Proc. of the 37th ACL (Assoc. for Computational Linguistics) (1999)

Efficient Parsing for Bilexical Context-Free Grammars and Head Automaton Grammars*

Jason Eisner
Dept. of Computer & Information Science
University of Pennsylvania
200 South 33rd Street,
Philadelphia, PA 19104 USA
jeisner@linc.cis.upenn.edu

Giorgio Satta
Dip. di Elettronica e Informatica
Università di Padova
via Gradenigo 6/A,
35131 Padova, Italy
satta@dei.unipd.it

Abstract

Several recent stochastic parsers use *bilexical* grammars, where each word type idiosyncratically prefers particular complements with particular head words. We present $O(n^4)$ parsing algorithms for two bilexical formalisms, improving the prior upper bounds of $O(n^5)$. For a common special case that was known to allow $O(n^3)$ parsing (Eisner, 1997), we present an $O(n^3)$ algorithm with an improved grammar constant.

1 Introduction

Lexicalized grammar formalisms are of both theoretical and practical interest to the computational linguistics community. Such formalisms specify syntactic facts about each word of the language—in particular, the type of arguments that the word can or must take. Early mechanisms of this sort included categorial grammar (Bar-Hillel, 1953) and subcategorization frames (Chomsky, 1965). Other lexicalized formalisms include (Schabes et al., 1988; Mel'čuk, 1988; Pollard and Sag, 1994).

Besides the possible arguments of a word, a natural-language grammar does well to specify possible head words for those arguments. "Convene" requires an NP object, but some NPs are more semantically or lexically appropriate here than others, and the appropriateness depends largely on the NP's head (e.g., "meeting"). We use the general term **bilexical** for a grammar that records such facts. A bilexical grammar makes many stipulations about the compatibility of particular pairs of words in particular roles. The acceptability of "Nora convened the party" then depends on the grammar writer's assessment of whether parties can be convened.

Several recent real-world parsers have improved state-of-the-art parsing accuracy by relying on probabilistic or weighted versions of bilexical grammars (Alshawi, 1996; Eisner, 1996; Charniak, 1997; Collins, 1997). The rationale is that soft selectional restrictions play a crucial role in disambiguation.[1]

The chart parsing algorithms used by most of the above authors run in time $O(n^5)$, because bilexical grammars are enormous (the part of the grammar relevant to a length-n input has size $O(n^2)$ in practice). Heavy probabilistic pruning is therefore needed to get acceptable runtimes. But in this paper we show that the complexity is not so bad after all:

- For bilexicalized context-free grammars, $O(n^4)$ is possible.
- The $O(n^4)$ result also holds for head automaton grammars.
- For a very common special case of these grammars where an $O(n^3)$ algorithm was previously known (Eisner, 1997), the grammar constant can be reduced without harming the $O(n^3)$ property.

Our algorithmic technique throughout is to propose new kinds of subderivations that are not constituents. We use dynamic programming to assemble such subderivations into a full parse.

2 Notation for context-free grammars

The reader is assumed to be familiar with context-free grammars. Our notation fol-

* The authors were supported respectively under ARPA Grant N6600194-C-6043 "Human Language Technology" and Ministero dell'Università e della Ricerca Scientifica e Tecnologica project "Methodologies and Tools of High Performance Systems for Multimedia Applications."

[1] Other relevant parsers simultaneously consider two or more words that are not necessarily in a dependency relationship (Lafferty et al., 1992; Magerman, 1995; Collins and Brooks, 1995; Chelba and Jelinek, 1998).

lows (Harrison, 1978; Hopcroft and Ullman, 1979). A context-free grammar (CFG) is a tuple $G = (V_N, V_T, P, S)$, where V_N and V_T are finite, disjoint sets of nonterminal and terminal symbols, respectively, and $S \in V_N$ is the start symbol. Set P is a finite set of productions having the form $A \to \alpha$, where $A \in V_N, \alpha \in (V_N \cup V_T)^*$. If every production in P has the form $A \to BC$ or $A \to a$, for $A, B, C \in V_N, a \in V_T$, then the grammar is said to be in Chomsky Normal Form (CNF).[2] Every language that can be generated by a CFG can also be generated by a CFG in CNF.

In this paper we adopt the following conventions: a, b, c, d denote symbols in V_T, w, x, y denote strings in V_T^*, and $\alpha, \beta, \ldots$ denote strings in $(V_N \cup V_T)^*$. The input to the parser will be a CFG G together with a string of terminal symbols to be parsed, $w = d_1 d_2 \cdots d_n$. Also h, i, j, k denote positive integers, which are assumed to be $\leq n$ when we are treating them as indices into w. We write $w_{i,j}$ for the input substring $d_i \cdots d_j$ (and put $w_{i,j} = \epsilon$ for $i > j$).

A "derives" relation, written $\Rightarrow$, is associated with a CFG as usual. We also use the reflexive and transitive closure of $\Rightarrow$, written $\Rightarrow^*$, and define $L(G)$ accordingly. We write $\alpha \underbrace{\beta} \delta \Rightarrow^* \alpha\gamma\delta$ for a derivation in which only β is rewritten.

3 Bilexical context-free grammars

We introduce next a grammar formalism that captures lexical dependencies among pairs of words in V_T. This formalism closely resembles stochastic grammatical formalisms that are used in several existing natural language processing systems (see §1). We will specify a non-stochastic version, noting that probabilities or other weights may be attached to the rewrite rules exactly as in stochastic CFG (Gonzales and Thomason, 1978; Wetherell, 1980). (See §4 for brief discussion.)

Suppose $G = (V_N, V_T, P, T[\$])$ is a CFG in CNF.[3] We say that G is **bilexical** iff there exists a set of "delexicalized nonterminals" V_D such that $V_N = \{A[a] : A \in V_D, a \in V_T\}$ and every production in P has one of the following forms:

- $A[a] \to B[b]\ C[a]$ (1)
- $A[a] \to C[a]\ B[b]$ (2)
- $A[a] \to a$ (3)

Thus every nonterminal is **lexicalized** at some terminal a. A constituent of nonterminal type $A[a]$ is said to have terminal symbol a as its **lexical head**, "inherited" from the constituent's **head child** in the parse tree (e.g., $C[a]$).

Notice that the start symbol is necessarily a lexicalized nonterminal, $T[\$]$. Hence \$ appears in every string of $L(G)$; it is usually convenient to define G so that the language of interest is actually $L'(G) = \{x : x\$ \in L(G)\}$.

Such a grammar can encode lexically specific preferences. For example, P might contain the productions

- VP[solve] → V[solve] NP[puzzles]
- NP[puzzles] → DET[two] N[puzzles]
- V[solve] → solve
- N[puzzles] → puzzles
- DET[two] → two

in order to allow the derivation VP[solve] $\Rightarrow^*$ solve two puzzles, but meanwhile omit the similar productions

- VP[eat] → V[eat] NP[puzzles]
- VP[solve] → V[solve] NP[goat]
- VP[sleep] → V[sleep] NP[goat]
- NP[goat] → DET[two] N[goat]

since puzzles are not edible, a goat is not solvable, "sleep" is intransitive, and "goat" cannot take plural determiners. (A stochastic version of the grammar could implement "soft preferences" by allowing the rules in the second group but assigning them various low probabilities.)

The cost of this expressiveness is a very large grammar. Standard context-free parsing algorithms are inefficient in such a case. The CKY algorithm (Younger, 1967; Aho and Ullman, 1972) is time $O(n^3 \cdot |P|)$, where in the worst case $|P| = |V_N|^3$ (one ignores unary productions). For a bilexical grammar, the worst case is $|P| = |V_D|^3 \cdot |V_T|^2$, which is large for a large vocabulary V_T. We may improve the analysis somewhat by observing that when parsing $d_1 \cdots d_n$, the CKY algorithm only considers nonterminals of the form $A[d_i]$; by restricting to the relevant productions we obtain $O(n^3 \cdot |V_D|^3 \cdot \min(n, |V_T|)^2)$.

[2] Production $S \to \epsilon$ is also allowed in a CNF grammar if S never appears on the right side of any production. However, $S \to \epsilon$ is not allowed in our bilexical CFGs.

[3] We have a more general definition that drops the restriction to CNF, but do not give it here.

We observe that in practical applications we always have $n \ll |V_T|$. Let us then restrict our analysis to the (infinite) set of input instances of the parsing problem that satisfy relation $n < |V_T|$. With this assumption, the asymptotic time complexity of the CKY algorithm becomes $O(n^5 \cdot |V_D|^3)$. In other words, it is a factor of n^2 slower than a comparable non-lexicalized CFG.

4 Bilexical CFG in time $O(n^4)$

In this section we give a recognition algorithm for bilexical CNF context-free grammars, which runs in time $O(n^4 \cdot \max(p, |V_D|^2)) = O(n^4 \cdot |V_D|^3)$. Here p is the maximum number of productions sharing the same pair of terminal symbols (e.g., the pair (b, a) in production (1)). The new algorithm is asymptotically more efficient than the CKY algorithm, when restricted to input instances satisfying the relation $n < |V_T|$.

Where CKY recognizes only constituent substrings of the input, the new algorithm can recognize three types of subderivations, shown and described in Figure 1(a). A declarative specification of the algorithm is given in Figure 1(b). The derivability conditions of (a) are guaranteed by (b), by induction, and the correctness of the acceptance condition (see caption) follows.

This declarative specification, like CKY, may be implemented by bottom-up dynamic programming. We sketch one such method. For each possible item, as shown in (a), we maintain a bit (indexed by the parameters of the item) that records whether the item has been derived yet. All these bits are initially zero. The algorithm makes a single pass through the possible items, setting the bit for each if it can be derived using any rule in (b) from items whose bits are already set. At the end of this pass it is straightforward to test whether to accept w (see caption). The pass considers the items in increasing order of width, where the width of an item in (a) is defined as $\max\{h, i, j\} - \min\{h, i, j\}$. Among items of the same width, those of type $\triangle$ should be considered last.

The algorithm requires space proportional to the number of possible items, which is at most $n^3|V_D|^2$. Each of the five rule templates can instantiate its free variables in at most $n^4 p$ or (for COMPLETE rules) $n^4|V_D|^2$ different ways, each of which is tested once and in constant time; so the runtime is $O(n^4 \max(p, |V_D|^2))$.

By comparison, the CKY algorithm uses only the first type of item, and relies on rules whose inputs are pairs $\overset{B}{\underset{i\ \ h'\ \ j}{\triangle}}\ \overset{C}{\underset{j+1\ h\ \ k}{\triangle}}$. Such rules can be instantiated in $O(n^5)$ different ways for a fixed grammar, yielding $O(n^5)$ time complexity. The new algorithm saves a factor of n by combining those two constituents in two steps, one of which is insensitive to k and abstracts over its possible values, the other of which is insensitive to h' and abstracts over *its* possible values.

It is straightforward to turn the new $O(n^4)$ recognition algorithm into a *parser* for *stochastic* bilexical CFGs (or other weighted bilexical CFGs). In a stochastic CFG, each nonterminal $A[a]$ is accompanied by a probability distribution over productions of the form $A[a] \to \alpha$. A parse is just a derivation (proof tree) of $\overset{T}{\underset{1\ \ h\ \ n}{\triangle}}$, and its probability—like that of any derivation we find—is defined as the product of the probabilities of all productions used to condition inference rules in the proof tree. The highest-probability derivation for any item can be reconstructed recursively at the end of the parse, provided that each item maintains not only a bit indicating whether it can be derived, but also the probability and instantiated root rule of its highest-probability derivation tree.

5 A more efficient variant

We now give a variant of the algorithm of §4; the variant has the same asymptotic complexity but will often be faster in practice.

Notice that the ATTACH-LEFT rule of Figure 1(b) tries to combine the nonterminal label $B[d_{h'}]$ of a previously derived constituent with *every possible* nonterminal label of the form $C[d_h]$. The improved version, shown in Figure 2, restricts $C[d_h]$ to be the label of a previously derived adjacent constituent. This improves speed if there are not many such constituents and we can enumerate them in $O(1)$ time apiece (using a sparse parse table to store the derived items).

It is necessary to use an agenda data structure (Kay, 1986) when implementing the declarative algorithm of Figure 2. Deriving narrower items before wider ones as before will not work here because the rule HALVE derives narrow items *from* wide ones.

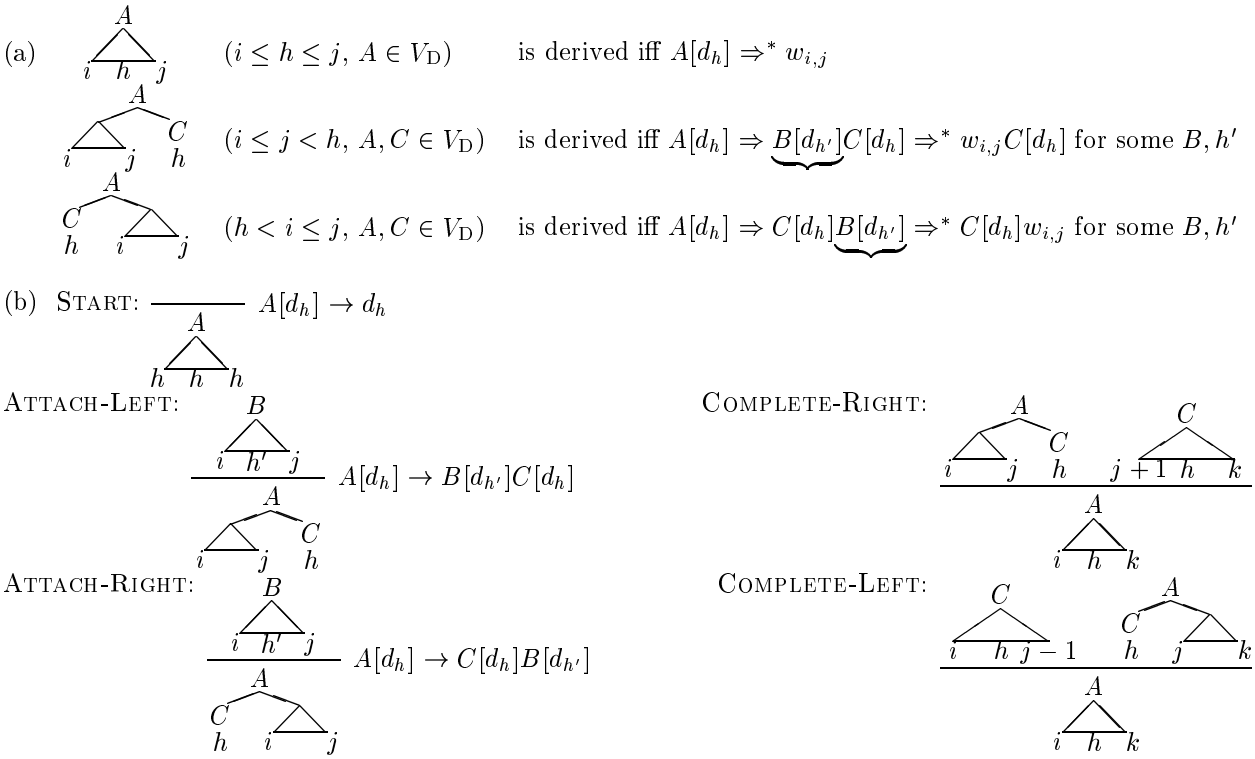
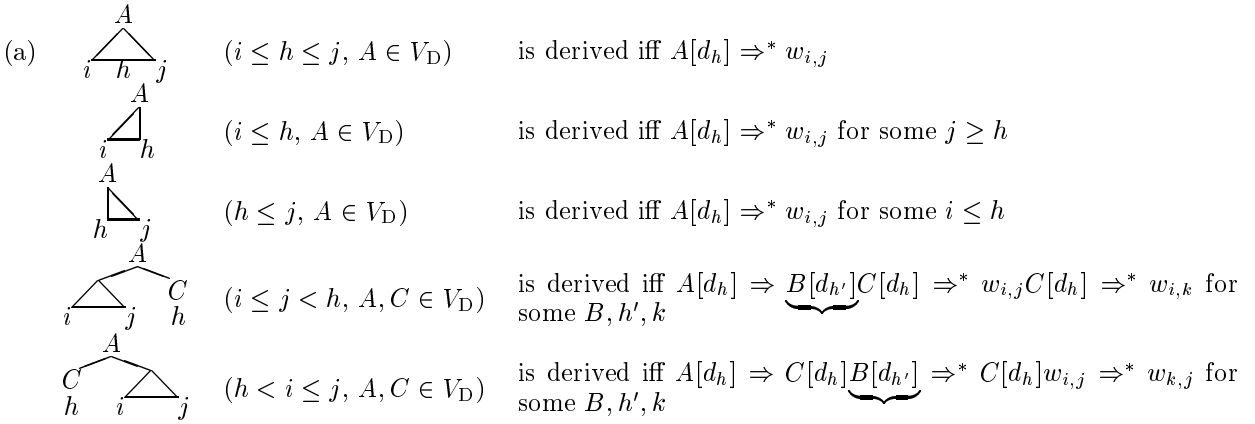


Figure 1: An $O(n^4)$ recognition algorithm for CNF bilexical CFG. (a) Types of items in the parse table (chart). The first is syntactic sugar for the tuple $[\triangle, A, i, h, j]$, and so on. The stated conditions assume that $d_1, \ldots d_n$ are all distinct. (b) Inference rules. The algorithm derives the item below —— if the items above —— have already been derived and any condition to the right of —— is met. It accepts input w just if item $[\triangle, T, 1, h, n]$ is derived for some h such that $d_h = \$$.



(b) As in Figure 1(b) above, but add HALVE and change ATTACH-LEFT and ATTACH-RIGHT as shown.

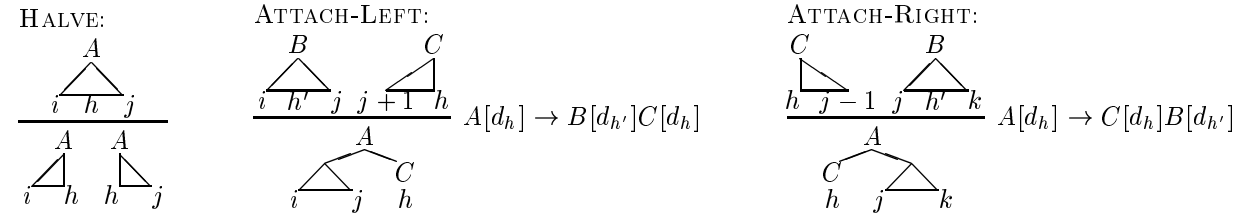


Figure 2: A more efficient variant of the $O(n^4)$ algorithm in Figure 1, in the same format.

6 Multiple word senses

Rather than parsing an input string directly, it is often desirable to parse another string related by a (possibly stochastic) transduction. Let T be a finite-state transducer that maps a morpheme sequence $w \in V_T^*$ to its orthographic realization, a grapheme sequence $\bar{w}$. T may realize arbitrary morphological processes, including affixation, local clitic movement, deletion of phonological nulls, forbidden or dispreferred k-grams, typographical errors, and mapping of multiple senses onto the same grapheme. Given grammar G and an input $\bar{w}$, we ask whether $\bar{w} \in T(L(G))$. We have extended all the algorithms in this paper to this case: the items simply keep track of the transducer state as well.

Due to space constraints, we sketch only the special case of multiple senses. Suppose that the input is $\bar{w} = \bar{d}_1 \cdots \bar{d}_n$, and each $\bar{d}_i$ has up to g possible senses. Each item now needs to track its head's *sense* along with its head's position in $\bar{w}$. Wherever an item formerly recorded a head position h (similarly h'), it must now record a pair (h, d_h), where $d_h \in V_T$ is a specific sense of $\bar{d}_h$. No rule in Figures 1–2 (or Figure 3 below) will mention more than two such pairs. So the time complexity increases by a factor of $O(g^2)$.

7 Head automaton grammars in time $O(n^4)$

In this section we show that a length-n string generated by a head automaton grammar (Alshawi, 1996) can be parsed in time $O(n^4)$. We do this by providing a translation from head automaton grammars to bilexical CFGs.[4] This result improves on the head-automaton parsing algorithm given by Alshawi, which is analogous to the CKY algorithm on bilexical CFGs and is likewise $O(n^5)$ in practice (see §3).

A **head automaton grammar** (HAG) is a function $H : a \mapsto H_a$ that defines a **head automaton** (HA) for each element of its (finite) domain. Let $V_T = \text{domain}(H)$ and $D = \{\rightarrow, \leftarrow\}$. A special symbol $\$ \in V_T$ plays the role of start symbol. For each $a \in V_T$, H_a is a tuple $(Q_a, V_T, \delta_a, I_a, F_a)$, where

- Q_a is a finite set of states;
- $I_a, F_a \subseteq Q_a$ are sets of initial and final states, respectively;
- δ_a is a transition function mapping $Q_a \times V_T \times D$ to 2^{Q_a}, the power set of Q_a.

A single head automaton is an acceptor for a language of string pairs $\langle z_l, z_r \rangle \in V_T^* \times V_T^*$. Informally, if b is the leftmost symbol of z_r and $q' \in \delta_a(q, b, \rightarrow)$, then H_a can move from state q to state q', matching symbol b and removing it from the left end of z_r. Symmetrically, if b is the rightmost symbol of z_l and $q' \in \delta_a(q, b, \leftarrow)$ then from q H_a can move to q', matching symbol b and removing it from the right end of z_l.[5]

More formally, we associate with the head automaton H_a a "derives" relation $\vdash_a$, defined as a binary relation on $Q_a \times V_T^* \times V_T^*$. For every $q \in Q$, $x, y \in V_T^*$, $b \in V_T$, $d \in D$, and $q' \in \delta_a(q, b, d)$, we specify that

$$\begin{aligned} (q, xb, y) &\vdash_a (q', x, y) \quad \text{if } d = \leftarrow; \\ (q, x, by) &\vdash_a (q', x, y) \quad \text{if } d = \rightarrow . \end{aligned}$$

The reflexive and transitive closure of $\vdash_a$ is written $\vdash_a^*$. The language generated by H_a is the set

$$L(H_a) = \{\langle z_l, z_r \rangle \mid (q, z_l, z_r) \vdash_a^* (r, \epsilon, \epsilon), \; q \in I_a, \; r \in F_a\}.$$

We may now define the language generated by the entire grammar H. To generate, we expand the start word $\$ \in V_T$ into $x\$y$ for some $\langle x, y \rangle \in L(H_\$)$, and then recursively expand the words in strings x and y. More formally, given H, we simultaneously define L_a for all $a \in V_T$ to be minimal such that if $\langle x, y \rangle \in L(H_a)$, $x' \in L_x$, $y' \in L_y$, then $x'ay' \in L_a$, where $L_{a_1 \cdots a_k}$ stands for the concatenation language $L_{a_1} \cdots L_{a_k}$. Then H generates language $L_\$$.

We next present a simple construction that transforms a HAG H into a bilexical CFG G generating the same language. The construction also preserves derivation ambiguity. This means that for each string w, there is a linear-time 1-to-1 mapping between (appropriately de-

[4] Translation in the other direction is possible if the HAG formalism is extended to allow multiple senses per word (see §6). This makes the formalisms equivalent.

[5] Alshawi (1996) describes HAs as accepting (or equivalently, generating) z_l and z_r from the outside in. To make Figure 3 easier to follow, we have defined HAs as accepting symbols in the opposite order, from the inside out. This amounts to the same thing if transitions are reversed, I_a is exchanged with F_a, and any transition probabilities are replaced by those of the reversed Markov chain.

fined) canonical derivations of w by H and canonical derivations of w by G.

We adopt the notation above for H and the components of its head automata. Let V_{D} be an arbitrary set of size $t = \max\{|Q_a| : a \in V_{\mathrm{T}}\}$, and for each a, define an arbitrary injection $f_a : Q_a \to V_{\mathrm{D}}$. We define $G = (V_{\mathrm{N}}, V_{\mathrm{T}}, P, T[\$])$, where

(i) $V_{\mathrm{N}} = \{A[a] : A \in V_{\mathrm{D}}, a \in V_{\mathrm{T}}\}$, in the usual manner for bilexical CFG;

(ii) P is the set of all productions having one of the following forms, where $a, b \in V_{\mathrm{T}}$:

- $A[a] \to B[b]\ C[a]$ where $A = f_a(r)$, $B = f_b(q')$, $C = f_a(q)$ for some $q' \in I_b$, $q \in Q_a$, $r \in \delta_a(q, b, \leftarrow)$
- $A[a] \to C[a]\ B[b]$ where $A = f_a(r)$, $B = f_b(q')$, $C = f_a(q)$ for some $q' \in I_b$, $q \in Q_a$, $r \in \delta_a(q, b, \rightarrow)$
- $A[a] \to a$ where $A = f_a(q)$ for some $q \in F_a$

(iii) $T = f_{\$}(q)$, where we assume WLOG that $I_{\$}$ is a singleton set $\{q\}$.

We omit the formal proof that G and H admit isomorphic derivations and hence generate the same languages, observing only that if $\langle x, y\rangle = \langle b_1 b_2 \cdots b_j, b_{j+1} \cdots b_k\rangle \in L(H_a)$—a condition used in defining L_a above—then $A[a] \Rightarrow^* B_1[b_1] \cdots B_j[b_j] a B_{j+1}[b_{j+1}] \cdots B_k[b_k]$, for any $A, B_1, \ldots B_k$ that map to initial states in $H_a, H_{b_1}, \ldots H_{b_k}$ respectively.

In general, G has $p = O(|V_{\mathrm{D}}|^3) = O(t^3)$. The construction therefore implies that we can parse a length-n sentence under H in time $O(n^4 t^3)$. If the HAs in H happen to be deterministic, then in each binary production given by (ii) above, symbol A is fully determined by a, b, and C. In this case $p = O(t^2)$, so the parser will operate in time $O(n^4 t^2)$.

We note that this construction can be straightforwardly extended to convert stochastic HAGs as in (Alshawi, 1996) into stochastic CFGs. Probabilities that H_a assigns to state q's various transition and halt actions are copied onto the corresponding productions $A[a] \to \alpha$ of G, where $A = f_a(q)$.

8 Split head automaton grammars in time $O(n^3)$

For many bilexical CFGs or HAGs of practical significance, just as for the bilexical version of link grammars (Lafferty et al., 1992), it is possible to parse length-n inputs even faster, in time $O(n^3)$ (Eisner, 1997). In this section we describe and discuss this special case, and give a new $O(n^3)$ algorithm that has a smaller grammar constant than previously reported.

A head automaton H_a is called **split** if it has no states that can be entered on a $\leftarrow$ transition and exited on a $\rightarrow$ transition. Such an automaton can accept $\langle x, y\rangle$ only by reading all of y—immediately after which it is said to be in a **flip state**—and then reading all of x. Formally, a flip state is one that allows entry on a $\rightarrow$ transition and that either allows exit on a $\leftarrow$ transition or is a final state.

We are concerned here with head automaton grammars H such that every H_a is split. These correspond to bilexical CFGs in which any derivation $A[a] \Rightarrow^* xay$ has the form $A[a] \Rightarrow^* xB[a] \Rightarrow^* xay$. That is, a word's left dependents are more oblique than its right dependents and c-command them.

Such grammars are broadly applicable. Even if H_a is not split, there usually exists a split head automaton H'_a recognizing the same language. H'_a exists iff $\{x\#y : \langle x, y\rangle \in L(H_a)\}$ is regular (where $\# \notin V_{\mathrm{T}}$). In particular, H'_a must exist unless H_a has a cycle that includes both $\leftarrow$ and $\rightarrow$ transitions. Such cycles would be necessary for H_a itself to accept a formal language such as $\{\langle b^n, c^n\rangle : n \geq 0\}$, where word a takes $2n$ dependents, but we know of no natural-language motivation for ever using them in a HAG.

One more definition will help us bound the complexity. A split head automaton H_a is said to be **g-split** if its set of flip states, denoted $\bar{Q}_a \subseteq Q_a$, has size $\leq g$. The languages that can be recognized by g-split HAs are those that can be written as $\bigcup_{i=1}^{g} L_i \times R_i$, where the L_i and R_i are regular languages over V_{T}. Eisner (1997) actually defined (g-split) bilexical grammars in terms of the latter property.[6]

[6] That paper associated a product language $L_i \times R_i$, or equivalently a 1-split HA, with each of g senses of a word (see §6). One could do the same without penalty in our present approach: confining to 1-split automata would remove the g^2 complexity factor, and then allowing g

We now present our result: Figure 3 specifies an $O(n^3g^2t^2)$ recognition algorithm for a head automaton grammar H in which every H_a is g-split. For deterministic automata, the runtime is $O(n^3g^2t)$—a considerable improvement on the $O(n^3g^3t^2)$ result of (Eisner, 1997), which also assumes deterministic automata. As in §4, a simple bottom-up implementation will suffice. For a practical speedup, add $\overset{s}{\underset{h}{\triangle}}\,\underset{j}{}$ as an antecedent to the MID rule (and fill in the parse table from right to left).

Like our previous algorithms, this one takes two steps (ATTACH, COMPLETE) to attach a child constituent to a parent constituent. But instead of full constituents—strings $xd_iy \in L_{d_i}$—it uses only half-constituents like xd_i and d_iy. Where CKY combines $\overset{}{\underset{i\ h'\ j}{\triangle}}\ \overset{}{\underset{j+1\ h\quad k}{\triangle}}$, we save two degrees of freedom i, k (so improving $O(n^5)$ to $O(n^3)$) and combine $\underset{h'\quad j}{\triangle}\ \underset{j+1\quad h}{\triangle}$. The other halves of these constituents can be attached later, because to find an accepting path for $\langle z_l, z_r \rangle$ in a split head automaton, one can *separately* find the half-path before the flip state (which accepts z_r) and the half-path after the flip state (which accepts z_l). These two half-paths can subsequently be joined into an accepting path if they have the same flip state s, i.e., one path starts where the other ends. Annotating our left half-constituents with s makes this check possible.

9 Final remarks

We have formally described, and given faster parsing algorithms for, three practical grammatical rewriting systems that capture dependencies between pairs of words. All three systems admit naive $O(n^5)$ algorithms. We give the first $O(n^4)$ results for the natural formalism of bilexical context-free grammar, and for Alshawi's (1996) head automaton grammars. For the usual case, split head automaton grammars or equivalent bilexical CFGs, we replace the $O(n^3)$ algorithm of (Eisner, 1997) by one with a smaller grammar constant. Note that, e.g., all three models in (Collins, 1997) are susceptible to the $O(n^3)$ method (cf. Collins's $O(n^5)$).

Our dynamic programming techniques for cheaply attaching head information to derivations can also be exploited in parsing formalisms other than rewriting systems. The authors have developed an $O(n^7)$-time parsing algorithm for bilexicalized tree adjoining grammars (Schabes, 1992), improving the naive $O(n^8)$ method.

The results mentioned in §6 are related to the closure property of CFGs under generalized sequential machine mapping (Hopcroft and Ullman, 1979). This property also holds for our class of bilexical CFGs.

senses would restore the g^2 factor. Indeed, this approach gives added flexibility: a word's sense, unlike its choice of flip state, is visible to the HA that *reads* it.

References

A. V. Aho and J. D. Ullman. 1972. *The Theory of Parsing, Translation and Compiling*, volume 1. Prentice-Hall, Englewood Cliffs, NJ.

H. Alshawi. 1996. Head automata and bilingual tiling: Translation with minimal representations. In *Proc. of ACL*, pages 167–176, Santa Cruz, CA.

Y. Bar-Hillel. 1953. A quasi-arithmetical notation for syntactic description. *Language*, 29:47–58.

E. Charniak. 1997. Statistical parsing with a context-free grammar and word statistics. In *Proc. of the 14th AAAI*, Menlo Park.

C. Chelba and F. Jelinek. 1998. Exploiting syntactic structure for language modeling. In *Proc. of COLING-ACL*.

N. Chomsky. 1965. *Aspects of the Theory of Syntax*. MIT Press, Cambridge, MA.

M. Collins and J. Brooks. 1995. Prepositional phrase attachment through a backed-off model. In *Proc. of the Third Workshop on Very Large Corpora*, Cambridge, MA.

M. Collins. 1997. Three generative, lexicalised models for statistical parsing. In *Proc. of the 35th ACL and 8th European ACL*, Madrid, July.

J. Eisner. 1996. An empirical comparison of probability models for dependency grammar. Technical Report IRCS-96-11, IRCS, Univ. of Pennsylvania.

J. Eisner. 1997. Bilexical grammars and a cubic-time probabilistic parser. In *Proceedings of the 4th Int. Workshop on Parsing Technologies*, MIT, Cambridge, MA, September.

R. C. Gonzales and M. G. Thomason. 1978. *Syntactic Pattern Recognition*. Addison-Wesley, Reading, MA.

M. A. Harrison. 1978. *Introduction to Formal Language Theory*. Addison-Wesley, Reading, MA.

J. E. Hopcroft and J. D. Ullman. 1979. *Introduction to Automata Theory, Languages and Computation*. Addison-Wesley, Reading, MA.

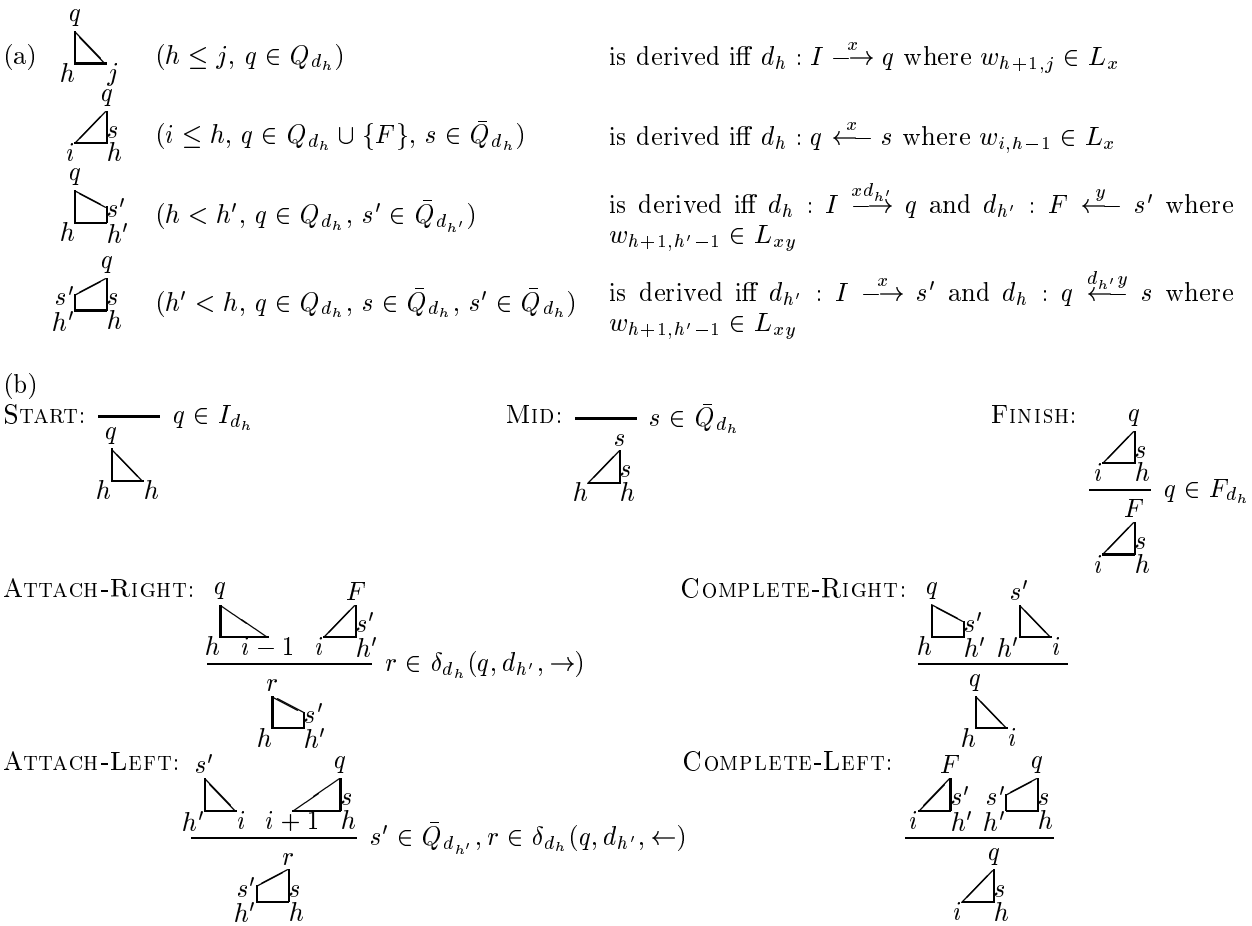


Figure 3: An $O(n^3)$ recognition algorithm for split head automaton grammars. The format is as in Figure 1, except that (c) gives the acceptance condition. The following notation indicates that a head automaton can consume a string x from its left or right input: $a : q \xrightarrow{x} q'$ means that $(q, \epsilon, x) \vdash_a^* (q', \epsilon, \epsilon)$, and $a : I \xrightarrow{x} q'$ means this is true for some $q \in I_a$. Similarly, $a : q' \xleftarrow{x} q$ means that $(q, x, \epsilon) \vdash_a^* (q', \epsilon, \epsilon)$, and $a : F \xleftarrow{x} q$ means this is true for some $q' \in F_a$. The special symbol F also appears as a literal in some items, and effectively means "an unspecified final state."

M. Kay. 1986. Algorithm schemata and data structures in syntactic processing. In K. Sparck Jones B. J. Grosz and B. L. Webber, editors, *Natural Language Processing*, pages 35–70. Kaufmann, Los Altos, CA.

J. Lafferty, D. Sleator, and D. Temperley. 1992. Grammatical trigrams: A probabilistic model of link grammar. In *Proc. of the AAAI Conf. on Probabilistic Approaches to Nat. Lang.*, October.

D. Magerman. 1995. Statistical decision-tree models for parsing. In *Proceedings of the 33rd ACL.*

I. Mel'čuk. 1988. *Dependency Syntax: Theory and Practice.* State University of New York Press.

C. Pollard and I. Sag. 1994. *Head-Driven Phrase Structure Grammar.* University of Chicago Press.

Y. Schabes, A. Abeillé, and A. Joshi. 1988. Parsing strategies with 'lexicalized' grammars: Application to Tree Adjoining Grammars. In *Proceedings of COLING-88*, Budapest, August.

Yves Schabes. 1992. Stochastic lexicalized tree-adjoining grammars. In *Proc. of the 14th COLING*, pages 426–432, Nantes, France, August.

C. S. Wetherell. 1980. Probabilistic languages: A review and some open questions. *Computing Surveys*, 12(4):361–379.

D. H. Younger. 1967. Recognition and parsing of context-free languages in time n^3. *Information and Control*, 10(2):189–208, February.