Meeting Recorder Project: Dialog Act Labeling Guide

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TABLE OF CONTENTS

| | tion | | | | | | |
|---------------------------------------|--|----|--|--|--|--|--|
| Section | 1: Quick Reference Information | | | | | | |
| 1.1 | Terminology | | | | | | |
| 1.2 | Mapping Meeting Recorder DA (MRDA) Tags to SWBD-DAMSL Tags | | | | | | |
| 1.3 | Meeting Recorder DA (MRDA) Tagset | | | | | | |
| Section | 2: Segmentation | 8 | | | | | |
| Section | 3: How to Label | | | | | | |
| 3.1 | Basic Format of DAs and Labels | 15 | | | | | |
| 3.2 | Label Construction | | | | | | |
| 3.3 | Annotating Utterances Containing Multiple DAs | 17 | | | | | |
| 3.4 | Disruption Forms | 19 | | | | | |
| 3.5 | Quotes | | | | | | |
| 3.6 | Using TableTrans (Annotation Interface) | 22 | | | | | |
| Section | 4: Adjacency Pairs | | | | | | |
| 4.1 | Purpose and Definition | 24 | | | | | |
| 4.2 | Labeling Adjacency Pairs | 24 | | | | | |
| 4.3 | Labeling Conventions | 25 | | | | | |
| 4.4 | Restrictions on Using Adjacency Pairs | 28 | | | | | |
| Section | 5: Tag Descriptions | 29 | | | | | |
| 5.1 | Preliminaries | 29 | | | | | |
| 5.2 | Group 1: Statements | 29 | | | | | |
| 5.3 | Group 2: Questions | 30 | | | | | |
| 5.4 | Group 3: Floor Mechanisms | 40 | | | | | |
| 5.5 | Group 4: Backchannels and Acknowledgments | 45 | | | | | |
| 5.6 | Group 5: Responses | | | | | | |
| 5.7 | Group 6: Action Motivators | 67 | | | | | |
| 5.8 | Group 7: Checks | 73 | | | | | |
| 5.9 | Group 8: Restated Information | 77 | | | | | |
| 5.10 | Group 9: Supportive Functions | 84 | | | | | |
| 5.11 | Group 10: Politeness Mechanisms | 89 | | | | | |
| 5.12 | Group 11: Further Descriptions | 93 | | | | | |
| 5.13 | Group 12: Disruption Forms | 07 | | | | | |
| 5.14 | Group 13: Nonlabeled 1 | 10 | | | | | |
| Appendix 1: Labeled Meeting Sample111 | | | | | | | |
| | x 2: Tags Used in Some Meetings1 | | | | | | |
| Bibliogra | Bibliography | | | | | | |
| Index of | Index of Tags119 | | | | | | |

INTRODUCTION

This labeling guide is adapted from work on the Switchboard recordings and the accompanying manual (Jurafsky et al. 1997). The Switchboard-DAMSL (SWBD-DAMSL) manual for labeling one-on-one phone conversations provided a useful starting point for the types of dialog acts (DAs) that arose in the ICSI meeting corpus. However, the tagset for labeling meetings presented here has been modified as necessary to better reflect the types of interaction we observed in multiparty face-to-face meetings.

This guide consists of five major sections: Quick Reference Information, Segmentation, How to Label, Adjacency Pairs, and Tag Descriptions. The first section supplies definitions for terms used throughout this guide and contains the correspondence of the Meeting Recorder DA (MRDA) tagset, which is the tagset detailed within this guide, to the SWBD-DAMSL tagset. This section also contains the entire MRDA tagset organized into groups according to syntactic, semantic, pragmatic, and functional similarities of the utterances they mark. The section entitled "Segmentation," as its name indicates, details the rules and guidelines governing what constitutes an utterance along with how to determine utterance boundaries. The third section, "How to Label," provides instruction regarding label construction, the management of utterances requiring additional DAs or containing quotes, and the use of the annotation software. The section entitled "Adjacency Pairs" details how adjacency pairs are constructed and the rules governing their usage. The section entitled "Tag Descriptions" provides explanations of each tag within the MRDA tagset.

Two appendices are also found within this guide. The first provides a labeled portion of a meeting and the second contains information regarding tags used for a select number of meetings.

With regard to the examples from meeting data found throughout this guide, it must be noted that the start and end times for each utterance within the examples do not reflect the most recent time alignments. However, the start and end times are accurate to a point which allows for them to be located within their corresponding audio files without difficulty.

SECTION 1: QUICK REFERENCE INFORMATION

1.1 Terminology

Below is some rudimentary terminology used in dialog act labeling:

utterance: a segment of speech occupying one line in the transcript by

a single speaker which is prosodically and/or syntactically

significant within the conversational context

speech: a group of successive utterances or successive portions of

an utterance

turn: the period during which a speaker has the floor

label: the entire set of DAs and/or other tags applicable to an

utterance

dialog act (DA): the tag or sequence of tags pertaining to the function of an

utterance or portion of an utterance. Each DA contains at least one general tag and may contain one or more specific

tags, depending upon the nature of the utterance

tag: the individual component(s) of a DA or label

general tag: the tag which represents the basic form of an utterance

(e.g., statement, question, backchannel, etc.)

specific tag: the tag which represents the function or a characteristic of

an utterance and is appended to the general tag (e.g., accepting, rejecting, acknowledging, rising tone, etc.)

disruption form: the tag which represents a disruption or otherwise

indiscernible utterance

1.2 Mapping Meeting Recorder DA (MRDA) Tags to SWBD-DAMSL Tags

The following table shows the correspondence between Switchboard-DAMSL (SWBD-DAMSL) dialog tags and those used to label Meeting Recorder DA (MRDA) data. Tags listed in *italics* are based upon SWBD-DAMSL tags but have had their meanings altered for the purposes of the MRDA data. Tags in **boldface** are not in the original SWBD-DAMSL manual but have been added to accurately characterize the MRDA data.

| TAG TITLE | SWBD-DAMSL | MRDA |
|---------------------------------|------------|------------|
| Indecipherable | % | % |
| Abandoned | %- | % |
| Interruption | not marked | %- |
| Nonspeech | х | Х |
| Self-Talk | t1 | t1 |
| 3 rd -Party Talk | t3 | t3 |
| Task-Management | t | t |
| Communication- Management | С | not marked |
| Statement | sd | S |
| Subjective Statement | sv | S |
| Wh-Question | qw | qw |
| Y/N Question | qy | qy |
| Open-Ended Question | qo | qo |
| Or Question | qr | qr |
| Or Clause After Y/N Question | qrr | qrr |
| Rhetorical Question | qh | qh |
| Declarative-Question | d | d |
| Tag Question | g | g |
| Open-Option | 00 | not marked |
| Command | ad | со |

| Suggestion | со | cs |
|--------------------------|------------|------------|
| Commit (self-inclusive) | СС | СС |
| Conventional-Opening | fp | not marked |
| Conventional-Closing | fc | not marked |
| Topic Change | not marked | tc |
| Explicit-Performative | fx | not marked |
| Exclamation | fe | fe |
| Other-Forward-Function | fo | not marked |
| Thanks | ft | ft |
| Welcome | fw | fw |
| Apology | fa | fa |
| Floor-Holder | not marked | fh |
| Floor-Grabber | not marked | fg |
| Accept, Yes Answers | ny, aa | aa |
| Partial Accept | aap | aap |
| Partial Reject | arp | arp |
| Maybe | am | am |
| Reject, No Answers | nn, ar | ar |
| Hold | h | h |
| Collaborative-Completion | 2 | 2 |
| Backchannel | b | b |
| Acknowledgment | bk | bk |
| Mimic | m | m |
| Repeat | not marked | r |
| Reformulation | bf | bs |
| Appreciation | ba | ba |
| Sympathy | by | by |
| Downplayer | bd | bd |

| Misspeak Correction | bc | bc |
|------------------------------------|------------|------------|
| Rhetorical-Question Backchannel | bh | bh |
| Signal Non-Understanding | br | br |
| Understanding Check | not marked | bu |
| Defending/Explanation | not marked | df |
| Misspeak Self-Correction | | bsc |
| "Follow Me" | not marked | f |
| Expansion/Supporting addition | е | е |
| Narrative-affirmative answers | na | na |
| Narrative-negative answers | ng | ng |
| No knowledge answers | no | no |
| Dispreferred answers | nd | nd |
| Quoted Material | q | not marked |
| Humorous Material | not marked | j |
| Continued from previous line | + | not marked |
| Hedge | h | not marked |
| Rising Tone | not marked | rt |
| Nonlabeled | not marked | z |

1.3 Meeting Recorder DA (MRDA) Tagset

The categorization scheme for the Meeting Recorder DA (MRDA) tagset differs from the scheme employed for the SWBD-DAMSL tags seen. The reasoning behind this is that, in the process of adjusting the definitions of previously established SWBD-DAMSL tags and creating new tags to assist in adequately assessing the MRDA data, the resulting MRDA tagset could not be appropriately characterized when placed in direct relation to the SWBD-DAMSL tagset, given the nature of the data for which the MRDA tagset was employed. Consequently, the tags are not organized on a dimensional level, but rather the correspondences for the MRDA tagset are listed on the tag level. Descriptions of the individual tags within the MRDA tagset are found in Section 5.

```
Group 1: Statements
            Statement
      S
Group 2: Questions
            Y/N Question
      qy
            Wh-Question
      qw
            Or Question
      qr
            Or Clause After Y/N Question
      qrr
            Open-ended Question
      qo
      qh
            Rhetorical Question
Group 3: Floor Mechanisms
            Floor Grabber
     fq
      fh
            Floor Holder
            Hold
Group 4: Backchannels and Acknowledgements
      b
            Backchannel
      bk
            Acknowledgement
            Assessment/Appreciation
      ba
            Rhetorical Question Backchannel
      bh
Group 5: Responses
      Positive
                  Accept
            aa
                  Partial Accept
            aap
                  Affirmative Answer
            na
      Negative
            ar
                  Reject
                  Partial Reject
            arp
                  Dispreferred Answer
            nd
                  Negative Answer
            ng
      Uncertain
                  Maybe
            am
                  No Knowledge
            no
```

Group 6: Action Motivators

- co Command
- cs Suggestion
- cc Commitment

Group 7: Checks

- f "Follow Me"
- br Repetition Request
- bu Understanding Check

Group 8: Restated Information

Repetition

- r Repeat m Mimic
- bs Summary

Correction

- bc Correct Misspeaking
- bsc Self-Correct Misspeaking

Group 9: Supportive Functions

- df Defending/Explanation
- e Elaboration
- 2 Collaborative Completion

Group 10: Politeness Mechanisms

- bd Downplayer
- by Sympathy
- fa Apology
- ft Thanks
- fw Welcome

Group 11: Further Descriptions

- fe Exclamation
- t About-Task
- tc Topic Change
- j Joke
- t1 Self Talk
- t3 Third Party Talk
- d Declarative Question
- g Tag Question
- rt Rising Tone

Group 12: Disruption Forms

- % Indecipherable
- %- Interrupted
- %-- Abandoned
- x Nonspeech

Group 13: Nonlabeled

z Nonlabeled

SECTION 2: SEGMENTATION

Utterance segmentation is one of the most debated topics in discourse analysis. The function of dialog must always be considered when determining utterance boundaries. Lengthy utterances containing multiple conjunctions, speaker rambling, and floorholding are just a few factors complicating the decisions regarding utterance boundaries. In order to segment transcribed speech into distinguishable utterances, the following factors are taken into consideration within the context of the conversation: syntax, pragmatic function, and prosody.

Prior to determining how to segment transcribed speech, knowledge of how utterance boundaries are marked within the transcript is necessary. There are two ways to mark utterance boundaries within the transcript. When a speaker trails off or is interrupted and consequently does not complete his utterance, an utterance boundary in the form of <==> is marked at the end of the corresponding utterance in the transcript. In Example 1 on the following page, speaker c2 does not finish his utterance (speaker c3 adds the remainder of c2's utterance shortly after) and an utterance boundary is signaled by the <==> in the transcript. If a speaker's utterance is complete, an utterance boundary in the form of < . > is marked at the end of the corresponding utterance in the transcript.

Returning to the factors involved in segmentation, in terms of syntax, utterance boundaries are primarily derived on a phrasal level. This is not to say that an utterance consists only of a noun phrase or a verb phrase, but rather that it is permitted for a complete utterance to consist only of a noun phrase, a verb phrase, or both. In Example 1¹, the noun phrase "jose" constitutes a complete utterance:

| E | example 1: Bmr010 | | | |
|---|-------------------|----|-----|--|
| | 280.000-284.762 | c2 | s.% | and i did some training on - on one dialogue which was transcribed by == |
| | 284.762-288.568 | c2 | S | yeah we - we did a nons s speech nonspeech transcription . |
| | 287.474-288.294 | c3 | s^2 | jose. |

Example 2 and 3 depict instances where verb phrases, "got it" and "wants to conserve" in Example 2 and "confused" in Example 3, behave as complete utterances:

_

¹ Examples take a format in which the numerical values of the first column represent start and end times of utterances, the second column indicates the channel, the third indicates the DA, and the fourth presents the transcript.

| Example 2: Bed011 | | | | | | | |
|-------------------|-------------------|------|--|--|--|--|--|
| | | | | | | | |
| 114.007-116.680 | c2 | S | and um - i - i told it to stay on forever and ever . | | | | |
| 116.680-119.347 | c2 | S | but if it's not plugged in it just doesn't | | | | |
| | | | obey my commands . | | | | |
| 119.120-119.320 | c1 | s^bk | okay . | | | | |
| 119.726-120.386 | c2 | S | it has a mind . | | | | |
| 121.961-122.331 | c1 | s^bk | got it . | | | | |
| 122.160-123.170 | c4 | S | wants to conserve. | | | | |
| Example 3: Bed003 | Example 3: Bed003 | | | | | | |
| | | | | | | | |
| 2950.850-2957.110 | сЗ | S | yeah the only like - possible interpretation is that they are - like - come here just to rob the museum or | | | | |
| 2952.260-2953.830 | c2 | s^2 | something to that effect . confused . | | | | |

The pragmatic function of an utterance is also an important consideration for utterance boundary identification. Phrases or clauses that do not appear complete grammatically may actually form complete utterances on account of having unique functions within conversation. Although it may seem peculiar to segment utterances on a phrasal and clausal level, such a method of segmentation is utilized for the purpose of maximizing the amount of information derived from DAs.

Example 4 presents an utterance that appears complete grammatically, yet does not maximize the amount of information which can be derived from DAs.

| Example 4: Bmr010 | | | |
|-------------------|----|------|--|
| 217.921-227.363 | c6 | s^cs | that uh - if we had something that worked for many cases before maybe starting from there a little bit because ultimately we're going to end up with some s kind of structure like that. |

In Example 5, the same utterance from Example 4 is shown, however the utterance is segmented at the clausal level so that more information may be provided by the DAs that otherwise would not be present had the utterance not been segmented.

| Example 5: Bmr010 | | | |
|-------------------|----|------|--|
| 217.921-222.161 | c6 | s^cs | that uh - if we had something that worked for many cases before maybe starting from there a little bit . |
| 222.161-227.363 | c6 | s^df | because ultimately we're going to end up with some s kind of structure like that. |

Syntax and pragmatic function are both taken into account when encountering conjunctions. Conjunctions such as "and," "or," "but," and "so" often behave as cues to locations where a string of clauses might be segmented into separate utterances. Rather than simply start a new utterance, a speaker might use one of these conjunctions as a connection between two complete utterances, as seen in a presegmented utterance in Example 6:

| Example 6: Bmr020 | | | |
|-------------------|----|---|--|
| 595.187-608.363 | c6 | S | that's somewhat - that's somewhat subject to error but still we - we uh don did some ha hand checking and — and we think that - based on that we think that the results are you know valid although of course some error is going to be in there . |

Example 7 depicts a correctly segmented version of Example 6:

| Example 7: Bmr020 | | | |
|-------------------|----|---|--|
| 595.187-596.880 | c6 | S | that's somewhat - that's somewhat subject to error . |
| 596.880-601.180 | с6 | S | but still we - we uh don did some ha hand checking . |
| 601.310-604.837 | c6 | S | and - and we think that - based on that we think that the results are you know valid . |
| 604.837-608.363 | c6 | S | although of course some error is going to be in there . |

Caution must be taken not to segment utterances upon the appearance of conjunctions in every instance. Quite often, conjunctions are used to simply connect noun phrases or verb phrases that would not constitute separate utterances in the context in which they are used. In these cases, the utterance is not segmented at the conjunction.

Example 8 and Example 9 demonstrate instances when an utterance is not segmented upon the appearance of a conjunction:

| Example 8: Bro014 | | | |
|-------------------|----|-----|---|
| 238.387-240.098 | c2 | s^e | i mean it's like one little text file you edit and change those numbers . |
| Example 9: Bro014 | | | |
| 302.417-305.275 | c2 | S | now h t k's compiled for both the linux and for um the sparcs. |

On occasion, a speaker may have an extremely lengthy utterance with many conjunctive clauses and parentheticals. In such situations, each clause or parenthetical is segmented into a separate utterance. As with segmenting on a clausal or phrasal level, segmenting parentheticals in such a way allows for the maximization of information provided by DAs. In deciding how to segment such instances within transcribed speech, it is helpful to determine whether a speaker actually had the whole string of speech in mind or else unintentionally diverged from his original thoughts. Example 10 depicts a rather lengthy utterance prior to segmentation and Example 11 presents a segmented version of the same utterance.

| Example 10: Bmr005 | | | |
|--------------------|----|---|--|
| 1012.960-1033.300 | c4 | S | but i - i mean - i think also to some extent its just educating the human subjects people in a way because there's if uh - you know - there's court transcripts there's - there's transcripts of radio shows i mean - people say people's names all the time so i think it - it can't be bad to say people's names it's just that i mean - you're right that there's more poten if we never say anybody's name then there's no chance of - of - of slandering anybody. |
| Example 11: Bmr005 | | | |
| 1012.960-1019.350 | c4 | S | but i - i mean - i think also to some extent its just educating the human subjects people in a way . |

| 1019.350-1025.740 | с4 | s^df | because there's if uh - you know - there's court transcripts there's - there's transcripts of radio shows i mean - people say people's names all the time. |
|-------------------|----|------|--|
| 1026.390-1028.940 | c4 | S | so i think it - it can't be bad to say people's names . |
| 1029.270-1033.300 | c4 | s^df | it's just that i mean - you're right that there's more poten if we never say anybody's name then there's no chance of - of - of slandering anybody. |

Prosody is also of considerable importance in detecting utterance boundaries. To take the prosody of an utterance into consideration is to take the aural cues such as the rise and fall of pitch, the energy level, and duration of the words of the utterance as well as the complete utterance into consideration. Utterances that appear complete syntactically, whether they are quite lengthy or consist of short phrases or clauses, may be incomplete prosodically. If the prosody of the end of an utterance consists of a pitch, energy level, or duration that is incongruent with that of a complete utterance, then that particular utterance is considered incomplete. General prosodic patters found within complete utterances and prosodic patterns specific to certain speakers are necessary factors in determining how to assess the prosody of a complete utterance.

Prosody is of use in determining whether an utterance is interrupted or abandoned. If a speaker begins trailing off in pitch and the energy level begins to decrease, the speaker's utterance is most likely to be marked as abandoned. Prosody can also help distinguish between floor grabbers and backchannels, as floor grabbers tend to have a higher energy level in contrast to the surrounding speech and backchannels do not.

Pauses also behave as signifiers to utterance boundaries. Oftentimes, the appearance of a lengthy pause indicates that the segment of speech following the pause constitutes a new utterance. If the portion of speech immediately preceding the pause is incomplete, that portion may either be an abandoned utterance or the beginning of an utterance of which the portion of speech following the pause is the end. If the former applies, and the portion preceding the pause is actually abandoned, a change in DAs, prosody, or both is an obvious signal that the pause is indicative of a boundary. However, if the latter case is applicable, no such drastic change in the prosody between the segment preceding and the segment following the pause will be present and both portions of speech are to comprise one utterance. To reiterate with regard to the latter case, an utterance boundary will not be marked at the pause. As a side note, it must be mentioned that some speakers tend to speak slowly in such a manner that their utterances are filled with frequent pauses. In such instances, pauses are not indicators

of utterance boundaries unless the segment of speech following a pause is incongruent with the segment preceding.

As difficulty in determining utterance boundaries is encountered when considering the factors of syntax, prosodic function, prosody, and pauses, additional segmentation issues occasionally arise with the applicability of certain tags, namely <fg>, <fh>, <h>, <h>, <h>, <aa>, <ar>, <bk>, and <g>. Regarding <fg>, <fh>, and <h>, often the problem at hand is whether to segment an utterance in which a speaker utters a string of <fg>s, <fh>s, or <h>s, as seen in Example 12. If there exist significant pauses between each portion of the string of <fg>s, <fh>s, or <h>s, the utterance is segmented upon each pause and each resulting utterance is labeled appropriately as <fg>, <fh>, or <h>, depending upon its nature. However, if no such significant pauses exist, then the entire utterance remains intact and receives a suitable label. Additionally, it is far more difficult to judge if a pause actually signifies an utterance boundary within strings of <fg>s, <fh>s, or <h>s than within strings of fluent speech.

| Example 12: Bmr012 | | | |
|--------------------|----|------|---|
| 1886.800-1891.3100 | c1 | s^cs | and then just sort of have that as the - and then you can have groups of twenty people or whatever. |
| 1891.310-1892.080 | c1 | fh | and - and uh == |

As a general convention, unless an utterance is comprised solely of floor holders, it is not to end with a floor holder <fh>. In the case that a floor holder is found at the end of an utterance, it is split from the utterance and either receives its own line or is merged with the following utterance of the same speaker, depending primarily upon its prosody and its temporal proximity to the following utterance. If the length of the floor holder is incongruent to the length of the words of the following utterance, the floor holder is of a different intonation in relation to the following utterance, or a significant pause exists between the floor holder and the following utterance, the floor holder is not merged with the following utterance and the following utterance is not a floor holder, then it is permissible for the resulting utterance, which consists of a floor holder and another DA, to contain multiple DAs. Additionally, although a floor grabber and a hold do not occur mid-speech as a floor holder does, these tags may also be merged with the following utterance if deemed necessary and the resulting utterance will also contain multiple DAs. Section 3.3 specifies the manner in which utterances with multiple DAs are treated.

After splitting a floor holder from an utterance, it must be decided whether the portion which originally preceded the floor holder is complete or incomplete. Example 13 depicts an utterance ending with a floor holder and the same utterance is seen in Example 14 with the exception that the utterance has been segmented so that the floor holder receives its own line.

| Example 13: Bmr010 | | | |
|--------------------|----|----|--|
| 601.519-604.014 | c0 | S | and if it's good enough we'll arrange windows machines to be available so == |
| Example 14: Bmr010 | | | |
| 601.519-602.707 | c0 | s | and if it's good enough we'll arrange windows machines to be available. |
| 603.465-604.014 | c0 | fh | so == |

Regarding the tags <aa>, <ar>, <bk>, and <g>, the largest problem is determining whether or not an utterance boundary exists after speech labeled with the tag <aa>, <ar>, or <bk>, that is if speech from the same speaker immediately follows, or if a boundary exists before speech labeled with the tag <g>, that is if speech from the same speaker immediately precedes the portion labeled with the tag <g>. This problem only emerges if the speech surrounding the portions labeled with the tags previously specified is such that the prosody bears no indication of a boundary between utterances, the speaker speaks so quickly that a boundary cannot be discerned, or else no significant pause is found to mark a boundary. When the issue arises that a boundary cannot be marked between speech labeled with the previously mentioned tags and the surrounding speech, then it is permissible for an utterance to have multiple DAs. Section 3.3 details the format of labels for utterances which have multiple DAs.

Another issue regarding segmentation concerns otherwise complete utterances being segmented in such a way that yields abandoned utterances. For instance, a complete utterance may be quite lengthy and appear as though it ought to be segmented. However, segmenting the utterance may yield incomplete utterances that would be marked as abandoned. As the original intact utterance is complete and some of the segmented portions are marked as being abandoned, it is clear that segmenting the utterance in a way that yields abandoned utterances is incorrect.

As an addendum to the aforementioned system of segmentation, if uncertainty exists as to whether or not to segment an utterance, a general guideline is to segment the utterance regardless. Also, portions of speech that constitute one utterance but for some reason, perhaps mistakenly, are segmented as multiple utterances are merged to form one utterance.

SECTION 3: HOW TO LABEL

3.1 Basic Format of DAs and Labels

The basic format of a **DA** is as follows (depending upon the utterance, the portion enclosed by brackets may or may not be necessary):

```
<general tag> [ ^ specific tag ]
```

The basic format of a **label** is as follows (depending upon the utterance, the portions enclosed in brackets may or may not be necessary):

<general tag> [[^ <specific tag>] [| <general tag> ^ <specific tag>] [. <disruption form>]]

3.2 Label Construction

The general tag is a mandatory component of every label. Only one general tag is present in each DA. Specific tags and disruption forms (which indicate when a speaker has been interrupted, trails off, or else is indecipherable) are included within a label only when an utterance cannot be sufficiently characterized by a general tag and when further characterization is needed. Specific tags are appended to general tags when necessary and are not used alone. For the purpose of uniformity among annotators, when multiple specific tags are appended to a general tag, they are attached in alphabetical order².

In the following sets of tags, the first set contains general tags, the second set contains specific tags, and the third set contains disruption forms. Detailed descriptions of the tags in the three sets can be found in Section 5. Note that the tags found in Set 1 are only used as general tags, the tags found in Set 2 are only used as specific tags (in conjunction with a general tag), and tags in Set 3 are only used as disruption forms.

As specific tags are attached in alphabetical order, the tag <2> is the last tag within the alphabetically ordered hierarchy, rather than the first.

Set 1: General Tags

| S | qy | qw | qr | qrr | qo | qh | b | fg | fh | h | |
|---|----|----|----|-----|----|----|---|----|----|---|--|
|---|----|----|----|-----|----|----|---|----|----|---|--|

Set 2: Specific Tags

| aa | аар | am | ar | arp | ba | bc | bd | bh | bk | br |
|----|-----|----|----|-----|----|----|----|----|----|----|
| bs | bsc | bu | by | СС | СО | cs | d | df | е | f |
| fa | fe | ft | fw | g | j | m | na | nd | ng | no |
| r | rt | t | tc | t1 | t3 | 2 | | | | |

Set 3: Disruption Forms

Disruptions



Indecipherable



Within a DA, when specific tags are necessary, they are attached to the general tag with a caret (^), thus rendering the following depiction of a DA:

< general tag >^< specific tag $_1$ >^< specific tag $_2$ >^< specific tag $_3$ > ...^< specific tag $_n$ >

Disruption forms are attached to and separated from the end of a DA with a period < . >, as seen in the following representation:

< general tag > [^ < specific tag $_1$ > ...^< specific tag $_n$ >] . < disruption form >

It must be noted that, in some cases, a disruption form is present within an utterance without sufficient information to assign a DA to that utterance. In such instances, a label comprised solely of a disruption form is necessary.

Additionally, if for some reason an utterance is not to be labeled with a DA, then that particular utterance receives a label consisting only of the tag <z>. For instance, if an utterance contains data that is not to be labeled on account of it containing digits, containing pre- or post-meeting chatter, pertaining to a "bleeped" portion in the corresponding audio file, or else is simply not relevant to the labeling task, a label comprised solely of the tag <z> is used. As the tag <z> is used to mark utterances which otherwise would be labeled with DAs but instead are intentionally not to be labeled, it is clear why the tag <z> is not included within the other groups of tags (i.e. general tags, specific tags, and disruption forms). The tag <z> does not provide any information regarding the characteristics and functions of utterances as the tags of the other groups do, and for this reason it is separated from those groups.

The following is a partial list of sample labels that are acceptable within the previously established conventions for label construction:

| S | qy | qr | b | fg | % |
|-----------|-------------|---------|------|-------|----|
| s^bk | qy^d^f^g^rt | qr^rt | b.% | fh^rt | %- |
| s^nd | qy^bh | qrr.% | b.x | h | % |
| s^aa^rt.% | qy^bu.%- | qh^rt.% | b^rt | Z | Х |

Listed below is an incomplete list of sample labels that are not acceptable within the previously established conventions for label construction:

| s^s | aa^bk | x.% | %s^qy^d | s^z |
|--------|-------|-----|---------|-----|
| s^s^aa | %.% | %x | b.%- | z.% |

It is worthy of mention that other restrictions apply in constructing labels. Such restrictions include particular specific tags which may only appear with certain general tags, particular general tags which have a limited set of applicable specific tags, and sets of specific tags which are prohibited from appearing in the same DA. Restrictions applying to the usage of tags are discussed in the individual tag descriptions in Section 5.

3.3 Annotating Utterances Containing Multiple DAs

In cases where one DA does not suffice to represent an utterance, two DAs are used. Such a need arises in cases as those described in Section 2, usually with tags such as <fg>, <fh>, <h>, <a>, <a>, <ar>, , and <g> which correspond to short utterances.

Often, an utterance requires multiple DAs when a floor grabber <fg> or floor holder <fh> is uttered at the beginning of a statement <s> or question, when a short answer of the nature <aa>, <ar>, or <bk> is following by a longer explanation, or when a statement is followed by a tag question <g>. In which case, the DAs can be separated in both the label and the portion of the transcript containing the utterance with a pipe bar < | >.

The pipe bar < | > is only used when sequential portions of an utterance that operate closely together require different characterizations. For instance, a pipe bar is not used for an agreement <aa> and a question that immediately follows it. In fact, an agreement followed by a question does not constitute an utterance but constitutes two separate utterances instead. Rather, an agreement immediately followed by an explanation of the agreement, a longer, narrative form of agreement, or a direct reference to what the agreement regards would require a pipe bar so long as the prosody and lack of significant pauses warrants such usage of a pipe bar.

The use of a pipe bar indicates that segmenting an utterance is not necessary, despite that the initial portion of an utterance, or last portion in the case of <g>, has a different DA than the rest of the utterance.

The pipe bar is indicated in the appropriate location within the label as well as within the transcription. Within the label, the pipe bar separates the DAs. Within the transcript, the pipe bar separates the portions of an utterance to which the different DAs apply. This is done in such a manner that the DA to the left of the pipe bar in the label pertains to the portion of the utterance to the left of the pipe bar in the transcript and the DA to the right of the pipe bar in the label pertains to the portion of the utterance to the right of the pipe bar in the transcript.

Example 1 demonstrates the correct usage of a pipe bar, whereas Example 2 and Example 3 depict the incorrect usage of a pipe bar.

| Example 1: Bmr012 | | | |
|-------------------|----|--------|--|
| 94.861-99.771 | с4 | fg s^t | um - everyone should have at least two forms possibly three in front of you depending on who you are . |
| Example 2: Bmr012 | | | |
| 94.861-99.771 | c4 | s^t fg | um - everyone should have at least two forms possibly three in front of you depending on who you are . |
| Example 3: Bmr012 | | | |
| 94.861-99.771 | c4 | fg s^t | um - everyone should have at least two forms possibly three in front of you depending on who you are . |

3.4 Disruption Forms

Disruption forms are used to mark utterances that are indecipherable, abandoned, or interrupted. Only one disruption form may be used per utterance.

Disruption forms are included in a label in one of three formats, depending upon the nature of an utterance. When a DA is not detected, a disruption form alone may comprise an entire label. When used in conjunction with a DA, disruption forms are marked using either a period < . > or a pipe bar < | >.

If an utterance contains a disruption form and is too short to determine which DA applies to it, then only the disruption form is marked in the label. An utterance that is indecipherable may actually be quite lengthy, but because it cannot be deciphered, an appropriate DA cannot be assigned to it and only the disruption form is marked. Example 4 depicts a disrupted utterance which contains insufficient information to provide a DA:

Example 4: Bro014 1207.310-1207.880 c1 %- but i- ==

Exceptions occasionally apply to short utterances deemed indecipherable. Utterances which appear to be backchannels, for instance, yet are indecipherable may be labeled with the appropriate DA along with a period and the applicable disruption form. Such treatment of indecipherable utterances is only employed when there is a high probability that the specific DA applies to the utterance based upon the surrounding context of the short utterance and the speaker's speech patterns. Below are two sample labels pertaining to short indecipherable utterances:

| b.% b.x |
|---------|
|---------|

A period or a pipe bar is used in conjunction with a disruption form if a disruption form is indeed applicable to an utterance and if an utterance contains sufficient information to assign to it a DA. For instance, if an utterance, such as a statement, is interrupted or abandoned, the DA is marked and then followed by a period and the appropriate disruption form, as seen in Example 5:

| Example 5: Bro014 | | | |
|-------------------|----|-----|---|
| 495.681-499.134 | c4 | s.% | some people are arguing that it would be better to have weights on == |

In the case of Example 5, the utterance contains sufficient information to determine that it is indeed a statement, despite being abandoned. If an utterance does not contain adequate information to decide which DA applies to it, then a DA is not marked.

Two types of instances exist in which an utterance containing a pipe bar requires a disruption form. In the first, an utterance requiring a pipe bar, such as what is discussed in Section 3.3, is either abandoned or incomplete. To the left of the pipe bar is a DA containing a tag such as <fg> or <aa> and to the right is a statement or explanation of some sort that is either incomplete or abandoned. Note that the disruption form only applies to the DA to the right of the pipe bar. Keeping in mind that the portion of the utterance to the right of the pipe bar contains sufficient information to assign to it a DA and is also abandoned or incomplete, its DA is followed by a period and the appropriate disruption form, as seen in Example 6:

Example 6: Bro014 1897.760-1904.500 c0 s^bk|s.%-- yeah | hopefully i think what we want to have is to put these features in s- - some kind of ==

In the second instance in which an utterance containing a pipe bar requires a disruption form, the portion of the utterance to the right of the pipe bar does not contain sufficient information to assign to it a DA. This portion may be abandoned, interrupted, or indecipherable. The DA designated to the portion of the utterance to the left of the pipe bar clearly begins upon the onset of the utterance and ends at the point where the pipe bar is placed. The DA pertaining to the initial portion of the utterance is marked, a pipe bar is placed after the DA in the label and at the point where that particular DA ends in the transcript, and a disruption form is marked after the pipe bar, as seen in Example 7 and Example 8:

The distinction between the use of the pipe bar and a period exists in how an utterance can be divided. An utterance divided by a pipe bar behaves in some ways as two separate utterances. The segment of the utterance to the left of the pipe bar will be annotated with a particular DA that is different from the DA used to annotate the right, that is if it is possible to assign a DA. The pipe bar exists as a clear boundary which

marks where one DA ends and another begins in a single utterance. The portion to the right of the pipe bar behaves as a separate utterance in that it alone is the specific segment which is interrupted, abandoned, or indecipherable. The portion to the left is complete.

With regard to periods, and even labels consisting solely of disruption forms, no clear and comparable boundary as found in utterances requiring pipe bars exists. The exact region within an utterance where the disruption form occurs does not behave as a separate segment of the utterance that can be marked clearly with a mechanism such as a pipe bar. It is also unnecessary to use a pipe bar to mark where an interruption begins or where a speaker abandons his utterance, since the DA to the left of the pipe bar may also apply to the other side where the disruption form is marked.

Additionally, the reasoning behind why a disruption form is not used as a tag within a DA is that the tags used within a DA apply primarily to the function of an entire utterance. Disruption forms, however, usually apply only to the end of the utterance. For this reason, the use of periods with disruption forms is deemed necessary.

3.5 Quotes

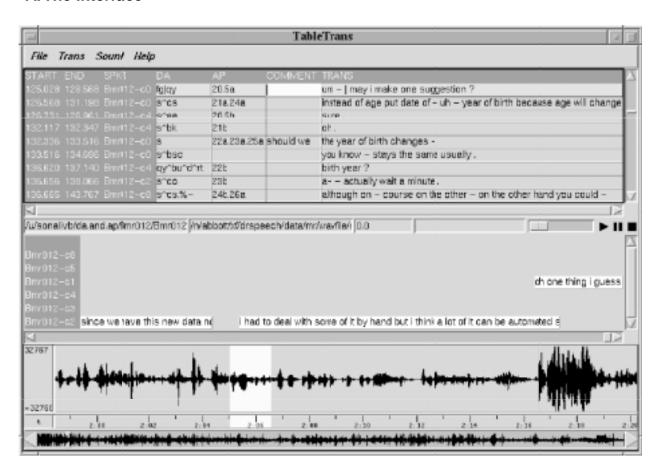
Utterances that contain quoted material are to end with punctuation that reflects the DA of the utterance overall. If a quoted question is embedded within a statement, a period, rather than a question mark, is used at the end of the utterance in the transcript and no other punctuation is used.

A colon in the label signifies that there is quoted material in the transcription. The DA to the left of the colon characterizes the function of the entire utterance and the DA to the right of the colon characterizes only the quote. If the quoted material only consists of a few words, such as a noun phrase, DA annotation of the quotation is unnecessary. Example 9 demonstrates the manner with which quotes are handled:

| Example 9: Bmr026 | | | |
|-------------------|----|---------|---|
| 941.984-944.924 | c1 | s^cs:qw | and just say an e just ask him that you know wha what should you do . |
| 945.464-947.864 | c1 | s:qy | and in my answer back was are you sure you just want one. |

3.6 Using TableTrans (Annotation Interface)

A. The Interface



There are three sections of TableTrans: the labeling and transcription section located at the top, the time-segmented transcription located in the middle, and the waveform located at the bottom.

In the labeling and transcription section, the first and second columns on the left provide the start and end times for each utterance and the third column denotes the speaker or channel number. DA and adjacency pair (AP) labels are entered in the fourth and fifth columns. The comment field is located in the sixth column and is primarily for an annotator's notes regarding an utterance. The last column on the right, under the "Trans" heading, provides the transcript of the utterances.

In order to label a meeting, the "Open Annotation File" command must be selected from the "File" menu. A sub-menu will appear providing three formats that can be used. "Table Format" is the format that is most widely used. A window will appear with a "Feature List" and a "Delimiter" to which clicking the "OK" button is necessary. Shortly after, the segment of the meeting to be annotated will appear.

Although the data within the fourth, fifth, sixth, and seventh columns may be altered within the interface, the Time-Segmented section, which is the first two columns and shows the annotator a series of utterances in chronological order, and the third column denoting the speaker cannot be modified.

B. TableTrans Commands

| COMMAND | ACTION |
|-----------------------|--|
| | Changing the Transcript |
| Ctrl-s | Splits the current row at the location of the cursor in the TRANS field. |
| Ctrl-m | Merges the current row with the next row by the same speaker. |
| | Moving within a Field |
| Ctrl-f or left-arrow | Moves forward one character in a field. |
| Ctrl-b or right arrow | Moves backward one character in a field. |
| Ctrl-p or up-arrow | Moves up to previous row. |
| Ctrl-n or down-arrow | Moves down to next row. |
| Shift + left-arrow | Moves to previous field in the same row. |
| Shift + right-arrow | Moves to next field in the same row. |
| right-click | (In the Time-Segmented Transcription window) Opens up Comment Field Window |
| Ctrl-1 | Plays a segment |
| Ctrl-a | Moves cursor to the beginning of a field |
| Ctrl-e | Moves cursor to the end of a field |

C. Printing Commands

Annotators can print out their comments using the program "csvcomment." The command "csvcomment <csv_file>" is entered in the terminal window, where <csv_file> is the name of the ".csv" file to print.

D. Playing the Sound File

To open up the wave file of a meeting to be labeled, a link command can be made from the location where the sound file is saved in the annotator's home directory. After returning to the TableTrans interface, "Open Sound File" is selected from the "File" menu. The file can then be opened after browsing through the annotator's home directory.

SECTION 4: ADJACENCY PAIRS

4.1 Purpose and Definition

Labeling adjacency pairs (AP) in meetings provides a means to extract the information provided by the interaction between speakers. Adjacency pairs reflect the structure of conversation and are paired utterances such as question-answer, greeting-greeting, offer-acceptance, and apology-downplay. (Levinson 1983)

APs are defined as sequences of two utterances that are:

- **1.** produced by different speakers
- 2. ordered with a first part (marked with "a") and a second part (marked with "b") (Levinson 1983)

An example of an AP is shown below:

| Example 1: Bro016 | | | |
|------------------------------------|----------|--------------|--|
| 113.976-116.502 116.883-117.850 | c4 c5 | s^bu s^aa | but you were looking at mel cepstrum . yes . |

In Example 1, the utterances depict direct interaction between the two speakers.

4.2 Labeling Adjacency Pairs

Adjacency pairs consist of two parts, where each part is produced by a different speaker. The basic form of an AP is seen below:

```
<AP number><AP part>
```

This format allows APs to be enumerated as: 1a, 1b, 2a, 2b, and so on. A different number is assigned for each AP, yet every AP will contain an "a" part and a "b" part. A labeled AP is seen in Example 2:

| Example 2: Bmr023 | | | | |
|-------------------|----|-------|-----|--|
| 312.382-314.770 | c2 | qy^rt | 30a | are you implying that it's currently disorganized? |
| 314.770-318.470 | c3 | s^na | 30b | in my mind . |

Although APs are to be marked sequentially in ascending order, it is possible that the numerical value of an AP jumps ahead of the numerical value of the previous AP by more than a value of one (e.g., an AP has a numerical value of 5 and the following AP has a numerical value of 7 instead of 6). However, such is only permitted so long as the sequential order of the APs is preserved and the numerical values are not repeated or used cyclically for entirely different APs.

4.3 Labeling Conventions

Specific labeling conventions have been established when marking APs in instances in which an utterance functions as an "a" part of one AP and a "b" part of another AP, an AP part consists of multiple utterances, and multiple speakers pertain to the same AP part.

A. Multiple AP Parts per Utterance

If an utterance functions as a "b" part of one AP and an "a" part of another AP, then both APs are marked with a period < . > separating the two APs, as seen below:

```
<AP number><AP part>.<AP number><AP part>
```

A portion of a conversation in which APs are labeled is seen in Example 3:

| Example 3: Bro021 | | | |
|-------------------|----|------|---|
| 66.555-68.227 | c2 | s^rt | 4a well the first thing maybe is that the p eurospeech paper is uh accepted . |
| 69.904-70.928 | c2 | fh | um == |
| 70.928-71.952 | c2 | fh | yeah . |

| 72.059-74.710 | c 5 | qw^rt | 4b.5a | this is - what - what do you uh - what's in the paper there ? |
|--------------------------------|------------|--------------|-------------|--|
| 74.702-81.090 | c2 | s^rt | 5b.6a | so it's the paper that describe basically the um system that were proposed for the aurora. |
| 80.320-82.794 | c5 | qy^bu^d^rt | 6b.7a | the one that we s we submitted the last round? |
| 82.614-83.700 83.110-83.750 | c2 c5 | s^aa s^bk | 7b.8a 8b | right yeah . uhhuh . |

B. Continued AP Parts

A continued AP part is an AP part consisting of multiple utterances by the same speaker. When a continued AP part arises, a plus sign <+> is placed at the end of the AP. Example 4 depicts an instance where an AP part consists of multiple utterances:

| Example 4: Bro016 | | | | |
|-------------------|------------|-----------|--------|--|
| 1494.110-1499.560 | c1 | qy^rt | 20a | do you have something simple in mind for - i mean vocal tract length normalization? |
| 1497.570-1501.320 | c5 | s^ar s^nd | 20b | uh no i hadn't - i hadn't thought - it was - thought too much about it really. |
| 1501.320-1503.200 | c 5 | s^df^nd | 20b+ | it just - something that popped into my head just now. |
| 1503.200-1505.070 | c5 | s.% | 20b++ | and so i - i == |
| 1505.690-1509.900 | c5 | s^cs | 20b+++ | i mean you could maybe use the ideas - a similar idea to what they do in vocal tract length normalization. |

Additionally, an utterance consisting of a tag question <g> is included within an AP part, assuming the utterance containing the statement <s> preceding it is a portion of the AP part. In which case, the utterance containing the tag question will receive the appropriate number of plus signs when labeled with an AP.

If an utterance contains multiple APs, where one or both is a continued AP part, a period < . > is inserted between the two APs to separate them (e.g., 5b++.6a+).

C. Multiple Speakers per AP Part

In some cases, an AP part consists of two or more speakers. This occurs most often with the "b" part and quite rarely with the "a" part. When such an occurrence arises, the corresponding AP number and AP part are marked. Then each speaker contributing to the same AP part receives a numerical value based upon the order in which the speakers make their utterances. So the first speaker to contribute to an AP part receives a value of 1, the second a 2, and so on. A hyphen <-> followed by a speaker's numerical value is then appended to the AP. The format of an AP consisting of multiple speakers is seen below:

AP parts containing multiple speakers are seen in Example 5:

| Example 5: Btr001 | | | | |
|------------------------------------|----------|--------------|--------------|----------------------------------|
| 150.780-152.664 | с5 | s^bu | 9a | parentheses meaning uncertainty. |
| 151.730-152.365 152.467-153.164 | c3 c2 | s^aa s^aa | 9b-1 9b-2 | yeah . uhhuh . |

If, for instance, the speaker designated as c2 in Example 5 continued speaking so that a continued AP part resulted, then his next utterance would be labeled as 9b-2+, the next 9b-2++, and so on as necessary. When continued AP parts occur within AP parts consisting of multiple speakers, each speaker retains his designated numerical value and plus signs <+> are appended after the numerical values as necessary.

Additionally, if an utterance contains multiple APs, where one or both is an AP part consisting of multiple speakers, a period < . > is inserted between the two APs to separate them (e.g., 5b-1.6a+, 1b-3+.2a).

D. Complex Form of an AP

The following is a complex form of an AP, taking into account the aforementioned conventions:

 $<\!\!\mathsf{AP}\ \mathsf{number}\!\!><\!\!\mathsf{AP}\ \mathsf{part}\!\!>\left[-\!\!<\!\!\mathsf{numerical}\ \mathsf{value}\!\!>\right]\![+]\![.<\!\!\mathsf{AP}\ \mathsf{number}\!\!><\!\!\mathsf{AP}\ \mathsf{part}\!\!>\left[-\!\!<\!\!\mathsf{numerical}\ \mathsf{value}\!\!>\right]\![+]\!]$

4.4 Restrictions on Using Adjacency Pairs

Certain restrictions apply to which tags can or cannot be labeled with an AP.

Floor holders <fh> and floor grabbers <fg> are also never marked with APs, since they, like backchannels, are not said directly to anyone. Holds <h>, however, are marked. The definition of a hold entails that a speaker is given the floor and is expected to speak in response to something and "holds-off" prior to making an utterance. As the speaker is expected to speak and then utters a hold, which is usually followed by a response, the hold is considered part of the response.

Mimics <m> and collaborative completions <2> are always marked with APs, as they are always in direct reference to another speaker's utterance.

When indecipherable utterances appear, if the utterance can be characterized with a DA and it appears as though the utterance functions within an AP, then an AP is marked accordingly. Otherwise, no AP is marked.

In some cases, it is quite difficult to determine to which utterance a response refers. If such difficulty arises, then an AP is not marked. For instance, a scenario may arise where two or three speakers utter statements <s> simultaneously and another speaker utters an acknowledgment
bk>. As an acknowledgment by one speaker to another speaker is usually marked with an AP, if it cannot be determined whom a speaker is acknowledging, then an AP is not marked.

SECTION 5: TAG DESCRIPTIONS

5.1 Preliminaries

This section provides a detailed description of each tag and the rules governing the usage of each tag. The tags are categorized into thirteen groups according to syntactic, semantic, pragmatic, and functional similarities of the utterances they mark. Beneath a group heading will be a general description of the group along with explanations of the tags within the group. Most tag descriptions will contain examples³ from data to further elucidate a tag's usage.

With regard to the examples provided within this section, it is of much use to listen to the corresponding audio portions, as some examples cannot be fully comprehended otherwise. In particular, utterances marked as floor grabbers <fg>, floor holders <fh>, holds <h>, backchannels , acknowledgements <bk>, and accepts <a> share a common vocabulary which renders examples of these tags in text insufficient in fully communicating how utterances marked as such are identified.

5.2 Group 1: Statements

This group contains only one tag, <s>, and serves as the default general tag.

Statement <s>

The <s> tag is the most widely used tag in the MRDA tagset. Unless an utterance is completely indecipherable or else can be further described by a general tag as being a type of question, backchannel, floor grabber, floor holder, or hold, then its default status as a statement remains.

When necessary, specific tags are appended to the <s> tag to further characterize utterances. The use of the <s> tag is seen in Example 1 through Example 4:

³ In some examples, when displaying surrounding context, unnecessary lines, such as those which are irrelevant to characterizing a particular tag within the tag descriptions, may be edited out. The content of utterances within the examples remains unchanged.

| Example 1: Bro004 | | | |
|-------------------|----|------|---|
| 578.567-585.527 | сЗ | S | if we exclude english um - there is not much difference with the data . |
| Example 2: Bed016 | | | |
| 70.600-71.470 | c5 | s^ba | it's a great story . |
| Example 3: Bro021 | | | |
| 3201.960-3204.850 | c1 | s^bu | so this changes the whole mapping for every utterance. |
| Example 4: Bro021 | | | |
| 3204.850-3205.490 | c1 | s^bk | okay . |

5.3 Group 2: Questions

This group contains all general tags pertaining to questions. The tag description for elaborations <e> provides instructions regarding the treatment of questions followed by elaborations.

Y/N Question <qy>

This tag marks utterances in the form of yes/no questions if and only if they have the pragmatic force along with the syntactic and prosodic indications of a yes/no question (i.e. subject-auxiliary inversion or question intonation). Essentially, an utterance is considered a yes-no question if it sounds as if it elicits a yes or no answer. This is not to say that all yes/no questions will receive yes or no answers. A question may be asked in a yes/no manner, but the response it receives may not be a simple yes or no. Regardless of the answer, the utterance is still considered a yes/no question.

Basic yes/no questions are seen in Example 5 through Example 8:

| Example 5: Bro016 | | | |
|-------------------|----|-------|--|
| 58.863-61.782 | c4 | qy^rt | do you think that would be the case for next week also ? |

| Example 6: Bmr027 | | | |
|-------------------|----|----------|--|
| 2049.340-2051.730 | c5 | qy^rt | did i say that ? |
| Example 7: Bmr027 | | | |
| 1836.000-1838.580 | c4 | qy^bu^rt | didn't they want to do language modeling on you know recognition compatible transcripts? |
| Example 8: Bmr012 | | | |
| 6.805-17.875 | c1 | qy^rt | is this channel one ? |

The tag <qy> is also used as the general tag for tag questions <g> (e.g., "Yeah?", "Isn't it?", etc.) and rhetorical question backchannels <bh> (e.g., "Really?", "Isn't that interesting?", etc.). Many declarative questions <d> are also in the form of yes/no questions. Example 9 through Example 11 exhibit these characteristics:

| Example 9: Bro016 | | | |
|--------------------|----|------------|-------------------------------------|
| 513.765-514.316 | c4 | qy^d^g^rt | right ? |
| Example 10: Bmr027 | | | |
| 2016.230-2017.440 | c5 | qy^bh | oh really ? |
| Example 11: Bmr027 | | | |
| 514.316-514.867 | c4 | qy^bu^d^rt | the insertion number is quite high? |

Additionally, a convention has been established in handling instances when a yes/no question is followed by an elaboration <e>> which requires its own line. In such cases, the following elaboration could be considered a declarative yes/no question <qy^d>. Instead, the elaboration receives a DA of <s^e>, along with any other necessary specific tags. An instance of a yes/no question followed by an elaboration is seen in Example 12:

| Example 12: Bro021 | | | |
|--------------------|----|-------|---|
| 316.709-319.202 | c5 | qy^rt | wasn't there some experiment you were going to try? |

| 319.202-325.216 c5 s^e.% | where you did something differently for each um uh - i don't know whether it was each mel band or each uh um f f t bin or someth- == |
|--------------------------|--|
|--------------------------|--|

In some cases, it may be difficult to determine whether an utterance is a yes/no question or an "or" question <qr>. The tag description for <qr> details how distinguish between the two tags in certain scenarios.

Wh-Question <qw>

Wh-questions are questions that require a specific answer. These usually contain "wh" words such as the following: what, which, where, when, who, why, or how. However, not all questions containing a "wh" word are considered wh-questions. The section on open-ended questions <qo> elucidates this point. Wh-questions are shown in Example 13 and Example 14:

| Example 13: Bmr012 | | | |
|--------------------|----|---------|--|
| 62.153-64.053 | c3 | qw^r^t3 | why didn't you get the same results and the unadapted? |
| Example 14: Bmr012 | | | |
| 231.944-233.704 | c2 | qw^t3 | i guess - what time do we have to leave? |

Declarative wh-questions often appear as wh-questions prior to wh-movement. An instance in which a declarative wh-question is used is seen in Example 15.

| Example 15: Bed003 | | | |
|--------------------|----|---------|--|
| 2889.130-2890.200 | c1 | qw | what's the technical term ? for which ? for the uh - nodes that are observable . |
| 2890.330-2890.750 | c3 | qw^d^rt | |
| 2891.010-2892.820 | c1 | s^rt | |

In some cases, utterances that do not contain wh-words are labeled as wh-questions because they function as wh-questions. Such an instance is seen in Example 16:

In Example 16, the utterance functions as a wh-question, in that "hm?" is akin to "what?" as a request for repetition. "Huh?", "excuse me?", and "pardon?" also appear as wh-questions in that they can also function in the same manner as what is exemplified in Example 16. Caution must be taken to distinguish whether such utterances are indeed wh-questions or if they are floor grabbers, floor holders, holds, backchannels, yes/no questions that are rhetorical question backchannels, or acknowledgments.

Declarative wh-questions that do not contain "wh" words are often confused with declarative forms of other questions because they appear the same syntactically. Despite this syntactic similarity, they differ functionally based upon the response that the question seeks. In determining whether an utterance is a declarative wh-question that does not contain a "wh" word, the surrounding context, in particular the response the question generates, is crucial to note. Most often, declarative wh-questions that do not contain "wh" words are requests for repetition, such as those seen in Example 17 through Example 19.

| Example 17: Bmr031 | | | |
|--|----------------|----------------------------|---|
| 947.610-948.925 948.925-950.240 949.569-951.874 949.941-950.878 | c8 c8 c2 | s %- fg s qw^br^d | it's still yeah two or three d v ds . but == yeah not if you have to distribute the video also . two or three ? |
| 951.125-953.860 | c8 | s^df | if you use both sides and the two layer and all that . |
| Example 18: Bro003 | | | |
| 3193.230-3198.820 | c2 | fh s^cc | and um for the broader class nets we're - we're going to increase that . |
| 3198.820-3204.400 | c2 | s^df | because the um the digits nets only correspond to about twenty phonemes. |
| 3205.460-3208.780 | c2 | fh | SO. |
| 3207.200-3207.840 | с8 | qw^br^d^rt | broader class? |
| 3208.780-3210.430 | c2 | h s | um the broader - broader training corpus nets . |
| Example 19: Bro003 | | | |
| 3400.840-3402.950 | с8 | qw^br^d^rt | and - and you're saying about the |

| | | | spanish ? |
|-------------------|----|---|-------------------------------|
| 3403.290-3404.350 | c4 | S | the spanish labels . |
| 3405.000-3409.590 | c4 | S | that was in different format. |

Or Question <qr>

"Or" questions offer the listener at least two answers or options from which to choose. Section 2 and Section 3.3, which deal with segmentation and multiple DAs within an utterance, are quite helpful in determining if a question is actually an "or" question or if it is a yes/no question <qy> followed by an "or" clause after a yes/no question <qrr>. Select "or" questions can be seen in Example 20 through Example 23:

| Example 20: Bmr001 | | | |
|--------------------|----|-------|--|
| 305.466-307.826 | c0 | qr^rt | are we going to - i mean - is it going to be over there or is it going to be in there? |
| Example 21: Bed003 | | | |
| 1214.120-1215.140 | c4 | qr | are you assuming that or not? |
| Example 22: Bmr001 | | | |
| 339.042-342.612 | c1 | qr^rt | do we have like a cabinet on order or do we just need to do that? |
| Example 23: Bmr007 | | | |
| 165.987-167.447 | сВ | qr | is this the same as the e mail or different ? |

In terms of the responses "or" questions receive, the obvious response is one in which a speaker selects one of the options posed within the "or" question. Sometimes the "or" question is interrupted and answered as if it is a yes/no question. In these cases, the question is marked as an "or" question if it seems as if the speaker would have continued the question in an "or" question format if he had not been interrupted. In other instances, the speaker asking the question might abandon his utterance, and the speaker answering the question may respond as if the question were a yes/no question without having interrupted the question at all.

If a speaker abandons a question that is seemingly an "or" question, it is actually a rather cumbersome task determining whether the question is indeed an "or" question or not. The point where the speaker abandons his question is of crucial importance. If the speaker abandons while posing at least a second option or after having posed at least two options, the question can be considered an "or" question. If the speaker abandons after saying the word "or" and has not issued a second option, the question could either be an abandoned "or" question or a yes/no question followed by an "or" clause, as mentioned above. If the speaker abandons at the word "or" abruptly, the utterance is most likely an "or" question. If the speaker trails off at the word "or" so that the word "or" is lengthened and sounds reminiscent of a floor holder <fh>, the "or" is segmented from the utterance or else separated by a pipe bar and is labeled as an abandoned "or" clause after a yes/no question <qrr.%--> and the remainder of the utterance is labeled as a yes/no question.

Example 24 through Example 31 depict instances of interrupted and abandoned "or" questions:

| Example 24: Bed011 | | | |
|--------------------|----|---------|---|
| 2776.460-2779.490 | c1 | qr.%- | is that roughly the equivalent of - of what i've seen in english or is it ?== |
| Example 25: Bmr005 | | | |
| 2018.090-2023.710 | c5 | qr.%- | you know - did she miss some overlaps or did she ?== |
| Example 26: Bmr007 | | | |
| 369.570-372.515 | сВ | qr.%- | is this uh just raw counts or is it ==? |
| Example 27: Bmr013 | | | |
| 1987.000-1989.000 | c2 | qr.% | well - oh wa in terms of the speakers or the conditions or the ?== |
| Example 28: Bmr013 | | | |
| 2064.000-2069.000 | c1 | qr^rt.% | do the transcribers actually start wi with uh - transcribing new meetings or are they ?== |
| Example 29: Bmr014 | | | |
| 582.763-585.270 | c8 | qr.% | has that started or is that ?== |

| Example 30: Bmr001 | | | |
|--------------------|----|---------|--|
| 944.512-945.412 | с8 | qr^rt.% | per channel or ?== |
| Example 31: Bmr009 | | | |
| 1748.000-1751.000 | c2 | qr.% | and north midland like like - uh illinois or ?== |

If an utterance is suspected to be an "or" question but the speaker abandons or is interrupted before saying "or" and has not posed a second option, the utterance cannot be considered an "or" question since there is insufficient evidence to label it with the <qr> tag.

Furthermore, even with the presence of the word "or" along with a second option, it may be difficult to determine whether an utterance is an "or" question or a yes/no question, wh-question, or an open-ended question. If the question is actually presenting two specific options, the question is an "or" question. The question is not an "or" question if it presents one option and ends with a clause such as "or something." If a question ends with such a clause, the clause is not labeled separately with the tag <qrr>. Example 32 through Example 34 show instances when questions that are seemingly "or" questions are to be labeled as otherwise:

| Example 32: Bmr005 | | | |
|--------------------|----|-----------|---|
| 3550.080-3551.680 | c2 | qy^d^rt^2 | lapel mikes or something? |
| Example 33: Bmr006 | | | |
| 2057.610-2061.670 | c0 | qw | what if there was a door slam or something? |
| Example 34: Bmr010 | | | |
| 425.800-429.800 | c6 | qy | is there a - a transformation uh - like principal components transformation or something? |

Or Clause After Y/N Question <qrr>

This tag marks when a speaker adds an "or" clause to a yes/no question. The previous description of "or" questions <qr> in conjunction with Section 2 and Section 3.3, which deal with segmentation and multiple DAs within an utterance, are also quite useful in determining whether a segment is an "or" clause and how to treat it.

As with the description of the tag <qr>, utterances marked with <qrr> must actually be posing some sort of option, rather than being a wh-question, for instance, preceded by the word "or."

Oftentimes, "or" clauses following yes/no questions are abandoned or else interrupted and the entire utterance consists of the word "or." In these cases, the label for such an utterance contains the <qrr> tag along with the appropriate disruption form.

Example 35 through Example 39 display in context instances where the tag <qrr> is used:

| Example 35: Bed003 | | | |
|--|----------|------------------|--|
| 1867.670-1868.970 1868.970-1870.270 | c1 c1 | qy^rt qrr | do you have the true source files? or just the class? |
| Example 36: Bmr018 | | | |
| 405.920-411.860 | c1 | qy^rt | the - i guess the question on my mind is do we wait for the transcribers to adjust the marks for the whole meeting |
| 411.860-413.440 | c1 | qrr | before we give anything to i b m? or do we go ahead and send them a sample? |
| Example 37: Bmr001 | | | |
| 2178.450-2179.950 2179.950-2180.340 | c0 c0 | qy^d^rt qrr.% | so - is it - it's going to disk? or is this ?== |
| Example 38: Bmr018 | | | |
| 2722.490-2727.000 | c1 | qr | did they ever try going - going the other direction from simpler task to |
| 2727.000-2728.000 | c1 | qrr.% | more complicated tasks ? or ?== |

| Example 39: Bro004 | | | |
|--------------------|----|--------|---|
| 1922.810-1928.020 | c1 | qy | so do you - are you - w did you have something going on - on the side with uh - or on - on this ? |
| 1928.020-1928.130 | c1 | qrr.%- | or ?== |

Open-ended Question <qo>

An open-ended question places few syntactic or semantic constraints on the form of the answer it elicits. A question containing a "wh" word and consequently appearing to be a wh-question <qw> may actually be an open-ended question instead. Additionally, a question that is seemingly a yes/no question or an "or" question may actually be an open-ended question. As a wh-question, a yes/no question, and an "or" question require a specific answer, an open-ended question, as its name suggests, does not seek a specific answer at all. Rather, an open-ended question is asked in a broad sense.

Open-ended questions are seen in Example 40 through Example 48:

| Example 40: Bmr007 | | | |
|--------------------|----|------------|--|
| 112.365-116.868 | сЗ | fh qo^d^rt | um and anything else ? |
| Example 41: Bmr007 | | | |
| 117.088-118.018 | сЗ | qo^d | nothing else ? |
| Example 42: Bmr007 | | | |
| 92.862-98.798 | c3 | fh qo^d^rt | um and anything else anyone wants to talk about ? |
| Example 43: Bmr013 | | | |
| 654.000-657.000 | сЗ | qo^rt | d- e anybody do you have any - anybody have any opinion about that ? |
| Example 44: Bmr026 | | | |
| 2307.190-2309.690 | c5 | qo | anybody have any intuitions or suggestions? |

| Example 45: Bmr007 | | | |
|--------------------|----|-------|---|
| 1681.390-1683.180 | c3 | fg qo | but - what - what do you think about that ? |
| Example 46: Bmr014 | | | |
| 2691.750-2693.090 | c4 | qo^j | how about them energy crises? |
| Example 47: Bmr007 | | | |
| 100.580-102.340 | c0 | qo^t | what about the um - your trip yesterday? |
| Example 48: Bed006 | | | |
| 666.870-667.530 | c2 | qo^d | questions ? |

Rhetorical Question <qh>

The tag <qh> marks questions to which no answer is expected. Such questions are used by the speaker for rhetorical effect; they are essentially statements formulated as questions. Although rhetorical questions and rhetorical question backchannels

 are similar,

 lacks semantic content, functions mostly as a continuer, and is not used by a speaker who has the floor. Rhetorical questions are seen in Example 49 through Example 55:

| Example 49: Bed011 | | | |
|--------------------|----|-------|---|
| 2204.540-2206.420 | c2 | qh^rt | i mean is this realistic? |
| Example 50: Bmr005 | | | |
| 3802.380-3802.680 | c4 | qh^aa | why not ? |
| Example 51: Bmr005 | | | |
| 525.596-530.188 | c4 | qh^cs | so why don't you - you start with that? |
| Example 52: Bmr009 | | | |
| 2089.900-2090.800 | c3 | qh | s i mean who cares ? |
| | | | |

| Example 53: Bmr009 | | | |
|--------------------|----|-------|--------------------------------|
| 2512.610-2513.290 | c1 | qh^ba | isn't that wonderful ? |
| Example 54: Bmr009 | | | |
| 2778.960-2779.800 | c0 | qh^co | why don't you read the digits? |
| Example 55: Bmr012 | | | |
| 1414.430-1415.430 | c1 | fh qh | uh - but who knows ? |

5.4 Group 3: Floor Mechanisms

This group contains all general tags pertaining to mechanisms of grabbing or maintaining the floor. The only disruption forms that can be appended to tags within this section are the indecipherable tag <%> and the nonspeech tag <x>. Additionally, no specific tag may be appended to the tags denoted as floor mechanisms. Section 2 and Section 3.3 detail the issues regarding segmentation with floor mechanisms.

Floor Grabber <fg>

Floor grabbers usually mark instances in which a speaker has not been speaking and wants to gain the floor so that he may commence speaking. They are often repeated by the speaker to gain attention and are used by speakers to interrupt the current speaker who has the floor. Most often, floor grabbers tend to occur at the beginning of a speaker's turn.

In some cases, none of the speakers will have the floor, resulting in multiple speakers vying for the floor and consequently using floor grabbers to attain it. During such occurrences, many speakers talk over one another without actually having the floor.

Floor grabbers are also used to mark instances in which a speaker who has the floor begins losing energy during his turn and then uses a floor grabber to either regain the attention of his audience or else because it seems as though he is relinquishing the floor, which he does not wish to do. Such mid-speech floor grabbers are usually followed by a change in topic.

Floor grabbers are generally louder than the surrounding speech. Although the energy of a floor grabber is relative to the energy of the surrounding speech, it is also relative to the energy of a speaker's normal speech.

Common floor grabbers include, but are not limited to, the following: "well," "and," "but," "so," "um," "uh," "I mean," "okay," and "yeah." It is worth mentioning that the identification of floor grabbers is not merely based purely on the vocabulary used, but rather on the speaker's actual attempt, whether successful or not, to gain the floor.

As previously mentioned, floor grabbers are not to be identified solely based upon the vocabulary used, as floor grabbers, floor holders <fh>, holds <h>, backchannels , acknowledgements <bk>, and accepts <aa> share a very similar vocabulary. In order to properly distinguish whether an utterance is performing as a floor grabber, floor holder, hold, backchannel, acknowledgement, or accept, it is necessary to take into account the details provided within the individual tag descriptions and to listen to the audio portions corresponding to the examples within those tag descriptions. Utterances labeled with these tags tend to appear very similar in text yet emerge exceedingly different in sound.

As floor grabbers and backchannels are often confused on the basis of having a similar vocabulary, they are actually quite distinct in sound. The main distinctions between the two is that backchannels have a lower energy level in relation to the surrounding speech and are not used by someone who has or is attempting to gain the floor. Also, backchannels are considered "background" speech.

The floor grabbers seen in Example 56 through Example 60 are shown merely to illustrate how they appear in text. The surrounding context has been omitted for each example, as it provides little to no information regarding how to identify floor grabbers.

| Example 56: Bed004 | | | |
|--------------------|----|---------|--|
| 1017.990-1018.180 | c4 | fg | but uh == |
| Example 57: Bed004 | | | |
| 1052.310-1052.620 | c2 | fg | okay . |
| Example 58: Bed004 | | | |
| 2264.780-2265.060 | c2 | fg | yeah but == |
| Example 59: Bmr012 | | | |
| 1814.65-1817.01 | c2 | fg s.%- | well or also for you know - if people are not == |

Example 60: Bmr012

1822.12-1824.17 c4 fg|qy^df well i mean - | is the - is the handheld really any better?

Floor Holder <fh>

A floor holder occurs mid-speech by a speaker who has the floor. A floor holder is usually an utterance such as "uh" or "so" and is used as a means to pause and continue holding the floor. In some cases, a speaker will utter a floor holder at the end of his turn as a means to relinquish the floor.

The duration of a floor holder is usually longer than that of the other words spoken by a speaker. Also, the energy of a floor holder is often similar to that of the surrounding speech by the same speaker. Common floor holders include, but are not limited to, the following: "so," "and," "or," "um," "uh," "let's see," "well," "and what else," "anyway," "I mean," "okay," and "yeah."

In terms of placement, floor holders do not occur at the beginning of a speaker's turn, but rather occur throughout the middle and at the end⁴ of a speaker's turn. Although floor holders do not occur at the beginning of a speaker's turn or speech, they may occur at the beginning of a speaker's utterance. If a speaker begins his turn with a floor grabber followed by a floor holder, it is permissible to label the suspected floor holder as such.

Section 2 discusses the treatment of floor holders in succession.

Floor holders are often found mid-utterance. In such cases, if an utterance is complete and splitting it to mark the floor holder would yield an incomplete utterance, the utterance remains intact and the floor holder is not marked.

In some cases, an utterance will end with a typical floor-holding word such as "um" or "uh" and, despite the presence of a common floor-holding word, a floor holder is not actually present, since the floor-holding word lacks the duration or "pause" property common to most floor holders. If such occurs, the utterance, while containing the floor-holding word, is simply marked as incomplete and the floor-holding word is not marked as an actual floor holder.

As previously mentioned, floor holders are not to be identified solely based upon the vocabulary used, as floor holders, floor grabbers <fg>, holds <h>, backchannels , acknowledgements <bk>, and accepts <a> share a very similar vocabulary. In order

4 As mentioned in Section 2, floor holders are not permitted to occur at the end of utterances. The treatment of floor holders within the transcript is discussed in Section 2 and Section 3.3.

to properly distinguish whether an utterance is performing as a floor holder, floor grabber, hold, backchannel, acknowledgement, or accept, it is necessary to take into account the details provided within the individual tag descriptions and to listen to the audio portions corresponding to the examples within those tag descriptions. Utterances labeled with these tags tend to appear very similar in text yet emerge exceedingly different in sound.

Example 61 through Example 65 present floor holders in context:

| Example 61: Bed003 | | | |
|--------------------|----|--------|--|
| 2524.030-2526.510 | c1 | S | so it's a - it's a rather huge huge thing. |
| 2526.510-2531.970 | c1 | fh s.% | but um - um - we can sort of == |
| Example 62: Bed003 | | | |
| 2579.930-2581.760 | c4 | S | like all the different sort of general schemas that they might be following. |
| 2581.760-2583.600 | c4 | fh | okay . |
| Example 63: Bed004 | | | |
| 1336.010-1339.280 | c2 | S | i think we got plenty of stuff to talk about . |
| 1340.180-1344.840 | c2 | fh s | and then um - just see how a discussion goes. |
| Example 64: Bed004 | | | |
| 1596.700-1598.000 | c2 | s^arp | no i understand that . |
| 1598.000-1599.540 | c2 | fh | but i but um == |
| Example 65: Bed004 | | | |
| 1672.310-1673.880 | c2 | fg | okay so so == |
| 1673.880-1675.440 | c2 | fh | uh == |

Hold <h>>

The <h> tag is used when a speaker who is given the floor and is expected to speak "holds off" prior to making an utterance. The <h> tag is predominantly used when a speaker is responding to a question that he in particular was asked, and that speaker pauses or "holds off" prior to answering the question.

Common holds include, but are not limited to, the following: "so," "um," "uh," "let's see," "well," "I mean," "okay," and "yeah."

Holds are very similar to floor holders <fh> in the way that they sound, however holds occur at the beginning of a speaker's turn, as opposed to floor holders which occur in the middle or at the end of a speaker's turn.

Although the primary distinction between holds and floor holders is location, holds are not collapsed with floor holders as they provide explicit information regarding a speaker's turn. Utterances marked as holds explicitly indicate that a speaker is given the floor, whereas utterances marked as holds indicate that a speaker merely has the floor.

If a speaker's initial utterance is marked as a hold and his following utterances appear to be either holds or floor holders, those following utterances are marked as holds. In other words, if a speaker's initial utterance is a hold and his following utterances are seemingly floor holders, those utterances appearing as floor holders are marked as holds until an utterance is encountered that is to be marked with a question tag or with the statement tag. After such a question or statement is encountered, any following segment within that same speaker's speech that appears to be a floor holder is marked as a floor holder and not as a hold.

As previously mentioned, holds are not to be identified solely based upon the vocabulary used, as holds, floor grabbers <fg>, floor holders <fh>, backchannels , acknowledgements <bk>, and accepts <aa> share a very similar vocabulary. In order to properly distinguish whether an utterance is performing as a hold, floor grabber, floor holder, backchannel, acknowledgement, or accept, it is necessary to take into account the details provided within the individual tag descriptions and to listen to the audio portions corresponding to the examples within those tag descriptions.

Example 66⁵ through Example 68 present instances of holds in context:

| Example 66: Bro021 | | | |
|--------------------|----|-----|---|
| 817.043-821.220 | c1 | qw | i mean what was the rest of the system? |
| 820.060-821.922 | c2 | h | um == |
| 823.605-827.084 | c2 | S | yeah it was - it was uh the same system . |
| 828.960-829.683 | c2 | fh | uhhuh . |
| 830.079-831.107 | c2 | s^r | it was the same system . |

_

⁵ In Example 66, the word "uhhuh" is used as a floor holder <fh>. Although the word "uhhuh" is not commonly used as a floor holder, this instance exemplifies the need to listen to corresponding audio portions in order to correctly assess the function of an utterance and not to label utterances according to the vocabulary used alone.

| 838.050-839.197 Example 67: Bro021 | c2 | fh | huh == |
|---------------------------------------|-----|-----------|--|
| 3238.590-3243.580 | c1 | qy^d^rt | so you estimated uh f completely forgetting what you had before ? |
| 3244.200-3248.840 | c4 | h | um == |
| 3248.840-3251.170 | c4 | s^ar s^nd | no no no it's not completely noise. |
| 3240.040 3231.170 | 0-1 | 5 dijo na | no no no pies not completely holse. |
| Example 68: Bro018 | | | |
| 1542.550-1546.120 | с5 | qy^rt | does there some kind of a distance metric that they use ? |
| 1546.120-1549.520 | c5 | qw | or how do they for cla what do they do for classification ? |
| 1550.050-1550.740 | c0 | h | um == |
| 1550.740-1551.150 | c0 | h | right. |
| 1551.150-1559.900 | c0 | S | so the - the simple idea behind a support vector machine is um - you have - you have this feature space. |

5.5 Group 4: Backchannels and Acknowledgments

It may seem as though the tags <bk> and <ba> could be grouped with the tags in Group 5, since they are responses of a sort, they are instead placed in Group 4 due to the nature of the utterances they mark. The tags in Group 5 are limited to being orthogonally categorized as positive, negative, or uncertain. Utterances marked with

<br/

Backchannel

Utterances which function as backchannels are not made by the speaker who has the floor. Instead, backchannels are utterances made in the background that simply indicate that a listener is following along or at least is yielding the illusion that he is paying attention. When uttering backchannels, a speaker is not speaking directly to anyone in particular or even to anyone at all.

Common backchannels include the following: "uhhuh," "okay," "right," "oh," "yes," "yeah," "oh yeah," "uh yeah," "huh," "sure," and "hm."

The nature of backchannels does not usually permit utterances such as "uh," "um," and "well" as being perceived as backchannels, since these utterances do not indicate that a speaker is following along, but rather that a speaker has something to say or else is attempting to say something.

As previously mentioned, backchannels are not to be identified solely based upon the vocabulary used, as backchannels, floor grabbers <fg>, floor holders <fh>, holds <h>, acknowledgements <bk>, and accepts <aa> share a very similar vocabulary. In order to properly distinguish whether an utterance is performing as a floor grabber, floor holder, hold, backchannel, acknowledgement, or accept, it is necessary to take into account the details provided within the individual tag descriptions and to listen to the audio portions corresponding to the examples within those tag descriptions. Utterances labeled with these tags tend to appear very similar in text yet emerge exceedingly different in sound.

Furthermore, backchannels are most often confused with acknowledgments and accepts than with floor grabbers, floor holders, and holds. One method in distinguishing if the , <bk> or <aa> tag is appropriate lies in the point at which the utterance occurs with regard to the speaker who has the floor's utterance. Acknowledgments generally appear after another speaker has completed a phrase or an utterance, as they are acknowledging the semantic significance of what is said. Accepts usually occur at the end of another speaker's utterances, as they are agreeing with what is said. Backchannels, although they can occur in the same locations as acknowledgments and accepts, can also be found in the middle of another speaker's phrase. Such midphrasal placement is a strong indicator that an utterance is a backchannel, rather than an acknowledgment or an accept, as the speaker uttering the backchannel lacks adequate semantic information from the other speaker's utterance to acknowledge it or agree to it. Additionally, backchannels are usually uttered with a significantly lower energy level than the surrounding speech, while acknowledgments tend not to be quite so low as backchannels and accepts are generally at the same level or else higher.

Additionally, the only specific tag that may be appended to a backchannel is the rising tone tag <rt>.

Backchannels in context are seen in Example 69 through Example 71:

| Example 69: Bro018 | | | |
|--------------------|----|-------|--|
| 1821.160-1829.060 | c2 | S | but i think that uh - this was a couple years ago . |
| 1821.510-1821.820 | c5 | b | huh . |
| Example 70: Bro018 | | | |
| 2005.020-2012.090 | c5 | qy^rt | do you get out a - uh - a vector of these ones and zeros and then try to find the closest matching phoneme to that vector? |
| 2006.210-2006.410 | c0 | b | uhhuh . |
| Example 71: Bro007 | | | |
| 837.018-838.648 | c1 | s^df | well also just to know the numbers . |
| 837.345-837.565 | сЗ | b | yeah . |
| 838.648-838.828 | c1 | b | right . |

Acknowledgment <bk>

The <bk> tag is used to express a speaker's acknowledgment of a previous speaker's utterance or of a semantically significant portion of a previous speaker's utterance. Acknowledgments are neither positive nor negative, as they only serve to acknowledge, not to agree or disagree. In some cases, a speaker will acknowledge his own utterance or a semantically significant portion of his own utterance.

Common acknowledgments, in addition to mimicked portions, include, but are not limited to, the following: "I see," "okay," "oh," "oh okay," "yeah," "yes," "uhhuh," "huh," "ah," "all right," and "got it." If an utterance is suspected to be an acknowledgment solely based upon the vocabulary used, yet does not sound as though it is an acknowledgment, then it should not be marked as one.

As opposed to backchannels, acknowledgments encode a level of direct communication between speakers. A speaker who acknowledges a previous speaker's utterance is actually speaking directly to that previous speaker, yet is usually not seeking a response from the previous speaker. As stated in the tag description for backchannels, the tags

<b

Acknowledgements also tend to be confused with floor grabbers <fg>, floor holders <fh>, and holds <h> due to their similar vocabularies. In order to properly distinguish the function of an utterance, it is necessary to take into account the details provided

within the individual tag descriptions and to listen to the audio portions corresponding to the examples within those tag descriptions. Utterances labeled with the <bk>, <fg>, <fh>, and <h> tags, as well as with the and <aa> tags, tend to appear very similar in text yet emerge exceedingly different in sound.

Restrictions apply to the usage of the <bk> tag with other specific tags. The <bk> tag is only used when the primary function of an utterance is to acknowledge a portion of another speaker's speech. The use of other tags to mark an utterance, such as those in Group 5, indicates that an utterance serves a different primary purpose, such as agreeing or disagreeing. So, when a tag from Group 5 is used to mark an utterance, the <bk> tag may not be used in conjunction with that tag.

The <bk> tag also may not be used with <ba>, as the <ba> tag encodes the acknowledging nature of <bk> within its definition and thus renders the <bk> tag redundant when the two are used in conjunction. The use of the <ba> tag also indicates that an utterance is either positive or negative, whereas an utterance marked with the <bk> tag is neutral. The <bk> tag may not be used with <bh>, as <bh> is a type of backchannel or acknowledgment, depending upon its usage, and may encode the acknowledging nature of <bk> thus rendering the use of the <bk> tag redundant when used in conjunction.

The specific tags with which <bk> is permitted to be used in conjunction are <m>, <r>, <ft>, <fe>, <t1> and <t3>. When used in conjunction with the <bk> tag, a tag from this list merely indicates a feature of the acknowledgment. In the case of the tag <fe>, when used in conjunction with the tag <bk>, it indicates that an exclamatory acknowledgment was uttered. When used with another functional tag, such as <aa> or <cs>, the tag <fe> indicates that an exclamatory agreement or an exclamatory suggestion has been made.

Acknowledgments in context are seen in Example 72 through Example 76:

| Example 72: Bmr012 | | | |
|--------------------|----|---------|--|
| 58.784-60.504 | c3 | qw^t3 | so why didn't you get the same results and the unadapted? |
| 62.153-64.053 | c3 | qw^r^t3 | why didn't you get the same results as the unadapted? |
| 64.235-68.995 | c0 | s^t3 | oh because when it estimates the transformer pro produces like single matrix or something. |
| 67.730-69.010 | c3 | s^bk^t3 | o oh i see . |
| Example 73: Bed003 | | | |
| 151.920-155.150 | c1 | s | it opens the assistant that tells you that |

| 155.780-156.120 | c2 | s^bk | the font type is too small . ah . |
|--|----------|--------------|---|
| Example 74: Bed003 | | | |
| 158.220-159.100 159.140-159.500 | _ | s^nd s^bk | i'd prefer not to . okay . |
| Example 75: Bed003 | | | |
| 166.460-169.010 | c2 | s^rt | because i'm going to switch to the javabayes program. |
| 167.820-168.400 | c1 | s^bk | oh okay . |
| Example 76: Bed003 | | | |
| 1615.540-1617.810 1616.130-1616.410 | c2 c3 | s^rt s^bk | so we can rel- open it up again . okay . |

Assessment/Appreciation <ba>

Assessments/appreciations are acknowledgments directed at another speaker's utterances and function to express slightly more emotional involvement than what is seen in the utterances marked with the <bk> tag. The <ba> tag is similar to the <bk> tag in that it acknowledges another speaker's utterance, however it lacks the neutral nature of the <bk> tag. Utterances marked with <ba> can be either positive or negative. When negative, utterances marked with the <ba> tag are often criticisms.

Utterances which function as acknowledgments in the senses discussed under the tag descriptions for <bk>, <bh>, and <ba> may only be marked with one of these tags to express the acknowledging nature of an utterance, not a combination of these tags.

As with the <bk> tag, the <ba> tag encodes a level of direct communication between speakers. When appreciating or assessing the contents of a previous speaker's utterance, a speaker is actually speaking directly to the previous speaker, yet usually is not seeking a response from the previous speaker.

Although most utterances marked with the <ba> tag tend to be quite short, some utterances tend to be somewhat lengthy. This is due to the very nature of the <ba> tag. In briefly expressing appreciation or assessing a situation, which is usually the case, a speaker's utterance may be something to the likes of "that's great," "that's terrible," "good enough," "wow," or "excellent." Brief utterances such as these are often uttered as exclamations, thus requiring the <fe> tag.

Longer appreciations tend to be akin to utterances such as "so I think that's a really great way to approach it." Longer assessments tend to appear as criticisms, which take many forms. Comments and opinions on an aspect a speaker has noticed within the contents of another speaker's speech are often marked as assessments/appreciations also.

In some cases, utterances which are assessments/appreciations are also affirmative answers <na>, dispreferred answers <nd>, or negative answers <ng>. In these cases, an utterance that is assessing or appreciating is also communicating that it is agreeing or disagreeing. An utterance such as "I think that would be worth doing" would function as an assessment/appreciation in that it embeds the speaker's own opinion. Assuming the utterance is actually agreeing to another speaker's previous utterance, the utterance also functions as an affirmative answer in that it accepts and agrees to what the previous speaker said. An utterance such as "that's wonderful" is an assessment/appreciation, yet is not an agreement since it only expresses an assessment.

In determining whether an utterance is indeed an assessment/appreciation, it is necessary to ensure that the assessment/appreciation is actually uttered in reference to another speaker's utterance.

A variety of assessments/appreciations are seen in Example 77 through Example 89:

| Example 77: Bed006 | | | |
|--------------------|----|------|---------------------|
| 172.462-173.242 | сЗ | s^ba | it's very exciting. |
| Example 78: Bed006 | | | |
| 257.526-257.916 | c3 | s^ba | that's good . |
| Example 79: Bed006 | | | |
| 266.653-267.043 | c2 | s^ba | wonderful . |
| Example 80: Bed006 | | | |
| 347.295-347.615 | cA | s^ba | it's fine . |
| Example 77: Bed006 | | | |
| 172.462-173.242 | сЗ | s^ba | it's very exciting. |
| Example 78: Bed006 | | | |
| 257.526-257.916 | сЗ | s^ba | that's good . |

| Example 79: Bed006 | | | |
|--------------------|----|---------|---|
| 266.653-267.043 | c2 | s^ba | wonderful. |
| Example 80: Bed006 | | | |
| 347.295-347.615 | cA | s^ba | it's fine . |
| Example 81: Bmr021 | | | |
| 261.000-262.000 | c4 | s^ba^fe | wow! |
| Example 82: Bed006 | | | |
| 1333.750-1337.640 | c2 | s^ba | but it's - so this time we - we are at an advantage. |
| Example 83: Bed008 | | | |
| 1873.870-1876.850 | c2 | fg s^ba | uh - anyway this is crude . |
| Example 84: Bed008 | | | |
| 2035.000-2036.000 | c2 | s^ba | but this is a good discussion . |
| Example 85: Bed008 | | | |
| 3878.640-3880.450 | c4 | s^ba | so this is slightly uh - more |
| Example 86: Bed008 | | | complicated . |
| 4997.490-5002.340 | c0 | s^ba | that's uh - that's a whole lot of constructions. |
| Example 87: Bed017 | | | |
| 1462.890-1467.820 | c2 | s^ba | so it's probably not that easy to simply have a symbolic uh computational model . |
| Example 88: Bmr002 | | | |
| 1992.220-1996.800 | c2 | s^ba | and i was very impressed by how well you could hear separate speakers . |

Example 89: Bmr021

747.750-749.530 c0 fg|s^ba^cs well | it seems like just shortening them is a good short term solution.

Rhetorical Question Backchannel

 bh>

Rhetorical question backchannels lack semantic content and are syntactically similar to rhetorical questions, however they function as backchannels and acknowledgments. Rhetorical question backchannels can be uttered as backchannels, which is often the case, in that they can be made in the background and simply indicate that a listener is following along or at least is yielding the illusion that he is paying attention. In these cases, the use of a rhetorical question backchannel indicates that a speaker is not speaking directly to anyone in particular or even to anyone at all. When uttered as an acknowledgment, the rhetorical question backchannel expresses a speaker's acknowledgment of a previous speaker's utterance or of a semantically significant portion of a previous speaker's utterance. As acknowledgments, rhetorical question backchannels encode a level of direct communication between speakers. A speaker who acknowledges a previous speaker's utterance is actually speaking directly to that previous speaker, yet is usually not seeking a response from the previous speaker. However, when acknowledgments are uttered as rhetorical question backchannels, they often receive answers such as "yeah." Additionally, when a rhetorical question backchannel functions as an acknowledgment, it is unnecessary to mark the
bk> tag.

As stated in the tag descriptions for <bk> and <ba>, the default tag for acknowledgments is the <bk> tag. If further descriptions apply to an acknowledgment and a <ba> or <bh> tag is deemed necessary, than only one of these tags is used. The <bk> tag cannot be used in conjunction with the <ba> or <bh> tags.

Common rhetorical question backchannels include, but are not limited to, the following: "oh really?", "yeah?", "isn't that interesting?", and "you think so?".

Rhetorical question backchannels always receive the Y/N question general tag <qy>.

Example 90 through Example 99 present instances of rhetorical question backchannels:

| Examp | le 9 | 0: | Bed | 003 |
|-------|------|----|-----|-----|
|-------|------|----|-----|-----|

2136.810-2137.060 c1 qy/bh yeah?

Example 91: Bed003

2319.660-2319.910 c2 qy/bh really?

| Example 92: Bed003 | | | |
|--------------------|----|------------|-----------------------|
| 3493.590-3494.000 | c3 | qy^bh | oh really? |
| Example 93: Bmr005 | | | |
| 1358.460-1358.690 | c3 | qy^bh^rt | yeah ? |
| Example 94: Bmr012 | | | |
| 671.580-672.090 | c4 | qy^bh^d^rt | oh it did? |
| Example 95: Bmr014 | | | |
| 522.800-523.120 | c8 | qy^bh^m^rt | no ? |
| Example 96: Bmr014 | | | |
| 2357.840-2358.290 | c8 | qy^bh | oh they won't ? |
| Example 97: Bmr021 | | | |
| 193.000-194.000 | c5 | qy^bh | isn't that something? |
| Example 98: Bmr021 | | | |
| 859.540-860.670 | c5 | qy^bh | is that right ? |
| Example 99: Bro021 | | | |
| 170.110-170.542 | c5 | qy^bh | huh ? |

5.6 Group 5: Responses

Group 5 is orthogonally divided into three subgroups: positive utterances, negative utterances, and uncertain utterances. The tags in Group 5 are often used to characterize responses to questions and suggestions.

POSITIVE

Accept <aa>

The <aa> tag is used for utterances which exhibit agreement to or acceptance of a previous speaker's question, proposal, or statement. Utterances marked with the <aa> tag are quite short, as their lengthy counterparts are marked with the <na> tag.

Common utterances marked with the <aa> tag include, but are not limited to, the following: "yeah," "yes," "okay," "sure," "uhhuh," "right," "I agree," "exactly," "definitely," and "that's true."

Additionally, the word "no" can be marked with the <aa> tag if it is used to agree to a syntactically negative statement or question, as seen in Example 104.

Utterances marked with the <aa> tag may be confused with backchannels and acknowledgments. Generally, utterances marked with the <aa> tag have much more energy and are more assertive than backchannels and acknowledgments. The tag descriptions for backchannels and acknowledgments further elucidate the distinctions among the three tags.

Accepts are not to be identified solely based upon the vocabulary used, as accepts, floor grabbers <fg>, floor holders <fh>, holds <h>, backchannels , and acknowledgements <bk> share a very similar vocabulary. In order to properly distinguish whether an utterance is performing as an accept, floor grabber, floor holder, hold, backchannel, or acknowledgement, it is necessary to take into account the details provided within the individual tag descriptions and listen to the audio portions corresponding to the examples within those tag descriptions. Utterances labeled with these tags tend to appear very similar in text yet emerge exceedingly different in sound.

Accepts in context are seen in Example 100 through Example 104:

Example 100: Bro017

2264.620-2271.560 c3 s.x if you want to decrease the importance

of a c- - parameter you have to

| | | | increase it's variance . |
|---------------------|----|------------------|--|
| 2267.450-2267.830 | c1 | s^aa | yes. |
| 2269.590-2269.840 | с1 | s^aa.x | right . |
| 2269.690-2269.980 | c4 | S.X | multiply . |
| 2270.470-2270.690 | с1 | s^aa | yes. |
| 2271.610-2272.050 | c1 | s^aa | exactly. |
| | | | · |
| Example 101: Bro022 | | | |
| | | | |
| 1575.820-1579.190 | c0 | s^df | because when you train up the aurora system you're uh - you're also training |
| | | | on all the data. |
| 1579.190-1582.560 | c0 | s.% | i mean it's == |
| 1580.350-1580.920 | c2 | s^aa | that's right . |
| 1580.920-1581.490 | c2 | s^aa | yeah . |
| | | | · |
| Example 102: Bro022 | | | |
| 1475.950-1477.970 | c4 | S | and it was about six point six percent . |
| 1477.390-1477.780 | c2 | s^bk | oh . |
| 1477.790-1478.630 | c1 | s^aa | right right right . |
| 1478.630-1479.470 | c1 | s^bk | okay . |
| | | | |
| Example 103: Bro026 | | | |
| | | | |
| 2416.730-2418.050 | c2 | S | because that's what you're going to be |
| | | | using. |
| 2418.050-2418.210 | c2 | qy^d^g^rt | right ? |
| 2418.250-2418.740 | c3 | s^aa | yeah . |
| 2418.740-2419.220 | c3 | s^aa^r | yeah . |
| Example 104: Bro026 | | | |
| | | | |
| 854.850-858.060 | c2 | s^nd | although you - you know you haven't |
| | | | tested it actually on the german and |
| 959 060 959 360 | •2 | an 10 d 0 a 0 mt | danish. |
| 858.060-858.360 | c2 | qy^d^g^rt | have you? |
| 858.850-859.520 | c0 | s^aa | no we didn't . |
| | | | |

Partial Accept <aap>

The <aap> tag marks when a speaker explicitly accepts part of a previous speaker's utterance. Partial accepts are often conditional responses that accept or agree to another speaker's utterance.

Partial accepts are often confused with partial rejections <arp>. The distinction is that an utterance marked with the <aap> tag focuses on agreeing with or accepting part of a previous speaker's utterance. An utterance marked with the <arp> tag focuses on disagreeing with or rejecting part of a previous speaker's utterance.

Partial accepts in context are seen in Example 105 through Example 108:

| Example 105: Bed003 | | | |
|---------------------|----------------|------------|--|
| 922.295-924.105 | c1 | s^bu^rt | well the - the - sort of the landmark is - is sort of the object . |
| 924.105-925.915 | c1 | qy^d^g | right ? |
| 925.915-927.595 | c1 | qy^d^g^rt | the argument in a sense ? |
| 927.230-928.260 | c4 | s^aap | |
| 921.230-920.200 | C 4 | s'aap | usually. |
| Example 106: Bmr024 | | | |
| 1147.330-1156.120 | сЗ | fh qy^bu^d | um so it's wizard in the sen usual sense that the person who is asking the questions doesn't know that it's uh a machi not a machine? |
| 1155.600-1156.190 | c5 | s^aap | at the beginning . |
| | | о зар | |
| Example 107: Bmr006 | | | |
| 944.455-949.460 | c3 | S | but i think that - i'm raising that because i think it's relevant exactly for this idea up there that if you think about well gee we have this really complicated setup to do well maybe you don't. |
| 950.300-961.150 | c3 | s^cs | maybe if - if - if really all you want is to have a - a - a recording that's good enough to get a - uh a transcription from later you just need to grab a tape recorder and go up and make a recording. |
| 950.660-951.260 | c1 | s^aap | for some of it . |
| | | | |

| Example 108: Bro007 | | | |
|---------------------|----|----------|--|
| 1605.290-1612.800 | c2 | s^cs | and - and perhaps i was thinking also a fourth one with just - just a single k l t . |
| 1612.800-1616.550 | c2 | s^df | because we did not really test that . |
| 1616.550-1620.300 | c2 | s^cs | removing all these k l t's and putting one single k l t at the end . |
| 1622.760-1626.240 | c1 | s^na | yeah i mean that would be pretty low maintenance to try it . |
| 1626.970-1628.480 | c1 | fh s^aap | uh - if you can fit it in . |

Affirmative Answer <na>

The <na> tag marks an utterances that act as narrative affirmative responses to questions, proposals, and statements. The <na> tag is much like the <aa> tag in that they both exhibit agreement to or acceptance of a previous speaker's question, proposal, or statement. The difference between the two tags is that, as the <aa> tag is used for shorter utterances, the <na> tag is used for lengthy utterances.

In order to determine whether an utterance requires the <na> tag, the surrounding context is generally required. Without surrounding context, an utterance requiring the <na> tag may be considered merely as a statement <s> without any additional specific tags representing agreement or acceptance.

Instances of the <na> tag in context are seen in Example 109 through Example 111:

| Example 109: Bed011 | | | |
|---------------------|----|------|--|
| 1528.600-1530.280 | c2 | S | nobody's interested in that except for the speech people. |
| 1529.120-1529.290 | сЗ | s^aa | right. |
| 1529.290-1530.300 | сЗ | s^na | no we don't care about that at all . |
| Example 110: Bmr001 | | | |
| 374.134-377.954 | c8 | S | a cabinet is probably going to cost a hundred dollars two hundred dollars something like that. |
| 378.105-381.715 | c0 | s^na | yeah i mean - you know - we - we can spend under a thousand dollars or something without - without worrying about it. |

| Example 111: Bmr007 | | | |
|---------------------|----|--------|---|
| 1656.590-1664.310 | cA | S | if - if the goal were to just look at overlap you would - you could serve yourself - save yourself a lot of time but not even transcri- transcribe the words. |
| 1666.090-1668.990 | c1 | S | well i was thinking you should be able to do this from the acoustics on the close talking mikes. |
| 1668.990-1671.900 | c1 | qy^d^g | right ? |
| 1671.140-1674.800 | cB | s^na | well that's - the - that was my - my status report . |

NEGATIVE

Reject <ar>>

The <ar> tag marks negative words such as "no" and other semantic equivalents that offer negative responses to questions, proposals, and statements. The <ar> tag marks brief negative responses to questions, proposals, and statements in the same manner that the <aa> tag marks brief affirmative answers.

Common utterances marked with the <ar> tag include, but are not limited to, the following: "no," "nope," "no way," "nah," "not really," and "I don't think so."

When syntactically negative questions or statements arise, responses in the form of "yes," "yeah," or the like can function as rejections. As discussed in the tag description for <aa>, negative responses such as "no" can function as agreements in these cases.

Rejections in context are seen in Example 112 through Example 116:

| Example 112: Bed003 | | | |
|---------------------|----|-------|--|
| 259.160-264.920 | c4 | qy.%- | but are you saying that in this particular domain it happens the - that landmarkiness cor is correlated with ?== |
| 263.409-264.019 | сЗ | s^ar | no . |

| Example 113: Bed003 | | | |
|------------------------------------|----------|------------|---|
| 545.980-548.160 547.610-547.990 | c4 c3 | qy s^ar | and are those mutually exclusive sets? not at all . |
| Example 114: Bed003 | | | |
| 1758.350-1760.280 | c2 | qy^rt | i didn't n is there an ampersand in dos? |
| 1761.030-1761.370 | c3 | s^ar | nope. |
| Example 115: Bed003 | | | |
| 3022.070-3023.720 | c2 | qy^rt | do you want to trade ? |
| 3023.360-3024.610 | c1 | h s^ar | um - no . |
| Example 116: Bed011 | | | |
| 2776.460-2779.490 | c1 | qr.%- | is that roughly the equivalent of - of what i've seen in english or is it ?== |
| 2779.390-2780.180 | c2 | s^ar | no not at all . |

Partial Reject <arp>

The <arp> tag marks when a speaker explicitly rejects part of a previous speaker's utterance. Partial rejections are often responses posing exceptions when rejecting another speaker's utterance.

Partial rejections are often confused with partial accepts <aap>. As stated in the tag description for <aap>, the distinction between the two is that an utterance marked with the <aap> tag focuses on agreeing with or accepting part of a previous speaker's utterance. An utterance marked with the <arp> tag focuses on disagreeing with or rejecting part of a previous speaker's utterance. An utterance marked with the <aap> tag is formulated in a positive manner, whereas an utterance marked with the <arp> tag is formulated in a negative manner.

Partial rejections in context are seen in Example 117 through Example 119⁶:

-

⁶ The tag <sj> is seen in Example 19. This tag was formerly part of the MRDA tagset eliminated in the revision of the tagset. Appendix 2 details tags which are no longer a part of the MRDA tagset.

| Example 117: Bed003 | | | |
|---------------------|----|----------|--|
| Example 117. Bed003 | | | |
| 1352.970-1355.790 | c2 | qy^bu^rt | also - you know - didn't we have a size as one ? |
| 1357.120-1357.350 | сЗ | qw^br | what ? |
| 1357.330-1358.250 | c2 | s^r^rt | the size of the landmark. |
| 1359.860-1361.550 | с3 | s^arp | um - not when we were doing this . |
| Example 118: Bed003 | | | |
| 1131.440-1132.880 | c2 | s | it would actually slow that down tremendously. |
| 1136.540-1137.290 | сЗ | s^arp | not that much though . |
| Example 119: Bmr018 | | | |
| 505.460-507.485 | c4 | S | but you're listening to the mixed signal and you're tightening the boundaries. |
| 507.485-509.510 | c4 | s^bsc | correcting the boundaries. |
| 509.510-512.510 | c4 | S | you shouldn't have to tighten them too much because thilo's program does that. |
| 511.313-512.073 | c0 | sj.x | should be pretty good . |
| 512.550-515.710 | c3 | s^arp | except for it doesn't do well on short things remember. |
| | | | |

Dispreferred Answer <nd>

The <nd> tag marks statements which act explicit narrative forms of negative answers to previous speakers' questions, proposals, and statements in the same manner in which the <na> tag acts as an agreement with or acceptance of a previous speaker's utterance. As with the <na> tag, the <nd> tag marks lengthier utterances than those marked with the <ar> tag which exhibit rejection.

Surrounding context is generally required to determine whether an utterance requires the <nd> tag. Without surrounding context, an utterance requiring the <nd> tag may be considered merely as a statement <s> without any additional specific tags representing rejection.

Dispreferred answers are often confused with negative answers <ng>. The main distinction between the two tags is that the <nd> tag marks utterances that offer explicit rejections and the <ng> tag marks utterances that offer implicit rejections through the use of hedging.

Dispreferred answers in context are seen in Example 120 through Example 124:

| Example 120: Bmr001 | | | |
|--|----------|---------------|---|
| 948.121-951.731 | с8 | s^bu^rt | we figured out that it was t twelve |
| 949.056-949.806 | c1 | s^nd | gig twelve gigabytes an hour . it was more than that . |
| Example 121: Bed003 | | | |
| 156.910-157.510 158.220-159.100 | c1 c2 | qy^rt s^nd | do you want to try ? i'd prefer not to . |
| Example 122: Bed003 | | | |
| 1163.060-1166.150 | c4 | S | so i thought that was directly given by the context switch . |
| 1163.130-1166.160 | сЗ | s^nd | that's a different thing. |
| Example 123: Bmr005 | | | |
| 781.990-783.000 | c4 | S | probably de probably depends on |
| 785.281-786.821 | c1 | s^bk s^nd | what the prepared writing was . yeah i don't think i would make that leap . |
| Example 124: Bmr024 | | | |
| 1987.890-1989.760 1989.680-1990.810 | c1 c5 | s^bs s^nd | he's saying get a whole different drive . but there's no reason to do that . |

Negative Answer <ng>

As opposed to a dispreferred answer <nd> which explicitly offers a negative response to a previous speaker's question, proposal, or statement, a negative answer <ng> implicitly offers a negative response with the use of hedging.

The negative answer tag <ng> is often confused with the maybe tag <am> and the no knowledge tag <no>. The maybe tag <am> marks utterances in which a speaker asserts that his response is probable, yet not definite, and the no knowledge tag <no> marks utterances in which a speaker does not know an answer. A negative answer <ng> essentially offers an indirect negative response. In uttering an indirect negative response, a speaker may employ responses similar to those marked with the maybe tag

<am> and no knowledge tag <no> to hedge around uttering a direct refusal or negative response.

Oftentimes, negative answers <ng> appear as alternative suggestions to a previous speaker's question, proposal, or statement.

Negative answers <ng> in context are seen in Example 125 through Example 133⁷:

| Example 125: Bed004 | | | |
|---------------------|----|--------|--|
| 350.465-352.450 | c4 | qy^rt | y you guys have plans for sunday? |
| 352.900-353.470 | c4 | s.% | we're - we're not == |
| 353.470-360.645 | c4 | S | it's probably going to be this sunday but um w we're sort of working with the weather here. |
| 360.645-367.820 | c4 | s^df | because we also want to combine it with some barbecue activity where we just fire it up and what - whoever brings whatever you know can throw it on there. |
| 368.787-371.447 | c4 | S | so only the tiramisu is free nothing else. |
| 373.980-377.050 | c1 | s^ng | well i'm going back to visit my parents this weekend . |
| Example 126: Bmr005 | | | |
| 4094.420-4099.430 | c2 | qw | what if we give people you know - we cater a lunch in exchange for them having their meeting here or something? |
| 4099.640-4103.350 | c1 | s^ng | well you know - i - i do think eating while you're doing a meeting is going to be increasing the noise. |
| Example 127: Bmr007 | | | |
| 14.467-15.967 | сВ | qy^rt | and uh shall i go ahead and do some digits? |
| 16.724-17.504 | c3 | h s^ng | uh we were going to do that at the end. |

⁷ Regarding the use of the tag <sj> in Example 133, refer to footnote 6.

| Example 128: Bmr007 | | | |
|--|----------|------------------|--|
| 1750.790-1755.290 | cA | S | we have - have in the past and i think continue - will continue to have a fair number of uh phone conference calls . |
| 1756.380-1771.950 | сА | fh s^cs | and uh and as a - to um as another c- c- comparison condition we could um see what - what what happens in terms of overlap when you don't have visual contact. |
| 1774.140-1777.190 | cВ | s^ng | it just seems like that's a very different thing than what we're doing. |
| Example 129: Bmr007 | | | |
| 1773.730-1774.870 1775.870-1778.340 | c1 c3 | qy^rt fh s^ng | can we actually record? uh well we'll have to set up for it. |
| Example 130: Bmr014 | | | |
| 2637.240-2645.800 | сВ | S | i mean so it's like i in a way it's - it's nice to have the responsibility still on them to listen to the tape and - and hear the transcript. |
| 2645.800-2646.660 2647.970-2652.800 | cB c8 | s.% s^ng | to have that be the == i mean most people will not want to take the time to do that though. |
| Example 131: Bmr024 | | | |
| 1237.760-1240.380 | с9 | s^cs | maybe we can have him vary the |
| 1241.190-1243.470 | c5 | fg s | microphones too . so - so for their usage they don't |
| 1243.880-1246.890 | c4 | s^ng | need anything . but - but i'm not sure about the legal aspect of - of that . |
| Example 132: Bmr024 | | | |
| 2385.660-2389.950 | сВ | s.% | it might be that one more iteration would - would help but it's sort of == |
| 2390.330-2390.650 2390.440-2392.350 | cB c3 | fh s^ng | you know . or maybe - or maybe you're doing one too many . |

| Example 133: Bmr024 | | | |
|---------------------|----|---------|--|
| 818.269-825.296 | c5 | s | sure there - there might be a place where it's beep seven beep eight beep eight beep. |
| 826.056-829.156 | c5 | S | but you know they - they're - they're |
| | | | going to macros for inserting the beep marks. |
| 830.078-831.768 | c5 | sj | and so i - i don't think it'll be a problem . |
| 831.768-832.708 | с5 | s^cs | we'll have to see . |
| 832.708-833.648 | c5 | sj^r | but i don't think it's going to be a problem . |
| 834.643-834.903 | сЗ | s^bk | okay. |
| 835.101-836.021 | сЗ | fg s^ng | well i - i - i don't know . |
| 836.021-848.194 | c3 | s^cs | i - i think that that's - if they are in fact going to transcribe these things uh certainly any process that we'd have to correct them or whatever is - needs to be much less elaborate for digits than for other stuff. |

UNCERTAIN

Maybe <am>

The maybe tag <am> marks utterances in which a speaker's utterance conveys probability or possibility by using the word "maybe" or other words denoting possibility and probability. An utterance marked with the <am> tag is one which the speaker asserts that his utterance is probable or possible, yet not definite.

The <am> tag is often confused with suggestions <cs> which have the form of "maybe we should..."

Maybes <am> in context are seen in Example 134 through Example 138:

| Example 134: Bed003 | | | |
|---------------------|----|-------|--|
| 1228.410-1231.250 | c1 | qw^rt | we what set the - they set the context to unknown? |
| 1232.500-1233.580 | сЗ | S | right now we haven't observed it. |

| 1233.580-1236.710 | c3 | s^am | so i guess it's sort of averaging over all those three possibilities. |
|---------------------|----|---------|---|
| Example 135: Bed003 | | | |
| 2969.930-2971.610 | сЗ | qy^rt | is srini going to be at the meeting tomorrow? |
| 2971.610-2971.870 | сЗ | qy^rt | do you know? |
| 2972.580-2972.910 | c4 | s^am | maybe . |
| Example 136: Bed003 | | | |
| 3206.200-3214.190 | c1 | s.% | but you know - if we take a subject that is completely unfamiliar with the task or any of the set up we get a more realistic == |
| 3212.060-3213.000 | c3 | s^am | i guess that would be reasonable. |
| Example 137: Bmr009 | | | |
| 1752.000-1754.000 | с0 | qw | so - so what accent are we speaking? |
| 1756.500-1761.000 | c3 | s^am | probably western yeah. |
| Example 138: Bmr018 | | | |
| 1890.390-1893.760 | c0 | s^df | because you have to uh - maneuver around on the - on both windows then . |
| 1895.010-1895.960 | c4 | qr^d | to add or to delete? |
| 1896.110-1896.480 | c0 | S | to delete. |
| 1898.510-1898.860 | c4 | s^bk^rt | okay. |
| 1898.970-1900.440 | c3 | fg %- | anyways so i - i guess == |
| 1900.380-1904.150 | c4 | s^am | that - maybe that's an interface issue that might be addressable . |
| | | | |

No Knowledge <no>

The no knowledge tag <no> marks utterances in which a speaker expresses a lack of knowledge regarding some subject.

The most common expressions found within utterances marked with the no knowledge tag are "I don't know" and "I'm not sure." However, in some cases, utterances consisting of "I don't know" are actually floor holders <fh> and are not to be marked with the no knowledge tag.

Utterances marked with the no knowledge tag may be confused with utterances marked with the negative answer tag <ng>. The tag description for the <ng> tag elucidates this issue.

Instances of utterances labeled with the no knowledge tag, where some are shown in context, are seen in Example 139 through Example 146:

| Example 139: Bed003 | | | | | |
|---------------------|----|---------|---|--|--|
| 142.790-146.410 | c1 | S | but if you really want to find out what it's about you have to click on the little | | |
| 147.130-148.810 | c2 | s^no | light bulb . although i've - i've never - i don't know what the light bulb is for . | | |
| Example 140: Bed003 | | | | | |
| 1281.990-1284.650 | сЗ | s^no | but uh - i don't know y- what the right thing is to do for that . | | |
| Example 141: Bed004 | | | | | |
| 1417.360-1418.320 | c2 | s^no | yeah i don't understand it . | | |
| Example 142: Bmr001 | | | | | |
| 68.756-70.816 | c0 | fg s^no | um - i have no idea which one i'm - i'm on . | | |
| Example 143: Bmr001 | | | | | |
| 354.108-359.588 | c1 | qy | do we have any money at all that we can go out and spend on things like cabinets or a hard drive or things like that? | | |
| 359.791-360.451 | c0 | h s^no | oh - i mean - i don't know . | | |
| Example 144: Bmr001 | | | | | |
| 366.306-368.646 | c0 | h qw^rt | uh how much are we talking about here? | | |
| 371.211-374.134 | c8 | h s^no | um - i don't know . | | |
| Example 145: Bmr001 | | | | | |
| 1365.460-1366.620 | c0 | qy | didn't we already get that ? | | |

| 1365.650-1366.140 | с8 | s^no.% | oh god knows . | |
|---------------------|----|--------|-------------------------|--|
| Example 146: Bed003 | | | | |
| LXample 140. Bed003 | | | | |
| 2112.730-2113.480 | c0 | qw | who was it trained on ? | |
| 2113.770-2114.510 | сВ | h s^no | uh i have no idea . | |
| 2114.740-2115.330 | cВ | s^no | i don't remember . | |
| | | | | |

5.7 Group 6: Action Motivators

This group contains specific tags pertaining to future action. Whether the future action occurs immediately or after a long period of time is not relevant.

The tags in Group 6 either indicate that a command or a suggestion has been made regarding some action to be taken at some point in the future or else indicate that a speaker has committed himself to executing some action at some point in the future.

Command <co>

The <co> tag marks commands. In terms of syntax, a command may arise in the form of a question (e.g., "Do you want to go ahead?") or as a statement (e.g., "Give me the microphone.").

Commands are often confused with suggestions <cs>. The distinction between the two entails considering what sort of response such an utterance could receive as well as the role of the speaker within the meeting. In terms of responses, commands are uttered as orders, where a failure to comply (e.g., a "no" answer), in an extreme sense, is perceived as a sign of indignation toward the speaker uttering the command. With regard to a suggestion, rejecting a suggestion is not considered as impolite as rejecting a command. If an utterance yields the illusion that it may be a command or a suggestion, considering whether the utterance could receive a response that is a rejection and whether that rejection is considered impolite is a helpful method to determine if the utterance is a command or a suggestion. If a rejection is considered impolite, the utterance is considered a command, otherwise it is considered a suggestion.

In terms of the role of a speaker within a meeting, generally suggestions made by the speaker running a meeting are perceived as commands. If the speaker running the meeting says to another speaker, "let's try that one," such an utterance is considered a command. Whereas, if the same utterance is made by another speaker who is not running the meeting, then the utterance is considered a suggestion instead. However,

this is not to say that all suggestions made by the speaker running a meeting are to be considered as commands. In distinguishing between commands and suggestions made by a speaker running a meeting, it is helpful to consider the method regarding whether a rejection is impolite as discussed in the previous paragraph.

Commands are seen in Example 147 through Example 162. Note that commands that appear to be suggestions within these examples are actually commands made by the speaker running the meeting.

| Example 147: Bed003 | | | | | |
|---------------------|----|------|---|--|--|
| 160.020-160.440 | c1 | s^co | continue . | | |
| Example 148: Bed003 | | | | | |
| 177.840-178.190 | c4 | s^co | proceed . | | |
| Example 149: Bed003 | | | | | |
| 581.856-582.226 | сЗ | s^co | wait . | | |
| Example 150: Bed003 | | | | | |
| 1440.550-1441.820 | c1 | s^co | let's get this uh - b clearer . | | |
| Example 151: Bed003 | | | | | |
| 1467.230-1473.090 | c2 | s^co | explain to me why it's necessary to distinguish between whether something has a door and is not public. | | |
| Example 152: Bed003 | | | | | |
| 1670.450-1675.190 | c1 | s^co | close it and - and load up the old state so it doesn't screw - screw that up . | | |
| Example 152: Bed003 | | | | | |
| 1761.440-1762.790 | сЗ | s^co | just s I start up a new d o s . | | |
| Example 153: Bmr001 | | | | | |
| 127.000-127.450 | c1 | s^co | fill it out . | | |

| Example 154: Bmr001 | | | | | |
|---------------------|----|-----------|---|--|--|
| 131.458-131.988 | с8 | s^co | just write it down . | | |
| Example 155: Bmr001 | | | | | |
| 2016.020-2017.270 | c0 | s^co | well - let's do some more while we got them here . | | |
| Example 156: Bmr005 | | | | | |
| 4248.000-4250.020 | c8 | fh s^co | so we should think about trying to wrap up here . | | |
| Example 157: Bmr007 | | | | | |
| 3080.090-3082.130 | сЗ | dw,co | so why don't you explain it quickly? | | |
| Example 158: Bro026 | | | | | |
| 236.320-247.993 | c2 | s^co^t | but i guess maybe the thing - since you weren't - yo you guys weren't at that - that meeting might be just - just to um - sort of recap uh - the - the conclusions of the meeting . | | |
| Example 159: Bro026 | | | | | |
| 311.870-317.825 | c2 | fh s^co^t | uh - maybe describe roughly what - what we are keeping constant for now . | | |
| Example 160: Bro026 | | | | | |
| 2068.470-2071.780 | c2 | s^co | yeah so maybe just c c hari and say that you've just been asked to handle the large vocabulary part here . | | |
| Example 161: Bro021 | | | | | |
| 2611.590-2618.090 | c1 | s^bk s^co | okay so now once you get that - that one then you - then you do a first or second order or something taylor series expansion of this . | | |

| Example 162: Bro026 | | | |
|---------------------|----|--------|--|
| 614.735-617.130 | c2 | s^co^t | and then uh - maybe you should just continue telling what - what else is in the - the form we have . |

Suggestion <cs>

The suggestion tag marks proposals, offers, advice, and, most obviously, suggestions.

Suggestions are often found in constructions such as "maybe we should..." Suggestions containing the word "maybe" are not to be confused with the maybe tag <am>. Additionally, if the phrase "excuse me" precedes something for which a speaker is negotiating permission (Jurafsky 35), then it is marked as a suggestion rather than an apology <fa>.

Suggestions are also often confused with commands <co>. The tag description for <co> clarifies how such might occur.

Suggestions are seen in Example 163 through Example 173:

| Example 163: Bro018 | | | |
|---------------------|----|------------|---|
| 948.67-950.165 | c5 | fg s^cs | yeah i was just going to say maybe it has something to do with hardware . |
| Example 164: Bro021 | | | |
| 28.107-28.938 | c5 | qy^cs^rt | should we take turns? |
| Example 165: Bro021 | | | |
| 28.938-29.768 | c5 | qy^cs^d^rt | you want me to run it today? |
| Example 166: Bro021 | | | |
| 33.052-36.270 | c5 | s^cs | let's see maybe we should just get a list of items . |
| Example 167: Bro021 | | | |
| 414.758-419.812 | c1 | s^cs | i i really would like to suggest |

| | | | looking um a little bit at the kinds of errors . |
|---------------------|----|-------|---|
| Example 168: Bro021 | | | |
| 1967.920-1969.610 | c2 | s^cs | maybe you have to standardize this thing also . |
| Example 169: Bro021 | | | |
| 1987.380-2000.980 | c1 | qw^cs | um given that we're going to have for this test at least of - uh boundaries what if initially we start off by using known sections of nonspeech for the estimation? |
| Example 170: Bro021 | | | |
| 2054.740-2058.370 | c4 | s^cs | if you want you c i can say something about the method . |
| Example 171: Bro021 | | | |
| 2340.390-2341.720 | c1 | s^cs | maybe we can take it off line . |
| Example 172: Bro021 | | | |
| 2564.920-2566.410 | c1 | s^cs | i think these things are a lot clearer when you can use fonts - different fonts there . |
| Example 173: Bro021 | | | |
| 711.142-715.021 | c1 | s^cs | and maybe you'd want to have something that was a little more adaptive. |
| | | | |

Commitment <cc>

The commitment tag <cc> is used to mark utterances in which a speaker explicitly commits himself to some future course of action. Commitments are not to be confused with suggestions in which a speaker suggests that he, the speaker himself, execute some action. With commitments, a speaker mentions what he will do in the future, not what he might do.

Commitments are seen in Example 174 through Example 181:

| Example 174: Bmr018 | | | |
|---------------------|----|--------|--|
| 278.930-281.910 | c0 | s^cc | i'll - i'll - i'll um - get - make that available . |
| Example 175: Bmr018 | | | |
| 526.910-527.560 | c4 | s^cc^j | i'll work on that . |
| Example 176: Bmr024 | | | |
| 1972.600-1974.890 | c5 | s^cc | my intention is to do a script that'll do everything. |
| Example 177: Bmr026 | | | |
| 196.510-198.560 | c5 | s^cc | i'll send it out to the list telling people to look at it . |
| Example 178: Bmr026 | | | |
| 202.562-203.282 | c0 | s^cc | i'll try to get to that . |
| Example 179: Bmr026 | | | |
| 211.838-212.668 | c0 | s^cc | i'm just going to do it . |
| Example 180: Bmr026 | | | |
| 218.868-227.628 | c0 | s^cc | i'm going to send out to the participants uh - with links to web pages which contain the transcripts and allow them to suggest edits . |
| Example 181: Bmr026 | | | |
| 271.030-271.440 | c5 | s^cc | i'll wait . |

5.8 Group 7: Checks

This group contains specific tags pertaining to understanding or being understood.

"Follow Me" <f>

The <f> tag marks utterances made by a speaker who wants to verify that what he is saying is being understood. Utterances marked with the <f> tag explicitly communicate or else implicitly communicate the questions "do you follow me?" or "do you understand?" In implicitly communicating those questions, a speaker's utterance may be a tag question <g>, such as "right?" or "okay?", where a sense of "do you understand?" is being conveyed.

Tag questions marked with the "follow me" <f> tag often occur in instances in which a speaker is attempting to be instructional or else is offering an explanation. After an instruction or explanation, a speaker may utter a tag question <g> that is also a "follow me" in order to gauge whether what he is saying is understood.

Instances of the "follow me" tag, some of which are shown with their surrounding context, are seen in Example 182 through Example 187:

| Example 182: Bed008 | | | |
|--|----------|---------------|--|
| 589.304-590.304 | c5 | qy^d^f^rt | this is understandable ? |
| Example 183: Bmr006 | | | |
| 23970.340-3971.190 | c1 | qy^f^rt | do you know what i'm saying? |
| Example 184: Bmr007 | | | |
| 2821.400-2823.070 | сЗ | qy^d^f^rt | you know what i mean ? |
| Example 185: Bmr008 | | | |
| 670.000-676.000 | c4 | qy^d^f | well - i guess i was thinking maybe you know how you were taking information off of the digits and putting it onto that? |
| Example 186: Bro021 | | | |
| 1267.930-1268.770 1268.770-1272.600 | c0 c0 | s.% s^bk s | i - i - i was thinking == okay so just set to - set to some really |

| 1272.600-1274.520 1274.520-1276.440 | c0 c0 | qy^d^f^g^rt s | low number the - the nonvoiced um phones . right ? and then renormalize . |
|--|----------|------------------|---|
| Example 187: Bro016 | | | |
| 264.902-267.287 | c4 | S | i mean y don't want to do this over a hundred different things that they've tried . |
| 267.287-268.822 | c4 | S | but you know for some version that you say is a good one. |
| 268.822-270.356 | c4 | qy^d^f^g | you know ? |
| 273.619-279.864 | c4 | qw | how - how much uh does it improve if you actually adjust that? |
| 284.961-288.832 | c4 | S | but it is interesting. |

Repetition Request

An utterance marked as a repetition request indicates that a speaker wishes for another speaker to repeat all or part of his previous utterance. Repetition requests are usually used when a speaker could not decipher another speaker's previous utterance and wishes to hear that portion again.

Common repetition requests include, but are not limited to, the following: "what?", "sorry?", "huh?", "pardon?", "excuse me?", and "say that again." The tag description for wh-questions <qw> proves to be quite useful in determining the general tag for some repetition requests.

Instances of repetition requests, some of which are shown with their surrounding context, are seen in Example 188 through Example 195:

| Example 188: Bed003 | | | |
|---------------------|----|----------|---|
| 1291.740-1300.550 | c1 | fh qw^rt | um how long would it take to - to add another node on the observatory and um - play around with it? |
| 1301.430-1302.290 | сЗ | qw^br^rt | another node on what ? |
| Example 189: Bed003 | | | |
| 1352.970-1355.790 | c2 | qy^bu^rt | also - you know - didn't we have a size as one ? |

| 1357.120-1357.350 | сЗ | qw^br | what ? |
|--|----------|-------------------|--|
| Example 190: Bed003 | | | |
| 3146.860-3148.940 | сЗ | qw | so who would be the subject of this trial run? |
| 3149.670-3149.910 | c1 | qw^br | pardon me ? |
| Example 191: Bmr018 | | | |
| 2495.240-2495.770 | c0 | qw^br | what did you say ? |
| Example 192: Bro015 | | | |
| 365.840-366.470 | сЗ | qw^br | what was that again ? |
| Example 193: Bmr008 | | | |
| 3114.260-3116.010 | с8 | qw | what about doing it with just the single channels? |
| 3117.010-3117.270 | c2 | qw^br^rt | sorry ? |
| Example 194: Bmr005 | | | |
| 2687.890-2688.970 2689.200-2689.640 | c2 c8 | qw^rt qw^br^rt | how many meetings is that ? what's that ? |
| Example 195: Bmr030 | | | |
| 243.000-244.000 244.000-245.000 | c1 c0 | qw qy^br^d^rt | how much memory does he have ? i'm sorry ? |

Understanding Check <bu>

The understanding check tag marks when a speaker checks to see if he understands what a previous speaker said or else to see if he understands some sort of information.

With understanding checks, a speaker usually states what he is trying verify as correct and follows that with a tag question <g>. Only the utterance, or portion of the utterance if a pipe bar is used, containing the information to be verified is marked with the <bu> tag. Tag questions <g> are not marked with the <bu> tag as they do not contain the information that is to be verified.

Understanding checks are often confused with repetition requests
br> and summaries
dbs>. With a repetition request, a speaker is seeking to hear what another speaker said again, whereas, with an understanding check, a speaker is seeking to verify if what he is saying is indeed correct. With a summary, a speaker summarizes something that was previously said and is not seeking any sort of verification of correctness.

Understanding checks in context are seen in Example 196 through Example 199:

| Example 106: Bad002 | | | |
|--|----------|---------------|---|
| Example 196: Bed003 | | | |
| 1907.630-1909.300 1909.400-1910.680 | c2 c3 | s qy^bu^rt | there's a bayes net spec for - in x m I. he's - like this guy has? |
| 1910.780-1911.550 | c3 | qy/bu/d/g/rt | the javabayes guy? |
| Example 197: Bed011 | | | |
| 1988.840-1994.600 | c2 | S | i e uh - it's either uh - for sightseeing for meeting people for running errands or doing business. |
| 2006.120-2010.250 | c1 | qy^bu^d | so business is supposed to uh - be sort of - it - like professional type stuff? |
| 2010.250-2012.320 | c1 | qy^d^g | right ? |
| Example 198: Bed011 | | | |
| 1504.790-1525.140 | c2 | S | the reading task is a lot shorter. |
| 1511.580-1516.010 | c3 | s.% | and other than that yeah i guess we'll just have to uh - listen == |
| 1516.010-1520.440 | c3 | s^bu | although i guess it's only ten minutes each. |
| 1520.440-1520.670 | c3 | qy^d^g^rt | right ? |
| Example 199: Bmr012 | | | |
| L | | | |
| 231.944-233.704 | c2 | qw^t3 | i guess - what time do we have to leave? |
| 234.144-234.774 | c2 | qy/bu/d/rt/t3 | three thirty ? |

5.9 Group 8: Restated Information

This group, as the name states, contains specific tags pertaining to information that has been restated. The group is further divided into two subgroups: repetition and correction.

REPETITION

Repeat <r>

The repeat tag <r> is used when a speaker repeats himself. This often occurs in response to repetition requests
 or else to place emphasis on a certain point.

In repeating himself, a speaker repeats all or part of one of his previous utterances. However, in order for an utterance to be considered a repeat, it must be a repeat of an utterance made at most a few seconds prior to the repeat. Also, the guidelines regarding segmentation, as discussed in Section 2, are to be taken into consideration so that utterances in which a speaker begins speaking and then starts over using the same words are within the same utterance are not segmented and the pipe bar is not employed so that the repeated portions are labeled as repeats.

It is not required that a speaker repeat himself verbatim in order for a utterance to be marked with the repeat tag <r>. If a speaker repeats himself and the repeated utterance differs by a small number of words yet approximates the original utterance, the <r> tag may be used. However, the <r> tag is not to be used if a speaker alters an utterance so much so that no obvious structural likeness can be seen. For instance, if a speaker says, "my pen has run out of ink" and then says "my pen's run out," the second statement can be considered a repeat of the first. However, if the speaker's second utterance was instead "there's no ink in my pen," that utterance would not be considered a repeat of the first.

Additionally, in repeating himself, a speaker's utterance marked as a repeat may contain more speech in addition to what was repeated. For instance, if a speaker says, "I have to leave at one," and then follows that utterance with "I have to go at one and make some phone calls," the latter utterance is still considered a repeat despite the additional information.

Repeats <r> are not to be confused with mimics <m>. As previously stated, a repeat occurs when a speaker repeats his own utterance. A mimic occurs when a speaker repeats another speaker's utterance. Repeats are also not to be confused with summaries
bs> where a speaker summarizes his own utterances as many structural differences occur between the summary and the information being summarized.

Repeats in context are seen in Example 200 through Example 202:

| Example 200: Bro017 | | | |
|---|----------------------------|------------------------------------|--|
| 1821.640-1822.990 1822.990-1823.950 | c1 c1 | s s^r | and hev everything is fixed . everything is fixed . |
| Example 201: Bro017 | | | |
| 1827.470-1828.860 1829.110-1829.720 1829.560-1829.720 1829.720-1830.390 1829.830-1830.870 | c1 c5 c1 c5 c1 | s s^bu^m s^aa s.%- s^r | for both - you would have to do . you would do it on both . yeah . so you'd actually == you have to do bo both . |
| Example 202: Bro025 | | | |
| 870.243-872.737 | c1 | qy^bu^d^rt | and there didn't seem to be any uh penalty for that ? |
| 873.030-873.386 | c2 | qy^br^rt | pardon? |
| 873.390-876.620 | c1 | qy^bu^d^r^rt | there didn't seem to be any penalty for making it causal? |

Mimic <m>

The mimic tag marks when a speaker mimics another speaker's utterance, or portion of another speaker's utterance.

As with repeats <r>, mimics do not have to be repeated verbatim in order to be considered mimics. This condition is discussed in the tag description for repeats <r>.

Also, if a speaker's utterance is marked as a mimic, it may contain more speech in addition to what is mimicked. For instance, if one speaker says, "there's a problem with the phone system," and then another speaker follows that utterance with "there's a problem with the phone system concerning what aspect?," the latter utterance would still be considered a mimic despite the additional speech.

Mimics are often forms of acknowledgments <bk> and, when such is the case, are labeled in conjunction with the <bk> tag. The most common scenario when a mimic is a form of acknowledgment occurs as a speaker who has the floor is talking and another speaker acknowledges the speaker who has the floor by mimicking part of what he says.

In other cases, a speaker will mimic another speaker and phrase the mimic in the form of a declarative question as a request for more information about what they mimicked. For instance, if a speaker's utterance is "I went to the restaurant" and another speaker's utterance in response is "the restaurant?", the response is a mimic of the first utterance and acts as a request for more information about the restaurant.

Mimics <m> are not to be confused with repeats <r>. As previously stated, A mimic occurs when a speaker repeats another speaker's utterance. A repeat occurs when a speaker repeats his own utterance.

Also, mimics are not to be confused with summaries <bs> where a speaker summarizes another speaker's utterances as many structural differences occur between the summary and the information being summarized.

Mimics in context are seen in Example 203 through Example 211:

| Example 203: Bed003 | | | |
|--|----------|-------------------|--|
| 1875.040-1875.550 1875.700-1876.410 | c3 c2 | s^co^rt s^bk^m | go up one . up one . |
| Example 204: Bed004 | | | |
| 1567.700-1568.320 1569.180-1570.630 | c4 c1 | qw s^m.% | what's tourbook ? tourbook == |
| Example 205: Bmr001 | | | |
| 1700.790-1704.110 | c8 | s | so - so they - they're going to - they're going to have to make speaker assignments or something like this . |
| 1704.030-1705.880 | c1 | s^bk^m | they're going to have to make speaker assignments. |
| Example 206: Bmr001 | | | |
| 878.126-878.426 878.352-878.672 | c8 c1 | s^bc s^bk^m | nine . nine . |
| Example 207: Bmr001 | | | |
| 1043.710-1044.080 1044.500-1044.810 | c8 c1 | s s^bk^m | it's a pain . it's a pain . |

| Example 208: Bmr005 | | | |
|--|----------|----------------|--|
| 1492.390-1495.610 | сЗ | S | i - i - i - i consider - i consider acoustic events uh - the silent too . |
| 1497.240-1497.860 | c1 | s^m | silent. |
| Example 209: Bmr005 | | | |
| 2785.520-2786.340 2786.060-2786.970 | c8 c2 | s^na s^bk^m | it's what we're aiming for . that we're aiming for . |
| Example 210: Bmr009 | 02 | 5.08.111 | that we're aiming for . |
| Example 210. Billious | | | |
| 1963.930-1966.420 | сЗ | S | well you have a like techno speak accent i think. |
| 1965.700-1967.180 | c0 | qy/bu/d/m/rt | a techno speak accent ? |
| Example 211: Bmr012 | | | |
| 123.504-124.024 124.251-124.871 | c3 c4 | s^cs s^bk^m | california . california . |

Summary <bs>

The <bs> tag marks when a speaker summarizes a previous utterance or discussion, regardless of whose speech he is summarizing.

Summaries are not to be confused with understanding checks <bs>. Understanding checks restate information for validation while summaries do not require validation. Furthermore, a DA may not contain both the <bs> and <bu> tags.

Summaries are also not to be confused with repeats <r> and mimics <m>. The tag descriptions for repeats and mimics detail how such might occur.

Summaries in context are seen in Example 212 and Example 213:

| Exa | ample 212: Bro011 | | | |
|-----|-------------------|----|---------|--|
| | 75.120-82.956 | c3 | fh s^rt | well - uh first we discussed about some of the points that i was |
| | 87.253-90.293 | c3 | s^rt | addressing in the mail i sent last week . about the um - well - the downsampling problem . |

| 91.763-94.322 | c3 | S | uh - and about the fit uh the length of the filters. |
|-------------------|----|--------------|---|
| 98.530-100.610 | с1 | qw^rt | so what's the - w what was the downsampling problem again? |
| 00 600 00 000 | 2 | %- | so we had == |
| 98.609-98.929 | c3 | | |
| 100.610-101.180 | c1 | S | i forget . |
| 100.813-105.273 | c3 | S | so the fact that there - there is no uh - low pass filtering before the downsampling . |
| 107.394-113.682 | c3 | S | there is because there is I d a filtering but that's perhaps not uh - the best . |
| 114.640-117.470 | c1 | s s^aa | depends what it's frequency characteristic is yeah . |
| 117.680-119.610 | с1 | s^cs | so you could do a - you could do a stricter one . |
| 118.240-118.580 | c4 | qy^rt^t3 | is the system on ? |
| 120.255-120.545 | c1 | s^am | maybe. |
| 120.255-120.545 | | S.% | so we discussed about this about the |
| | c3 | | um == |
| 125.550-126.740 | c1 | qy^rt | was there any conclusion about that? |
| 128.482-129.032 | c3 | h s^co^na^rt | uh - try it . |
| 130.300-130.640 | c1 | s^bk | i see . |
| 135.230-140.890 | c1 | s^bs | so again this is th this is the downsampling uh - of the uh - the feature vector stream. |
| cample 213: Bro01 | 7 | | |
| 539.307-543.396 | c1 | S | so i mean uh - uh - add moderate amount of noise to all data. |
| 544.447-549.417 | c1 | S | so that makes uh - th any additive noise less addi less a a effective . |
| 549.417-549.737 | c1 | qy^d^g^rt | right ? |
| 549.550-549.870 | c5 | s^aa | right . |
| 549.957-552.487 | c1 | s.% | because you already uh - had the noise uh - in a == |
| 552.487-555.017 | c1 | S | and it was working at the time. |
| 555.017-557.032 | c1 | s.% | it was kind of like one of these things |
| 5551511 001100E | J. | 5.70 | • |
| 559.870-566.410 | c1 | S | so well you know just take a - take a spectrum and - and - and add of the constant c to every - every value. |
| 560.570-561.820 | c5 | s.%- | well you're - you're basically y- == |
| 567.550-569.560 | c5 | s^bs | so you're making all your training data more uniform . |
| 560.570-561.820 | c5 | s.%- | spectrum and - and - and add constant c to every - every va well you're - you're basically y so you're making all your train |

CORRECTION

Correct Misspeaking <bc>

The <bc> tag is used when a speaker corrects another speaker's utterance. Corrections are based upon whether the word choice of a speaker is corrected or the pronunciation of a word is corrected.

Instances in which the correct misspeaking tag <bc> are used are shown in context in Example 214 through Example 217:

| Example 214: Bro012 | | | |
|--|----------|-------------------|--|
| 1221.540-1225.420 1218.660-1219.640 | c5 c1 | s^ar s^rt s^bc | oh no i've ninety four . ninety three point six four . |
| Example 215: Bed012 | | | |
| 2122.730-2124.280 2125.890-2126.880 | c2 c1 | s^j^2 s^bc | killing machines! reasoning machines. |
| Example 216: Bmr011 | | | |
| 3098.000-3100.000 | c6 | S | native speaking native speaking english. |
| 3100.000-3102.000 | с7 | s^bc | i bet he meant native speaking american. |
| Example 217: Bmr011 | | | |
| 1308.000-1309.000 1309.000-1311.000 | c1 c7 | s^rt s^bc | and there we're already using fourteen . and we actually only have fifteen . |

Self-Correct Misspeaking <bsc>

The <bsc> tag marks when a speaker corrects his own error, with regard to either pronunciation or word choice.

Segmentation is an issue regarding the <bsc> tag. As with repeats, a speaker may begin an utterance and correct himself within the same utterance. In such cases, the utterance is not segmented and the pipe bar is not employed to mark the <bsc> tag. Section 2 details the guidelines surrounding how and why utterances are segmented.

Instances in which the self-correct misspeaking tag <bsc> are used are shown in context in Example 218 through Example 223:

| Example 218: Bed003 | | | |
|--|----------|------------------|---|
| 567.066-574.026 | c3 | s^bk s | okay so - yeah so note the four nodes down there the - sort of the things that are not directly extracted . |
| 574.316-575.176 | c3 | s^bsc | actually the five things. |
| Example 219: Bed003 | | | |
| 1013.070-1013.210 1013.260-1013.420 | c3 c3 | s^aa s^ar^bsc | yeah . no . |
| Example 220: Bmr009 | | | |
| 301.025-303.500 | c2 | fh s | um and uh they don't look very |
| 303.750-305.600 | c2 | fh s^bsc | separate . uh separated . |
| Example 221: Bmr013 | | | |
| 1632.080-1632.920 1632.920-1633.760 | c8 c8 | s^rt.% s^bsc | well we did the hand == the one by hand . |
| Example 222: Bmr024 | | | |
| 653.072-659.242 | c5 | h s.% | uh so we have a whole bunch of digits that we've read and we have the forms and so on um but only a small number of that ha- == |
| 659.384-660.524 | c5 | s^bsc | well not a small number. |
| Example 223: Bmr018 | | | |
| 507.485-508.498 508.498-509.51 | c4 c4 | s^e s^bsc | and you're tightening the boundaries . correcting the boundaries . |

5.10 Group 9: Supportive Functions

This group contains tags that apply to utterances in which a speaker supports his own argument by defending himself, offering an explanation, or else offering additional details and utterances in which a speaker attempts to support another speaker by finishing the other speaker's utterance.

Defending/Explanation <df>

The <df> tag marks cases in which a speaker defends his own point or offers an explanation. Often, the word "because" signals an explanation.

The <df> tag is often confused with the elaboration tag <e>. The two tags differ in that, as the <df> tag marks utterances in which a speaker defends a point or offers an explanation, the <e> tag marks utterances in which a speaker offers further details.

Example 224 through Example 229 present instances of the <df> tag in context:

| Example 224: Bmr005 | | | |
|------------------------------------|----------|-------------------|--|
| 949.459-951.044 951.044-951.837 | c4 c4 | s^ar s^df | no no it isn't sensitive at all . i was just - i was jus i was overreacting just because we've been talking about it . |
| Example 225: Bmr005 | | | |
| 1012.960-1019.350 | c4 | s^arp | but i - i mean - i think also to some extent its just educating the human subjects people in a way. |
| 1019.350-1022.540 | c4 | s^df | because there's if uh - you know - there's court transcripts there's - there's transcripts of radio shows . |
| Example 226: Bmr007 | | | |
| 14.467-15.967 | сВ | qy^rt | and uh shall i go ahead and do some digits ? |
| 16.724-17.504 | сЗ | h s^ng | uh we were going to do that at the end. |
| 17.504-18.284 18.700-19.840 | c3 cB | qy^d^rt s^bk s | remember ? okay whatever you want . |

| 20.396-23.856 | сЗ | s^co^df | just - just to be consistent from here on in at least that - that we'll do it at the end. |
|--|----------|-----------------|---|
| Example 227: Bmr009 | | | |
| 459.997-463.620 | c2 | S | but i had maybe made it too complicated by suggesting early on that you look at scatter plots. |
| 463.620-467.244 | c2 | s^df | because that's looking at a distribution in two dimensions. |
| Example 228: Bro008 | | | |
| 1356.660-1357.940 1357.940-1366.720 | c4 c4 | s^na s^df | yeah because a lot of time that's true . there were a lot of times when we would try something and it didn't work right away even though we had an intuition that there should be something there . |
| Example 229: Bro015 | | | |
| 449.830-450.490 450.490-453.980 | c0 c0 | s^nd s^df^ng | this week i haven't . i've been - my whole time's been taken up with uh meeting recorder stuff . |

Elaboration <e>

The elaboration tag marks when a current speaker elaborates on a previous utterance of his by adding further details as opposed to simply continuing to speak on the same topic. When a speaker describes something using an example, the example is regarded as an elaboration.

The elaboration tag is often confused with the defending/explanation tag <df> which marks utterances in which a speaker defends a point or offers an explanation. As the defending/explanation tag revolves around reasons, the elaboration tag revolves around details.

A convention has been established in handling instances when a question is followed by an elaboration <e> which requires its own line. In such cases, the following elaboration could be considered a declarative form of the question. Instead, the elaboration receives a DA of <s^e>, along with any other necessary specific tags. The reasoning behind labeling an elaboration following a question as a statement <s> rather than a

question is that, if the elaboration were to be considered a question, then the elaboration itself would be asking something. For instance, if a speaker were to ask, "have you gone to that restaurant I suggested?", and then followed that question with an elaboration such as "the one on Sixth Street," labeling the elaboration as a type of question would indicate that the elaboration, "the one on Sixth Street," was actually eliciting some sort of answer. Instead, the question, "have you gone to that restaurant I suggested?", seeks an answer and the elaboration, "the one on Sixth Street," merely adds a detail to the question without actually asking something.

Elaborations are shown in context in Example 230 through Example 237:

| Example 230: Bed011 | | | |
|---------------------|----|-----------|--|
| 1516.010-1520.440 | сЗ | s^bu | although i guess it's only ten minutes each. |
| 1520.440-1520.670 | сЗ | qy^d^g^rt | right ? |
| 1521.030-1521.480 | c3 | s^e | roughly . |
| Example 231: Bro004 | | | |
| 1179.080-1185.130 | c1 | qw | well what was - is that i what was it that you had done last week when you showed - do you remember ? |
| 1185.310-1188.230 | c1 | s^e^rt | wh when you showed me the - your table last week. |
| Example 232: Bmr024 | | | |
| 1424.290-1427.230 | c5 | fg s^df | well but - but i put it under the same directory tree . |
| 1427.230-1429.620 | c5 | fh s^e | you know it's in user doctor speech data m r . |
| Example 233: Bro004 | | | |
| 2028.080-2038.300 | сЗ | s^cs | so uh - we were thinking about is perhaps um - one way to solve this problem is increase the number of |
| 2040.010-2044.450 | c3 | s^e.% | outputs of the neural networks . doing something like um - um - phonemes within context and == |
| Example 234: Bro004 | | | |
| 2170.080-2175.840 | c3 | S | and basically the net network is trained almost to give binary decisions . |

| 2177.730-2181.920 | c3 | s^e | and uh - binary decisions about phonemes . |
|---------------------|----|--------------|---|
| Example 235: Bro004 | | | |
| 2261.170-2264.060 | сЗ | S | so you - you have more information in your features . |
| 2264.060-2272.160 | c3 | s^e | so um - you have more information in the uh - posterior spectrum. |
| Example 236: Bro011 | | | |
| 546.896-555.660 | c1 | fh s^co^t^tc | so um - i suggest actually now we - we - we sort of move on and - and hear what's - what's - what's happening in - in other areas . |
| 555.660-562.490 | c1 | s^e^t | like what's - what's happening with your investigations about echos and so on . |
| Example 237: Bro011 | | | |
| 1471.250-1476.140 | c1 | fh s | and uh - because in the ideal case we would be going for posterior |
| | | | probabilities . |
| 1476.140-1481.030 | c1 | s^e | if we had uh - enough data to really get posterior probabilities. |
| 1481.430-1486.460 | c1 | s^e | and if the - if we also had enough data so that it was representative of the test data. |
| 1486.460-1491.500 | c1 | s^e | then we would in fact be doing the right thing to train everything as hard as we can . |

Collaborative Completion <2>

The collaborative completion tag <2> tag marks utterances in which a speaker attempts to complete a portion of another speaker's utterance. Whether the speaker whose utterance is completed by another speaker agrees with the content of the completion is inconsequential. If a speaker does agree with the completion, then the agreement is marked with the appropriate tag.

In some cases, a speaker attempts to complete another speaker's utterance and, in doing so, interrupts and stops the speaker whose utterance he is trying to complete. The interrupted speaker then resumes speaking, usually having either accepted or rejected the collaborative completion. If the collaborative completion is accepted, the tags <aa>, <na>, and <aap> are used to characterize the acceptance. Acceptance of a collaborative completion usually arises in the form of a "yes" word, as those labeled with the <aa> tag, or else by mimicking the completion, and such is marked with the <na> tag. If the collaborative completion is rejected, the tags <ar>, <nd>, <ng>, and <arp> are used to characterize the rejection. Rejection of a collaborative completion usually arises in the form of a "no" word, as those labeled with the <ar> tag, or else by a speaker completing his utterance in a manner which differs from the collaborative completion, and such is marked with either the <nd> or <ng> tag.

Collaborative completions in context are seen in Example 238 through Example 245:

| Example 238: Bed003 | | | |
|------------------------------------|----------|-------------------|---|
| 463.416-469.753 | c2 | s.%- | because we were thinking uh - if they were in a hurry there'd be less likely to - like - or th- == |
| 469.220-469.780 | c3 | s^2 | want to do vista . |
| Example 239: Bed003 | | | |
| 593.810-599.330 | c3 | S | that kind of thing is all uh - sort of - you know - probabilistically depends on the other things . |
| 598.030-599.260 | c4 | qy/bu/d/rt/2 | inferred from the other ones ? |
| Example 240: Bmr007 | | | |
| 1652.350-1654.960 | сВ | s | well but from the acoustic point of view it's all good. |
| 1655.120-1655.620 | c4 | s^aa^2 | is the same. |
| Example 241: Bmr009 | | | |
| 1937.990-1941.720 | сЗ | s.% | i think originally it was north - |
| 1941.420-1941.930 | c0 | s^2 | northwest . |
| Example 242: Bmr012 | | | |
| 435.384-437.674 436.608-437.368 | c2 c5 | s.%- qy^d^rt^2 | but there's a significant amount of == non zero? |

| Example 243: Bmr012 | | | |
|---------------------|----|---------|---|
| 1825.930-1828.470 | c2 | s | but i d i know the lapel is really suboptimal. |
| 1827.450-1827.910 | c4 | qy^rt^2 | is awful ? |
| Example 244: Bro004 | | | |
| 1462.620-1472.340 | сЗ | s^e | the uh - the um - networks are trained |
| 1471.470-1471.880 | c4 | s^2 | with noise from aurora - t i digits . aurora two . |
| Example 245: Bmr008 | | | |
| 177.000-180.000 | c1 | qw | how fine a resolution do you need on that for this? |
| 181.000-182.000 | c2 | s^2 | is the question. |

5.11 Group 10: Politeness Mechanisms

This group contains tags that apply to utterances in which speakers exhibit courteousness.

Downplayer <bd>

The downplayer tag <bd> marks cases in which a speaker downplays or deemphasizes another utterance. The utterance that is downplayed may be uttered by the same speaker or a different speaker.

Apologies, compliments, and other courteous utterances are often downplayed. In other cases, a speaker makes a strong assertion and then downplays it.

Downplayers vary in form. Some may be long utterances and others may be quite short. The following is a list of common short downplayers: "that's okay," "that's all right," "it's okay," "I'm kidding," "it's just a thought," and "never mind."

Downplayers in context are presented in Example 246 through Example 252:

| Example 246: Bmr012 | | | |
|--|----------|--------------|---|
| 960.050-960.790 961.254-964.724 | c8 c2 | s^ba s^bd | congratulations . well it was i mean - i really didn't do this myself . |
| Example 247: Bmr005 | | | |
| 954.368-958.498 | c1 | s^t | i - i came up with something from the human subjects people that i wanted to mention. |
| 958.498-959.743 | с1 | s^bd | i mean it fits into the area of the mundane. |
| Example 248: Bed006 | | | |
| 1953.730-1954.170 1955.080-1955.380 | c1 cA | s^fa s^bd | sorry . it's okay . |
| Example 249: Bro018 | | | |
| 501.447-503.797 | c2 | S | but suppose you don't really know what the right thing is . |
| 504.377-508.497 | c2 | S | and that's what these sort of dumb machine learning methods are good at . |
| 510.950-511.540 | c2 | s^bd | it's just a thought. |
| Example 250: Bmr011 | | | |
| 2778.000-2779.000 2780.000-2781.500 | c0 c0 | s.% s^bd | and then the other thing is == i don't know if this is at all useful. |
| Example 251: Bmr029 | | | |
| 1232.580-1238.270 | c2 | s.% | the - the other difference that we'd have to take care of is that == |
| 1238.270-1242.430 | c2 | fh s | uh - yeah we - we don't have a mike that uh is particular to a person . |
| 1242.430-1244.510 | c2 | S | and so we'll have to do some clustering. |
| 1244.510-1249.770 | c2 | S | and that'll be another another uh issue too . |
| 1252.160-1253.810 | c2 | s^bd | but it - it - i could be wrong. |

| Example 252: Bro010 | | | |
|---------------------|----|------|--|
| 631.950-633.005 | c2 | S | so you would think as long as it's under half a second or something. |
| 633.005-633.533 | c2 | s^bd | uh i'm not an expert on that . |

Sympathy <by>

The <by> tag marks utterances in which a speaker exhibits sympathy. Oftentimes, the phrase "I'm sorry" is used sympathetically. However, that very phrase also has the potential to be marked as a repetition request
 or as an apology <fa>, depending upon its function.

Instances of the
 tag in context are displayed in Example 253 through Example 255:

| Example 253: Bed003 | | | |
|--|----------|--------------------|--|
| 3033.120-3034.070 3033.440-3034.140 | c1 c4 | s^rt s^by^fe^rt | so i had to reboot . oh no . |
| Example 254: Bmr027 | | | |
| 1972.740-1977.040 | c0 | S | and then you can see here g p s was |
| 1977.450-1978.850 | c0 | s^by.% | misinterpreted . it's just totally understanda- == |
| Example 255: Bmr027 | | | |
| 2186.760-2189.800 | сЗ | s.% | without thinking about it when i offered up my hard drive last week == |
| 2189.260-2190.040 | c5 | s^by^fe | oh no! |

Apology <fa>

An utterance is marked as an apology <fa> when a speaker apologizes for something he did (e.g., after coughing, sneezing, interrupting another speaker, etc.).

The phrase "I'm sorry," depending upon its usage, may be interpreted as a repetition request
 or as sympathy

by>.

Additionally, the phrase "excuse me" can be used as an apology <fa> or else can be found within a suggestion <cs>. The phrase is found within a suggestion when it precedes something for which a speaker is negotiating permission (Jurafsky 35).

Apologies <fa>, some of which are in context, are shown in Example 256 through Example 261:

| Example 256: Bmr001 | | | |
|---|----------------------------|---|---|
| 876.821-877.541 876.899-877.029 878.126-878.426 878.352-878.672 878.672-879.432 | c1 c8 c8 c1 c1 | s s^aa s^bc s^bk^m s^fa s^r | so we could have eight . yeah . nine . nine . excuse me nine . |
| Example 257: Bmr005 | | | |
| 832.753-837.990 | c5 | s^fa | sorry to interrupt . |
| Example 258: Bmr009 | | | |
| 1563.000-1566.500 | c0 | s.% | because the date is when you actually read the digits and the time and == |
| 1566.500-1568.250 1568.250-1570.000 | c0 c0 | s^fa s^bsc | excuse me . the time is when you actually read the digits but i'm filling out the date beforehand . |
| Example 259: Bmr018 | | | |
| 217.760-219.630 | с1 | s^fa | he's - i - i'm sorry i should have forwarded that along . |
| Example 260: Bmr026 | | | |
| 1202.170-1203.530 | сЗ | s^fa | oh i'm sorry i misunderstood . |
| Example 261: Bmr006 | | | |
| 1202.100-1205.320 | с9 | s^fa | sorry i- have to - sorry i have to leave . |

Thanks <ft>

The <ft> tag marks utterances in which a speaker thanks another speaker.

Instances of the <ft> tag, one of which with surrounding context, are shown in Example 262⁸ through Example 264:

| Example 262: Bed003 | | | |
|--|----------|---------------|-------------------------------|
| 216.310-217.340 219.833-220.463 | c4 c2 | sj^ba s^ft | nice coinage . thank you . |
| Example 263: Bmr007 | | | |
| 3266.710-3267.720 3267.810-3268.270 | c8 c8 | s^ft s^ft | thanks . appreciate that . |
| Example 264: Bmr024 | | | |
| 2928.220-2929.450 | сЗ | s^ft | thank you for the box . |

Welcome <fw>

The <fw> tag marks utterances which function as responses to utterances marked with the thanks tag <ft>. Phrases such as "you're welcome" and "my pleasure" are marked with the welcome tag <fw>.

No instances of the <fw> tag exist within the Meeting Recorder data.

5.12 Group 11: Further Descriptions

This group contains various tags that do not fit into any of the pre-established groups. The tags within this group characterize meeting agendas, changes in topic, exclamatory material, humorous matter, self talk, third party talk, as well as syntactic and prosodic features of utterances.

⁸ Regarding the use of the tag <sj> in Example 262, refer to footnote 6.

Exclamation <fe>

The <fe> tag marks utterances in which a speaker expresses excitement, surprise, or enthusiasm. Utterances marked with the <fe> tag, excluding quotes, are punctuated with an exclamation mark <! > within the transcript.

Utterances marked with the <fe> tag can range from consisting of one word to a lengthy string of words. The most salient factor in determining if an utterance is an exclamation is the level of energy. Exclamations usually have a much higher energy than that of the surrounding utterances.

Instances of the <fe> tag are seen in Example 265 through Example 279:

| Example 265: Bed003 | | | |
|---------------------|----|------|----------|
| 47.760-47.920 | c3 | s^fe | wow! |
| Example 266: Bed003 | | | |
| 119.945-120.205 | c2 | s^fe | aha! |
| Example 267: Bed003 | | | |
| 1626.000-1626.240 | c4 | s^fe | whew! |
| Example 268: Bed003 | | | |
| 1676.950-1677.070 | c2 | s^fe | oops! |
| Example 269: Bed003 | | | |
| 1761.080-1761.190 | c4 | s^fe | god! |
| Example 270: Bed003 | | | |
| 1794.550-1794.750 | c2 | s^fe | oh! |
| Example 271: Bed003 | | | |
| 2004.230-2004.480 | c3 | s^fe | ha! |
| Example 272: Bed004 | | | |
| 3200.900-3201.260 | c2 | s^fe | oh yeah! |

| Example 273: Bmr009 | | | |
|---------------------|----|---------|--|
| 2394.570-2396.130 | c0 | s^fe | oh no ! |
| Example 274: Bed003 | | | |
| 133.711-134.431 | c4 | s^fe^j | i can read ! |
| Example 275: Bmr005 | | | |
| 1956.430-1962.910 | c4 | s^fe^m | twelve minutes! |
| Example 276: Bmr008 | | | |
| 3293.420-3294.600 | сЗ | s^fe^t3 | oh it's seventy five per cent! |
| Example 277: Bed006 | | | |
| 2876.320-2877.010 | cA | s^fe^j | damn this project ! |
| | | | |
| Example 278: Bro012 | | | |
| 3213.110-3215.050 | c0 | s^fe^rt | then do some more spectral subtraction! |
| Example 279: Bmr015 | | | |
| 525.983-527.896 | c0 | s^ba^fe | so that's amazing you showed up at this meeting! |

About-Task <t>

The about-task tag marks utterances that are in reference to meeting agendas or else address the direction of meeting conversations with regard to meeting agendas.

The about-task tag is not to be confused with the topic change tag <tc>. The topic change tag marks utterances which either end or begin a topic regardless of a meeting agenda. The about-task tag marks utterances which regard previously established items to be discussed or managed within a meeting. However, this is not to say that an utterance can only be marked by either the about-task tag or the topic change tag. Rather, both tags may be used to label an utterance so long as an utterance is changing a topic in reference to a meeting agenda. For instance, if a speaker is talking about a topic that is not part of the meeting agenda and then he or another speaker

changes the topic and mentions the agenda, then the utterance in which the change in topic and reference to the agenda occurred would be marked with the tags <t> and <tc>.

Additionally, a restriction applies to the usage of the about-task tag. The about-task tag is used to mark utterances which mention agendas and agenda items. In essence, the about-task tag marks utterances which revolve around what tasks are to be completed within the course of a meeting. So what is marked with the about-task tag is what is to be accomplished within a meeting, but when an agenda item is in the process of being "accomplished," it is not marked by the about-task tag. For instance, if a speaker mentions that an agenda item is to discuss a certain subject and then other speakers begin to discuss that subject, then the utterance mentioning that the agenda item to discuss a subject is marked with the about-task tag. However, the actual discussion about the subject is not marked with the about-task tag.

Example 280 through Example 289 display instances in which the about-task tag is used:

| Example 280: Bmr005 | | | |
|---------------------|----|-----------|--|
| 381.017-383.717 | c4 | s^t | um - so i - i do have a - an agenda suggestion . |
| Example 281: Bmr006 | | | |
| 1224.410-1229.080 | сЗ | fh s^t^tc | and then um i guess another topic would be where are we in the whole disk resources question . |
| Example 282: Bmr006 | | | |
| 4464.590-4466.090 | c3 | s^co^t^tc | let's do digits . |
| Example 283: Bmr007 | | | |
| 1938.400-1941.590 | c3 | s^t^tc | speaking of taking control you said you had some research to talk about . |
| Example 284: Bmr008 | | | |
| 15.000-18.000 | c1 | s^co^rt^t | let's discuss agenda items . |
| Example 285: Bmr010 | | | |
| 239.005-242.305 | c6 | qh^t^tc | so yeah why don't we do the speech nonspeech discussion? |

| Example 286: Bmr012 | | | |
|--------------------------------|----------|---------------|--|
| 209.361-211.781 | c4 | qy^cs^rt^t | okay so should we do agenda items? |
| Example 287: Bmr012 | | | |
| 219.415-223.365 | c4 | s^t | uh - well i have - i want to talk about new microphones and wireless stuff. |
| Example 288: Bmr014 | | | |
| 51.589-52.929 53.672-61.382 | c8 c4 | qo^t s^t | any agenda items today ? i want to talk a little bit about getting - |
| | | | how we're going to to get people to edit bleeps parts of the meeting that they don't want to include . |
| Example 289: Bro022 | | | |
| 35.044-41.771 | c0 | qy/cs/tt/t/tc | so should we just do the same kind of deal where we go around and do uh status report kind of things? |

Topic Change <tc>

The <tc> tag marks utterances which either begin or end a topic. As the <tc> tag marks when a topic changes, once the topic has indeed changed and a new topic is in the course of discussion, the discussion of the new topic is not marked with the <tc> tag.

Oftentimes, a speaker will utter a floor grabber <fg> and then introduce a new topic. As the floor grabber appears as though it is used as a mechanism to gain the floor and introduce a new topic, and in effect signals a change in topic, it is not marked with the <tc> tag. Rather, only utterances which convey a change in topic are marked with the <tc> tag. In which case, a speaker must specify in his utterance that he wishes to end a topic or else he must state that he wishes to begin a new topic either by initiating and specifying a new topic or else by merely stating that he wishes to talk about something else.

The <tc> tag may be used in conjunction with the about-task tag <t>. The tag description for the about-task tag details the rules governing such usage.

Topic changes, some of which with surrounding context, are shown in Example 290 through Example 296:

| Example 290: Bro015 | | | |
|---|----------------|-----------------------|--|
| 713.450-713.910 715.580-725.090 | c3 c3 | fg fh s^cs^t^tc | let's see . um why don't - why don't we uh - if there aren't any other major things why don't we do the digits and then - then uh - turn the mikes off . |
| Example 291: Bro007 | | | |
| 1770.390-1776.060 | c1 | s^co^t^tc | k uh - if nobody has anything else maybe we should go around do - do our digits - do our digits duty . |
| Example 292: Bmr008 | | | |
| 2697.000-2698.000 | сЗ | s^t^tc | okay enough on forms . |
| Example 293: Bro004 | | | |
| 3756.280-3766.420 | c1 | s^co^t^tc | so with that maybe we should uh - go to our digit recitation task . |
| Example 294: Bro013 | | | |
| 1899.320-1899.750 1902.920-1905.180 | c0 c0 | fg fh s^tc | okay . um i think we're sort of done . |
| Example 295: Bro013 | | | |
| 691.240-691.550 691.680-692.500 692.500-693.140 | c0 c0 c0 | fg s^tc qw^t^tc | okay . that was that topic . what else we got ? |
| Example 296: Bro015 | | | |
| 96.560-99.450 | сЗ | S | anyway hynek will be here next week and maybe he'll know more about it. |
| 105.440-105.990 106.680-111.530 | c2 c2 | fg s^tc | oh yeah . well the news more specifically t for aurora . |
| 111.530-112.450 113.880-121.622 | c2 c2 | fh s | um == so i guess there was again a conference call but uh they are not decide on everything yet. |

Joke <j>

The <j> tag marks utterances of humorous or sarcastic nature. If a speaker is attempting to be humorous, then the utterances containing humorous material are marked with the <j> tag, regardless of how those utterances received by other speakers.

Utterances marked with the <j> tag are often context dependent, in that jokes are often made with regard to the current topic at hand. A majority of jokes require the surrounding context in order to be perceived as jokes, as when jokes are seen without surrounding context, they usually tend not to appear as being humorous or sarcastic.

Example 297 through Example 301 display jokes with surrounding context:

| Example 297: Bro021 | | | |
|--|----------|-------------------------|---|
| 1077 020 1070 270 | ٥Ē | συ 4 ι Λιτ 4 | what what is vita again? |
| 1877.030-1878.270 1878.070-1881.140 | c5 c4 | qw^rt | what - what is v t s again ? |
| | | S | uh vectorial taylor series . |
| 1880.420-1881.070 | c5 | s^bk | oh yes . |
| 1881.070-1881.710 | c5 | s^aa | right right. |
| 1882.530-1885.350 | c5 | S | i think i ask you that every single meeting. |
| 1885.350-1886.750 | с5 | qy^g | don't i ? |
| 1884.860-1885.590 | c4 | qw^br | what ? |
| 1886.750-1888.160 | c5 | S | i ask you that question every meeting. |
| 1887.310-1888.120 | c4 | s^aa | yeah. |
| 1888.080-1890.790 | c1 | s^j | so that'd be good from - for analysis. |
| 1890.790-1892.140 | c1 | s^df^j | it's good to have some uh cases of the same utterance at different times. |
| 1891.680-1893.200 | c5 | s^bk | yeah . |
| 1893.200-1894.720 | c5 | qw^j | what is v t s ? |
| Example 298: Bro017 | | | |
| 2173.380-2175.970 | c1 | s^cs.% | but what you can do - i'm confident we ca- == |
| 2175.970-2178.550 | c1 | S | well i'm reasonably confident and i putting it on the record . |
| 2178.550-2178.730 | с1 | qy^d^f^rt | right? |
| 2178.730-2183.790 | с1 | s^j | i mean y people will listen to it for - for centuries now . |
| Example 299: Bro016 | | | |
| 1386.190-1388.280 | c5 | qy | do you have speaker information? |

| 1388.930-1393.370 1389.800-1392.410 1391.980-1395.370 1392.410-1394.130 | c4 c5 c1 c5 | s^j s^ba s s^j | social security number . that would be good . like we have male female . bank pin . |
|--|----------------------|-----------------------------|--|
| Example 300: Bro014 | | | |
| 8.347-9.712 9.712-11.077 | c1 c1 | fg qy^j^rt | okay . did you solve speech recognition last week ? |
| Example 301: Bro014 | | | |
| 40.831-41.701 42.154-44.306 44.306-45.382 45.382-46.458 | c2 c1 c1 c1 | qy^rt h s^j^na s^j | is he going to come here ? uh == well we'll drag him here . i know where he is . |

Self Talk <t1>

The <t1> tag is used when a speaker talks to himself. Often, utterances marked as self talk are quieter and softer than the surrounding speech.

A case in which the self talk tag is used occurs when a speaker is writing something down and consequently repeats what he writes to himself. In other instances, a speaker may be attempting to make some sort of a calculation or solve a problem and talks to himself in the process of figuring out the answer.

Although it has been mentioned that certain types of utterances, such as backchannels

 and floor holders <fh>, are not forms of direct communication between speakers, these utterances are not considered self talk either.

Example 302 through Example 305 display instances of the self talk tag, most of which are shown with surrounding context.

| Example 302: Bmr007 | | | |
|---------------------|----|------|--|
| 787.674-792.891 | c8 | s.% | in that case um my c- the coding that i was using - since we haven't uh incorporated adam's uh coding of overlap yets the coding of == |
| 792.891-798.109 | c8 | s^t1 | yeah yets is not a word . |

| E 1- 000 D 040 | | | |
|---------------------|----------|----------|---|
| Example 303: Bro018 | | | |
| | | | |
| 2987.260-2989.580 | c2 | s.% | i - i - i th i think he == |
| 2991.360-2992.210 | c2 | qo^t1 | what am i saying here? |
| | <u> </u> | 4 | |
| Example 304: Bro014 | | | |
| Example 304. Bio014 | | | |
| E0 4E4 E4 000 | -1 | - ^44 | do o do o do o |
| 50.154-51.928 | | s^t1 | doo doo doo . |
| 53.633-54.207 | c4 | s^t1 | doo doo . |
| | | | |
| Example 305: Bro021 | | | |
| - | | | |
| 2230.830-2235.540 | c1 | fh s.% | uh - so that's log of x plus log of one |
| | ٠. | | plus uh == |
| 2226 470 2226 760 | 01 | fh | • |
| 2236.170-2236.760 | c1 | fh | well. |
| 2237.360-2238.270 | с1 | qy^rt^t1 | is that right? |
| 2238.270-2239.180 | c1 | s^e^t1.% | log of == |
| 2238.710-2240.560 | c3 | s^t1 | one plus n by x. |
| | | | , |
| | | | |

Third Party Talk <t3>

The third party talk tag marks utterances of side conversations. Side conversations are conversations which are not directed toward the main conversation and may only consist of a handful of utterances or may be quite lengthy.

Instances of third party talk are shown in Example 306 through Example 309 with surrounding context.

| Example 306: Bmr007 | | | |
|---------------------|----|---------|--|
| 1389.340-1394.230 | cA | S | so so - actually um that's in part because the nodding - if you have visual contact the nodding has the same function. |
| 1394.230-1399.120 | cA | S | but on the phone in switchboard you - you - that wouldn't work . |
| 1398.900-1399.680 | cВ | s^na | yeah you don't have it . |
| 1399.120-1401.260 | cA | S | so so you need to use the backchannel. |
| 1401.140-1405.880 | cВ | s^t3.% | your mike is == |
| 1403.000-1410.570 | c0 | qy^r^rt | so in the two person conversations when there's backchannel is there a |

| | _ | | great deal of overlap in the speech? |
|---------------------|----|---------------|---|
| 1405.880-1410.630 | сВ | s^co^t3 | that is an earphone so if you just put it so it's on your ear . |
| 1410.570-1411.000 | c0 | qrr.% | or ?== |
| 1411.000-1417.160 | c0 | S | because my impression is sometimes it happens when there's a pause. |
| 1411.170-1411.450 | c1 | s^aa | yes. |
| 1411.250-1411.660 | сВ | s^t3 | there you go. |
| 1412.160-1412.380 | c1 | b | yeah. |
| 1412.630-1412.940 | cВ | s^ft^t3 | thank you . |
| 11121000 11121010 | ٠ | 0 11 10 | |
| Example 307: Bro004 | | | |
| 1109.570-1111.640 | c2 | qy^d^rt^t3 | these numbers are uh - ratio to baseline ? |
| 1110.650-1111.840 | c1 | qw.%- | so i mean - wha what's the ?== |
| 1111.840-1121.980 | c1 | gy^bu^d | this - this chart - this table that we're |
| | | | looking at is um - sho is all testing for t i digits? |
| 1123.260-1126.910 | c3 | s^rt | so you have uh - basically two uh - parts . |
| 1123.610-1123.880 | с9 | s^t3 | bigger is worse . |
| 1123.880-1125.290 | с9 | s^t3 | this is error rate i think . |
| 1125.570-1125.690 | с9 | s^ar^t3.% | no no . |
| 1125.640-1126.040 | c2 | s^t3 | ratio . |
| 1126.910-1130.580 | c3 | s^rt | the upper part is for t i digits. |
| 1130.580-1134.240 | c3 | s^rt | and it's divided in three rows of four - four rows each. |
| 1128.380-1128.640 | с9 | s^aa^t3 | yeah yeah . |
| Example 308: Bro003 | | | |
| 2159.050-2161.170 | c0 | qy^rt | is that - was that distributed with aurora? |
| 2161.170-2162.230 | c0 | qrr.% | or ?== |
| 2161.490-2161.730 | с8 | s.% | italian . |
| 2161.960-2163.020 | c2 | qr/bu/d/rt/t3 | one I or two I's ? |
| Example 309: Bed012 | | | |
| 998.980-1001.180 | c1 | s^rt | and we get a certain - we have a situation vector and a user vector and everything is fine. |
| 1001.540-1004.130 | c1 | %- | an an and - and our - and our == |
| 1002.750-1005.980 | c2 | qy^rt^t3 | did you just sti did you just stick the |

| 1005.790-1008.320 1008.500-1009.530 | c0 c1 | s^ar^t3 fh | actually in the tea ? no . and um == |
|--|----------|------------------|---|
| 1009.480-1010.290 | c0 | s^ng^t3 | i'm not drinking tea . |
| 1010.290-1011.100 1011.770-1012.260 | c0 c2 | qw^t3 s^bk^t3 | what are you talking about ? oh yeah . |
| 1012.260-1012.750 | c2 | s^fa^t3 | sorry. |
| 1013.580-1017.780 | c1 | s^co^rt | let's just assume our bayes net just has three decision nodes for the time being. |
| | | | |

Declarative Question <d>

The declarative question tag marks questions which have the syntactic appearance of a statement. In declarative questions, the subject precedes the verb and subject-auxiliary inversion and wh-movement do not occur. It is not uncommon for a rising tone <rt> to be found on a declarative question, however a rising tone does not always function as an indicator that a question is being asked.

Additionally, tag questions <g> are often declarative questions. This is only the case when subject-auxiliary inversion does not occur (e.g., "you do?" rather than "do you?") or if the question consists of only one word (e.g., "right?") or does not contain a verb (e.g., "the tenth of July?"). However, if a question consists of one word and that word is a "wh" word, such as those mentioned in the tag description for wh-questions <wh>, then neither the tags <d> or <g> are used.

Declarative questions are seen in Example 310 through Example 324:

| Example 310: Bro021 | | | |
|---------------------|----|------------|---|
| 979.242-980.846 | c1 | qy^d^g^rt | right ? |
| Example 311: Bro013 | | | |
| 2020.370-2020.610 | c0 | qy^d^f^g | you know ? |
| Example 312: Bro021 | | | |
| 2493.820-2495.190 | c4 | qy^d^g^rt | no ? |
| Example 313: Bmr007 | | | |
| 92.862-98.798 | c3 | fh qo^d^rt | um and anything else anyone wants to talk about ? |

| Example 314: Bmr007 | | | | |
|---------------------|----|--------------|--|--|
| 112.365-116.868 | сЗ | fh qo^d^rt | um and anything else ? | |
| Example 315: Bmr007 | | | | |
| 117.088-118.018 | сЗ | qo^d | nothing else ? | |
| Example 316: Bmr007 | | | | |
| 171.144-171.704 | c0 | qy^d^rt^2 | same idea ? | |
| Example 317: Bmr007 | | | | |
| 628.021-630.973 | сЗ | qy^bu^d | oh so the bottom three did have s- stuff going on ? | |
| Example 318: Bmr007 | | | | |
| 653.124-653.594 | c3 | qy^d | you don't know ? | |
| Example 319: Bmr021 | | | | |
| 342.000-343.000 | c4 | qy^bu^d^rt | a wired one ? | |
| Example 320: Bed006 | | | | |
| 2804.550-2807.290 | c4 | qy^bu^d^rt | or you'd like - so you're saying you could practically turn this structure inside out ? | |
| Example 321: Bmr024 | | | | |
| 929.052-930.972 | c4 | qy^d | the references for - for those segments ? | |
| Example 322: Bmr024 | | | | |
| 1075.910-1081.850 | сЗ | fg qy^d^t^tc | um another one that we had on adam's agenda that definitely involved you was s something about smartkom? | |
| Example 323: Bro017 | | | | |
| 2117.620-2122.540 | c5 | qy^d^rt | so that effectively the c one never really | |
| | | | | |

| contributes to the score? | |
|---------------------------|--|
|---------------------------|--|

Example 324: Bro017

2487.900-2489.260 c5 qy^d^rt see how many cycles we used?

Tag Question <g>

A tag question follows a statement and is a short question seeking confirmation of that statement. Tag questions receive a general tag of <qy> and are often used in conjunction with the "follow me" tag and the declarative question tag <d>. The tag description for declarative questions <d> discusses the instances in which it may be used in conjunction with the tag <g>. Utterances preceding tag questions are labeled as statements <s> rather than declarative yes/no questions <qy^d>.

Tag questions are often found following statements marked with the understanding check tag <bu>

du>.

Common utterances marked with the <g> tag include, but are not limited to, the following: "right?", "yes?", "yeah?", "no?", "okay?", "isn't it?", "correct?", "won't it?", "doesn't it?", and "you know?".

Tag questions in context are seen in Example 325 through Example 334:

| Example 325: Bed011 | | | |
|--|----------|----------------|---|
| 2073.940-2074.690 2074.690-2075.440 | c1 c1 | s^bu qy^d^g | exchange money is an errand . right ? |
| Example 326: Bed003 | | | |
| 407.887-409.477 | c2 | S | so then our next idea was to add a |
| 409.477-409.777 | c2 | qy^d^f^g | middle layer . right ? |
| Example 327: Bed003 | | | |
| 1391.100-1398.880 | c1 | S | in the sense that you know - if it's tom - the house of tom cruise you know - |
| 1399.230-1399.520 | c1 | qy^d^f^g^rt | it's enterable but you may not enter it . you know? |

| Example 328: Bed003 | | | |
|--|----------|------------------|--|
| 2298.190-2301.170 | c1 | s:s | and then the persons says um - yeah i want to see it. |
| 2302.210-2302.320 | c1 | qy^d^g | yeah ? |
| Example 329: Bed004 | | | |
| 3059.570-3065.040 | c2 | S | there - the - the land the construction implies the there's a con- this thing is being viewed as a |
| 3065.920-3066.250 | c2 | qy^d^f^g | container . okay ? |
| Example 330: Bmr001 | | | |
| 95.697-98.097 | с8 | S | and this - this one is right at the end of |
| 98.477-98.757 | с8 | qy^d^f^g | the table . okay ? |
| Example 331: Bmr005 | | | |
| 1473.790-1474.370 1474.370-1474.940 | c8 c8 | s^m qy^d^g^rt | that's a lot of overlap . yeah ? |
| Example 332: Bmr001 | | | |
| 1237.390-1238.960 | c1 | fg s^bu | yeah so we don't store any of our |
| 1238.960-1240.530 | c1 | qy^d^g | audio formats compressed in any way . do we? |
| Example 333: Bmr005 | | | |
| 1257.220-1260.490 | c8 | fg s^bu | well you weren't talking about just |
| 1260.490-1260.740 | c8 | qy^d^g^rt | overlaps . were you ? |
| Example 334: Bmr005 | | | |
| 1763.010-1764.720 | c2 | fh s | i mean - the normalization you do is over the whole conversation . |
| 1764.720-1766.490 | c2 | qy^g^rt | isn't it ? |
| | | | |

Rising Tone <rt>

The rising tone tag is used to mark utterances in which a speaker's tone rises at the end of his utterance. Rising tones at the end of utterances occur in both questions and statements. Although intonation does not constitute a dialog act, the use of the <rt> tag provides useful information for automatic speech recognition.

5.13 Group 12: Disruption Forms

As stated in Section 3.4, disruption forms are used to mark utterances that are indecipherable, abandoned, or interrupted. Only one disruption form may be used per utterance. Guidelines and restrictions surrounding the format and use of disruption forms that are not mentioned in the tag descriptions for the indecipherable, interrupted, abandoned, and nonspeech tags are found in Section 3.4.

Examples are not provided within the tag descriptions for the indecipherable, interrupted, and nonspeech tags, as they require the corresponding audio portion in order to convey why it is that an utterance is indecipherable, interrupted, abandoned, or is considered nonspeech.

Additionally, Section 2 discusses segmentation and proves to be of much assistance in using disruption forms.

Indecipherable <%>

The indecipherable tag marks indecipherable speech such as mumbled or muffled words or utterances that are too difficult to hear on account of the microphone picking up sounds from breathing.

The indecipherable tag <%> is not to be confused with the nonspeech tag <x>. The nonspeech tag <x> is used for sound segments which are silent or otherwise contain non-vocal sounds such as doors slamming and phones ringing. The nonspeech tag <x> does not apply to sounds such as breathing and sighs, as these are vocal sounds. However, sounds such as coughing and sneezing may be considered vocal sounds but are instead categorized with the nonspeech variety.

Interrupted <%->

The interrupted tag marks incomplete utterances in which a speaker stops talking on account of being interrupted by another speaker. This tag is not to be confused with the abandoned tag <%--> which is used to mark instances in which a speaker intentionally abandons an utterance.

As the most salient examples of the interrupted tag involve speakers giving up the floor immediately, the interrupted tag is even used in cases in which a speaker has the floor and is interrupted but does not immediately relinquish the floor. The reasoning behind using the interrupted tag rather than the abandoned tag <%--> in such instances is because the speaker gives up the floor on account of being interrupted.

Abandoned <%-->

The abandoned tag marks utterances which are abandoned by a speaker. Abandoned utterances occur when a speaker trails off or else chooses to either reformulate an utterance or change the topic by abandoning his current utterance and beginning a new one.

The issues mentioned in Section 2 regarding segmentation are of crucial importance when using the abandoned tag. For instance, if a speaker begins an utterance and restarts it in a different manner, and the prosody and pauses are such that the original utterance and the restarted version constitute a single utterance, the entire utterance remains intact and is labeled in a way that reflects its completeness. The utterance is not split at the point between the beginning and the restarted portion, and the beginning portion is not marked as being abandoned. In Example 335, an utterance is shown that is restarted and remains intact, rather than being split at the region where it is restarted:

| Example 335: Bro021 | | | |
|---------------------|----|---|--|
| 1730.970-1733.270 | сЗ | S | and it - it - it gave like - i just got the signal out . |

Abandoned utterances are seen with surrounding context in Example 336 through Example 339:

| Example 336: Bro021 | | | |
|---------------------|----|---|---|
| 186.057-194.998 | c2 | S | well uh there is one thing that we can observe is that the mean are more different for - for c zero and c one than for the other coefficients . |

| 195.634-196.920 198.663-199.323 200.819-203.469 203.469-215.256 | c2 c2 c2 c2 | fh fh s.% s | and == yeah. and - yeah it - the c one is == there are strange - strange thing happening with c one is that when you have different kind of noises the mean for the - the silence portion is - can be different. |
|--|----------------------|----------------------|--|
| Example 337: Bro021 | | | |
| 261.708-276.050 | c2 | fh s^rt | um a third thing is um that instead of t having a fixed time constant i try to have a time constant that's smaller at the beginning of the utterances. |
| 276.050-279.990 | c2 | s^e | to adapt more quickly to the r something that's closer to the right mean. |
| 280.273-282.108 | c2 | fh | t t um == |
| 283.723-286.491 | c2 | s^bk | yeah . |
| 286.491-287.875 | c2 | S | and then this time constant increases. |
| 287.875-289.259 | c2 | s.% | and i have a threshold that == |
| 289.855-298.584 | c2 | S | well if it's higher than a certain threshold i keep it to this threshold to still uh adapt um the mean when - if the utterance is uh long enough to - to continue to adapt after like one second. |
| Example 338: Bro026 | | | |
| 1235.390-1237.000 1237.000-1237.420 | c3 c3 | qy^rt qrr.% | would - would that set on the handset ? or ?== |
| Example 339: Bro025 | | | |
| 118.800-127.061 | c1 | s^na | yeah i mean it's - it's actually uh very similar . |
| 127.061-128.844 | c1 | s.% | i mean if you look at databases == |
| 129.611-130.740 | c1 | fh | uh == |
| 132.232-141.440 | c1 | s | the uh one that has the smallest - smaller overall number is actually better on the finnish and spanish. |
| 142.317-147.387 | c1 | fh s | uh but it is uh worse on the uh aurora . |
| 145.334-146.817 | c4 | s^2.% | it's worse on == |
| 147.387-151.000 | c1 | s^bsc | i mean on the uh t i t i digits . |

Nonspeech <x>

The nonspeech tag marks any utterance that is unintelligible on account of non-vocal noises such as doors slamming, phones ringing, and problems with a recording. The nonspeech tag also marks coughing and sneezing sounds, as well as utterances filled with silence.

The nonspeech tag is not to be confused with the indecipherable tag <%> which marks utterances that are unintelligible on account of muffled speech, mumbling, breathing sounds, and sighing.

5.14 Group 13: Nonlabeled

Group 13 solely contains the nonlabeled tag <z>. As stated in Section 3.2, the tag <z> does not provide any information regarding the characteristics and functions of utterances as the tags of the other groups do, and for this reason it is separated from those groups.

Nonlabeled <z>

The nonlabeled tag marks utterances that are not to be labeled with a DA. Types of utterances that are not to be labeled are those containing to pre- or post-meeting chatter, those pertaining to "bleeped" portions in the corresponding audio file, and those pertaining to the reading of digits. The tag <z> marks utterances which otherwise would be labeled with DAs but instead are intentionally not to be labeled.

As a side note, the convention of marking pre- and post-meeting chatter with the <z> tag was a fairly recent development. In which case, a number of utterances which are now marked with the <z> tag were originally marked with DAs consisting of the tags found in Groups 1 through 12 along with adjacency pairs. As these original DAs have been replaced with the <z> tag, the APs, however, have been preserved per chance they are of use for future research. As the information derived from APs is optimized with the use of corresponding DAs, APs corresponding to utterances marked with the <z> tag can only provide optimal information upon being relabeled with DAs consisting of the tags found in Groups 1 through 12.

APPENDIX 1: LABELED MEETING SAMPLE

A labeled five-minute portion of Bro021 is shown below. Included are start and end times, channel numbers, DAs, adjacency pairs, and the corresponding portions of the transcript.

| 1828.250-1832.820 | сЗ | S | | i like plugged some groupings for computing this eigen uh uh |
|-------------------|----|-----------|-------|--|
| 1832.820-1839.250 | c3 | S | | uh s values and eigenvectors . so just - i just some small block of things which i needed to put together for the subspace |
| 1839.250-1845.680 | c3 | S | | approach . and i'm in the process of like building up that stuff . |
| 1846.670-1849.080 | сЗ | fh | | and um == |
| 1850.400-1852.790 | сЗ | fh | | uh - yeah . |
| 1854.120-1856.580 | сЗ | S | | i guess - yep i guess that's it . |
| 1856.580-1859.040 | c3 | S | | and uh th th that's where i am right now . |
| 1859.620-1860.630 | сЗ | fh | | so. |
| 1861.560-1863.000 | c5 | qo^tc | 1a | oh how about you carmen? |
| 1862.830-1865.740 | c4 | S | 1b | huh i'm working with v t s . |
| 1866.330-1869.160 | c4 | fh s | | um i do several experiment with the spanish database first. |
| 1869.150-1873.400 | c4 | s^e | 2a | only with v t s and nothing more. |
| 276.050-279.990 | c2 | s^e | | to adapt more quickly to the r something that's closer to the right mean. |
| 1875.520-1876.580 | с4 | s^e | | no I d a . |
| 1873.400-1875.520 | с4 | s^e | | not v a d . |
| 1876.580-1877.640 | c4 | s^e | | nothing more . |
| 1877.030-1878.270 | c5 | qw^rt | 2b.3a | what - what is v t s again ? |
| 1878.070-1881.140 | c4 | S | 3b.4a | uh vectorial taylor series . |
| 1878.320-1879.090 | сЗ | %- | | new == |
| 1880.420-1881.070 | c5 | s^bk | 4b | oh yes . |
| 1881.070-1881.710 | c5 | s^aa | 4b+ | right right . |
| 1881.350-1883.060 | c4 | S | | to remove the noise too . |
| 1882.530-1885.350 | c5 | S | 5a | i think i ask you that every single meeting. |
| 1885.350-1886.750 | c5 | qy^g | 5a+ | don't i ? |

| 1884.860-1885.590 | c4 | qw^br | 5b.6a | what? |
|-------------------|----|--------|-----------|---|
| 1886.750-1888.160 | c5 | S | 6b.7a | i ask you that question every meeting. |
| 1887.310-1888.120 | c4 | s^aa | 7b-1 | yeah. |
| 1888.120-1888.930 | c4 | %- | | if - well == |
| 1888.080-1890.790 | c1 | s^j | 7b-2.8a | so that'd be good from - for |
| | | • | | analysis . |
| 1890.790-1892.140 | c1 | s^df^j | 7b-2+.8a+ | it's good to have some uh cases of the same utterance at different - different times . |
| 1892.140-1893.490 | c1 | fh | | yeah. |
| 1891.680-1893.200 | с5 | s^bk | 8b | yeah . |
| 1893.200-1894.720 | c5 | qw^j | 8b+.9a | what is v t s ? |
| 1895.100-1896.260 | c4 | s^m | 9b | vts. |
| 1896.260-1897.410 | c4 | S.% | 30 | i'm sor- == |
| | | | | |
| 1897.410-1898.980 | c4 | s.% | | well um the question is that == |
| 1898.980-1900.540 | c4 | fh | | well. |
| 1900.540-1903.300 | c4 | S | | remove some noise but not too much . |
| 1903.700-1909.290 | c4 | fh s | | and when we put the m m the them - v a d the result is better. |
| 1909.290-1915.030 | c4 | S | | and we put everything the result is better. |
| 1915.030-1920.770 | c4 | S | 10a | but it's not better than the result that we have without v t s . |
| 1921.110-1921.780 | c4 | s^ar | | no no . |
| 1923.210-1924.060 | c1 | s^bk | 10b | i see . |
| 1924.060-1930.290 | c1 | s.% | 11a | so that given that you're using the v a d also the effect of the v t s is not so far == |
| 1929.630-1930.270 | c4 | s^na | 11b | is not. |
| 1930.780-1934.640 | c1 | qw^rt | 12a | do you - how much of that do you think is due to just the particular implementation and how much you're adjusting it? |
| 1934.640-1938.490 | c1 | qw.% | 12a+ | or how much do you think is intrinsic to ?== |
| 1936.770-1937.830 | c4 | s^no | 12b | pfft i don't know . |
| 1937.830-1938.880 | c4 | s^df.% | 12b+ | because == |
| 1938.880-1940.500 | c4 | fh | | hhh == |
| 1939.210-1941.350 | c2 | | 13a | |
| | | qy | 13a | are you still using only the ten first frame for noise estimation? |
| 1941.350-1943.490 | c2 | qrr.% | | or ?== |
| 1944.260-1953.610 | c4 | h s^rt | 13b | uh i do the experiment using |
| | | | | |

| | | | | only the f onl uh to use on |
|--|----------|-----------------|------|--|
| | | | | only one fair estimation of the |
| 1011 000 1010 010 | . 0 | 0/ | | noise . |
| 1944.890-1946.040 | c2 | qrr.% | | or i- ?== |
| 1948.290-1948.820 | c2 | b | | yeah . |
| 1949.670-1950.580 | c2 | b | 401 | huh . |
| 1953.610-1961.850 | c4 | S | 13b+ | and also i did some experiment |
| | | | | uh doing um a lying estimation of |
| 1000 100 1005 000 | - 1 | - 0/ | | the noise. |
| 1962.430-1965.860 | c4 | s.% | | and well it's a little bit better but |
| 1066 FEO 1067 100 | 0.1 | v | | not == |
| 1966.550-1967.100 | c4 c2 | X 2^22 | | n- == |
| 1967.920-1969.610 | C2 | s^cs | | maybe you have to standardize |
| 1970.450-1974.600 | c2 | s^df.% | | this thing also . |
| 1970.450-1974.600 | 02 | 5'ui.70 | | because all the thing that you are |
| 1969.610-1970.450 | c2 | s^e | | testing use a different == noise estimation. |
| 1975.430-1975.930 | c4 | b | | huh . |
| 1975.490-1976.000 | c3 | b | | huh . |
| 1975.780-1978.860 | c2 | s^df | | they all need some - some noise |
| 1070.700 1070.000 | 02 | 3 di | | - noise spectra . |
| 1978.860-1981.940 | c2 | s^df | | but they use - every - all use a |
| | <u> </u> | . | | different one. |
| 1976.720-1979.030 | c4 | s^ar s | | no i do that two - t did two |
| | | • | | time . |
| 1982.310-1983.860 | c1 | S | | i have an idea . |
| 1983.860-1985.620 | c1 | s.% | | if - if uh uh == |
| 1985.620-1986.500 | с1 | s^aa | | y you're right . |
| 1986.500-1987.380 | с1 | S | | i mean each of these require |
| | | | | this. |
| 1987.380-2000.980 | с1 | qw^cs | | um given that we're going to |
| | | | | have for this test at least of - uh |
| | | | | boundaries what if initially we |
| | | | | start off by using known sections |
| | | | | of nonspeech for the |
| 4000 540 0000 050 | - 4 | | | estimation ? |
| 1999.540-2000.350 | c4 | b | | uhhuh . |
| 1999.630-2000.020 | c2 | b av AdAaArt | | uhhuh . |
| 2003.140-2003.740 2003.740-2005.860 | c1 c1 | qy^d^g^rt fh | | right ? |
| 2003.740-2005.860 | c2 | b | | s so e um == |
| 2004.160-2004.570 | c2 | b b | | yeah . uhhuh . |
| 2004.160-2004.570 | c1 | ນ s^df | | first place i mean even if |
| 2000.000-2010.710 | 01 | 3 UI | | ultimately we wouldn't be given |
| | | | | the boundaries uh this would be |
| | | | | a good initial experiment to |
| | | | | a good miliar experiment to |

| | | | | separate out the effects of |
|---------------------|-----------------|--------|------|--|
| 0040 740 0045 000 | | | | things. |
| 2010.710-2015.930 | c1 | qw | | i mean how much is the poor you |
| | | | | know relatively uh unhelpful |
| | | | | result that you're getting in this or |
| | | | | this or this? |
| 2015.930-2021.370 | c1 | qy | | is due to some inherent |
| | | | | limitation to the method for these |
| | | | | tasks? |
| 2021.370-2031.420 | c1 | qw | | and how much of it is just due to |
| | | · | | the fact that you're not accurately |
| | | | | finding enough regions that - that |
| | | | | are really n noise ? |
| 2028.600-2029.070 | c3 | b | | huh . |
| 2030.230-2030.880 | c4 | b | | uhhuh . |
| 2030.780-2031.490 | c2 | b | | uhhuh . |
| 2032.080-2033.070 | c1 | fh | | um == |
| 2033.070-2037.980 | c1 | s^df | 14a | so maybe if you tested it using |
| 2000.070 2007.000 | 01 | 3 di | iπα | that you'd have more reliable |
| | | | | stretches of nonspeech to do the |
| | | | | estimation from . |
| 2037.980-2042.900 | 01 | 0 | 14a+ | |
| | c1 | S | | and see if that helps. |
| 2042.880-2045.120 | c4 | s^bk | 14b | yeah. |
| 2045.120-2046.250 | c4 | s^tc | | another thing is the them - the |
| 2046 250 2047 270 | - 1 | - Al | | codebook . |
| 2046.250-2047.370 | c4 | s^bsc | | the initial codebook. |
| 2047.370-2049.380 | c4 | s.% | | that maybe == |
| 2049.380-2050.380 | c4 | S | | well it's too clean . |
| 2050.380-2051.380 | c4 | fh | | and == |
| 2051.240-2051.980 | c1 | b | | uhhuh . |
| 2051.380-2052.560 | c4 | s^df.% | | because it's a == |
| 2052.560-2053.150 | c4 | fh | | i don't know . |
| 2053.150-2053.740 | c4 | s.% | | the methods == |
| 2054.740-2058.370 | c4 | s^cs | 15a | if you want you c i can say |
| | | | | something about the method. |
| 2058.420-2059.090 | c1 | s^aa | 15b | uhhuh . |
| 2059.380-2060.780 | c4 | s.% | | yeah in the == |
| 2065.040-2070.080 | c4 | s^df | | because it's a little bit different of |
| | | | | the other method. |
| 2071.310-2072.790 | c4 | s.% | | well we have == |
| 2073.710-2088.990 | c4 | S | | if this - if this is the noise signal |
| | • | - | | uh in the log domain we have |
| | | | | something like this . |
| 2102.010-2103.390 | c4 | S | | now we have something like |
| Z 10Z.010-Z 100.030 | C -1 | 3 | | this. |
| 2103.390-2107.640 | c4 | s.% | | and the idea of these methods is |
| 2103.330-2101.040 | U 1 | 3. /0 | | and the idea of these methods is |
| | | | | |

| | | | to n given a um == |
|-------------------|----|----|-------------------------------------|
| 2107.640-2111.900 | c4 | qw | how do you say ? |
| 2108.620-2110.040 | c1 | b | huh huh . |
| 2111.900-2115.240 | с4 | S | i will read because it's better for |
| | | | my english . |
| 2116.130-2117.780 | c4 | % | i i given == |
| 2117.780-2120.610 | c4 | S | is the estimate of the p d f of the |
| | | | noise signal . |
| 2120.610-2131.340 | c4 | S | when we have a - um a statistic |
| | | | of the clean speech and an |
| | | | statistic of the noisy speech. |
| | | | |

APPENDIX 2: TAGS USED IN SOME MEETINGS

As work on dialog act labeling progressed, the original tagset used underwent many changes and eventually evolved to the form that is presented within this guide. As most changes to the tagset occurred early on, in its final stages, the tagset underwent a scant number of changes prior to being finalized. During its final stages, a number of meetings were labeled and consequently do not reflect a few of the minute changes present within the current tagset. Those changes include the elimination of the <sj>, <fx>, and <oo> tags. Instances in which the <sj> tag was used are preserved within the data, however instances in which the <fx> and <oo> tags were used are not preserved and the data has subsequently been updated to reflect the current tagset.

Subjective Statement <sj>

Originally, a distinction existed where the statement tag <s> marked objective and factual statements and the <sj> tag marked opinions and other subjective statements. The <sj> tag eventually merged with the <s> tag, as there was a lack of agreement among annotators regarding the use of the <sj> tag. The twenty-six meetings listed below currently contain the <sj> tag:

| Bed003 | Bmr008 | Bro004 |
|--------|---------------|--------|
| Bed004 | Bmr009 | Bro005 |
| Bed009 | Bmr010 | Bro007 |
| Bed010 | Bmr012 | Bro008 |
| Bed011 | Bmr013 | Bro012 |
| Bmr001 | Bmr014 | Bro017 |
| Bmr005 | Bmr018 | Bro018 |
| Bmr006 | Bmr024 | Bro026 |
| Bmr007 | Bmr026 | |

Explicit Performative <fx>

This tag marked utterances in which a speaker made a declaration or performed some sort of act, such as the act of "firing" in saying "you're fired" and the act of "recommending" in saying "I recommend you try the other one." This tag was removed from the tagset completely due to its lack of use.

Although no examples exist in the data of the welcome tag <fw>, the welcome tag is complementary to the thanks tag <ft> and persists as a result of this relationship. The explicit performative tag lacks a complementary relationship of this sort.

Open Option <oo>

This tag marked utterances in which a speaker posed multiple options. It was removed from the tagset completely due to its redundancy with suggestions <cs>.

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Levinson, Stephen C. 1983. Pragmatics. Cambridge: Cambridge University Press.

INDEX OF TAGS

| aa | Accept, 54 | h | Hold, 43 |
|-----------------|---|----------|--------------------------------------|
| aap am ar | Partial Accept, 56 Maybe, 64 Reject, 58 | j | Joke, 99 |
| arp | Partial Reject, 59 | m | Mimic, 78 |
| b | Backchannel, 46 | na | Affirmative Answer, 57 |
| ba | Assessment/Appreciation, 49 | nd | Dispreferred Answer, 60 |
| bc bd | Correct Misspeaking, 82 Downplayer, 89 | ng no | Negative Answer, 61 No Knowledge, 65 |
| bh | Rhetorical Question | 110 | No Knowledge, 65 |
| ~ | Backchannel, 52 | qh | Rhetorical Question, 39 |
| bk | Acknowledgement, 47 | qo | Open-ended Question, 38 |
| br | Repetition Request, 74 | qr | Or Question, 34 |
| bs | Summary, 80 | qrr | Or Clause After Y/N Question, 37 |
| bsc | Self-Correct Misspeaking, 82 | qw | Wh-Question, 32 |
| bu | Understanding Check, 75 | qy | Y/N Question, 30 |
| by | Sympathy, 91 | r | Repeat, 77 |
| СС | Commitment, 71 | rt | Rising Tone, 107 |
| CO | Command, 67 | | Thomas Terrer, 101 |
| CS | Suggestion, 70 | S | Statement, 29 |
| | Destructive Operation 400 | | Al T |
| d df | Declarative Question, 103 | t | About-Task, 95 |
| df | Defending/Explanation, 84 | tc t1 | Topic Change, 97 Self Talk, 100 |
| е | Elaboration, 85 | t3 | Third Party Talk, 101 |
| J | Eldoration, do | .0 | Time Faity Tank, 101 |
| f | "Follow Me", 73 | X | Nonspeech, 110 |
| fa | Apology, 91 | | |
| fe | Exclamation, 94 | Z | Nonlabeled, 110 |
| fg | Floor Grabber, 40 | | |
| fh 4 | Floor Holder, 42 | 2 | Collaborative Completion, 87 |
| ft fw | Thanks, 93 | % | Indesignation 107 |
| I VV | Welcome, 93 | % %- | Indecipherable, 107 Interrupted, 108 |
| g | Tag Question, 105 | % | Abandoned, 108 |
| 9 | | | |