Guidelines for the Clear Style Constituent to Dependency Conversion

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1 Introduction

1.1 Motivation

Most current state-of-the-art dependency parsers take various statistical learning approaches (Mcdonald and Pereira, 2006; Nivre, 2008; Huang and Sagae, 2010; Rush and Petrov, 2012). The biggest advantage of statistical parsing is found in the ability to adapt to new data without modifying the parsing algorithm. Statistical parsers can be trained on data from new domains, genres, or languages as long as they are provided with sufficiently large training data from the new sources. On the other hand, this is also the biggest drawback for statistical parsing because annotating such large training data is manually intensive work that is costly and time consuming.

Although a few manually annotated dependency Treebanks are available for English (Rambow et al., 2002; Čmejrek et al., 2004), constituent Treebanks are still more dominant (Marcus et al., 1993; Weischedel et al., 2011). It has been shown that the Penn Treebank style constituent trees can reliably be converted to dependency trees using head-finding rules and heuristics (Johansson and Nugues, 2007; de Marneffe and Manning, 2008a; Choi and Palmer, 2010). By automatically converting these constituent trees to dependency trees, statistical dependency parsers have access to a larger amount of training data. Few tools are available for constituent to dependency conversion. Two of the most popular ones are the LTH and the Stanford dependency converters. The LTH converter had been used to provide English data for the CoNLL'07-09 shared tasks (Nivre et al., 2007; Surdeanu et al., 2008; Hajič et al., 2009). The LTH converter makes several improvements over its predecessor, Penn2Malt, by adding syntactic and semantic dependencies retained from function tags (e.g., PRD, TMP) and producing long-distance dependencies caused by empty categories or gapping relations. The Stanford converter was used for the SANCL'12 shared task (Petrov and McDonald, 2012), and is perhaps the most widely used dependency converter at the moment. The Stanford converter gives fine-grained dependency labels useful for many NLP tasks. Appendix B shows descriptions of the CoNLL and the Stanford dependency labels generated by these two tools.

Both converters perform well for most cases; however, they are somewhat customized to the Penn Treebank (mainly to the Wall Street Journal corpus; see Marcus et al. (1993)), so do not work as well when applied to different corpora. For example, the OntoNotes Treebank (Weischedel et al., 2011) contains additional constituent tags not used by the Penn Treebank (e.g., EDITED, META), and shows occasional departures from the Penn Treebank guidelines (e.g., inserting NML phrases, separating hyphenated words; see Figure 1). These new formats affect the ability of existing tools to find correct dependencies, motivating us to aim for a more resilient approach.

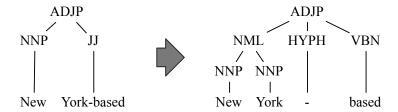


Figure 1: Structural differences in the Penn Treebank (left) and the OntoNotes Treebank (right). The hyphenated word is tokenized, HYPH, and the nominal phrase is grouped, NML, in the OntoNotes.

Producing more informative trees provides additional motivation. The Stanford converter generates dependency trees without using information such as function tags (Appendix A.3), empty categories (Section 2), or

¹The Lth dependency converter: http://nlp.cs.lth.se/software/treebank_converter/

The Stanford dependency converter: http://nlp.stanford.edu/software/stanford-dependencies.shtml

²Penn2Malt: http://stp.lingfil.uu.se/~nivre/research/Penn2Malt.html

³The term "long-distance dependency" is used to indicate dependency relations between words that are not within the same domain of locality.

gapping relations (Section 5.1), which is provided in manually annotated but not in automatically generated constituent trees. This enables the Stanford converter to generate the same kind of dependencies given either manually or automatically generated constituent trees. However, it sometimes misses important details such as long-distance dependencies, which can be retrieved from empty categories, or produces unclassified dependencies that can be disambiguated by function tags. This becomes an issue when this converter is used for generating dependency trees for training because statistical parsers trained on these trees would not reflect these details.

The dependency conversion described here takes the Stanford dependency approach as the core structure and integrates the CoNLL dependency approach to add long-distance dependencies, to enrich important relations like object predicates, and to minimize unclassified dependencies. The Stanford dependency approach is taken for the core structure because it gives more fine-grained dependency labels and is currently used more widely than the CoNLL dependency approach. For our conversion, head-finding rules and heuristics are completely reanalyzed from the previous work to handle constituent tags and relations not introduced by the Penn Treebank. Our conversion has been evaluated with several different constituent Treebanks (Marcus et al., 1993; Nielsen et al., 2010; Weischedel et al., 2011; Verspoor et al., 2012) and showed robust results across these corpora.

1.2 Background

1.2.1 Dependency graph

A dependency structure can be represented as a directed graph. For a given sentence $s = w_1, \ldots, w_n$, where w_i is the *i*'th word token in the sentence, a dependency graph $G_s = (V_s, E_s)$ can be defined as follows:

```
\begin{array}{lll} V_s &=& \{w_0 = \mathrm{root}, w_1, \ldots, w_n\} \\ E_s &=& \{(w_i \xrightarrow{r} w_j) : i \neq j, w_i \in V_s, w_j \in V_s - \{w_0\}, r \in R_s\} \\ R_s &=& \mathrm{A} \text{ subset of all dependency relations in } s \end{array}
```

 $w_i \xrightarrow{r} w_j$ is a directed edge from w_i to w_j with a label r, which implies that w_i is the head of w_j with a dependency relation r. A dependency graph is considered well-formed if it satisfied all of the following properties:

- Root: there must be a unique vertex, w_0 , with no incoming edge. $\neg [\exists k. (w_0 \leftarrow w_k)]$
- Single head: each vertex $w_{i>0}$ must have a single incoming edge. $\forall i. [i>0 \Rightarrow \forall j. [(w_i \leftarrow w_i) \Rightarrow \neg [\exists k. (k \neq j) \land (w_i \leftarrow w_k)]]]$
- Connected: there must be an undirected path between any two vertices.⁴ $[\forall i, j. (w_i w_j)]$, where $w_i w_j$ indicates an undirected path between w_i and w_j .
- **Acyclic**: a directed path between any two vertices must not be cyclic. $\neg [\exists i, j.(w_i *\leftarrow w_i) \land (w_i \rightarrow^* w_i)]$, where $w_i \rightarrow^* w_i$ indicates a directed path from w_i to w_i .

Sometimes, projectivity is also considered a property of a well-formed dependency graph. When projectivity is considered, no crossing edge is allowed when all vertices are lined up in linear-order and edges are drawn above the vertices (Figure 2). Preserving projectivity can be useful because it enables regeneration of the original sentence from its dependency graph without losing the word order. More importantly, it reduces parsing complexity to O(n) (Nivre and Scholz, 2004). Although preserving projectivity has a few advantages, non-projective dependencies are often required, especially in flexible word order languages, to represent correct dependencies. Even in rigid word order languages such as English, non-projective dependencies are necessary to represent long-distance dependencies. In Figure 3, there is no way of describing the dependency relations for both "bought \rightarrow yesterday" and "car \rightarrow is" without having their edges cross. Because of such cases, projectivity is dropped from the properties of a well-formed dependency graph for this research.

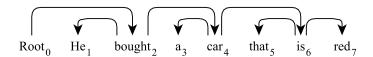


Figure 2: An example of a projective dependency graph.

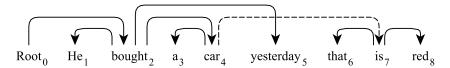


Figure 3: An example of a non-projective dependency graph. The dependency between *car* and *is* is non-projective because it crosses the dependency between *bought* and *yesterday*.

A well-formed dependency graph, with or without the projective property, satisfies all of the conditions for tree structures, so is called a 'dependency tree'.

1.2.2 Types of empty categories

Empty categories are syntactic units, usually nominal phrases, that appear in the surface form to signal the canonical locations of syntactic elements in its deep structure (Cowper, 1992; Chomsky, 1995). Table 1 shows a list of empty categories used in constituent Treebanks for English. Some of these empty categories have overloaded meanings. For instance, *PRO* indicates empty subjects caused by different pro-drop cases (e.g., control, imperative, nominalization). See Bies et al. (1995); Taylor (2006) for more details about these empty categories.

Type	Description			
PRO	Empty subject of pro-drop (e.g., control, ECM, imperative, nominalization)			
T	Trace of wh-movement and topicalization			
*	Trace of subject raising and passive construction			
0	Null complementizer			
U	Unit (e.g., \$)			
ICH	Pseudo-attach: Interpret Constituent Here			
?	Placeholder for ellipsed material			
EXP	Pseudo-attach: EXPletives			
RNR	Pseudo-attach: Right Node Raising			
NOT	Anti-placeholder in template gapping			
PPA	Pseudo-attach: Permanent Predictable Ambiguity			

Table 1: A list of empty categories used in constituent Treebanks for English.

1.3 Overview

Figure 4 shows the overview of our constituent to dependency conversion. Given a constituent tree, empty categories are mapped to their antecedents first (step 2; see Section 2). This step relocates phrasal nodes regarding certain kinds of empty categories that may cause generation of non-projective dependencies.⁵ Once empty categories are mapped, special cases such as apposition, coordination, or small clauses are handled

⁴An 'undirected path' implies a path between two vertices, regardless of their directionality.

⁵ Although phrases in constituency trees are relocated, word order in dependency trees remains the same.

next (step 3; see Sections 3.2 to 3.4). Finally, general cases are handled using head-finding rules and heuristics (step 4; see Sections 3.1 and 4).

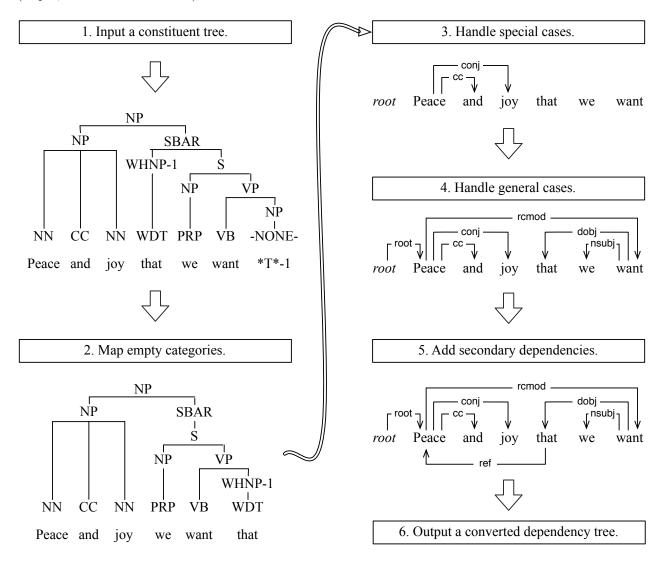


Figure 4: The overview of constituent to dependency conversion.

Secondary dependencies are added as a separate layer of this dependency tree (step 5; see Section 5). Additionally, syntactic and semantic function tags in the constituent tree are preserved as features of individual nodes in the dependency tree (not shown in Figure 4; see Appendix A.3).

2 Mapping empty categories

Most long-distance dependencies can be represented without using empty categories in dependency structure. In English, long-distance dependencies are caused by certain linguistic phenomena such as wh-movement, topicalization, discontinuous constituents, etc. It is difficult to find long-distance dependencies during automatic parsing because they often introduce dependents that are not within the same domain of locality, resulting in non-projective dependencies (McDonald and Satta, 2007; Koo et al., 2010; Kuhlmann and Nivre, 2010).

Four types of empty categories are used to represent long-distance dependencies during our conversion: *T*, *RNR*, *ICH*, and *PPA* (see Table 1). Note that the CoNLL dependency approach used *EXP* to represent extraposed elements in expletive constructions, which is not used in our approach because the annotation of *EXP* is somewhat inconsistent across different corpora.

2.1 Wh-movement

Wh-movement is represented by *T* in constituent trees. In Figure 5, WHNP-1 is moved from the object position of the subordinate verb *liked* and leaves a trace, *T*-1, at its original position. Figure 6 shows a dependency tree converted from the constituent tree in Figure 5. The dependency of WHNP-1 is derived from its original position so that it becomes a direct object of *liked* (DOBJ; Section 4.5.2).

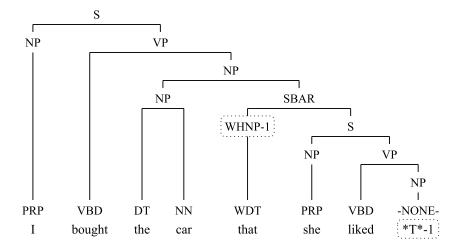


Figure 5: An example of wh-movement.

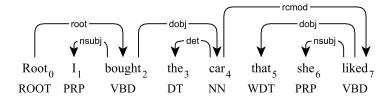


Figure 6: A dependency tree converted from the constituent tree in Figure 5

Wh-complementizers can be moved from several positions. In Figure 7, WHNP-1 is moved from the prepositional phrase, PP, so in Figure 8, the complementizer what becomes an object of the preposition in (POBJ; Section 4.12.2). Notice that the POBJ dependency is non-projective; it crosses the dependency between knew and was. This is a typical case of a non-projective dependency caused by wh-movement.

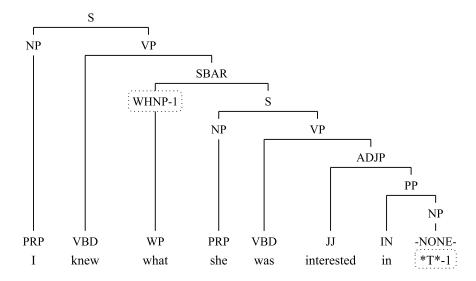


Figure 7: Another example of wh-movement.

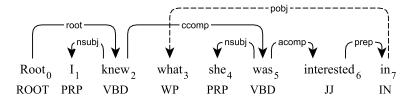


Figure 8: A dependency tree converted from the constituent tree in Figure 7. The dependency derived from the wh-movement, POBJ, is indicated by a dotted line.

2.2 Topicalization

Topicalization is also represented by *T*. In Figure 9, S-1 is moved from the subordinate clause, SBAR, and leaves a trace behind. In Figure 10, the head of S-1, *liked*, becomes a dependent of the matrix verb *seemed* (ADVCL; Section 4.9.1), and the preposition *like* becomes a dependent of the subordinate verb *liked* (MARK; Section 4.9.3). The MARK dependency is non-projective such that it crosses the dependency between *Root* and *seemed*.

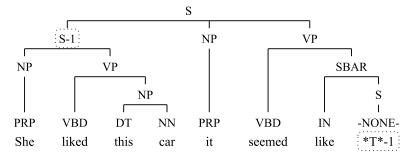


Figure 9: An example of topicalization.

There are a few cases where *T* mapping causes cyclic dependency relations. In Figure 11, *T*-1 is mapped to S-1 that is an ancestor of itself. Thus, the head of S-1, bought, becomes a dependent of the subordinate

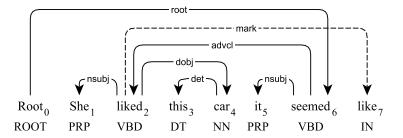


Figure 10: A dependency tree converted from the constituent tree in Figure 9. The dependency derived from the topicalization, MARK, is indicated by a dotted line.

verb *said* while the head of the subordinate clause, *said*, becomes a dependent of the matrix verb *bought*. Since this creates a cyclic relation in the dependency tree, such traces are ignored during our conversion (Figure 12).

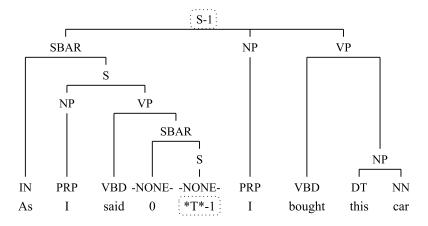


Figure 11: An example of topicalization, where a topic movement creates a cyclic relation.

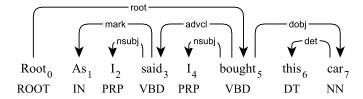


Figure 12: A dependency tree converted from the constituent tree in Figure 11.

2.3 Right node raising

Right node raising occurs in coordination where a constituent is governed by multiple parents that are not on the same level (Levine, 1985). Right node raising is represented by *RNR* in constituent trees. In Figure 13, NP-1 should be governed by both PP-1 and PP-2, where *RNR*-1's are located. Making NP-1 dependents of both PP-1 and PP-2 breaks the **single head** property (Section 1.2.1); instead, the dependency of NP-1 is derived from its closest *RNR*-1 in our conversion. In Figure 14, her becomes a dependent of the head of PP-2, in. The dependency between her and the head of PP-1, for, is preserved as a secondary dependency, REF

(referent; see Section 5). Thus, *her* is a dependent of only PP-2 in our dependency tree while the dependency to PP-2 can still be retrieved through the secondary dependency.⁶

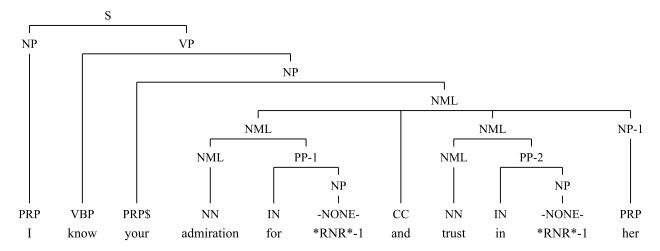


Figure 13: An example of right node raising.

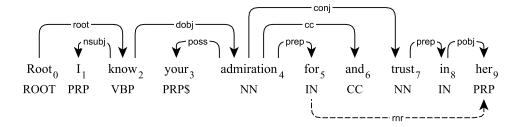


Figure 14: A dependency tree converted from the constituent tree in Figure 13. The secondary dependency, RNR, is added to a separate layer to preserve tree properties.

Note that the CoNLL dependency approach makes *her* a dependent of the head of PP-1, which creates a non-projective dependency (the dependency between *for* and *her* in Figure 15). This non-projective dependency is avoided in our approach without losing any referential information.

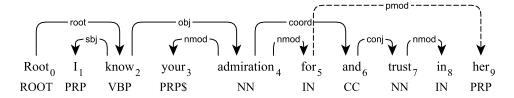


Figure 15: A CoNLL style dependency tree converted from the constituent tree in Figure 13. The dependency caused by right node raising, PMOD, is indicated by a dotted line.

⁶Secondary dependencies are not commonly used in dependency structures. These are dependencies derived from gapping relations, referent relations, right node raising, and open clausal subjects, which may break tree properties (Section 5). During our conversion, secondary dependencies are preserved in a separate layer so they can be learned either jointly or separately from other dependencies.

2.4 Discontinuous constituent

A discontinuous constituent is a constituent that is separated from its original position by some intervening material. The original position of a discontinuous constituent is indicated by *ICH* in constituent trees. In Figure 16, PP-1 is separated from its original position, *ICH*-1, by the adverb phrase, ADVP. Thus, in Figure 17, the head of the prepositional phrase, than, becomes a prepositional modifier (PREP; Section 4.12.3) of the head of the adjective phrase (ADJP-2), expensive. The PREP dependency is non-projective; it crosses the dependency between is and now.

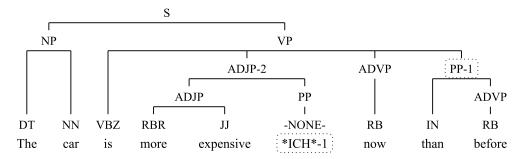


Figure 16: An example of discontinuous constituents.

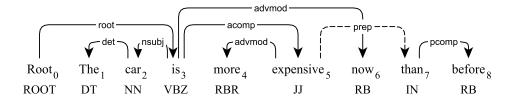


Figure 17: A dependency tree converted from the constituent tree in Figure 16. The dependency derived from the *ICH* movement, PREP, is indicated by a dotted line.

3 Finding dependency heads

3.1 Head-finding rules

Table 2 shows head-finding rules (henceforth, headrules) derived from various constituent Treebanks. For each phrase (or clause) in a constituent tree, the head of the phrase is found by using its headrules, and all other nodes in the phrase become dependents of the head. This procedure goes on recursively until every constituent in the tree becomes a dependent of one other constituent, except for the top constituent, which becomes the root of the dependency tree. A dependency tree generated by this procedure is guaranteed to be well-formed (Section 1.2.1), and may or may not be non-projective, depending on how empty categories are mapped (Section 2).

```
ADJP
             JJ*|VB*|NN*;ADJP;IN;RB|ADVP;CD|QP;FW|NP;*
ADVP
             VB*;RP;RB*|JJ*;ADJP;ADVP;QP;IN;NN;CD;NP;*
         r
CONJP
         1
             CC; VB*; NN*; TO | IN; *
             VP;VB*;NN*|PRP|NP;IN|PP;S*;*
EDITED
         r
             S*;FRAG|NP;*
EMBED
         r
             VP; VB*; -PRD; S|SQ|SINV|SBARQ; NN*|NP; PP; SBAR; JJ*|ADJP; RB|ADVP; INTJ; *
FRAG
         r
INTJ
             VB*:NN*:UH:INTJ:*
LST
         1
             LS | CD; NN; *
META
         1
             NP; VP | S; *
             NN*;NP;S|SINV;*
NAC
         r
NML
             NN*|NML;CD|NP|QP|JJ*|VB*;*
         r
NP
             NN*|NML;NX;PRP;FW;CD;NP;-NOM;QP|JJ*|VB*;ADJP;S;SBAR;*
NX
             NN*;NX;NP;*
         r
PP
         1
             RP;TO;IN;VB*;PP;NN*;JJ;RB;*
PRN
             VP;NP;S|SBARQ|SINV|SQ;SBAR;*
         r
PRT
         1
            RP;PRT;*
QΡ
             CD; NN*; JJ; DT | PDT; RB; NP | QP; *
         r
RRC
         1
            VP;VB*;-PRD;NP|NN*;ADJP;PP;*
            VP;VB*;-PRD;S|SQ|SINV|SBARQ;SBAR;NP;PP;*
S
SBAR
         r
            VP;S|SQ|SINV;SBAR*;FRAG|NP;*
             VP;SQ|SBARQ;S|SINV;FRAG|NP;*
SBARQ
         r
             VP;VB*;MD;S|SINV;NP;*
SINV
         r
SQ
             VP;VB*;SQ;S;MD;NP;*
         r
UCP
         1
VΡ
         1
             VP;VB*;MD|TO;JJ*|NN*|IN;-PRD;NP;ADJP|QP;S;*
             JJ*|VBN;WHADJP|ADJP;*
WHADJP
         r
WHADVP
             RB* | WRB; WHADVP; *
         r
             NN*; WP | WHNP; NP | NML | CD; JJ* | VBG; WHADJP | ADJP; DT; *
WHNP
         r
WHPP
         1
             IN|TO;*
Х
         r
```

Table 2: Head-finding rules. 1/r implies the search direction for the leftmost/rightmost constituent. */+ implies 0/1 or more characters and -TAG implies any POS tag with the specific function tag. | implies a logical OR and; is a delimiter between POS tags. Each rule gives higher precedence to the left (e.g., VP takes the highest precedence in S).

Notice that the headrules in Table 2 give information about which constituents can be the heads, but do not show which constituents cannot be the heads. Some constituents are more likely to be dependents than heads. In Figure 18, both *Three times* and *a week* are noun phrases under another noun phrase. According to our headrules, the rightmost noun phrase, NP-TMP, is chosen to be the head of this phrase. However,

NP-TMP is actually an adverbial modifier of NP-H (NPADVMOD; Section 4.9.5); thus, NP-H should be the head of this phrase instead. This indicates that extra information is required to retrieve correct heads for this kind of phrases.

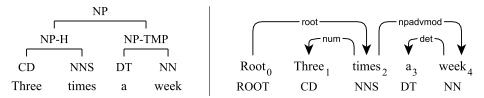


Figure 18: An example of a noun phrase modifying another noun phrase.

The qetHead(N,R) method in Algorithm 3.1 finds the head of a phrase (lines 2-7) and makes all other constituents in the phrase dependents of the head (lines 8-11). The input to the method is the ordered list of children N and the corresponding headrules R of the phrase. The getHeadFlag(C) method in Algorithm 3.2 returns the head-flag of a constituent C, which indicates the dependency precedence of C: the lower the flag is, the sooner C can be chosen as the head. For example, NP-TMP in Figure 18 is skipped during the first iteration (line 2 in Algorithm 3.1) because it has the adverbial function tag TMP, so gets a flag of 1 (line 1 in Algorithm 3.2). Alternatively, NP-H is not skipped because it gets a flag of 0. Thus, NP-H becomes the head of this phrase.

```
Algorithm 3.1: getHead(N, R)
```

```
Input:
            An ordered list N of constituent nodes that are siblings,
            The headrules R of the parent of nodes in N.
            The head constituent of N with respect to R.
Output:
            All other nodes in N become dependents of the head.
    if the search direction of R is r then N.reverse()
                                                                \# the 2nd column in Table 2
2:
    for flag in \{0...3\} do
                                                                \# \text{ e.g.}. tags \leftarrow \text{NN*|NML}
3:
      for tags in R do
4:
        for node in N do
          if (flag = getHeadFlag(node)) and (node is tags) then
5:
6:
            head \leftarrow node
7:
            break the highest for-loop
    for node in N do
8:
9:
      if node \neq head then
10:
        node.head \leftarrow head
        node.label \leftarrow getDependencyLabel(node, node.parent, head)
                                                                           \# Section 4.3
12: return head
```

Algorithm 3.2: getHeadFlag(C)

```
Input:
           A constituent C.
           The head-flag of C, that is either 0, 1, 2, or 3.
Output:
1: if hasAdverbialTag(C) return 1
                                                                # Section 4.9
   if isMetaModifier(C) return 2
                                                                # Section 4.14.4
   if (C is an empty category) or isPunctuation(C) return 3
                                                                \# Section 4.14.8
   return 0
```

The following sections describe heuristics to resolve special cases such as apposition, coordination, and small clauses, where correct heads cannot always be retrieved by headrules alone.

3.2 Apposition

Apposition is a grammatical construction where multiple noun phrases are placed side-by-side and later noun phrases give additional information about the first noun phrase. For example, in a phrase "John, my brother", both John and my brother are noun phrases such that my brother gives additional information about its preceding noun phrase, John. The findApposition(C) method in Algorithm 3.3 makes each appositional modifier a dependent of the first noun phrase in a phrase (lines 8-9). An appositional modifier is either a noun phrase without an adverbial function tag (line 5), any phrase with the function tag HLN|TTL (headlines or titles; line 6), or a reduced relative clause containing a noun phrase with the function tag PRD (non-VP predicate; line 7).

```
Algorithm 3.3: findApposition(C)
```

```
Input:
               A constituent C.
Output:
               True if C contains apposition; otherwise, False.
    if (C is not NP|NML) or (C contains NN*) or (C contains no NP) return False
    let f be the first NP NML in C that contains no POS \# skip possession modifier
3:
    b \leftarrow {\tt False}
     for s in all children of C preceded by f do
4:
5:
       if ((s \text{ is NML} | NP) \text{ and } (\text{not } hasAdverbialTag(s)))
                                                                            # Section 4.9
6:
           or (s \text{ has HLN}|\text{TTL})
7:
          or ((s \text{ is RRC}) \text{ and } (s \text{ contains NP-PRD})) then
8:
          s.\text{head} \leftarrow f
9:
          s.\mathrm{label} \leftarrow \mathtt{APPOS}
10:
          b \leftarrow \texttt{True}
11: \mathbf{return} \ b
```

3.3 Coordination

Several approaches have been proposed for coordination representation in dependency structure. The Stanford dependency approach makes the leftmost conjunct the head of all other conjuncts and conjunctions. The Prague dependency approach makes the rightmost conjunction the head of all conjuncts and conjunctions (Čmejrek et al., 2004). The CoNLL dependency approach makes each preceding conjunct or conjunction the head of its following conjunct or conjunction.

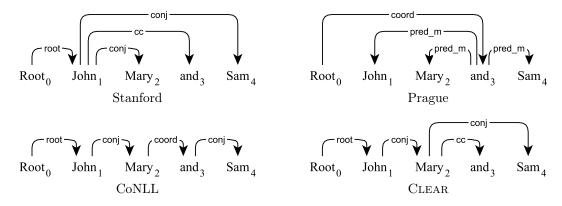


Figure 19: Different ways of representing coordination in dependency structure.

Our conversion takes an approach similar to the CoNLL dependency approach, which had been shown to work better for transition-based dependency parsing (Nilsson et al., 2006). There is one small change in our

approach such that conjunctions do not become the heads of conjuncts (CLEAR in Figure 19). This way, conjuncts are always dependents of their preceding conjuncts whether or not conjunctions exist in between.

The getCoordinationHead(C) method in Algorithm 3.4 finds dependencies between conjuncts and returns the head of the leftmost conjunct in C. The algorithm begins by checking if C is coordinated (line 1). For each constituent in C, the algorithm checks if it matches the conjunct head pattern of C (line 21), which varies by C's phrase type. For instance, only a non-auxiliary verb or a verb phrase can be a conjunct head in a verb phrase (see getConjunctHeadPattern(C) in Algorithm 3.6). When a coordinator (a conjunction, comma, or colon) is encountered, a sub-span is formed (line 9). If the span includes at least one constituent matching the conjunct head pattern, it is considered a new conjunct and the head of the conjunct is retrieved by the headrule of C (line 10). The head of the current conjunct becomes a dependent of the head of its preceding conjunct if it exists (see getConjunctHead(S, R, pHead) in Algorithm 3.8). If there is no constituent matching the pattern, all constituents within the span become dependents of the head of the previous conjunct if it exists (lines 16-19). This procedure goes on iteratively until all constituents in C are encountered. Note that the getCoordinationHead(C, R) method is called before the findApposition(C) method in Algorithm 3.3; thus, a constituent can be a conjunct or an appositional modifier, but not both.

Algorithm 3.4: getCoordinationHead(C, R)

```
Input:
            A constituent C and the headrule R of C.
Output:
            The head of the leftmost conjunct in C if exists; otherwise, null.
   if not contains Coordination (C) return null
   p \leftarrow getConjunctHeadPattern(C)
3:
    pHead \leftarrow \texttt{null}
                                                                          # previous conjunct head
4:
   isPatternFound \leftarrow {\tt False}
   let f be the first child of C
6:
    for c in all children of C do
      if isCoordinatingConjunction(c) or (c is , |:) then
                                                                          \# Section 4.10.2
7:
8:
        if isPatternFound then
9:
          let S be a sub-span of C from f to c (exclusive)
10:
           pHead \leftarrow qetConjunctHead(S, R, pHead)
11:
          c.\text{head} \leftarrow pHead
12:
          c.label \leftarrow getDependencyLabel(c, C, pHead)
                                                                          # Section 4.3
13:
           isPattern found \leftarrow {\tt False}
          let f be the next sibling of c in C
14:
15:
        elif pHead \neq null then
          let S be a sub-span of C from f to c (inclusive)
16:
17:
          for s in S do
18:
             s.\text{head} \leftarrow pHead
19:
             s.label \leftarrow getDependencyLabel(s, C, pHead)
                                                                          # Section 4.3
20:
          let f be the next sibling of c in C
21:
      elif isConjunctHead(c, C, p) then isPatternFound \leftarrow True
                                                                          # a conjunct is found
22: if pHead = \text{null return null}
                                                                          # no conjunct is found
23: let S be a sub-span of C from f to c (inclusive)
24: if S is not empty then qetConjunctHead(S, R, pHead)
25: return the head of the leftmost conjunct
```

The containsCoordination(C) method in Algorithm 3.5 decides whether a constituent C is coordinated. C is coordinated if it is an unlike coordinated phrase (line 1), a noun phrase containing a constituent with the function tag ETC as the rightmost child (line 2-4), or contains a conjunction followed by a conjunct (lines 5-9). The getConjunctHeadPattern(C) method in Algorithm 3.6 returns a pattern that matches potential conjunct heads of C. In theory, a verb phrase should contain at least one non-auxiliary verb or a verb phrase that

matches the pattern (VP|VB) in line 9); however, this is not always true in practice (e.g., VP-ellipsis, randomly omitted verbs in web-texts). Moreover, phrases such as unlike coordinated phrases, quantifier phrases, or fragments do not always show clear conjunct head patterns. The default pattern of * is used for these cases, indicating that any constituent can be the potential head of a conjunct in these phrases.

```
Algorithm 3.5: containsCoordination(C)
```

```
Input:
           Constituent C.
Output:
           True if C contains coordination; otherwise, False.
1: if C is UCP return True
                                                                  # unlike coordinated phrase
   if (C \text{ is NML} | \text{NP}) and (C \text{ contains -ETC}) then
                                                                  # et cetera (etc.)
      let e be a child of N with -ETC
4:
      if e is the rightmost element besides punctuation return True
   for f in all children of C do
                                                                          # skip pre-conjunctions
     if not (isCoordinatingConjunction(f)) or isPunctuation(f)) then # App. 4.10.2, 4.14.8
7:
   let N be all children of C preceded by f
   return N contains CC | CONJP
```

```
Algorithm 3.6: getConjunctHeadPattern(C)
    Input:
                A constituent C.
 Output:
                The conjunct head pattern of C if exists; otherwise, the default pattern, *.
                If C contains no child satisfying the pattern, returns the default pattern, *.
                VB<sup>b</sup> implies a non-auxiliary verb (Section 4.6).
                S<sup>b</sup> implies a clause without an adverbial function tag (Section 4.9).
            C \text{ is ADJP then } p \leftarrow \texttt{ADJP}|\texttt{JJ*}|\texttt{VBN}|\texttt{VBG}
      elif C is ADVP then p \leftarrow \texttt{ADVP} \mid \texttt{RB*}
 3: elif C is INTJ then p \leftarrow INTJ \mid UH
 4: elif C is PP then p \leftarrow PP | IN | VBG
      elif C is PRT then p \leftarrow PRT | RP
 6: elif C is NML|NP then p \leftarrow \text{NP}|\text{NML}|\text{NN*}|\text{PRP}|-\text{NOM}
 7: elif C is NAC then p \leftarrow NP
      elif C is NX then p \leftarrow NX
 9: elif C is VP then p \leftarrow VP \mid VB^{\flat}
 10: elif C is S then p \leftarrow S^{\flat} | SINV | SQ | SBARQ
 11: elif C is SQ then p \leftarrow S^{\flat} |SQ|SBARQ
 12: elif C is SINV then p \leftarrow S^{\flat} | SINV
 13: elif C is SBAR* then p \leftarrow SBAR*
 14: elif C is WHNP then p \leftarrow \text{NN*}|\text{WP}
 15: elif C is WHADJP then p \leftarrow JJ*|VBN|VBG
 16: elif C is WHADVP then p \leftarrow \texttt{RB*|WRB|IN}
 17: if (p is not found) or (C contains no p) return *
 18: return p
```

A pattern p retrieved by the getConjunctHeadPattern(C) method in Algorithm 3.6 is used in the isConjunctHead(C, P, p) method in Algorithm 3.7 to decide whether a constituent C is a potential conjunct head of its parent P. No subordinating conjunction is considered a conjunct head in a subordinate clause (line 1); this rule is added to prevent a complementizer such as whether from being the head of a clause starting with expressions like whether or not. When the default pattern is used, the method accepts any constituent except for a few special cases (lines 3-7). The method returns True if C matches p (line 9).

Algorithm 3.7: isConjunctHead(C, P, p)

```
Constituents C and P, where P is the parent of C,
 Input:
           and the conjunct head pattern p of P.
           True if C matches the conjunct head pattern; otherwise, False.
Output:
   if (P \text{ is SBAR}) and (C \text{ is ID}|DT) return False
                                                      \# Section 4.9.3
   if p is * then
                                                      # the default pattern
3:
      if isPunctuation(C) return False
                                                      # Section 4.14.8
4:
      if isInterjection(C) return False
                                                      \# Section 4.14.3
                                                      \# Section 4.14.4
5:
      if isMetaModifier(C) return False
                                                      \# Section 4.14.5
6:
      if isParentheticalModifier(C) return False
7:
      if isAdverbialModifier(C) return False
                                                      \# Section 4.9.2
8:
      return True
   if C is p return True
10: return False
```

Finally, the getConjunctHead(S, R, pHead) method in Algorithm 3.8 finds the head of a conjunct S and makes this head a dependent of its preceding conjunct head, pHead. The head of S is found by the getHead(N, R) method in Algorithm 3.1 where R is the headrule of S's parent. The dependency label CONJ is assigned to this head except for the special cases of interjections and punctuation (lines 4-6).

Algorithm 3.8 The getConjunctHead(S, R, pHead) method.

Input: A constituent C, a sub-span S of C, the headrule R of C, and the previous conjunct head pHead in C.

Output: The head of S. All other nodes in S become dependents of the head.

```
cHead \leftarrow getHead(S, R)
                                                                    # Section 3.1
    if pHead \neq \text{null then}
3:
       cHead.head \leftarrow pHead
4:
            isInterjection(C) then cHead.label \leftarrow INTJ
                                                                     # Section 4.14.3
                                                                     \# Section 4.14.8
       elif isPunctuation(C) then cHead.label \leftarrow PUNCT
5:
6:
       else cHead.label \leftarrow CONJ
                                                                     \# Section 4.10.1
7:
    return cHead
```

3.4 Small clauses

Small clauses are represented as declarative clauses without verb phrases in constituent trees. Small clauses may not contain internal subjects. In Figure 20, both S-1 and S-2 are small clauses but S-1 contains an internal subject, me, whereas the subject of S-2 is controlled externally. This distinction is made because S-1 can be rewritten as a subordinate clause such as "I am her friend" whereas such a transformation is not possible for S-2. In other words, me her friend as a whole is an argument of considers whereas me and her friend are separate arguments of calls.

Figure 21 shows dependency trees converted from the trees in Figure 20. A small clause with an internal subject is considered a clausal complement (CCOMP; the left tree in Figure 20) whereas one without an internal subject is considered an object predicate (OPRD; the right tree in Figure 20), implying that it is a non-VP predicate of the object. This way, although me has no direct dependency to friend, their relation can be inferred through this label. Note that the CoNLL dependency approach uses the object predicate for both kinds of small clauses such that me and her friend become separate dependency of considers, as they are for calls. This analysis is not taken in our approach because we want our dependency trees to be consistent

with the original constituent trees. Preserving the original structure makes it easier to integrate additional information to the converted dependency trees that has been already annotated on top of these constituent trees (e.g., semantic roles in PropBank).

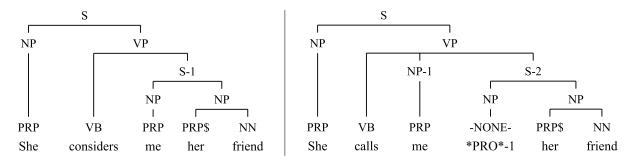


Figure 20: Examples of small clauses with internal (left) and external (right) subjects.

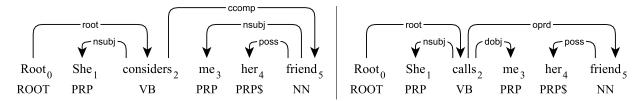


Figure 21: Dependency trees converted from the constituent trees in Figure 20.

For passive constructions, OPRD is applied to both kinds of small clauses because a dependency between the object and the non-VP predicate is lost by the NP movement. In Figure 22, I is moved from the object position to the subject position of considered (NSUBJPASS; Section 4.4.6); thus, it is no longer a dependent of friend. The dependency between I and friend can be inferred through OPRD without adding more structural complexity to the tree.

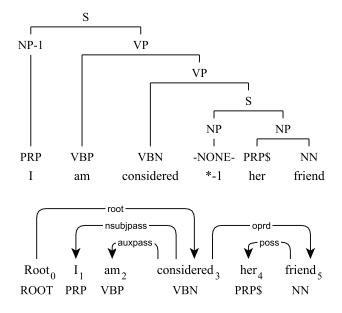


Figure 22: An example of a small clause in a passive construction.

3.5 Hyphenation

Recent Treebanks tokenize certain hyphenated words.⁷ In Figure 23, a noun phrase "The Zhuhai-Hong Kong-Macao bridge" is tokenized to "The Zhuhai - Hong Kong - Macao bridge". In our dependency approach, these hyphenated words are assigned special dependency labels, HMOD (modifier in hyphenation) and HYPH (hyphen), which are borrowed from the CoNLL dependency approach. In Figure 24, $-_3$ and $-_6$ become dependents of Kong and Macao respectively with the dependency label, HYPH. Similarly, Zhuhai and Kong become dependency of Kong and Macao respectively with the dependency label, HMOD.

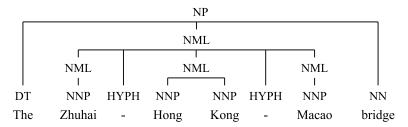


Figure 23: Examples of hyphenated words.

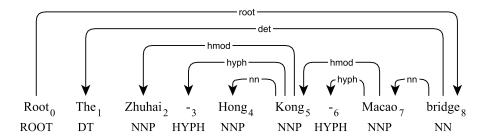


Figure 24: A dependency tree converted from the constituent tree in Figure 23.

The findHyphenation(C) method in Algorithm 3.9 finds dependencies in hyphenations and returns True if such dependencies are found; otherwise, returns False.

$\overline{\textbf{Algorithm 3.9}}$: findHyphenation(C)

```
Input:
                 A constituent C whose POS tag is VP.
Output:
                 True if C contains hyphens; otherwise, False.
1: b \leftarrow \texttt{False}
2:
    i \leftarrow 0
3:
     while i+2 < the total number of C's children do
               \leftarrow i'th child of C
         c_{i+1} \leftarrow (i+1)'th child of C
5:
6:
         c_{i+2} \leftarrow (i+2)'th child of C
        if c_{i+1} is HYPH then
7:
8:
           c_i.\text{head} \leftarrow c_{i+2}; \quad c_i.\text{label} \leftarrow \text{HMOD}
9:
           c_{i+1}.\text{head} \leftarrow c_{i+2}; \quad c_{i+1}.\text{label} \leftarrow \text{HYPH}
10:
           b \leftarrow \texttt{True}
        i \leftarrow i + 1
11:
12: \mathbf{return} \ b
```

⁷See Section 1.1 for more details about the format changes in recent Treebanks.

4 Assigning dependency labels

4.1 Clear dependency labels

Table 3 shows a list of dependency labels, called the CLEAR dependency labels, generated by our dependency conversion. These labels are mostly inspired by the Stanford dependency approach, partially borrowed from the CoNLL dependency approach, and newly introduced by the CLEAR dependency approach to minimize unclassified dependencies. The following subsections show detailed descriptions of the CLEAR dependency labels. Section 4.2 shows a comparison between the CLEAR and the Stanford dependencies.

Label	Description	Label	Description
ACOMP	Adjectival complement	META**	Meta modifier
ADVCL	Adverbial clause modifier	NEG	Negation modifier
ADVMOD	Adverbial modifier	NMOD*	Modifier of nominal
AGENT	Agent	NN	Noun compound modifier
AMOD	Adjectival modifier	NPADVMOD	Noun phrase as ADVMOD
APPOS	Appositional modifier	NSUBJ	Nominal subject
ATTR	Attribute	NSUBJPASS	Nominal subject (passive)
AUX	Auxiliary	NUM	Numeric modifier
AUXPASS	Auxiliary (passive)	NUMBER	Number compound modifier
CC	Coordinating conjunction	OPRD*	Object predicate
CCOMP	Clausal complement	PARATAXIS	Parataxis
COMPLM	Complementizer	PARTMOD	Participial modifier
CONJ	Conjunct	PCOMP	Complement of a preposition
CSUBJ	Clausal subject	POBJ	Object of a preposition
CSUBJPASS	Clausal subject (passive)	POSS	Possession modifier
DEP	Unclassified dependent	POSSESSIVE	Possessive modifier
DET	Determiner	PRECONJ	Pre-correlative conjunction
DOBJ	Direct object	PREDET	Predeterminer
EXPL	Expletive	PREP	Prepositional modifier
\mathtt{HMOD}^*	Modifier in hyphenation	PRT	Particle
\mathtt{HYPH}^*	Hyphen	PUNCT	Punctuation
INFMOD	Infinitival modifier	QUANTMOD	Quantifier phrase modifier
\mathtt{INTJ}^{**}	Interjection	RCMOD	Relative clause modifier
IOBJ	Indirect object	ROOT	Root
MARK	Marker	XCOMP	Open clausal complement

Table 3: A list of the CLEAR dependency labels. Labels followed by * are borrowed from the CoNLL dependency approach. Labels followed by ** are newly introduced by the CLEAR dependency approach. HMOD and HYPH labels are added later.

4.2 Comparison to the Stanford dependency approach

Treating dependency trees generated by the Stanford dependency approach as gold-standard, the CLEAR dependency approach shows a labeled attachment score of 95.39%, an unlabeled attachment score of 90.39%, and a label accuracy of 93.01%. For comparison, the OntoNotes Treebank is used, which consists of various corpora in multiple genres. Out of 138K dependency trees generated by our conversion, 3.69% of them contain at least one non-projective dependency. Out of 2.6M dependencies, 3.62% are unclassified by the Stanford converter whereas 0.23% are unclassified by our approach, that is a 93.65% proportional reduction in error. A dependency is considered unclassified if it is assigned with the label, DEP (Section 4.14.2). Table 5 shows a list of the top 40 dependency labels generated by our approach that are unclassified by the Stanford dependency approach.⁸

⁸The following options are used for the Stanford dependency conversion, which is the same setup that was used for the SANCL'12 shared task (Petrov and McDonald, 2012): -basic -conllx -keepPunct -makeCopulaHead.

CLEAR	Count	Stanford
ACOMP	20,325	ACOMP(98.19)
ADVCL	33,768	ADVCL(53.43), $XCOMP(19.79)$, $DEP(11.33)$, $CCOMP(6.67)$, $PARTMOD(6.04)$
ADVMOD	101,134	ADVMOD(96.38)
AGENT	4,756	PREP(99.62)
AMOD	131,971	AMOD(97.93)
APPOS	17,869	APPOS(54.80), DEP(40.56)
ATTR	22,597	ATTR(81.87), NSUBJ(15.41)
AUX	106,428	AUX(99.98)
AUXPASS	19,289	AUXPASS(99.99)
CC	68,522	CC(99.26)
CCOMP	42,354	CCOMP(78.50), DEP(12.16), XCOMP(6.73)
COMPLM	13,130	COMPLM(94.94)
CONJ	61,270	CONJ(97.42)
CSUBJ	1,766	CSUBJ(92.19), DEP(5.32)
CSUBJPASS	72	CSUBJPASS(91.67), DEP(6.94)
DEP	4,046	DEP(90.06), NSUBJ(5.98)
DET	214,488	DET(99.82)
DOBJ	112,856	DOBJ(98.90)
EXPL	4,373	EXPL(99.20)
INFMOD	5,697	INFMOD(98.05)
INTJ	10,947	DEP(99.44)
IOBJ	2,615	IOBJ(86.16), DOBJ(10.48)
MARK	21,235	MARK(82.07), DEP(12.18), COMPLM(5.66)
META	5,620	DEP(99.00)
NEG	18,585	NEG(95.71)
NMOD	923	DEP(68.47), AMOD(30.23)
NN	149,201	NN(99.51)
NPADVMOD	21,267	TMOD(41.11), DEP(24.77), NPADVMOD(14.70), DOBJ(8.08), NSUBJ(5.01)
NSUBJ	208,934	NSUBJ(99.52)
NSUBJPASS	16,994	NSUBJPASS(99.82)
NUM	30,412	NUM(99.91)
NUMBER	3,456	NUMBER(98.96)
OPRD	2,855	DEP(49.91), ACOMP(26.90), XCOMP(22.42)
PARATAXIS	3,662	PARATAXIS(77.01), DEP(22.23)
PARTMOD	9,945	PARTMOD(94.17), DEP(5.39)
PCOMP	12,702	PCOMP(88.99), POBJ(7.98)
POBJ	222,115	POBJ(99.89)
POSS	45,156	POSS(99.91)
POSSESSIVE	16,608	POSSESSIVE(99.99)
PRECONJ	574	PRECONJ(76.83), DEP(20.56)
PREDET	2,409	PREDET(94.65), DEP(4.61)
PREP	231,742	PREP(97.52)
PRT	10,149	PRT(96.21), DEP(3.79)
PUNCT	280,452	PUNCT(93.39), DEP(6.56)
QUANTMOD	3,467	QUANTMOD(83.50), DEP(14.94)
RCMOD	22,781	RCMOD(96.28), DEP(3.09)
ROOT	132,225	ROOT(99.98)
XCOMP	25,909	XCOMP(89.61), CCOMP(7.13), DEP(3.17)
	20,303	NOOTH (00.01), 000H (1.10), DEI (0.11)

Table 4: Mappings between the Clear and the Stanford dependency labels. The Clear column show the Clear dependency labels. The Count column shows the count of each label. The Stanford column shows labels generated by the Stanford converter in place of the Clear dependency label with probabilities (in %); labels with less than 3% occurrences are discarded.

PUNCT	23.98	MARK	3.37	AMOD	0.83	PCOMP	0.49	PRECONJ	0.15
INTJ	14.18	PREP	1.97	NMOD	0.82	COMPLM	0.47	PREDET	0.14
APPOS	9.44	OPRD	1.86	NSUBJ	0.72	ACOMP	0.43	CSUBJ	0.12
META	7.25	ADVMOD	1.56	PARTMOD	0.70	NEG	0.39	INFMOD	0.12
NPADVMOD	6.86	XCOMP	1.07	NN	0.69	POBJ	0.29	IOBJ	0.09
CCOMP	6.71	PARATAXIS	1.06	QUANTMOD	0.67	DET	0.22	POSS	0.02
ADVCL	4.98	CONJ	1.06	CC	0.64	DOBJ	0.22	NUM	0.01
DEP	4.75	RCMOD	0.92	PRT	0.50	ATTR	0.18	AGENT	0.01

Table 5: A list of the CLEAR dependency labels that are unclassified by the Stanford dependency approach. The first column shows the unclassified CLEAR dependency labels and the second column shows their proportions to unclassified dependencies in the Stanford dependency approach (in %).

Table 4 shows mappings between the CLEAR and the Stanford dependency labels. Some labels in the Stanford dependency approach are not used in our conversion. For instance, multi-word expressions (MWE) are not used in our approach because it is not clear how to identify multi-word expressions systematically. Furthermore, purpose clause modifiers (PURPCL) and temporal modifiers (TMOD) are not included as dependencies but added as separate features of individual nodes in our dependency trees (see Appendix A.3 for more details about these additional features).

4.3 Dependency label heuristics

The getDependencyLabel(C, P, p) in Algorithm 4.2 assigns a dependency label to a constituent C by using function tags and inferring constituent relations between C, P, and p, where P is the parent of C and p is the head constituent of P. Heuristics described in this algorithm are derived from careful analysis of several constituent Treebanks (Marcus et al., 1993; Nielsen et al., 2010; Weischedel et al., 2011; Verspoor et al., 2012) and manually evaluated case-by-case. All supplementary methods are described in the following subsections. Algorithms followed by * (e.g., setPassiveSubject(D, H)* in Algorithm 4.4) are called after the getDependencyLabel(C, P, p) method and applied to all dependency nodes.

The getSimpleLabel(C) method in Algorithm 4.1 returns the dependency label of a constituent C if it can be inferred from the POS tag of C; otherwise, null.

```
Algorithm 4.1: getSimpleLabel(C)
```

```
Input:
           A constituent C.
Output:
           The dependency label of C if it can be inferred from the POS tag of C;
           otherwise, null.
1: let d be the head dependent of C
   if C is HYPH return HYPH
                                                         \# Section 4.7.2
   if C is ADJP|WHADJP|JJ* return AMOD
                                                         # Section 4.14.1
4: if C is PP|WHPP return PREP
                                                         \# Section 4.12.3
5: if C is PRT | RP return PRT
                                                         # Section 4.14.7
   if isPreCorrelativeConjunction(C) return PRECONJ
                                                         \# Section 4.10.3
7: if isCoordinatingConjunction(C) return CC
                                                         \# Section 4.10.2
  if isParentheticalModifier(C) return PARATAXIS
                                                         # Section 4.14.5
9: if isPunctuation(C|d) return PUNCT
                                                         # Section 4.14.8
10: if isInterjection(C|d) return INTJ
                                                         \# Section 4.14.3
11: if isMetaModifier(C) return META
                                                         # Section 4.14.4
12: if isAdverbialModifier(C) return ADVMOD
                                                         \# Section 4.9.2
13: return null
```

Algorithm 4.2: getDependencyLabel(C, P, p)

```
Input:
            Constituents C, P, and p.
            P is the parent of C, and p is the head constituent of P.
            The dependency label of C with respect to p in P.
Output:
1: let c be the head constituent of C
   let d be the head dependent of C
   if hasAdverbialTag(C) then
                                                                              # Section 4.9
4:
      if C is S|SBAR|SINV return ADVCL
      if C is NML|NP|QP return NPADVMOD
   if (label \leftarrow getSubjectLabel(C)) \neq null return label
                                                                              # Section 4.4
7: if C is UCP then
      c.add(all function tags of C)
      return getDependencyLabel(c, P, p)
10: if P is VP|SINV|SQ then
     if C is ADJP return ACOMP
     if (label \leftarrow qetObjectLabel(C)) \neq null return label
                                                                              # Section 4.5
      if isObjectPredicate(C) return OPRD
                                                                              \# Section 4.5.4
13:
      if isOpenClausalComplement(C) return XCOMP
                                                                              \# Section 4.8.3
15:
      if isClausalComplement(C) return CCOMP
                                                                              \# Section 4.8.2
      if (label \leftarrow getAuxiliaryLabel(C)) \neq null return label
                                                                              # Section 4.6
16:
17: if P is ADJP | ADVP then
      if isOpenClausalComplement(C) return XCOMP
                                                                              \# Section 4.8.3
      if isClausalComplement(C) return CCOMP
                                                                              \# Section 4.8.2
20: if P is NML|NP|WHNP then
      if (label \leftarrow getNonFiniteModifierLabel(C)) \neq null return label
                                                                              # Section 4.11
      if isRelativeClauseModifier(C) return RCMOD
                                                                              # Section 4.11.10
      if isClausalComplement(C) return CCOMP
                                                                              \# Section 4.8.2
24: if isPossessionModifier(C, P) return POSS
                                                                              \# Section 4.14.6
25: if (label \leftarrow qetSimpleLabel(C)) \neq null return label
                                                                              # Section 4.3
26: if P is PP|WHPP return getPrepositionModifierLabel(C, d)
                                                                              # Section 4.12
                                                                              # Section 4.8.3
27: if (C \text{ is SBAR}) or isOpenClausalComplement(C) return ADVCL
28: if (P \text{ is PP}) and (C \text{ is S*}) return ADVCL
29: if C is S|SBARQ|SINV|SQ return CCOMP
30: if P is QP return (C is CD)? NUMBER: QUANTMOD
31: if (P \text{ is NML} | NP | NX | WHNP) or (p \text{ is NN*} | PRP | WP) then
      return getNounModifierLabel(C)
                                                                              # Section 4.11
33: if (label \leftarrow getSimpleLabel(c)) \neq null return label
                                                                              \# Section 4.3
34: if d is IN return PREP
35: if d is RB* return ADVMOD
36: if (P \text{ is ADJP}|\text{ADVP}|\text{PP}) or (p \text{ is JJ*}|\text{RB*}) then
      {f if}\ C \ {f is}\ {f NML} \ | {f NP} \ | {f QP} \ | {f NN*} \ | {f PRP} \ | {f WP}\ {f return}\ {f NPADVMOD}
37:
      return ADVMOD
39: return DEP
```

4.4 Arguments: subject related

Subject-related labels consist of agents (AGENT), clausal subjects (CSUBJ), clausal passive subjects (CSUBJPASS), expletives (EXPL), nominal subjects (NSUBJ), and nominal passive subjects (NSUBJPASS).

Algorithm 4.3: getSubjectLabel(C, d)

Input: Constituents C and d, where d is the head dependent of C.

Output: CSUBJ, NSUBJ, EXPL, or AGENT if C is a subject-related argument; otherwise, null.

```
1: if C has SBJ then
```

```
2: if C is S* return CSUBJ # Section 4.4.2

3: if d is EX return EXPL # Section 4.4.4

4: return NSUBJ # Section 4.4.5

5: if C has LGS return AGENT # Section 4.4.1
```

6: return null

Algorithm 4.4: setPassiveSubject(D, H)*

```
Input: Dependents D and H, where H is the head of D. Output: If D is a passive subject, append PASS to its label.
```

1: **if** H contains AUXPASS then

2: **if** D is CSUBJ **then** D.label \leftarrow CSUBJPASS # Section 4.4.3

3: **elif** D is NSUBJ **then** D.label \leftarrow NSUBJPASS # Section 4.4.6

4.4.1 AGENT: agent

An agent is the complement of a passive verb that is the surface subject of its active form. In our approach, the preposition by is included as a part of AGENT.

```
(1) The car was bought [by John] AGENT(bought, by), POBJ(by, John)
```

(2) The car bought [by John] is red AGENT(bought, by), POBJ(by, John)

4.4.2 CSUBJ: clausal subject

A clausal subject is a clause in the subject position of an active verb. A clause with a SBJ function tag is considered a CSUBJ.

```
(1) [Whether she liked me] doesn't matter CSUBJ(matter, liked)
```

(2) [What I said] was true CSUBJ(was, said)

(3) [Who I liked] was you CCOMP(was, liked), NSUBJ(was, you)

In (3), Who I liked is topicalized such that it is considered a clausal complement (CCOMP) of was; you is considered a nominal subject (NSUBJ) of was.

4.4.3 CSUBJPASS: clausal passive subject

A clausal passive subject is a clause in the subject position of a passive verb. A clause with the SBJ function tag that depends on a passive verb is considered a CSUBJPASS.

(1) [Whoever misbehaves] will be dismissed CSUBJPASS(dismissed, misbehaves)

4.4.4 EXPL: expletive

An expletive is an existential *there* in the subject position.

(1) There was an explosion EXPL(was, There)

4.4.5 NSUBJ: nominal subject

A nominal subject is a non-clausal constituent in the subject position of an active verb. A non-clausal constituent with the SBJ function tag is considered a NSUBJ.

(1) [She and I] came home together NSUBJ(came, She)
 (2) [Earlier] was better NSUBJ(was, Earlier)

4.4.6 NSUBJPASS: nominal passive subject

A nominal passive subject is a non-clausal constituent in the subject position of a passive verb. A non-clausal constituent with the SBJ function tag that depends on a passive verb is considered a NSUBJPASS.

I [am] drawn to her
 We will [get] married
 She will [become] nationalized
 NSUBJPASS(married, We)
 NSUBJPASS(nationalized, She)

4.5 Arguments: object related

Object-related labels consist of attributes (ATTR), direct objects (DOBJ), indirect objects (IOBJ), and object predicates (OPRD).

Algorithm 4.5: getObjectLabel(C)

Input: A constituent C whose parent is VP|SINV|SQ.

Output: DOBJ or ATTR if C is in an object or an attribute; otherwise, null.

1: **if** C is NP | NML **then**

2: **if** C has PRD **return** ATTR # Section 4.5.1 3: **return** DOBJ # Section 4.5.2

4: return null

4.5.1 ATTR: attribute

An attribute is a noun phrase that is a non-VP predicate usually following a copula verb.

(1) This product is [a global brand] ATTR(is, brand)
 (2) This area became [a prohibited zone] ATTR(became, zone)

4.5.2 DOBJ: direct object

A direct object is a noun phrase that is the accusative object of a (di)transitive verb.

(1) She bought me [these books]
 (2) She bought [these books] for me
 DOBJ(bought, books)

4.5.3 IOBJ: indirect object

An indirect object is a noun phrase that is the dative object of a ditransitive verb.

(1) She bought [me] these books
 (2) She bought these books [for me]
 IOBJ(bought, me)
 PREP(bought, for)

(3) [What] she bought [me] were these books DOBJ(bought, What), IOBJ(bought, me) (4) I read [them] [one by one] DOBJ(read, them), NPADVMOD(read, one)

In (2), for me is considered a prepositional modifier although it is the dative object in an unshifted form. This information is preserved with a function tag DTV as additional information in our representation (Section 6.2). In (3), What and me are considered direct and indirect objects of bought, respectively. In (4), the noun phrase one by one is not considered an IOBJ, but an adverbial noun phrase modifier (NPADVMOD) because it carries an adverbial function tag, MNR. This kind of information is also preserved with semantic function tags in our representation (Section 6.1).

Algorithm 4.6: setIndirectObject(C)*

Input: A dependent D.

Output: If D is an indirect object, set its label to IOBJ.

1: **if** (D is DOBJ) and (D is followed by another DOBJ) **then** $D.\text{label} \leftarrow \text{IOBJ}$

4.5.4 OPRD: object predicate

An object predicate is a non-VP predicate in a small clause that functions like the predicate of an object. Section 3.4 describes how object predicates are derived.

(1) She calls [me] [her friend] DOBJ(calls, me), OPRD(calls, friend)

(2) She considers [[me] her friend] CCOMP(considers, friend), NSUBJ(me, friend)

(3) I am considered [her friend] OPRD(considered, friend)

(4) I persuaded [her] [to come] DOBJ(persuaded, her), XCOMP(persuaded, come)

In (2), the small clause me her friend is considered a clausal complement (CCOMP) because we treat me as the subject of the non-VP predicate, her friend. In (4), the open clause to come does indeed predicate over her but is not labeled as an OPRD but rather an open clausal complement (XCOMP). This is because the dependency between her and come is already shown in our representation as an open clausal subject (XSUBJ) whereas such information is not available for the non-VP predicates in (1) and (3); thus, without labeling them as object predicates, it can be difficult to infer the relation between the objects and object predicates.

Algorithm 4.7: isObjectPredicate(C)

Input: A constituent C.

Output: True if C is an object predicate; otherwise, False.

- 1: if (C is S) and (C contains no VP) and (C contains both SBJ and PRD) then
- 2: **if** the subject of C is an empty category **return** True
- 3: return False

4.6 Auxiliaries

Auxiliary labels consist of auxiliaries (AUX) and passive auxiliaries (AUXPASS). The getAuxiliaryLabel(C) method in Algorithm 4.8 shows how these auxiliary labels are distinguished. Note that a passive auxiliary is supposed to modify only a past participle (VBN), which is sometimes annotated as a past tense verb (VBD). The condition in lines 5 and 8 resolves such an erroneous case. Lines 6-7 are added to handle the case of coordination where vp_1 is just an umbrella constituent that groups VP conjuncts together.

Algorithm 4.8: qetAuxiliaryLabel(C)

```
Input: A constituent C whose parent is VP|SINV|SQ.
```

Output: AUX or AUXPASS if C is an auxiliary or a passive auxiliary; otherwise, null.

```
1: if C is MD|TO return AUX
                                                                     \# Section 4.6.1
   if (C \text{ is VB*}) and (C \text{ contains VP}) then
3:
      if C is be | become | get then
4:
        let vp_1 be the first VP in C
5:
        if vp_1 contains VBN | VBD return AUXPASS
                                                                     \# Section 4.6.2
6:
        if (vp_1 \text{ contains no VB*}) and (vp_1 \text{ contains VP}) then
                                                                     # for coordination
7:
          let vp_2 be the first VP in vp_1
           if vp_2 contains VBN|VBD return AUXPASS
8:
9:
      return AUX
10: return null
```

4.6.1 AUX: auxiliary

An auxiliary is an auxiliary or modal verb that gives further information about the main verb (e.g., tense, aspect). The preposition to, used for infinitive, is also considered an AUX. Auxiliary verbs for passive verbs are assigned with a separate dependency label AUXPASS (Section 4.6.2).

```
(1) I [have] [been] seeing her AUX(seeing, have), AUX(seeing, been)
```

(2) I [will] meet her tomorrow AUX(meet, will)

(3) I [am] [going] [to] meet her tomorrow AUX(meet, am), AUX(meet, going), AUX(meet, to)

4.6.2 AUXPASS: passive auxiliary

A passive auxiliary is an auxiliary verb, be, become, or get, that modifies a passive verb.

```
(1) I [am] drawn to her AUXPASS(drawn, am)
(2) We will [get] married AUXPASS(married, get)
```

(3) She will [become] nationalized AUXPASS(nationalized, become)

4.7 Hyphenation

4.7.1 HMOD: modifier in hyphenation

A modifier in hyphenation is a constituent preceding a hyphen, which modifies a constituent following the hyphen (see the example in Section 4.7.2).

4.7.2 HYPH: hyphen

A hyphen modifies a constituent following the hyphen.

(1) New - York Times HMOD(York, New), HYPH(York, -)

4.8 Complements

Complement labels consists of adjectival complements (ACOMP), clausal complements (CCOMP), and open clausal complements (XCOMP). Additionally, complementizers (COMPLM) are included to indicate the beginnings of clausal complements.

4.8.1 ACOMP: adjectival complement

An adjectival complement is an adjective phrase that modifies the head of a VP|SINV|SQ, that is usually a verb.

She looks [so beautiful]
 Please make [sure to invite her]
 Acomp(looks, beautiful)
 Acomp(make, sure)
 Acomp(Are, worried)
 [Most important] is your heart
 Acomp(is, important), NSUBJ(is, heart)

In (4), Most important is topicalized such that it is considered an ACOMP of is although it is in the subject position; your heart is considered a nominal subject (NSUBJ) of is.

4.8.2 CCOMP: clausal complement

A clausal complement is a clause with an internal subject that modifies the head of an ADJP|ADVP|NML| NP|WHNP|VP|SINV|SQ. For NML|NP|WHNP, a clause is considered a CCOMP if it is neither a infinitival modifier (Section 4.11.4), a participial modifier (Section 4.11.7), nor a relative clause modifier (Section 4.11.10).

She said [(that) she wanted to go] CCOMP(said, wanted) (2)I am not sure [what she wanted] CCOMP(sure, wanted) She left no matter [how I felt] CCOMP(matter, felt) (3)I don't know [where she is] CCOMP(know, is) (4) (5)She asked [should we meet again] CCOMP(asked, meet) I asked [why did you leave] CCOMP(asked, leave) (7)I said [may God bless you] CCOMP(said, bless) The fact [(that) she came back] made me happy (8)CCOMP(fact, came)

In (4), where she is is considered a CCOMP although it carries arbitrary locative information. Clauses such as polar questions (5), wh-questions (6), or inverted declarative sentences (7) are also considered CCOMP. A clause with an adverbial function tag is not considered a CCOMP, but an adverbial clause modifier (Section 4.9.1).

Algorithm 4.9: isClausalComplement(C)

Input: A constituent C whose parent is ADJP ADVP NML NP WHNP VP SINV SQ.

Output: True if C is a clausal complement; otherwise, False.

- 1: if C is S|SQ|SINV|SBARQ return True
- 2: if C is SBAR then
- 3: **if** C contains a wh-complementizer **return** True
- 4: if C contains a null complementizer, 0 return True
- 5: **if** C contains a complementizer, if, that, or whether **then**
- 6: set the dependency label of the complementizer to COMPLM # Section 4.8.4
- 7: return True
- 8: return False

4.8.3 XCOMP: open clausal complement

An open clausal complement is a clause without an internal subject that modifies the head of an ADJP | ADVP | VP | SINV | SQ.

(1) I want [to go] XCOMP(want, go)
(2) I am ready [to go] XCOMP(ready, go)
(3) It is too soon [to go] XCOMP(soon, go)
(4) He knows [how to go] XCOMP(knows, go)
(5) What do you think [happend] XCOMP(think, happened)
(6) He forced [me] [to go] DOB I (forced, me) XCOMP(for

(6) He forced [me] [to go] DOBJ(forced, me), XCOMP(forced, go)
(7) He expected [[me] to go] CCOMP(expected, go), NSUBJ(me, go)

In (7), me to go is not considered an XCOMP but a clausal complement (CCOMP) because me is considered a nominal subject (NSUBJ) of go (see Section 5.4 for more examples of open clauses).

Algorithm 4.10: isOpenClausalComplement(C)

Input: A constituent C whose parent is ADJP|ADVP|VP.

Output: True if C is an open clausal complement; otherwise, False.

- 1: **if** C is S **then**
- 2: **return** (C contains VP) and (the subject of C is an empty category)
- 3: **if** (C is SBAR) and (C contains a null complementizer) **then**
- 4: **let** c **be** S in C
- 5: $\mathbf{return} \ is Open Clausal Complement(c)$
- 6: return False

4.8.4 COMPLM: complementizer

A complementizer is a subordinating conjunction, if, that, or whether, that introduces a clausal complement (Section 4.8.2). A COMPLM is assigned when a clausal complement is found (see the line 6 of isClausalComplement(C) in Section 4.8.2).

(1) She said [that] she wanted to go COMPLM(wanted, that)
(2) I wasn't sure [if] she liked me COMPLM(liked, if)

(3) I wasn't sure [whether] she liked me COMPLM(liked, whether)

4.9 Modifiers: adverbial related

Adverbial related modifiers consist of adverbial clause modifiers (ADVCL), adverbial modifiers (ADVMOD), markers (MARK), negation modifiers (NEG), and noun phrases as adverbial modifiers (NPADVMOD).

Algorithm 4.11: hasAdverbialTag(C)

Input: A constituent C.

Output: True if C has an adverbial function tag; otherwise, False.

- 1: if C has ADV|BNF|DIR|EXT|LOC|MNR|PRP|TMP|VOC return True
- 2: return False

4.9.1 ADVCL: adverbial clause modifier

An adverbial clause modifier is a clause that acts like an adverbial modifier. A clause with an adverbial function tag (see hasAdverbialTag(C)) is considered an ADVCL. Additionally, a subordinate clause or an open clause is considered an ADVCL if it does not satisfy any other dependency relation (see Appendices 4.8.2 and 4.8.3 for more details about clausal complements).

She came [as she promised]
 She came [to see me]
 [Now that she is here] everything seems fine
 She would have come [if she liked me]
 I wasn't sure [if she liked me]

ADVCL(came, promised)
ADVCL(came, see)
ADVCL(seems, is)
ADVCL(come, liked)
CCOMP(sure, liked)

In (2), to see me is an ADVCL (with a semantic role, purpose) although it may appear to be an open clausal complement of came (Section 4.8.3). In (4) and (5), if she liked me is considered an ADVCL and a clausal complement (CCOMP), respectively. This is because if in (3) creates a causal relation between the matrix and subordinate clauses whereas it does not serve any purpose other than introducing the subordinate clause in (4), just like a complementizer that or whether.

4.9.2 ADVMOD: adverbial modifier

An adverbial modifier is an adverb or an adverb phrase that modifies the meaning of another word. Other grammatical categories can also be ADVMOD if they modify adjectives.

(1) I did [not] know her ADVMOD(know, not)

(2) I invited her [[as] well] ADVMOD(invited, well), ADVMOD(well, as)
(3) She is [already] [here] ADVMOD(is, already), ADVMOD(is, here)

(4) She is [so] beautiful ADVMOD(beautiful, so) (5) I'm not sure [any] more ADVMOD(more, any)

In (5), any is a determiner but considered an ADVMOD because it modifies the adjective, more.

Algorithm 4.12: isAdverbialModifier(C)

Input: A constituent C.

Output: True if C is an adverbial function tag; otherwise, False.

- 1: **if** C is ADVP|RB*|WRB **then**
- 2: **let** P **be** the parent of C
- 3: if (P is PP) and (C's previous sibling is IN|TO) and (C is the last child of P) return False
- 4: return True

4.9.3 MARK: maker

A marker is a subordinating conjunction (e.g., although, because, while) that introduces an adverbial clause modifier (Section 4.9.1).

- (1) She came [as she promised] MARK(promised, as)
- (2) She came [because she liked me] MARK(liked, because)

The setMarker(C, P) method is called after P is identified as an adverbial modifier.

Algorithm 4.13: setMarker(C, P)

Input: Constituents C and P, where P is the parent of C.

Output: If C is a marker, set its label to MARK.

1: if (P is SBAR) and (P is ADVCL) and (C is IN|DT|T0) then $C.\text{label} \leftarrow \text{MARK}$

4.9.4 NEG: negation modifier

A negation modifier is an adverb that gives negative meaning to its head.

She decided not to come
 She didn't come
 She never came
 This cookie is no good
 NEG(come, not)
 NEG(come, n't)
 NEG(came, never)
 NEG(si, no)

Algorithm 4.14: $setNegationModifier(D)^*$

Input: A dependent D.

Output: If D is a negation modifier, set its label to NEG.

1: **if** (D is NEG) and (D is never | not | n't | 'nt | no) **then** $D.\text{label} \leftarrow \text{NEG}$

4.9.5 NPADVMOD: noun phrase as adverbial modifier

An adverbial noun phrase modifier is a noun phrase that acts like an adverbial modifier. A noun phrase with an adverbial function tag (see hasAdverbialTag(C)) is considered an NPADVMOD. Moreover, a noun phrase modifying either an adjective or an adverb is also considered an NPADVMOD.

Three times [a week] NPADVMOD(times, week) (1)(2)It is [a bit] surprising NPADVMOD(surprising, bit) (3)[Two days] ago NPADVMOD(ago, days) (4)It [all] feels right NPADVMOD(feels, all) (5)I wrote the letter [myself] NPADVMOD(wrote, myself) (6)I met her [last week] NPADVMOD(met, week) She lives [next door] NPADVMOD(lives, door) (7)

In (6) and (7), both *last week* and *next door* are considered NPADVMOD although they have different semantic roles, temporal and locative, respectively. These semantic roles can be retrieved from function tags and preserved as additional information (Section 6.1).

4.10 Modifiers: coordination related

Coordination related modifiers consist of conjuncts (CONJ), coordinating conjunctions (CC), and pre-correlative conjunctions (PRECONJ).

4.10.1 CONJ: conjunct

A conjunct is a dependent of the leftmost conjunct in coordination. The leftmost conjunct becomes the head of a coordinated phrase. Section 3.3 describes how conjuncts are derived.

- (1) John, [Mary], and [Sam] CONJ(John, Mary), CONJ(John, Sam)
- (2) John, [Mary], and [so on] CONJ(John, Mary), CONJ(John, on)
- (3) John, [Mary], [Sam], [etc.] CONJ(John, Mary), CONJ(John, Sam), CONJ(John, etc.)

Although there is no coordinating conjunction in (3), the phrase is considered coordinated because of the presence of etc.

4.10.2 CC: coordinating conjunction

A coordinating conjunction is a dependent of the leftmost conjunct in coordination.

- (1) John, Mary, [and] Sam CC(John, and)
- (2) I know John [[as] [well] as] Mary CC(John, as), ADVMOD(as, as), ADVMOD(as, well)
- (3) [And], I know you CC(know, And)

In (1), and becomes a CC of John, which is the leftmost conjunct. In (2), as well as is a multi-word expression so the dependencies between as and the others are not so meaningful but there to keep the tree connected. In (3), And is supposed to join the following clause with its preceding clause; however, since we do not derive dependencies across sentences, it becomes a dependent of the head of this clause, know.

Algorithm 4.15: isCoordinatingConjunction(C)

Input: A constituent C.

Output: True if C is a coordinating conjunction; otherwise, False.

1: **return** C is CC|CONJP

4.10.3 PRECONJ: pre-correlative conjunction

A pre-correlative conjunction is the first part of a correlative conjunction that becomes a dependent of the first conjunct in coordination.

- (1) [Either] John [or] Mary PRECONJ(John, Either), CC(John, or), CONJ(John, Mary)
- (2) [Not only] John [but also] Mary PRECONJ(John, Not), CC(John, but), CONJ(John, Mary)

Algorithm 4.16: isPreCorrelativeConjunction(C)

Input: A constituent C.

Output: True if C is a pre-correlative conjunction; otherwise, False.

- 1: **if** (C is CC) and (C is both | either | neither | whether) **return** True
- 2: if (C is CONJP) and (C is not only) return True
- 3: return False

4.11 Modifiers: noun phrase related

Noun phrase related modifiers consist of appositional modifiers (APPOS), determiners (DET), infinitival modifiers (INFMOD), modifiers of nominals (NMOD), noun compound modifiers (NN), numeric modifiers (NUM), participial modifiers (PARTMOD), possessive modifiers (POSSESSIVE), predeterminers (PREDET), and relative clause modifiers (RCMOD).

Algorithm 4.17: getNonFiniteModifierLabel(C)

```
Input: A constituent C whose parent is NML | NP | WHNP.
```

Output: INFMOD or PARTMOD.

```
1: if isOpenClausalComplement(C) or (C 	ext{ is VP}) then # Section 4.8.3
2: if isInfinitivalModifier(C) return INFMOD # Section 4.11.4
3: return PARTMOD # Section 4.11.7
```

Algorithm 4.18: getNounModifierLabel(C)

```
Input: A constituent C whose parent is NML|NP|NX|WHNP. Output: AMOD, DET, NN, NUM, POSSESSIVE, PREDET, or NMOD.
```

```
1: if C is VBG|VBN return AMOD # Section 4.14.1

2: if C is DT|WDT|WP return DET # Section 4.11.3

3: if C is PDT return PREDET # Section 4.11.9

4: if C is NML|NP|FW|NN* return NN # Section 4.11.5

5: if C is CD|QP return NUM # Section 4.11.6

6: if C is POS return POSSESSIVE # Section 4.11.8

7: return NMOD # Section 4.11.1
```

4.11.1 NMOD: modifier of nominal

A modifier of nominal is any unclassified dependent that modifies the head of a noun phrase.

4.11.2 APPOS: appositional modifier

An appositional modifier of an NML | NP is a noun phrase immediately preceded by another noun phrase, which gives additional information to its preceding noun phrase. A noun phrase with an adverbial function tag (Section 4.9.1) is not considered an APPOS. Section 3.2 describes how appositional modifiers are derived.

(1)	John, [my brother]	APPOS(John, bother)
(2)	The year [2012]	APPOS(year, 2012)
(3)	He [himself] bought the car	APPOS(He, himself)
(4)	Computational Linguistics [(CL)]	APPOS(Linguistics, CL)
(5)	The book, Between You and Me	APPOS(book, Between)
(6)	MacGraw-Hill Inc., New York	NPADVMOD(Inc., York)

4.11.3 DET: determiner

A determiner is a word token whose POS tag is DT|WDT|WP that modifies the head of a noun phrase.

```
(1) [The] US military DET(military, The)
(2) [What] kind of movie is this DET(movie, What)
```

4.11.4 INFMOD: infinitival modifier

An infinitival modifier is an infinitive clause or phrase that modifies the head of a noun phrase.

```
(1) I have too much homework [to do] INFMOD(homework, do)
(2) I made an effort [to come] INFMOD(effort, come)
```

Algorithm 4.19: isInfinitivalModifier(C)

```
A constituent C whose parent is NML|NP|WHNP.
  Input:
Output:
             True if C is an infinitival modifier; otherwise, False.
1: if C is VP then vp \leftarrow C
2:
3:
      let t be the first descendant of C that is VP
4:
      vp \leftarrow (t \text{ exists}) ? t : \text{null}
    if vp \neq \text{null then}
6:
      let t be the first child of vp that is VP
7:
      while t exists do
8:
        vp \leftarrow t
9:
        if vp's previous sibling is TO return True
        let t be the first child of vp that is VP
10:
      if vp contains TO return True
11:
12: return False
```

4.11.5 NN: noun compound modifier

A noun compound modifier is any noun that modifies the head of a noun phrase.

```
(1) The [US] military PREDET(military, US)
(2) The [video] camera PREDET(camera, video)
```

4.11.6 NUM: numeric modifier

A numeric modifier is any number or quantifier phrase that modifies the head of a noun phrase.

```
(1) [14] degrees NUM(degrees, 14)
(2) [One] nation, [fifty] states NUM(nation, One), NUM(states, fifty)
```

4.11.7 PARTMOD: participial modifier

A participial modifier is a clause or phrase whose head is a verb in a participial form (e.g., gerund, past participle) that modifies the head of a noun phrase.

```
(1) I went to the party [hosted by her] PARTMOD(party, hosted)
(2) I met people [coming to this party] PARTMOD(people, coming)
```

4.11.8 POSSESSIVE: possessive modifier

A possessive modifier is a word token whose POS tag is POS that modifies the head of a noun phrase.

```
(1) John['s] car NMOD(John, 's)
```

4.11.9 PREDET: predeterminer

A predeterminer is a word token whose POS tag is PDT that modifies the head of a noun phrase.

```
(1) [Such] a beautiful woman PREDET(woman, Such)
(2) [All] the books we read PREDET(books, All)
```

4.11.10 RCMOD: relative clause modifier

A relative clause modifier is a either relative clause or a reduced relative clause that modifies the head of an NML | NP | WHNP.

(1) I bought the car [(that) I wanted] RCMOD(car, wanted)
 (2) I was the first person [to buy this car] INFMOD(person, buy)
 (3) This is the car [for which I've waited] RCMOD(car, waited)
 (4) It is a car [(that is) worth buying] RCMOD(car, worth)

In (2), to buy this car is considered an infinitival modifier (INFMOD) although it contains an empty wh-complementizer in the constituent tree. (4) shows an example of a reduced relative clause.

Algorithm 4.20: isRelativeClauseModifier(C)

Input: A constituent C whose parent is NML | NP | WHNP.

Output: True if C is a relative clause modifier; otherwise, False.

- 1: **if** C is RRC **return** True
- 2: **if** (C is SBAR) and (C contains a wh-complementizer) **return** True
- 3: return False

4.12 Modifiers: prepositional phrase related

Prepositional phrase related modifiers consist of complements of prepositions, objects of prepositions, and prepositional modifiers.

Algorithm 4.21: getPrepositionModifierLabel(C, d)

Input: A constituent C whose parent is NP|WHPP, and the head dependent d of C.

Output: POBJor PCOMP.

1: if (C is NP|NML) or (d is W*) return POBJ # Section 4.12.2
2: return PCOMP # Section 4.12.1

4.12.1 PCOMP: complement of a preposition

A complement of a preposition is any dependent that is not a POBJ but modifies the head of a prepositional phrase.

(1) I agree with [what you said] PCOMP(with, said)

4.12.2 POBJ: object of a preposition

An object of a preposition is a noun phrase that modifies the head of a prepositional phrase, which is usually a preposition but can be a verb in a participial form such as VBG.

- (1) On [the table] POBJ(On, table)
- (2) Including us POBJ(Including, us)
- (3) Given us POBJ(Given, us)

4.12.3 PREP: prepositional modifier

A prepositional modifier is any prepositional phrase that modifies the meaning of its head.

- (1) Thank you [for coming [to my house]] PREP(Thank, for), PREP(coming, to)
- (2) Please put your coat [on the table] PREP(put, on)
- (3) Or just give it [to me] PREP(give, to)

In (1), to my house is a PREP carrying a semantic role, direction. These semantic roles are preserved as additional information in our representation (Section 6.1). In (2), on the table is a PREP, which is considered the locative complement of put in some linguistic theories. Furthermore, in (3), to me is the dative object of give in the unshifted form, which is also considered a PREP in our analysis. This kind of information is also preserved with syntactic function tags in our representation (Section 6.2).

4.13 Modifiers: quantifier phrase related

Quantifier phrase related modifiers consist of number compound modifiers (NUMBER) and quantifier phrase modifiers (QUANTMOD).

4.13.1 NUMBER: number compound modifier

A number compound modifier is a cardinal number that modifies the head of a quantifier phrase.

- (1) [Seven] million dollars NUMBER(million, Seven), NUM(dollars, million)
- (2) [Two] to [three] hundred NUMBER(hundred, Two), NUMBER(hundred, three)

4.13.2 QUANTMOD: quantifier phrase modifier

A quantifier phrase modifier is a dependent of the head of a quantifier phrase.

- (1) [More] [than] five AMOD(five, More), QUANTMOD(five, than)
- (2) [Five] [to] six QUANTMOD(six, Five), QUANTMOD(six, to)

Quantifier phrases often form a very flat hierarchy, which makes it hard to derive correct dependencies for them. In (1), *More than* is a multi-word expression that should be grouped into a separate constituent (e.g., [More than] one); however, this kind of analysis is not used in our constituent trees. Thus, *More* and *than* become an AMOD and a QUANTMOD of *five*, respectively. In (2), *to* is more like a conjunction connecting *Five* to *six*, which is not explicitly represented. Thus, *Five* and *to* become QUANTMODs of *six* individually. More analysis needs to be done to derive correct dependencies for quantifier phrases, which will be explored in future work.

4.14 Modifiers: miscellaneous

Miscellaneous modifiers consists of adjectival modifiers (AMOD), unclassified dependents (DEP), interjections (INTJ), meta modifiers (META), parenthetical modifiers (PARATAXIS), possession modifiers (POSS), particles (PRT), punctuation (PUNCT), and roots (ROOT).

4.14.1 AMOD: adjectival modifier

An adjectival modifier is an adjective or an adjective phrase that modifies the meaning of another word, usually a noun.

- (1) A [beautiful] girl AMOD(girl, beautiful)
 (2) A [five year old] girl AMOD(girl, old)
- (3) [How many] people came AMOD(people, many)

4.14.2 DEP: unclassified dependent

An unclassified dependent is a dependent that does not satisfy conditions for any other dependency.

4.14.3 INTJ: interjection

An interjection is an expression made by the speaker of an utterance.

- (1) [Well], it is my birthday INTJ(is, Well)
- (2) I [um] will throw a party INTJ(throw, um)

Algorithm 4.22: isInterjection(C)

Input: A constituent C.

Output: True if C is an interjection; otherwise, False.

1: $\mathbf{return} \ C$ is INTJ|UH

4.14.4 META: meta modifier

A meta modifier is code (1), embedded (2), or meta (3) information that is randomly inserted in a phrase or clause.

(1) [choijd] My first visit META(visit, choijd)

(2) I visited Boulder and {others} [other cities] META(Boulder, others), CONJ(Boulder, cities)

(3) [Applause] Thank you META(Thank, Applause)

Algorithm 4.23: isMetaModifier(C)

Input: A constituent C.

Output: True if C is a meta modifier; otherwise, False.

1: return C is CODE | EDITED | EMBED | LST | META

4.14.5 PARATAXIS: parenthetical modifier

A parenthetical modifier is an embedded chunk, often but not necessarily surrounded by parenthetical notations (e.g., brackets, quotes, commas, etc.), which gives side information to its head.

(1) She[, I mean,] Mary was here PARATAXIS(was, mean)

(2) [That is to say,] John was also here PARATAXIS(was, is)

Algorithm 4.24: isParentheticalModifier(C)

Input: A constituent C.

Output: True if C is a parenthetical modifier; otherwise, False.

1: **return** C is PRN

4.14.6 POSS: possession modifier

A possession modifier is either a possessive determiner (PRP\$) or a NML|NP|WHNP containing a possessive ending that modifies the head of a ADJP|NML|NP|QP|WHNP.

I bought [his] car
 I bought [John's] car
 This building is [Asia's] largest
 POSS(car, his)
 POSS(car, John)
 POSS(largest, Asia)

Note that Asia's in (3) is a POSS of largest, which is an adjective followed by an elided building. Such an expression does not occur often but we anticipate it to appear more when dealing with informal texts (e.g., text-messages, conversations, web-texts).

Algorithm 4.25: isPossessionModifier(C)

Input: Constituents C and P, where P is the parent of C. Output: True if C is a possession modifier; otherwise, False.

- 1: **if** C is PRP\$ **return** True
- 2: **if** P is ADJP|NML|NP|QP|WHNP **then**
- 3: **return** C contains POS
- 4: return False

4.14.7 PRT: particle

A particle is a preposition in a phrasal verb that forms a verb-particle construction.

- (1) Shut [down] the machine PRT(Shut, down)
- (2) Shut the machine [down] PRT(Shut, down)

4.14.8 PUNCT: punctuation

Any punctuation is assigned the dependency label PUNCT.

Algorithm 4.26: isPunctuation(C)

Input: A constituent C.

Output: True if C is punctuation; otherwise, False.

1: \mathbf{return} (C is : |, |. |"|"|-LRB-|-RRB-|HYPH|NFP|SYM|PUNC)

4.14.9 ROOT: root

A root is the root of a tree that does not depend on any node in the tree but the artificial root node whose ID is 0. A tree can have multiple roots only if the top constituent contains more than one child in the original constituent tree (this does not happen with the OntoNotes Treebank but happens quite often with medical corpora).

5 Adding secondary dependencies

Secondary dependencies are additional dependency relations derived from gapping relations (Section 5.1), relative clauses (Section 5.2), right node raising (Section 5.3), and open clausal complements (Section 5.4). These are separated from the other types of dependencies (Section 4) because they can break tree properties (e.g., single head, acyclic) when combined with the others. Preserving tree structure is important because most dependency parsing algorithms assume their input to be trees. Secondary dependencies give deeper representations that allow extraction of more complete information from the dependency structure.

5.1 GAP: gapping

Gapping is represented by co-indexes (with the = symbol) in constituent trees. Gapping usually happens in forms of coordination where some parts included in the first conjunct do not appear in later conjuncts (Jackendoff, 1971). In Figure 25, the first conjunct, VP-3, contains the verb *used*, which does not appear in the second conjunct, VP-4, but is implied for both NP=1 and PP=2. The CoNLL dependency approach makes the conjunction, *and*, the heads of both NP=1 and PP=2, and adds an extra label, GAP, to their existing labels (ADV-GAP and GAP-OBJ in Figure 27). Although this represents the gapping relations in one unified format, statistical dependency parsers perform poorly on these labels because they do not occur frequently enough and are often confused with regular coordination.

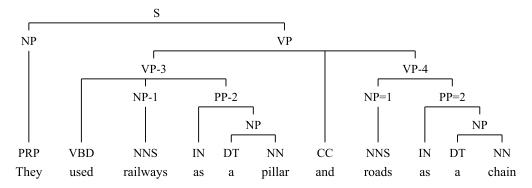


Figure 25: An example of a gapping relation.

In our approach, gapping is represented as secondary dependencies; this way, it can be trained separately from the other types of dependencies. The GAP dependencies in Figure 26 show how gapping is represented in our structure: the head of each constituent involving a gap $(road, as_9)$ becomes a dependent of the head of the leftmost constituent not involving a gap $(railways, as_4)$.

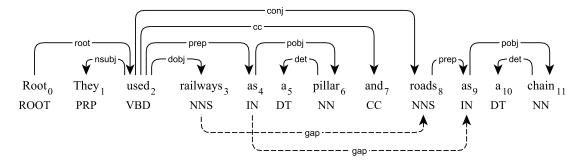


Figure 26: The CLEAR dependency tree converted from the constituent tree in Figure 25. The gapping relations are represented by the secondary dependencies, GAP.

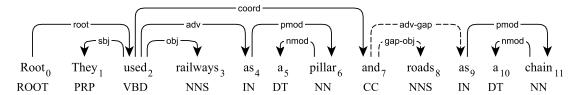


Figure 27: The CoNLL dependency tree converted from the constituent tree in Figure 25. The dependencies derived from the gapping relations, ADV-GAP, GAP-OBJ, are indicated by dotted lines.

5.2 REF: referent

A referent is the relation between a wh-complementizer in a relative clause and its referential head. In Figure 28, the relation between the complementizer which and its referent Crimes is represented by the REF dependency. Referent relations are represented as secondary dependencies because integrating them with other dependencies breaks the single-head tree property (e.g., which would have multiple heads in Figure 28).

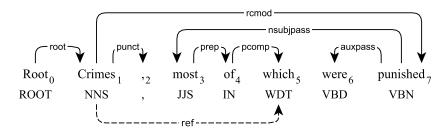


Figure 28: An example of a referent relation. The referent relation is represented by the secondary dependency, REF.

Algorithm 5.1: linkReferent(C)

```
Input:
          A constituent C.
    if C is WHADVP | WHNP | WHPP then
       let c be the wh-complementizer of C
3:
       let s be the topmost SBAR of C
4:
       if the parent of s is UCP then s \leftarrow s.parent
       if isRelativizer(c) and (s \text{ has no NOM}) then
5:
6:
         let p be the parent of s
7:
         ref \leftarrow \mathtt{null}
         if p is NP|ADVP then
8:
9:
           let ref be the previous sibling of s that is NP | ADVP, respectively
10:
         elif p is VP then
           let t be the previous sibling of s that has PRD
11:
12:
           if s has CLF then ref \leftarrow t
13:
           if (C is WHNP) and (t is NP) then ref \leftarrow t
           if (C is WHPP) and (t is PP) then ref \leftarrow t
14:
15:
           if (C is WHADVP) and (t is ADVP) then ref \leftarrow t
         if ref \neq null then
16:
           while ref has an antecedent do ref \leftarrow ref.antecedent
17:
           c.rHead \leftarrow ref
18:
           c.\text{rLabel} \leftarrow \texttt{REF}
19:
```

The linkReferent(C) method in Algorithm 5.1 finds a wh-complementizer and makes it a dependent of its referent. Note that referent relations are not provided in constituent trees; however, they are manually annotated in the PropBank as LINK-SLC (Bonial et al., 2010, Chap. 1.8). This algorithm was tested against the PropBank annotation using gold-standard constituent trees and showed an F1-score of approximately 97%.

Algorithm 5.2: isRelativizer(C)

Input: A constituent C.

Output: True if C is a relativizer linked to some referent; otherwise, False.

1: **return** C is 0 | that | when | where | whereby | wherein | whereupon | which | who | whom | whose

5.3 RNR: right node raising

As mentioned in Section 2.3, missing dependencies caused by right node raising are preserved as secondary dependencies. In Figure 14 (page 12), her should be a dependent of both for and in; however, it is a dependent of only for in our structure because making it a dependent of both nodes breaks a tree property (e.g., her would have multiple heads). Instead, the dependency between her and for is preserved with the RNR dependency. Figure 30 shows another example of right node raising where the raised constituent, VP-2, is the head of the constituents that it is raised from, VP-4 and VP-5. In this case, done becomes the head of can_2 with the dependency label, RNR.

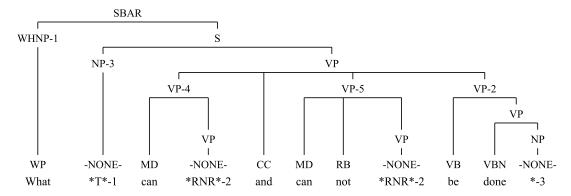


Figure 29: An example of right node raising where the raised constituent is the head.

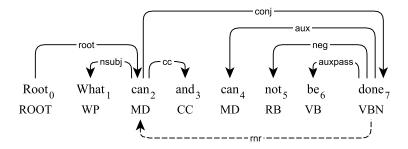


Figure 30: The dependency tree converted from the constituent tree in Figure 29. Right node raising is represented by the secondary dependency, RNR.

5.4 XSUBJ: open clausal subject

An open clausal subject is the subject of an open clausal complement (usually non-finite) that is governed externally. Open clausal subjects are often caused by raising and control verbs (Chomsky, 1981). In Figure 31, the subject of *like* is moved to the subject position of the raising verb *seemed* (subject raising) so that *She* becomes the syntactic subject of *seemed* as well as the open clausal subject of *like* (see Figure 32).

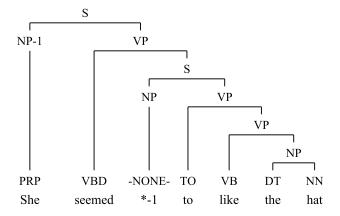


Figure 31: An example of an open clausal subject caused by a subject raising.

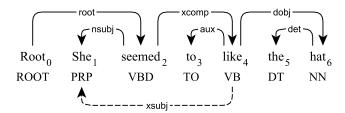


Figure 32: The dependency tree converted from the constituent tree in Figure 31. The open clausal subject is represented by the secondary dependency, XSUBJ.

In Figure 33, the subject of wear is shared with the object of the control verb forced (object control) so that me becomes the direct object of forced as well as the open clausal subject of wear (Figure 34). Alternatively, me in Figure 35 is not considered the direct object of expected but the subject of wear; this is a special case called "exceptional case marking (ECM)", which appears to be very similar to the object control case but is handled differently in constituent trees (see Taylor (2006) for more details about ECM verbs).

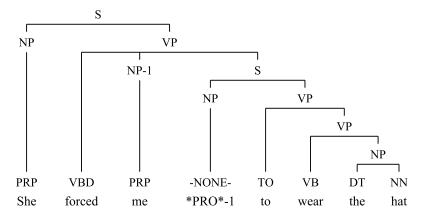


Figure 33: An example of an open clausal subject caused by an object raising.

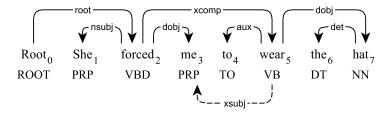


Figure 34: A dependency tree converted from the constituent tree in Figure 33. The open clausal subject is represented by the secondary dependency, XSUBJ.

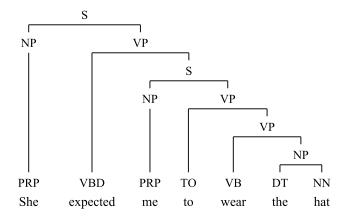


Figure 35: An example of exceptional case marking.

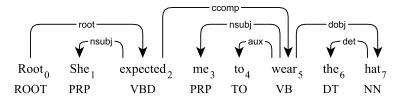


Figure 36: A dependency tree converted from the constituent tree in Figure 35.

6 Adding function tags

6.1 SEM: semantic function tags

When a constituent is annotated with a semantic function tag (BNF, DIR, EXT, LOC, MNR, PRP, TMP, and VOC; see Appendix A.3), the tag is preserved with the head of the constituent as an additional feature. In Figure 37, the subordinate clause SBAR is annotated with the function tag PRP, so the head of the subordinate clause, is, is annotated with the semantic tag in our representation (Figure 38). Note that the CoNLL dependency approach uses these semantic tags in place of dependency labels (e.g., the dependency label between is and let would be PRP instead of ADVCL). These tags are kept separate from the other kinds of dependency labels in our approach so they can be processed either during or after parsing. The semantic function tags can be integrated easily into our dependency structure by replacing dependency labels with semantic tags (Figure 39).

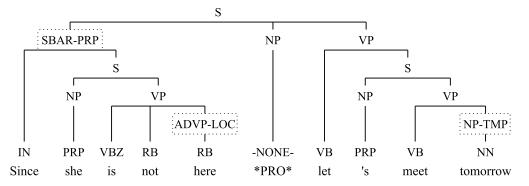


Figure 37: A constituent tree with semantic function tags. The phrases with the semantic function tags are indicated by dotted boxes.

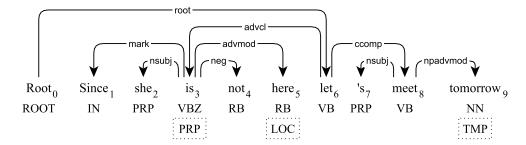


Figure 38: A dependency tree converted from the constituent tree in Figure 37. The function tags PRP, LOC, and TMP are preserved as additional features of *is*, *here*, and *tomorrow*, respectively.

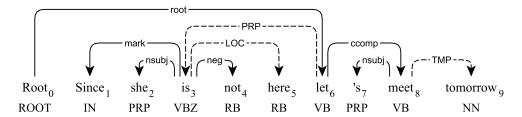


Figure 39: Another dependency tree converted from the constituent tree in Figure 37. The function tags, PRP, LOC, and TMP, replace the original dependency labels, ADVCL, ADVMOD, and NPADVMOD.

6.2 SYN: syntactic function tags

When a constituent is annotated with one or more syntactic function tags (ADV, CLF, CLR, DTV, NOM, PUT, PRD, RED, and TPC; see Appendix A.3), all tags are preserved with the head of the constituent as additional features. In Figure 40, the noun phrase NP-1 is annotated with the function tag PRD and TPC so the head of the noun phrase, *slap*, is annotated with both tags in our representation (Figure 41). Similarly to the semantic function tags (Section 6.1), syntactic function tags can also be integrated into our dependency structure by replacing dependency labels with syntactic tags.

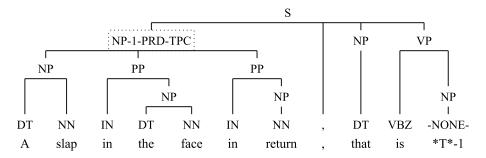


Figure 40: A constituent tree with syntactic function tags. The phrase with the syntactic function tags is indicated by a dotted box.

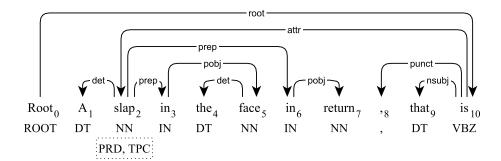


Figure 41: A dependency tree converted from the constituent tree in Figure 40. The function tags, PRD and TPC, are preserved as additional features of slap.

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A Constituent Treebank Tags

This appendix shows tags used in various constituent Treebanks for English (Marcus et al., 1993; Nielsen et al., 2010; Weischedel et al., 2011; Verspoor et al., 2012). Tags followed by * are not the typical Penn Treebank tags but used in some other Treebanks.

A.1 Part-of-speech tags

Word level tags			
ADD	Email	POS	Possessive ending
AFX	Affix	PRP	Personal pronoun
CC	Coordinating conjunction	PRP\$	Possessive pronoun
CD	Cardinal number	RB	Adverb
CODE	Code ID	RBR	Adverb, comparative
DT	Determiner	RBS	Adverb, superlative
EX	Existential there	RP	Particle
FW	Foreign word	TO	То
GW	Go with	UH	Interjection
IN	Preposition or subordinating conjunction	VB	Verb, base form
JJ	Adjective	VBD	Verb, past tense
JJR	Adjective, comparative	VBG	Verb, gerund or present participle
JJS	Adjective, superlative	VBN	Verb, past participle
LS	List item marker	VBP	Verb, non-3rd person singular present
MD	Modal	VBZ	Verb, 3rd person singular present
NN	Noun, singular or mass	WDT	Wh-determiner
NNS	Noun, plural	WP	Wh-pronoun
NNP	Proper noun, singular	WP\$	Possessive wh-pronoun
NNPS	Proper noun, plural	WRB	Wh-adverb
PDT	Predeterminer	XX	Unknown
Punctuation like tags			
\$	Dollar	-LRB-	Left bracket
:	Colon	-RRB-	Right bracket
,	Comma	НҮРН	Hyphen
	Period	NFP	Superfluous punctuation
"	Left quote	SYM	Symbol
,,	Right quote	PUNC	General punctuation

Table 6: A list of part-of-speech tags for English.

A.2 Clause and phrase level tags

Clause level tags			
S	Simple declarative clause		
SBAR	Clause introduced by a subordinating conjunction		
SBARQ	Direct question introduced by a wh-word or a wh-phrase		
SINV	Inverted declarative sentence		
SQ	Inverted yes/no question, or main clause of a wh-question		
Phrase level tags			
ADJP	Adjective phrase	NX	N-bar level phrase
ADVP	Adverb phrase	PP	Prepositional phrase
$\mathtt{CAPTION}^*$	Caption	PRN	Parenthetical phrase
\mathtt{CIT}^*	Citation	PRT	Particle
CONJP	Conjunction phrase	QP	Quantifier Phrase
EDITED	Edited phrase	RRC	Reduced relative clause
EMBED	Embedded phrase	TITLE*	Title
FRAG	Fragment	TYP0	Туро
${\tt HEADING}^*$	Heading	UCP	Unlike coordinated phrase
INTJ	Interjection	VP	Verb phrase
LST	List marker	WHADJP	Wh-adjective phrase
META	Meta data	WHADVP	Wh-adverb phrase
NAC	Not a constituent	WHNP	Wh-noun phrase
NML	Nominal phrase	WHPP	Wh-prepositional phrase
NP	Noun phrase	X	Unknown

Table 7: A list of clause and phrase level tags for English.

A.3 Function tags

Syntactic roles			
ADV	Adverbial	PUT	Locative complement of put
CLF	It-cleft	PRD	Non-VP predicate
CLR	Closely related constituent	RED*	Reduced auxiliary
DTV	Dative	SBJ	Surface subject
LGS	Logical subject in passive	TPC	Topicalization
NOM	Nominalization		
Semantic roles			
BNF	Benefactive	MNR	Manner
DIR	Direction	PRP	Purpose or reason
EXT	Extent	TMP	Temporal
LOC	Locative	VOC	Vocative
Text and speech categories			
ETC	Et cetera	SEZ	Direct speech
FRM^*	Formula	TTL	Title
HLN	Headline	UNF	Unfinished constituent
IMP	Imperative		

Table 8: A list of function tags for English.

B Dependency Labels

B.1 CoNLL dependency labels

This appendix shows a list of the CoNLL dependency labels. See Johansson (2008, Chap. 4) for more details about the CoNLL dependency labels.

Labels retained from function tags			
ADV	Unclassified adverbial	MNR	Manner
BNF	Benefactor	PRD	Predicative complement
DIR	Direction	PRP	Purpose or reason
DTV	Dative	PUT	Locative complement of put
EXT	Extent	SBJ	Subject
LGS	Logical subject	TMP	Temporal
LOC	Locative	VOC	Vocative
Labels inferred from constituent relations			
AMOD	Modifier of adjective or adverb	OPRD	Object predicate
CONJ	Conjunct	P	Punctuation
COORD	Coordination	PMOD	Modifier of preposition
DEP	Unclassified dependency	PRN	Parenthetical
EXTR	Extraposed element	PRT	Particle
GAP	Gapping	QMOD	Modifier of quantifier
IM	Infinitive marker	ROOT	Root
NMOD	Modifier of nominal	SUB	Subordinating conjunction
OBJ	Object or clausal complement	VC	Verb chain

Table 9: A list of the CoNLL dependency labels.

B.2 Stanford dependency labels

This appendix shows a list of the Stanford dependency labels. See de Marneffe and Manning (2008b) for more details about Stanford dependency labels.

Label	Description	Label	Description
ABBREV	Abbreviation modifier	NPADVMOD	Noun phrase as ADVMOD
ACOMP	Adjectival complement	NSUBJ	Nominal subject
ADVCL	Adverbial clause modifier	NSUBJPASS	Nominal subject (passive)
ADVMOD	Adverbial modifier	NUM	Numeric modifier
AGENT	Agent	NUMBER	Element of compound number
AMOD	Adjectival modifier	PARATAXIS	Parataxis
APPOS	Appositional modifier	PARTMOD	Participial modifier
ATTR	Attribute	PCOMP	Prepositional complement
AUX	Auxiliary	POBJ	Object of a preposition
AUXPASS	Auxiliary (passive)	POSS	Possession modifier
CC	Coordination	POSSESSIVE	Possessive modifier
CCOMP	Clausal complement	PRECONJ	Preconjunct
COMPLM	Complementizer	PREDET	Predeterminer
CONJ	Conjunct	PREP	Prepositional modifier
COP	Copula	PREPC	Prepositional clausal modifier
CSUBJ	Clausal subject	PRT	Phrasal verb particle
CSUBJPASS	Clausal subject (passive)	PUNCT	Punctuation
DEP	Dependent	PURPCL	Purpose clause modifier
DET	Determiner	QUANTMOD	Quantifier phrase modifier
DOBJ	Direct object	RCMOD	Relative clause modifier
EXPL	Expletive	REF	Referent
INFMOD	Infinitival modifier	REL	Relative
IOBJ	Indirect object	ROOT	Root
MARK	Marker	TMOD	Temporal modifier
MWE	Multi-word expression	XCOMP	Open clausal complement
NEG	Negation modifier	XSUBJ	Controlling subject
NN	Noun compound modifier		

Table 10: A list of the Stanford dependency labels.