**DECLARATION FORM FOR APPLICATION FOR EXTERNAL RESEARCH FUND**

**Form RC/59**

(Revised in Dec 2015)

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| --- |
| **Important Notes:**   * This form must be completed before you apply for or solicit any external funding support for your research activities, including all kinds of research funding scheme (administered by RO or known to you through other means) and funding support from individuals or other entities for collaborative research projects. * For soliciting donation for research purpose, please follow the procedures as stipulated in the “Donation Regulations” in the Staff Handbook or on the AADO website. * Applications of staff members in academic units should be assessed by DRC Chair and endorsed by HoD and FRC Chair as shown in this form. * Applications of staff members in non-academic units should be submitted via the Head of Department and the overseeing SME to the RO for the consideration of VP(RD). * Applications of research students should be submitted to the DRC Chair via the Chief Supervisor. |

**PART I**

[to be completed by the PI/ PC/ PolyU Coordinator; the same research team should ensure that only one completed Form RC/59 is submitted.]

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Proposal title | : | InferSpark: Statistical Inference at Scale | | | | | |
| Funding scheme | : | NSFC/RGC Joint Research Scheme | | | | | |
| Funding body | : | NSFC/RGC | | | | | |
| Total amount requested from the funding body | : |  | | | | | |
|  |  |  |  | | | | |
| Lead applicant | : | x | PolyU | | | | |
|  |  |  | | | |
|  |  |  | Other institution: |  | | | |
|  |  |  | Amount to be received by PolyU to carry out its part of research (out of the total amount requested from the funding body specified above): | | |  | |
|  |  |  | Name of PolyU Coordinator: | Eric Lo | Dept./School/ Centre: | | COMP |
|  |  |  | Email: | ericlo@comp.polyu.edu.hk | Ext.: | | 7261 |

Research Team of the Project (including external members):

|  |  |  |  |
| --- | --- | --- | --- |
| Name: | Eric Lo | Kenny Zhu |  |
| PolyU Dept. / External institution: | COMP | Dept. of Computer Science and Engineering  Shanghai Jiao Tong University |  |
| Role[[1]](#footnote-1): | Hong Kong PI | Mainland PI |  |
|  |  |  |  |
| Name: |  |  |  |
| PolyU Dept. / External institution: |  |  |  |
| Role1: |  |  |  |
|  |  |  |  |
| Name: |  |  |  |
| PolyU Dept. / External institution: |  |  |  |
| Role1: |  |  |  |

Estimated percentage of effort contributed by different departments within PolyU to the project:

|  |  |  |
| --- | --- | --- |
| PolyU dept | Estimated Percentage of effort (%) | Total |
| COMP | 100% | 100% |
|  |  |
|  |  |

I would like to carry out the research because *(please tick only one of the following justifications)*:

|  |  |  |
| --- | --- | --- |
| x | (a) The research is in line with the core research strength and development of the University. | |
|  | (b) It is a new area of research development which is worth exploring. | |
|  | (c) It is contributory to accomplishing the societal mission of the University. | |
|  | (d) Others, please specify: | |
|  |  |  |

I declare that:

a. The proposal, including the budget, has been prepared in line with all the requirements laid down by the funding body.

x

x

b. I have provided my D/SRC Chair with the evidence to support that my application has been scanned by anti-plagiarism software and is plagiarism free.

c. According to the University’s policy, an overhead charge at 15% of the total direct cost of the project is included in the budget of the proposal.

The funding body is RGC which will provide overhead to the University separately. As such, overhead charge is not included in the budget of the proposed project.

The funding body *does not allow the charge of overhead / only agrees to provide an overhead of \_\_\_\_\_\_% (i.e. less than 15%) of the total direct cost of the project* \*.

*(\* Please delete as appropriate.)*

d. The proposal **is not similar or related** to any on-going or completed projects, or proposals pending funding approval.

x

The proposal **is similar or related** to an / some on-going or completed projects, or proposals pending funding approval. The relevant details are as follows. The similarities are also stated in the application form.

|  |
| --- |
| Project Title:  Funding Scheme:  Project Duration (dd-mmm-yyyy to dd-mmm-yyyy):  Similarities: |

e. Experiments involving human or animal subjects **will not be** conducted for the research.

x

Experiments involving *human subjects / animal subjects / human and animal subjects*\* **will be** conducted for the research. I will ensure that relevant ethics approval is obtained before carrying out the experiments.

*(\* Please delete as appropriate.)*

For Academic Staff Members

f. I **do not** request for any matching fund from my Department/School/Centre, the Faculty/School Board, and/or the University.

x

I **would like to apply** for a matching fund and have attached the Form RC/60 to this Declaration Form.

g. I **do not** request for other resources such as space and equipment from my Department/School/Centre, the Faculty/School Board, and/or the University.

x

I **would like to apply** for the other resources such as space and equipment and have attached Form RC/60 to this Declaration Form.

|  |  |  |  |
| --- | --- | --- | --- |
| Signature |  | Date |  |
|  | PI / PC / PolyU Coordinator |  |  |

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**PART II**

[to be completed by D/SRC Chair (including proposals involving the D/SRC Chair as one of the research team members)]

1. **(for applicant being a PC/PI)** I confirm that the above proposal, including the budget, has been vetted by the D/SRC according to the requirements laid down by the funding body. We found that the proposal is of high quality and agreed to endorse it for submission to the funding body.

**(for applicant being a Co-PI/Co-I/Team Member)** I am aware of the submission of the above proposal.

b. I **understand** that the applicant does not request for any matching fund from the Department/School/Centre, the Faculty/School Board and/or the University.

I **have marked my comments** on the request for matching fund on Form RC/60.

c. I **understand** that the applicant does not request for any other resources from the Department/School/Centre, the Faculty/School Board and/or the University.

I **have marked my comments** on the request for other resources on Form RC/60.

d. I **understand** that according to the University’s policy, an overhead charge at 15% of the total direct cost of the project is included in the budget of the proposal.

I **understand** that the funding body is RGC. As such, overhead charge is not included in the budget of the proposed project.

I **support / do not support \*** that an overhead charge at \_\_\_\_\_\_% (i.e. less than 15%) of the total direct cost of the project be included in the budget of the proposal. My comment is as follows:

|  |
| --- |
|  |

*(\* Please delete as appropriate.)*

Other comments (if any):

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Name |  | Signature |  | Date |  |
|  | Chair, D/SRC ( ) |  |  |  |  |

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**PART III**

[to be completed by Head of Department/Dean of School/Director of Centre (including proposals involving the Head of Department/Dean of School/Director of Centre as one of the research team members)]

1. I support the submission of the above proposal. I understand that, in the very rare case that the approved external fund cannot reach the University for one reason or the other, **the** **Department/School/Centre will be responsible for settling all the expenses paid and/or committed** by the PC/PI/Co-PI/Co-I/Team Member, who is a member of my Department/School/Centre, in relation to the implementation of this project.
2. I **confirm** that the applicant, irrespective of his/her grade, has **fulfilled the eligibility criteria imposed by the funding body** and **his/her appointment period in PolyU is long enough to cover the project period**.

c. I **understand** that the applicant does not request for any matching fund from the Department/School/Centre, the Faculty/School Board and/or the University.

I **have marked my comments** on the request for matching fund on Form RC/60.

d. I **understand** that the applicant does not request for any other resources from the Department/School/Centre, the Faculty/School Board and/or the University.

I **have marked my comments** on the request for other resources on Form RC/60.

e. I **understand** that according to the University’s policy, an overhead charge at 15% of the total direct cost of the project is included in the budget of the proposal.

I **understand** that the funding body is RGC. As such, overhead charge is not included in the budget of the proposed project.

I **support / do not support \*** that an overhead charge at \_\_\_\_\_\_% (i.e. less than 15%) of the total direct cost of the project be included in the budget of the proposal. My comment is as follows:

|  |
| --- |
|  |

*(\* Please delete as appropriate.)*

Other comments (if any):

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Name |  | Signature |  | Date |  |
|  | Head/Dean of School/Director |  |  |  |  |

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**PART IV**

[to be completed by FRC Chair (including proposals involving the FRC Chair as one of the research team members)]

a.(for external research grants of $3 million or above and/or for external research grants requiring prioritisation of proposals)

I confirm that the above proposal has been vetted by the FRC. We found that the proposal is of high quality and agreed to endorse it for submission to the funding body.

Priority of the proposal (if applicable): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

b. I **understand** that the applicant does not request for any matching fund from the Department/School/Centre, the Faculty/School Board and/or the University.

I **have marked my comments** on the request for matching fund on Form RC/60.

c. I **understand** that the applicant does not request for any other resources from the Department/School/Centre, the Faculty/School Board and/or the University.

I **have marked my comments** on the request for other resources on Form RC/60

d. I **understand** that according to the University’s policy, an overhead charge at 15% of the total direct cost of the project is included in the budget of the proposal.

I **understand** that the funding body is RGC. As such, overhead charge is not included in the budget of the proposed project.

I **support / do not support \*** that an overhead charge at \_\_\_\_\_\_% (i.e. less than 15%) of the total direct cost of the project be included in the budget of the proposal. My comment is as follows:

|  |
| --- |
|  |

*(\* Please delete as appropriate.)*

Other comments (if any):

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Name |  | Signature |  | Date |  |
|  | Chair, FRC ( ) |  |  |  |  |

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**PART V**

[to be completed by the VP(RD) (only if an overhead charge at less than 15% of the total direct cost of the project is included in the budget of the proposal.)]

I **approve / do not approve \*** the request for inclusion of an overhead charge at % (i.e. less than 15%) of the total direct cost of the project in the budget of the proposal according to the justification provided in Part I. My comment is as follows:

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| --- |
|  |

*(\* Please delete as appropriate.)*

|  |  |  |  |
| --- | --- | --- | --- |
| Signature |  | Date |  |
|  | VP(RD) |  |  |

1. PI/PC, Co-PI, Co-I or Team Member [↑](#footnote-ref-1)