

Mathematical Logic (XI)

Yijia Chen

(computer S)

1. Decidability and Enumerability

1.1. Register Machines. We fix an alphabet

$$\mathcal{A} := \{a_0, \dots, a_r\}.$$

Every *register machine* (or simply, machine) has a fixed number of registers, i.e.,

$$R_0, \dots, R_m$$

for some fixed $m \in \mathbb{N}$, where any register R_i can contain any word in \mathcal{A}^* . A *program* consists of a finite number of *instructions*, each starting with a *label* $L \in \mathbb{N}$.

There are 5 types of instructions.

—

label
L LET $R_i = R_i + a_j$,

where $L, i, j \in \mathbb{N}$ with $0 \leq i \leq m$ and $0 \leq j \leq r$. That is, add the letter a_j at the end of the word in R_i .

—

L LET $R_i = R_i - a_j$,

where $L, i, j \in \mathbb{N}$ with $0 \leq i \leq m$ and $0 \leq j \leq r$. That is, if the word in R_i ends with a_j , then delete this a_j ; otherwise leave the word unchanged.

—

empty string
L IF $R_i = \square$ THEN L' ELSE L_0 OR L_1 OR \dots OR L_r , *$a_i \rightarrow L_i(\text{label})$* *$\rightarrow$ r+1 branch*

where $L, L', L_0, \dots, L_r \in \mathbb{N}$. That is, if R_i contains \square , then go the instruction labelled L' . Otherwise, if R_i contains a word ending with the letter a_j , then go to the instruction labelled L_j .

—

L PRINT,

where $L \in \mathbb{N}$. That is, output the word in R_0 .

—

L HALT,

with $L \in \mathbb{N}$. That is, the program halts.

$\mathcal{A} = \{a, b\}$. 一个寄存器机中寄存器的值
① 若 $R_0 = \square$ THEN ② ELSE ③ OR ④
② LET $R_1 := R_0 + a$
③ LET $R_1 := R_1 - a$
④ GOTO ②
⑤ LET $R_2 := R_2 + 1$
⑥ LET $R_2 := R_2 - 1$
⑦ GOTO ⑤
 \Rightarrow 将 R_0 中字符串的 a 在 R_1
后面添加 a 或者将 a 从 R_1 中删除。
PRINT 即 R_0 。
注意 GOTO L : 有 R_i 。
IF $R_{j_0} = \square$ THEN L_0 ELSE ...
寄存器 R_{j_0} 为空时 R_{j_0} 的 register

Definition 1.1. A *register program* (or simply *program*) is a finite sequence $\alpha_0, \dots, \alpha_k$ of instructions with the following properties.

- (i) Every α_i has label $L = i$.
- (ii) Every jump operation refers to a label $\leq k$.
- (iii) Only the last instruction α_k is a halt instruction.

dk: k HALT

—

Definition 1.2. A program \mathbb{P} starts with $w \in \mathcal{A}^*$ if in the beginning of the execution of \mathbb{P} we have $R_0 = w$ and all other $R_i = \square$.

If \mathbb{P} starts with w and eventually reaches the last halt instruction, then we write

$$\mathbb{P} : w \rightarrow \text{halt}.$$

Otherwise,

$$\mathbb{P} : w \rightarrow \infty.$$

The notation

$$\mathbb{P} : w \rightarrow w'$$

means that if \mathbb{P} starts with w , then it eventually halts, and during the course of computation, has printed exactly one string w' . \dashv

Definition 1.3. Let $W \subseteq \mathcal{A}^*$.

(i) A program \mathbb{P} decides W if for all $w \in \mathcal{A}^*$

$$\begin{array}{ll} \mathbb{P} : w \rightarrow \square & \text{if } w \in W, \\ \mathbb{P} : w \rightarrow w' \text{ with } w' \neq \square & \text{if } w \notin W. \end{array}$$

(ii) W is *register-decidable*, or *R-decidable* for short, if there is program which decides W . \dashv

Definition 1.4. Let $W \subseteq \mathcal{A}^*$.

(i) A program \mathbb{P} enumerates W if started with \square , \mathbb{P} prints out exactly the words in W (in some order with possible repetitions). \rightarrow Lemma: \exists enumeration \mathbb{P} for w

(ii) W is *register-enumerable*, or *R-enumerable* for short, if there is program which enumerates W . \dashv

Lemma. A finite $\Rightarrow A^*$ is enumerable

Proposition 1.5. Let $W \subseteq \mathcal{A}^*$. Then W is R-decidable if and only if both W and $\mathcal{A}^* \setminus W$ are R-enumerable.

Definition 1.6. Let $F \subseteq \mathcal{A}^* \rightarrow \mathcal{B}^*$, where \mathcal{A} and \mathcal{B} are two alphabets.

(i) A program \mathbb{P} computes F if for all $w \in \mathcal{A}^*$

$$\mathbb{P} : w \rightarrow F(w).$$

(ii) F is *register-computable*, or *R-computable* for short, if there is program which computes F . \dashv

1.2. The halting problem for the register machines. Again let $\mathcal{A} := \{a_0, \dots, a_r\}$ be a fixed alphabet. Our goal is to define for every program \mathbb{P} over \mathcal{A} a word $w_{\mathbb{P}} \in \mathcal{A}^*$. To that end, we first introduce an auxiliary alphabet

$$\mathcal{B} := \mathcal{A} \cup \{A, B, C, \dots, Z\} \cup \{0, 1, \dots, 9\} \cup \{=, +, -, \square, \} \}.$$

As usual, we understand that the words in \mathcal{B}^* are ordered *lexicographically*. Then every program can be naturally encoded as a word in \mathcal{B}^* . For instance

0 LET $R_1 = R_1 - a_0$
1 PRINT

\downarrow
encode program to word

Let $S_{\infty} := \{C_0, C_1, \dots\}$

$\cup \bigcup_{n \geq 1} \{R_0^n, R_1^n, \dots\}$ R_i^n : n -ary relation symbol

$\cup \bigcup_{n \geq 1} \{f_0^n, f_1^n, \dots\}$

Lemma: $\{\varphi \in L_0^{S_{\infty}} \mid \models \varphi\}$ is enumerable.

Proof. $\{\varphi \in L_0^{S_{\infty}} \mid \models \varphi\} \stackrel{\uparrow}{=} \{\varphi \in L_0^{S_{\infty}} \mid \vdash \varphi\}$

(completeness + soundness)

所有 Sequent Calculus 均为字符串, 可枚举. (BFS)

只判断断析析式的 Sequent Calculus 是否符合文法.

若符合, 则 $\vdash \varphi$, PRINT φ 即可.

Remark $L^{S_{\infty}}$ is decidable

2 HALT

is identified with the word

$$0LETR1 = R1 - a_0 \mid 1PRINT \mid 2HALT.$$

Note that a_0 is single letter from the alphabet $\mathcal{A} \subseteq \mathcal{B}$. Assume that this word is the n -th word in the lexicographical ordering of \mathcal{B}^* . Then we set

$$w_{\mathbb{P}} := \underbrace{a_0 a_0 \cdots a_0}_{n \text{ times}}. \quad \rightarrow \text{fixed}$$

Finally let

$$\Pi := \{w_{\mathbb{P}} \mid \mathbb{P} \text{ a program over } \mathcal{A}\}.$$

The mapping

$$\mathbb{P} \mapsto w_{\mathbb{P}}$$

is often called the Gödel numbering, and $w_{\mathbb{P}}$ is the Gödel number of \mathbb{P} .

Every program \mathbb{P} is associated with a unique $w_{\mathbb{P}} \in \mathcal{A}^*$

Lemma 1.7. Π is R-decidable.

Theorem 1.8. Let \mathcal{A} be a fixed alphabet.

(i) The set

$$\Pi'_{\text{halt}} := \{w_{\mathbb{P}} \mid \mathbb{P} \text{ a program over } \mathcal{A} \text{ and } \mathbb{P} : w_{\mathbb{P}} \rightarrow \text{halt}\}$$

is not R-decidable.

(ii) The set

$$\Pi_{\text{halt}} := \{w_{\mathbb{P}} \mid \mathbb{P} \text{ a program over } \mathcal{A} \text{ and } \mathbb{P} : \square \rightarrow \text{halt}\}$$

is not R-decidable. \dashv

Proof: (i) Assume that there is a program \mathbb{P}_0 which decides Π'_{halt} . That is, for every program \mathbb{P}

$$\begin{aligned} \mathbb{P}_0 : w_{\mathbb{P}} &\rightarrow \square & \text{if } \mathbb{P} : w_{\mathbb{P}} &\rightarrow \text{halt}, \\ \mathbb{P}_0 : w_{\mathbb{P}} &\rightarrow w' \text{ with } w' \neq \square & \text{if } \mathbb{P} : w_{\mathbb{P}} &\rightarrow \infty. \end{aligned}$$

Assume furthermore that \mathbb{P}_0 has the form

0
1
:
10 PRINT
:
k HALT

\rightarrow fix -- $\frac{1}{2}$ PRINT as label

We change \mathbb{P}_0 in such a way that if \mathbb{P}_0 prints out \square , then the modified program will never halt. To that end, we replace the last k -th halt instruction by two instructions that “reverse the halting behavior”, and replace every print instruction by a “jump” instruction that directly goes to the end:

0
1
:

$n-1$ LET $R_0 = R_0 + a_0 \Rightarrow \text{that } R_0 = w_{\mathbb{P}}$

and followed by the instructions of \mathbb{P} with all labels increased by n . □

1.3. The undecidability of first-order logic.

Theorem 1.9. *The set*

$$\{\varphi \in L_0^{S_\infty} \mid \models \varphi\} \quad (3)$$

is not R-decidable.

Proof: By Theorem 1.8 (ii) for the alphabet $\mathcal{A} = \{\}$ the problem Π_{halt} is not R-decidable. Our goal is to show that the assumed R-decidability of (3) would contradict this result. To that end, for every program \mathbb{P} we will construct in an *effective* way a $\varphi_{\mathbb{P}} \in L_0^{S_\infty}$ such that

$$\mathbb{P} : \square \rightarrow \text{halt} \iff \models \varphi_{\mathbb{P}}.$$

Here, the effectivity means that there is a program \mathbb{P}_1 which computes the mapping

$$w_{\mathbb{P}} \mapsto \varphi_{\mathbb{P}}. \quad \text{在 } S \text{ 下 } w_{\mathbb{P}} \mapsto \varphi_{\mathbb{P}} \text{ 的编码}$$

Once this is done, given an input $w \in \mathcal{A}^*$, we can first check whether $w = w_{\mathbb{P}}$, if so, extract the program \mathbb{P} and compute $\varphi_{\mathbb{P}}$ using \mathbb{P}_1 . Thus if (3) is decidable, we can apply the corresponding decision program on input $\varphi_{\mathbb{P}}$ to decide whether $\mathbb{P} : \square \rightarrow \text{halt}$. Hence, we could decide Π_{halt} .

↓ construct of \mathbb{P}_1 Let \mathbb{P} consist of instructions $\alpha_0, \dots, \alpha_k$, in particular every α_i has its label i . Furthermore, assume that the maximum index of the registers which \mathbb{P} uses is n . Hence, the registers referred by all α_i 's are among R_0, \dots, R_n .

Key to our construction of $\varphi_{\mathbb{P}}$ is the notion of configurations of \mathbb{P} . An $(n+2)$ -tuple

$$(L, m_0, \dots, m_n) \rightarrow \text{IP 的当前状态.}$$

is a *configuration of \mathbb{P} (on input \square) after s steps* if

- starting with input \square the program \mathbb{P} runs at least s steps,
- after s steps, the instruction α_i is to be executed next,
- and for every $0 \leq i \leq n$ the register R_i contains the word

$$\underbrace{\begin{array}{|c|} \hline \dots \\ \hline \end{array}}_{m_i \text{ times}}$$

at that moment. To ease presentation, in the following we will simply say that R_i contains the number m_i .

Observe that then the execution of \mathbb{P} on the $s+1$ -th step is completely determined by the configuration (L, m_0, \dots, m_n) .

The *initial configuration*, i.e., the configuration of \mathbb{P} after 0 step is

$$(0, 0, \dots, 0).$$

Recall that α_k is the last instruction of \mathbb{P} , i.e., the only halt instruction. Therefore

$$\mathbb{P} : \square \rightarrow \text{halt} \iff \text{for some } s, m_0, \dots, m_n \in \mathbb{N}$$

the tuple (k, m_0, \dots, m_n) is the configuration of \mathbb{P} after s steps. (4)

In case $\mathbb{P} : \square \rightarrow \text{halt}$, we define $s_{\mathbb{P}} \in \mathbb{N}$ to be the number of steps which \mathbb{P} carries out until it reaches the last halt instruction.

We choose four symbols from S^∞ : $R := R_0^{n+3}$, $< := R_0^2$, $f := f_0^1$, and $c := c_0$, and set $S := \{R, <, f, c\}$. → $n+3$ 元关系 executes before reaching the last halt inst.

Then we associate with \mathbb{P} an S -structure $\mathfrak{A}_{\mathbb{P}}$ which “describes” the execution (i.e., the behaviour) of \mathbb{P} on input \square . There are two cases.

Case 1. $\mathbb{P} : \square \rightarrow \infty$. We set $A_{\mathbb{P}} := \mathbb{N}$, $<^{\mathbb{P}} := \{(i, j) \mid i, j \in \mathbb{N} \text{ and } i < j\}$, $f^{\mathbb{P}}(i) := i + 1$ for every $i \in \mathbb{N}$, $c^{\mathbb{P}} := 0$, and

$$R^{\mathbb{P}} := \{(s, L, m_0, \dots, m_n) \mid (L, m_0, \dots, m_n) \text{ is the configuration of } \mathbb{P} \text{ after } s \text{ steps}\}.$$

Case 2. $\mathbb{P} : \square \rightarrow \text{halt}$. Let $e := \max\{k, s_{\mathbb{P}}\}$. Then we set $A_{\mathbb{P}} := \{0, \dots, e\}$, $<^{\mathbb{P}} := \{(i, j) \mid 0 \leq i < j \leq e\}$, $f^{\mathbb{P}}(i) := \min\{i + 1, e\}$ for every $i \in A_{\mathbb{P}}$, $c^{\mathbb{P}} := 0$, and

$$R^{\mathbb{P}} := \{(s, L, m_0, \dots, m_n) \mid (L, m_0, \dots, m_n) \text{ is the configuration of } \mathbb{P} \text{ after } s \text{ steps}\}.$$

Note that, since every register R_i starts with 0, and can increase its value (i.e, the length of $|\dots|$) by at most 1 in each step, thus $m_i \leq s_{\mathbb{P}} \leq e$. So $R^{\mathbb{P}}$ is well defined.

Towards the definition of $\varphi_{\mathbb{P}}$ in (3), we first construct a sentence $\psi_{\mathbb{P}}$ which expresses the execution of \mathbb{P} on \square . We abbreviate c, f, c, \dots by $\bar{0}, \bar{1}, \bar{2}, \dots$, respectively. The desired $\psi_{\mathbb{P}}$ should satisfy the following two properties:

(P1) $\mathcal{A}_{\mathbb{P}} \models \psi_{\mathbb{P}}$.

(P2) Let \mathcal{A} be an S-structure with $\mathcal{A} \models \psi_{\mathbb{P}}$. Furthermore, (L, m_0, \dots, m_n) is the configuration of \mathbb{P} after s steps. Then

$$\mathcal{A} \models R \bar{s} \bar{L} \bar{m}_0 \dots \bar{m}_n. \quad \text{i.e. } \psi_{\mathbb{P}} \models R \bar{s} \bar{L} \bar{m}_0 \dots \bar{m}_n$$

We set

$$\psi_{\mathbb{P}} := \psi_0 \wedge R \bar{0} \bar{0} \dots \bar{0} \wedge \psi_{\alpha_0} \wedge \dots \wedge \psi_{\alpha_{k-1}},$$

where each conjunct is defined as follows. The first

$$\psi_0 := \text{"< is an ordering"} \wedge \forall x (c < x \vee x \equiv c) \wedge \forall x (x < f x \vee x \equiv f x) \wedge \forall x (\exists y x < y \rightarrow (x < f x \wedge \forall z (x < z \rightarrow (f x < z \vee f x \equiv z))))),$$

i.e., $<$ is an ordering, c is the minimum element, $f x$ is the successor of x except that $x = f x$ for the maximum x .

For $\alpha \in \{\alpha_0, \dots, \alpha_{k-1}\}$ we define ψ_{α} by a case analysis.

- $\alpha = L \text{ LET } R_i = R_i + |$. Then let

$$\psi_{\alpha} := \forall x \forall y_0 \dots \forall y_n (R x \bar{L} y_0 \dots y_n \rightarrow (x < f x \wedge R f x \bar{L} + \bar{1} y_0 \dots y_{i-1} f y_i y_{i+1} \dots y_n)).$$

- $\alpha = L \text{ LET } R_i = R_i - |$. Then let

$$\begin{aligned} \psi_{\alpha} := & \forall x \forall y_0 \dots \forall y_n (R x \bar{L} y_0 \dots y_n \\ & \rightarrow (x < f x \wedge ((y_i \equiv \bar{0} \wedge R f x \bar{L} + \bar{1} y_0 \dots y_n) \\ & \vee (\neg y_i \equiv \bar{0} \wedge \exists u (f u \equiv y_i \\ & \wedge R f x \bar{L} + \bar{1} y_0 \dots y_{i-1} u y_{i+1} \dots y_n)))). \end{aligned}$$

- $\alpha = L \text{ IF } R_i = \square \text{ THEN } L' \text{ ELSE } L_0$. Then let

$$\begin{aligned} \psi_{\alpha} := & \forall x \forall y_0 \dots \forall y_n (R x \bar{L} y_0 \dots y_n \\ & \rightarrow (x < f x \wedge ((y_i \equiv \bar{0} \wedge R f x \bar{L}' y_0 \dots y_n) \\ & \vee (\neg y_i \equiv \bar{0} \wedge R f x \bar{L}_0 y_0 \dots y_n)))). \end{aligned}$$

- $\alpha = L \text{ PRINT}$. Then let

$$\psi_{\alpha} := \forall x \forall y_0 \dots \forall y_n (R x \bar{L} y_0 \dots y_n \rightarrow (x < f x \wedge R f x \bar{L} + \bar{1} y_0 \dots y_n)).$$

The verification of (P1) and (P2) are left as an exercise

Finally let

$$\varphi_{\mathbb{P}} := \psi_{\mathbb{P}} \rightarrow \exists x \exists y_0 \dots \exists y_n R x \bar{k} y_0 \dots y_n.$$

Now we verify that $\mathbb{P} : \square \rightarrow \text{halt}$ if and only if $\models \varphi_{\mathbb{P}}$. First, assume $\models \varphi_{\mathbb{P}}$, in particular

$$\mathfrak{A}_{\mathbb{P}} \models \varphi_{\mathbb{P}}.$$

By (P1) we conclude

$$\mathfrak{A}_{\mathbb{P}} \models \exists x \exists y_0 \dots \exists y_n R x \bar{k} y_0 \dots y_n.$$

Then there are some $s, m_0, \dots, m_n \in A_{\mathbb{P}} \subseteq \mathbb{N}$ such that (k, m_0, \dots, m_n) is the configuration of \mathbb{P} after s steps. Therefore, \mathbb{P} reaches the last halt instruction after s steps, hence $\mathbb{P} : \square \rightarrow \text{halt}$.

Conversely, assume $\mathbb{P} : \square \rightarrow \text{halt}$. Let \mathfrak{A} be an S -structure. We need to show that $\mathfrak{A} \models \varphi_{\mathbb{P}}$. Clearly, if $\mathfrak{A} \not\models \psi_{\mathbb{P}}$, then we are already done. Thus, assume $\mathfrak{A} \models \psi_{\mathbb{P}}$. Recall that $s_{\mathbb{P}} \in \mathbb{N}$ is the number of steps which \mathbb{P} carries out until it reaches the last halt instruction α_k . Hence, for some $m_0, \dots, m_n \leq s_{\mathbb{P}}$ the tuple

$$(k, m_0, \dots, m_n)$$

is the configuration of \mathbb{P} after $s_{\mathbb{P}}$ steps. Now (P2) implies that

$$\mathfrak{A} \models R \bar{s}_{\mathbb{P}} \bar{k} \bar{m}_0 \dots \bar{m}_n.$$

Therefore

$$\mathfrak{A} \models \varphi_{\mathbb{P}}.$$

This finishes the proof. \square

2. Exercises

Exercise 2.1. Let $W \subseteq \mathcal{A}^*$. A program \mathbb{P} *strictly enumerates* W if started with \square , \mathbb{P} prints out all the words in W

$$w_0, w_1, \dots$$

without repetitions such that $|w_i| \leq |w_{i+1}|$ for all $i \in \mathbb{N}$. Recall $|w|$ denotes the length of the word w .

W is strictly R-enumerable if there is a program which strictly enumerates W . Are the following statements correct?

- W is R-enumerable if and only W is strictly R-enumerable.
- W is R-decidable if and only W is strictly R-enumerable.

–

Exercise 2.2. Prove that the set

$$\{\mathbb{P} \mid \mathbb{P} \text{ a program over } \mathcal{A} \text{ and } \mathbb{P} : w \rightarrow \text{halt for some } w \in \mathcal{A}^*\}$$

is not R-decidable.

–

Exercise 2.3. Prove (P1) and (P2) in the proof of Theorem 1.9.

–

Exercise 2.4. Assume $\mathbb{P} : \square \rightarrow \text{halt}$. Construct an *infinite* S -structure with $\mathfrak{A} \models \psi_{\mathbb{P}}$.

Exercise 2.5. Show that

$$\{\varphi \in L_0^{S_\infty} \mid \varphi \text{ is satisfiable}\}$$

is not R-enumerable.

–