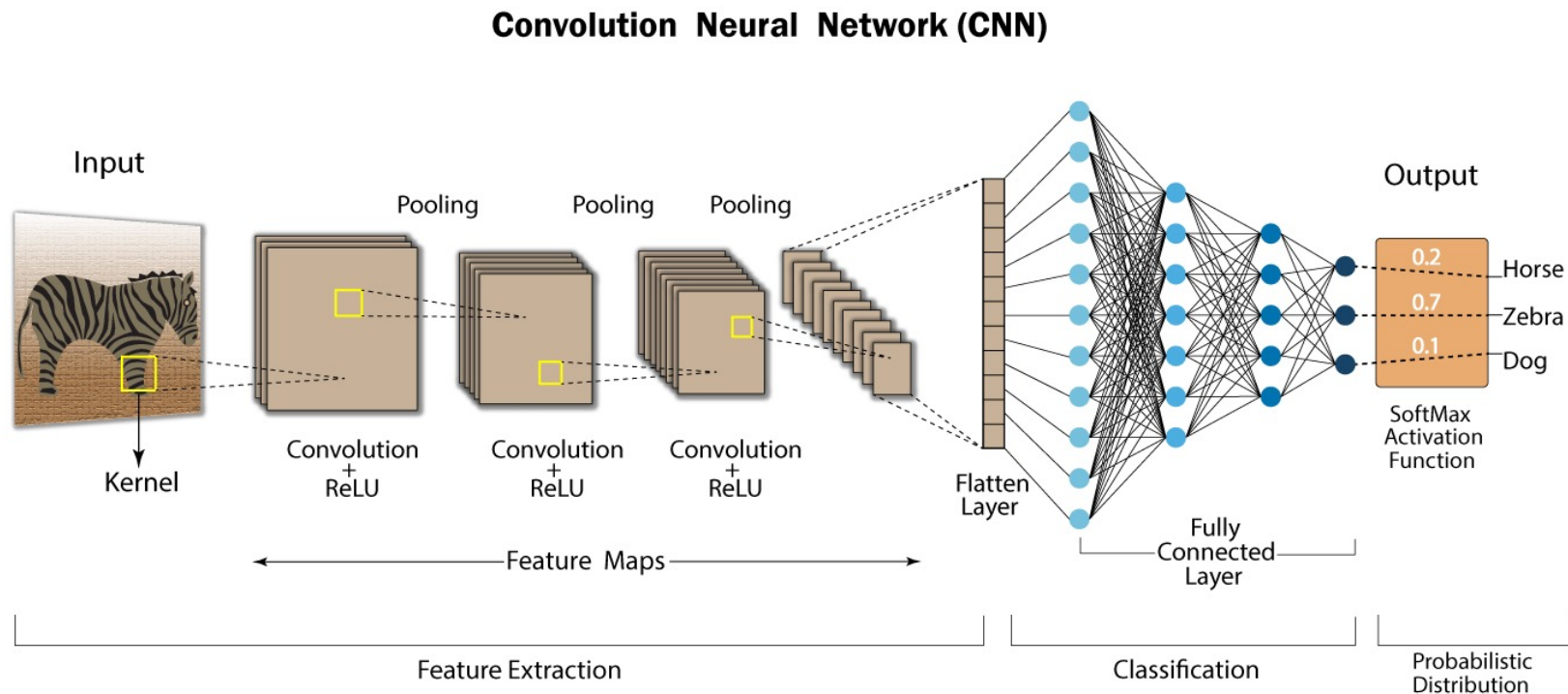


Assume we have an image with 100 pixels
A color can be represented by $(256 \times 256 \times 256)$



DL in real applications

Domain knowledge -> customized model (neural network)



Learning Outcome

Understand the basic idea of ML and DL

Learn more details in **COMP9444**