The last two pages of this document contain a reference on the syntax, operational semantics, type system, and (unary) logical relation for System F.

Problem 1. Prove (by induction on a thing of your choice) the following statement about System F's type system.

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If \cdot; \cdot \vdash e : \tau, then \tau is closed (that is, FTV(\tau) = \emptyset).
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Hint: This is similar to Problem 1 on Homework 3, except that we are talking about the free type variables of the type τ , rather than the free term variables of e.

Hint: You will need a similar generalization as you did with Problem 1 on Homework 3, except at the type variable level rather than the term variable level. There is also an additional complication due to the interaction between Γ and Δ in the typing judgment. Proceed carefully!

Lemma 0. for all Δ , and type variables α , β , if $\alpha \neq \beta$ and Δ , $\alpha \vdash \beta$ then $\Delta \vdash \beta$.

Proof. By induction on Δ , $\alpha \vdash \beta$.

- Case $\frac{\beta \in \Delta, \alpha}{\Delta, \alpha \vdash \beta}$. Since $\beta \neq \alpha$, it must be the case that $\beta \in \Delta$. Therefore, $\Delta \vdash \beta$.
- Other cases are trivial since β is not a type variable.

Lemma 1-0: for all Δ , α , β , if $\beta \in FTV(\alpha)$ and $\Delta \vdash \alpha$ then $\Delta \vdash \beta$.

Proof. By induction on $\Delta \vdash \alpha$.

- Case $\frac{\alpha \in \Delta}{\Delta \vdash \alpha}$. In this case, $FTV(\alpha) = \emptyset$, therefore this case is vacuous.
- Case $\frac{\Delta \vdash \tau_1}{\Delta \vdash \tau_1 \to \tau_2}$. $\beta \in FTV(\tau_1) \cup FTV(\tau_2)$, by case split on the occurrence of β :
 - (a) $\beta \in FTV(\tau_1)$. According to the induction hypothesis, $\Delta \vdash \beta$
 - (b) $\beta \in FTV(\tau_2)$. Similar as previous case.
- Case $\frac{\alpha \notin \Delta}{\Delta \vdash \forall \alpha. \ \tau}$. Since $\beta \in FTV(\forall \alpha. \ \tau)$, according to the definition of FTV, $\beta \in FTV(\tau) \{\alpha\}$.

By monotonicity, $\beta \in FTV(\tau)$. According to the induction hypothesis, $\Delta, \alpha \vdash \beta$. Since according to the definition of FTV, $\beta \neq \alpha$, therefore, according to Lemma 0, $\Delta \vdash \beta$.

Lemma 1-1: forall Δ , Γ , α , β , e, if $\beta \in FTV(\alpha)$ and Δ ; $\Gamma \vdash e : \alpha$ then $\Delta \vdash \beta$

Proof. By induction on Δ ; $\Gamma \vdash e : \alpha$.

- Case $\frac{x \in \text{dom } \Gamma \quad \Gamma(x) = \alpha \quad \Delta \vdash \Gamma}{\Delta; \Gamma \vdash x : \alpha}$. In this case, α is a type variable, and $\text{FTV}(\alpha) = \alpha$, thus $\beta = \alpha$. Since $\Delta \vdash \Gamma$ and $\Gamma(x) = \alpha$, $\Delta \vdash \alpha$ and thus $\Delta \vdash \beta$
- Case $\frac{\Delta \vdash \tau_1 \qquad \Delta; \Gamma[x \mapsto \tau_1] \vdash e : \tau_2}{\Delta; \Gamma \vdash \lambda x : \tau. \ e : \tau_1 \to \tau_2}$. According to the definition of FTV, $\beta \in \text{FTV}(\tau_1) \cup \text{FTV}(\tau_2)$. By case split on the occurence of β .

- (a) Case $\beta \in FTV(\tau_1)$. According to Lemma 1-0, $\Delta \vdash \beta$.
- (b) Case $\beta \in FTV(\tau_2)$. According to the induction hypothesis and Lemma 1-0, $\Delta \vdash \beta$.
- Case $\frac{\Delta; \Gamma \vdash e_1 : \tau_1 \to \tau_2 \qquad \Delta; \Gamma \vdash e_2 : \tau_1}{\Delta; \Gamma \vdash e_1 \; e_2 : \tau_2}$. Since $\beta \in FTV(\tau_2), \; \beta \in FTV(\tau_1) \cup FTV(\tau_2)$. Therefore, according to the the induction hypothesis, $\Delta \vdash \beta$.
- Case $\frac{\Delta; \Gamma \vdash e : \forall \alpha. \ \tau}{\Delta; \Gamma \vdash e \ \tau_1 : \tau[\tau_1/\alpha]}$. Since there is no α in $\tau[\tau_1/\alpha]$, so $\beta \neq \alpha$. Moreover, $\beta \in FTV(\tau[\tau_1/\alpha])$, so directly we can get $\beta \in FTV(\tau) \{\alpha\}$. Thus according to the induction hypothesis, $\Delta \vdash \beta$.

If $\cdot; \cdot \vdash e : \tau$, then $FTV(\tau) = \emptyset$.

Proof. Suppose $FTV(\tau) \neq \emptyset$, then $\exists \beta \in FTV(\tau)$. According to Lemma 1-1, $\cdot \vdash \beta$. However, Δ in this case is an empty set, this contradicts with $\cdot \vdash \beta$. Therefore, $FTV(\tau)$ must be \emptyset .

Problem 2. Consider the following pseudo untyped λ -calculus program, which assumes a language with built-in integers, booleans, and pairs.

$$\lambda f. (f 0, f true)$$

- (a) Suppose you transcribed this program into OCaml (no need to turn in any such transcription). Explain briefly and informally why it would not typecheck. (It's ok to base your explanation purely on your intuition about how the OCaml type system works, not on any formal system.)
 - My intuition is that when type checking a pair, it type checks the first element first, and it unifies the type of f to int \rightarrow int, and then when checking the second element, it finds that we are applying a bool to a function that has type int \rightarrow int so it fails the type check
- (b) Show how to transcribe (by adding type annotations, type abstractions, and type applications) this program into System F (assume you have built-in integers, booleans, and pairs) such that it has the following type.

$$(\forall \alpha. \alpha \rightarrow \alpha) \rightarrow int \times bool$$

No need to prove formally that your transcription has this type. Just convince yourself.

$$\lambda f: (\forall \alpha. \ \alpha \rightarrow \alpha). \ (f \ int \ 0, f \ bool \ true)$$

(c) Using your understanding of parametricity, say what the "only" possible value to pass for f is in your transcription in part (b). No need to prove your answer.

Identity function

(d) Show how to again transcribe (by adding type annotations, type abstractions, and type applications) this program into System F (assume you have built-in integers, booleans, and pairs) such that it has the following (different!) type.

$$\forall \alpha. (\forall \beta. \beta \rightarrow \alpha) \rightarrow \alpha \times \alpha$$

No need to prove formally that your transcription has this type. Just convince yourself.

$$\Lambda \alpha$$
. $\lambda f : (\forall \beta. \beta \rightarrow \alpha)$. (f int 0, f bool true)

(e) Using your understanding of parametricity, describe the possible values to pass in for f in your transcription form part (d). No need to prove your answer.

Hint: There are infinitely many, but they all have a clean description.

f can be any constant functions of a valid type (int, bool, pair)

(f) Given your answer to part (e), what can you say about the pair returned by the System F program from part (d)? No need to prove your answer.

The first and the second elements are equal

As an aside, the examples in this problem demonstrate the lack of "principle types" for System F. A principle type for an expression is its most general type, in the sense that if it has any other type, then it is a special case of its principle type. Principle types exist in ML, but not in System F, as demonstrated by this problem. The lack of principle types poses a serious difficulty to type inference, because it means there is no "best answer" to return for the type of an expression.

Problem 3. We will use a dot "." to represent an empty partial function for the ρ argument to R.

- (a) Translate the meaning of $R^{\cdot}_{\forall \alpha. \alpha \to \alpha}$ into English. (You can use symbols in your English.) A set of expressions that has type $\forall \alpha. \ \alpha \to \alpha$ under empty Δ and Γ
- (b) Show directly from the definition of R that

$$\Lambda \alpha. \lambda x : \alpha. x \in \mathbb{R}^{\cdot}_{\forall \alpha \alpha \rightarrow \alpha}$$

To show $\Lambda\alpha.\lambda x: \alpha.x \in R^{\boldsymbol{\cdot}}_{\forall\alpha.\alpha\rightarrow\alpha}$, we need to show $\forall S.\lambda x: \alpha.x \in T(R^{[\alpha\mapsto S]}_{\alpha\rightarrow\alpha})$. Then it is sufficient to show $\forall \nu \in R^{[\alpha\mapsto S]}_{\alpha}.x[\nu/x] \in T(R^{[\alpha\mapsto S]}_{\alpha})$ According to the definition of R_{α} , $R_{\alpha}^{[\alpha \mapsto S]} = S$, and according to the definition of substitution $x[\nu/x] = \nu$, therefore, $\forall \nu \in S, \nu \in S$ is a tautology, thus what we need to show above holds.

Problem 4. This question is about the definition of R itself, and specifically its "presupposition". A presupposition is kind of like a precondition, but on a mathematical object instead of a program. It means that the mathematical object doesn't make sense unless the presupposition is true. According to the last page of this document, the presupposition of R^{ρ}_{τ} is that $FTV(\tau) \subseteq dom \ \rho$.

- (a) In the base case of the definition of R, when looking at a type variable α , we look up the type variable in ρ . Since ρ is a partial function, this only makes sense if $\alpha \in \text{dom } \rho$. Prove in one short sentence that the presupposition of R guarantees $\alpha \in \text{dom } \rho$.
 - Since all bounded type variables will be extended to ρ , it is sufficient to make the presupposition that $FTV(\tau) \in \text{dom } \rho$ to guarantee that $\alpha \in \text{dom } \rho$
- (b) Since R is defined by recursion on τ , we should technically check that any recursive calls to R satisfy their presupposition, assuming the presupposition of the "outer" R. There are three recursive calls in the definition of R. Prove that each of them satisfy the presupposition.
 - Case $R^{\rho}_{\tau_1 \to \tau_2}$. Since $FTV(\tau_1 \to \tau_2) \in \text{dom } \rho$, according to the definition of FTV, $FTV(\tau_1 \to \tau_2) \in \text{dom } \rho$ τ_2) = FTV(τ_1) \cup FTV(τ_2). Clearly, if FTV(τ_1) \cup FTV(τ_2) \subseteq dom ρ , then FTV(τ_1) \subseteq dom ρ and $FTV(\tau_2) \subseteq dom \ \rho$. Therefore, the two recursive calls $R_{\tau_1}^{\rho}$ and $R_{\tau_2}^{\rho}$ are safe.
 - Case $R^{\rho}_{\forall \alpha, \tau}$. According to the definition of FTV, $FTV(\forall \alpha, \tau) = FTV(\tau) \{\alpha\}$. Therefore, $(FTV(\tau) \{\alpha\})$ $\{\alpha\}$) \subseteq dom ρ . $\forall S \in \text{Spec}$, the recursive call is $R_{\tau}^{\rho[\alpha \mapsto S]}$, then $FTV(\tau) - \{\alpha\} \subseteq FTV(\tau) \subseteq \text{dom } \rho[\alpha \mapsto S]$ S]. Therefore, the recursive call is safe.

Problem 5. In Homework 3 (programming part) we saw how to Church-encode pairs in the untyped λ -calculus, as follows

pair =
$$\x$$
. \y . \f . f x y fst = \p . p (\x x. \y y. x)

This encoding can be typed in System F as follows. The type of pairs whose first components have type τ_1 and whose second components have type τ_2 will be abbreviated Pair τ_1 τ_2 , which is defined as follows:

Pair
$$\tau_1$$
 $\tau_2 = \forall \alpha$. $(\tau_1 \rightarrow \tau_2 \rightarrow \alpha) \rightarrow \alpha$.

(a) The type of pair is then

$$\forall \alpha. \, \forall \beta. \, \alpha \rightarrow \beta \rightarrow Pair \, \alpha \, \beta,$$

or, expanding the definition of Pair,

$$\forall \alpha. \forall \beta. \alpha \rightarrow \beta \rightarrow \forall \gamma. (\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow \gamma.$$

Show how to transcribe the untyped program pair from Homework 3 given above into System F (by adding type annotations, type abstractions, and type applications) such that it has the above type. No need to formally prove it has the type. Just convince yourself.

pair =
$$\Lambda \alpha$$
. $\Lambda \beta$. λx . λy . $\Lambda \gamma$. $\lambda f : \alpha \rightarrow \beta \rightarrow \gamma$. $f x y$

(b) Similarly, the type of fst is then

$$\forall \alpha. \forall \beta. Pair \alpha \beta \rightarrow \alpha$$
,

or, expanding the definition of Pair,

$$\forall \alpha. \forall \beta. (\forall \gamma. (\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow \gamma) \rightarrow \alpha,$$

Show how to transcribe the untyped program fst from Homework 3 given above into System F (by adding type annotations, type abstractions, and type applications) such that it has the above type. No need to formally prove it has the type. Just convince yourself.

$$fst = \Lambda \alpha$$
. $\Lambda \beta$. $\lambda p : \forall \gamma$. $(\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow \gamma$. $p \alpha (\lambda x : \alpha)$. $\lambda y : \beta$. x)

(c) Prove directly using the operational semantics that, for any values $v_1 : \tau_1$ and $v_2 : \tau_2$,

fst
$$\tau_1$$
 τ_2 (pair τ_1 τ_2 ν_1 ν_2) $\rightarrow^* \nu_1$.

where fst and pair refer to your transcribed versions in System F.

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\begin{split} &\text{fst } \tau_1 \ \tau_2 \ (\text{pair } \tau_1 \ \tau_2 \ \nu_1 \ \nu_2) \\ &= (\Lambda\alpha. \ \Lambda\beta. \ \lambda p : \forall \gamma. \ (\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow \gamma. \ p \ \alpha \ (\lambda x : \alpha. \ \lambda y : \beta. \ x)) \ \tau_1 \ \tau_2 \ (\text{pair } \tau_1 \ \tau_2 \ \nu_1 \ \nu_2) \\ &\rightarrow (\Lambda\beta. \ \lambda p : \forall \gamma. \ (\tau_1 \rightarrow \beta \rightarrow \gamma) \rightarrow \gamma. \ p \ \tau_1 \ (\lambda x : \tau_1. \ \lambda y : \beta. \ x)) \ \tau_2 \ (\text{pair } \tau_1 \ \tau_2 \ \nu_1 \ \nu_2) \\ &\rightarrow (\lambda p : \forall \gamma. \ (\tau_1 \rightarrow \tau_2 \rightarrow \gamma) \rightarrow \gamma. \ p \ \tau_1 \ (\lambda x : \tau_1. \ \lambda y : \tau_2. \ x)) \ (\text{pair } \tau_1 \ \tau_2 \ \nu_1 \ \nu_2) \\ &= (\lambda p : \forall \gamma. \ (\tau_1 \rightarrow \tau_2 \rightarrow \gamma) \rightarrow \gamma. \ p \ \tau_1 \ (\lambda x : \tau_1. \ \lambda y : \tau_2. \ x)) \\ &\quad ((\Lambda\alpha. \ \Lambda\beta. \ \lambda x. \ \lambda y. \ \Lambda\gamma. \ \lambda f : \alpha \rightarrow \beta \rightarrow \gamma. \ f \ x \ y) \ \tau_1 \ \tau_2 \ \nu_1 \ \nu_2) \\ &\rightarrow (\lambda p : \forall \gamma. \ (\tau_1 \rightarrow \tau_2 \rightarrow \gamma) \rightarrow \gamma. \ p \ \tau_1 \ (\lambda x : \tau_1. \ \lambda y : \tau_2. \ x)) \\ &\quad ((\Lambda\beta. \ \lambda x. \ \lambda y. \ \Lambda\gamma. \ \lambda f : \tau_1 \rightarrow \beta \rightarrow \gamma. \ f \ x \ y) \ \tau_2 \ \nu_1 \ \nu_2) \\ &\rightarrow (\lambda p : \forall \gamma. \ (\tau_1 \rightarrow \tau_2 \rightarrow \gamma) \rightarrow \gamma. \ p \ \tau_1 \ (\lambda x : \tau_1. \ \lambda y : \tau_2. \ x)) \ ((\lambda x. \ \lambda y. \ \Lambda\gamma. \ \lambda f : \tau_1 \rightarrow \tau_2 \rightarrow \gamma. \ f \ x \ y) \ \nu_1 \ \nu_2) \\ &\rightarrow (\lambda p : \forall \gamma. \ (\tau_1 \rightarrow \tau_2 \rightarrow \gamma) \rightarrow \gamma. \ p \ \tau_1 \ (\lambda x : \tau_1. \ \lambda y : \tau_2. \ x)) \ ((\Lambda\gamma. \ \lambda f : \tau_1 \rightarrow \tau_2 \rightarrow \gamma. \ f \ x \ y) \ \nu_1 \ \nu_2) \\ &\rightarrow (\lambda p : \forall \gamma. \ (\tau_1 \rightarrow \tau_2 \rightarrow \gamma) \rightarrow \gamma. \ p \ \tau_1 \ (\lambda x : \tau_1. \ \lambda y : \tau_2. \ x)) \ ((\Lambda\gamma. \ \lambda f : \tau_1 \rightarrow \tau_2 \rightarrow \gamma. \ f \ \nu_1 \ \nu_2)) \\ &\rightarrow (\Lambda\gamma. \ \lambda f : \tau_1 \rightarrow \tau_2 \rightarrow \gamma. \ f \ \nu_1 \ \nu_2) \ \tau_1 \ (\lambda x : \tau_1. \ \lambda y : \tau_2. \ x) \\ &\rightarrow (\lambda f : \tau_1 \rightarrow \tau_2 \rightarrow \tau_1. \ f \ \nu_1 \ \nu_2) \ (\lambda x : \tau_1. \ \lambda y : \tau_2. \ x) \\ &\rightarrow (\lambda x : \tau_1. \ \lambda y : \tau_2. \ x) \ \nu_1 \ \nu_2 \\ &\rightarrow \nu_1 \end{aligned}
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(d) Now suppose p is any System F expression such that

$$:: \vdash p : \forall \alpha. \forall \beta. \alpha \rightarrow \beta \rightarrow \forall \gamma. (\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow \gamma.$$

In other words, p is just some program with the same type as pair. Similarly, suppose that f is some System F expression such that

$$\cdot; \cdot \vdash \mathbf{f} : \forall \alpha. \forall \beta. (\forall \gamma. (\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow \gamma) \rightarrow \alpha.$$

In other words, f has the same type as fst.

Use the fundamental theorem of the logical relation to prove that, for any values $v_1 : \tau_1$ and $v_2 : \tau_2$,

$$f \tau_1 \tau_2 (p \tau_1 \tau_2 v_1 v_2) \rightarrow^* v_1$$

First, we prove the property of p: Since p is well-typed in empty Δ and empty Γ , according to the fundamental theorem (since we are doing with empty substitution, we use p directly instead of writing out p[]),

$$\exists v_p. \ p \to^* v_p, v_p \in R^{\cdot}_{\forall \alpha, \ \forall \beta, \ \alpha \to \beta \to \forall \gamma, \ (\alpha \to \beta \to \gamma) \to \gamma}$$

where v_p is in the form of $\Lambda \alpha.e_1$. Then we know, according to the definition of $\forall \alpha.\tau$ case of R, that

$$\forall S_1 \in \text{Spec. } e_1 \in T(R^{[\alpha \mapsto S_1]}_{\forall \beta. \ \alpha \to \beta \to \forall \gamma. \ (\alpha \to \beta \to \gamma) \to \gamma})$$

Therefore,

$$\exists \nu_{e_1}.\ e_1 \to^* \nu_{e_1}, \nu_{e_1} \in R^{[\alpha \mapsto S_1]}_{\forall \beta.\ \alpha \to \beta \to \forall \gamma.\ (\alpha \to \beta \to \gamma) \to \gamma})$$

where v_{e_1} is in the form of $\Lambda \beta. e_1$. Similarly, we know that

$$\forall S_1 \in \mathtt{Spec.} \ e_2 \in T(R_{\alpha \to \beta \to \forall \gamma. \ (\alpha \to \beta \to \gamma) \to \gamma}^{[\alpha \mapsto S_1; \beta \mapsto S_2]})$$

Therefore,

$$\exists \nu_3. \ e_2 \to^* \nu_3, \nu_3 \in \mathsf{T}(R_{\alpha \to \beta \to \forall \gamma. \ (\alpha \to \beta \to \gamma) \to \gamma}^{[\alpha \mapsto S_1; \beta \mapsto S_2]})$$

where v_3 is in the form of λx . e_3 . According to the definition of R, we know that

$$\forall \nu_x \in R_{\alpha}^{[\alpha \mapsto S_1; \beta \mapsto S_2]}, e_3[\nu_x/x] \in T(R_{\beta \to \forall \gamma. \ (\alpha \to \beta \to \gamma) \to \gamma}^{[\alpha \mapsto S_1; \beta \mapsto S_2]})$$

That is, according to the base case definition of R, for any value ν_{x} in S_{1} , substituting x with ν_{x} in e_{3} yields an expression that terminates in $R_{\beta \to \forall \gamma, (\alpha \to \beta \to \gamma) \to \gamma}^{[\alpha \mapsto S_{1}; \beta \mapsto S_{2}]}$.

Then, apply the similar procedure for $e_3[\nu_x/x]$, which terminates in λy . e_4 , we know that for all value ν_y in S_2 , if we substitute y in e_4 with ν_y , we can get a term that terminates in $R^{[\alpha \mapsto S_1; \beta \mapsto S_2]}_{\forall \gamma. (\alpha \to \beta \to \gamma) \to \gamma}$.

Therefore, given any value in S_1 and any value in S_2 , p always yields a value $p' \in R_{\forall \gamma.\ (\alpha \to \beta \to \gamma) \to \gamma}^{[\alpha \mapsto S_1; \beta \mapsto S_2]}$.

Then, we prove the property of f. For the $\Lambda\alpha.e$ case, we apply the similar procedure. Then we get a $\lambda x.e$, such that

$$\forall \nu_x \in R_{\forall \gamma. \ (\alpha \to \beta \to \gamma) \to \gamma}^{[\alpha \mapsto S_3; \beta \mapsto S_4]}, e[\nu_x/x] \in T(R_{\alpha}^{[\alpha \mapsto S_3; \beta \mapsto S_4]})$$

Which means, $e[\nu_x/x]$ terminates in values in the set S_3 for all values in $R^{[\alpha \mapsto S_3; \beta \mapsto S_4]}_{\forall \gamma. \ (\alpha \to \beta \to \gamma) \to \gamma}$. Since in the application

$$f \tau_1 \tau_2 (p \tau_1 \tau_2 \nu_1 \nu_2) \rightarrow^* \nu_1$$

we assign τ_1 to α in both p and f, and we assign τ_2 to β in both p and f, $S_1 \equiv S_3$ and $S_2 \equiv S_4$. Thus, this fact also holds for p' since it is a value in

$$R_{\forall \gamma.\ (\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow \gamma}^{[\alpha \mapsto S_3;\beta \mapsto S_4]}$$

According to our assumption that $\cdot; \cdot \vdash \nu_1 : \tau_1$ and $\cdot; \cdot \vdash \nu_2 : \tau_2$, we choose S_1 and S_2 to be $\{\nu_1\}$ and $\{\nu_2\}$. Then, the according to the theorem, f always terminates in S_3 , which also is $\{\nu_1\}$. Thus, f always terminates with ν_1 .

The remaining problem is extra credit.

Problem 6. This extra credit problem considers adding a new expression to System F, called choose. The idea is that choose v_1 v_2 nondeterministically evaluates to either v_1 or v_2 .

(a) Give operational semantics for choose that first evaluate its first argument to a value, then evaluate its second argument to a value, and then either evaluate to the first value or the second.

Hint: Use four rules. One to make recursive progress on the first argument, and a similar one for the second argument. Then one to "choose" the first value, and one to "choose" the second.

- (b) Give a typing rule for choose.
 - Hint: Use one rule. It is vaguely similar to if, except there is no branch condition.
- (c) Use choose to define a Church boolean that is not "equivalent" to true or false, in the sense that can return either its first argument or its second, and change its mind each time it's called.
- (d) Prove that the fundamental theorem of the (unary) logical relation still holds on this extended language extending the proof with a case for choose.
- (e) Explain how the existence of your program from part (c) does *not* contradict the result we proved on slide 13 of Lecture 15 about Church booleans using the (unary) logical relation.
- (f) Extra extra credit (requires bonus material from Lecture 15 on binary logical relations). Explain how the existence of your program from part (c) does contradict the result we proved on slide 17 of Lecture 15 about Church booleans using the binary logical relation.
- (g) Extra extra credit (requires bonus material from Lecture 15 on binary logical relations). Attempt to prove the case for choose in the fundamental theorem of the *binary* logical relation. Point to exactly where you get stuck.

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System F
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Syntax

$$\begin{array}{lll} e & ::= & x \mid e \mid \lambda x : \tau. e \mid \Lambda \alpha. e \mid e \mid \tau \\ v & ::= & \lambda x. \mid e \mid \Lambda \alpha. \mid e \mid \tau \\ \tau & ::= & \alpha \mid \tau \rightarrow \tau \mid \forall \alpha. \tau \\ \Gamma & \in & Var \rightarrow Type \\ \Delta & \subseteq & TyVar \end{array}$$

$e \rightarrow e$

Operational Semantics

$e[e_1/x]$

Substitution functions

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\begin{array}{rcl} x[e_{1}/x] & = & e_{1} \\ y[e_{1}/x] & = & y & y \neq x \\ (e_{2} \ e_{3})[e_{1}/x] & = & e_{2}[e_{1}/x] \ e_{3}[e_{1}/x] \\ (\lambda y : \tau . e)[e_{1}/x] & = & \lambda y : \tau . e[e_{1}/x] & y \neq x \ \text{and} \ y \not\in FV(e_{1}) \\ (e \ \tau)[e_{1}/x] & = & e[e_{1}/x] \ \tau \\ (\Lambda \alpha . e)[e_{1}/x] & = & \Lambda \alpha . e[e_{1}/x] & \alpha \not\in FTV(e_{1}) \end{array}
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$e[\tau/\alpha]$

$$\begin{array}{rcl} x[\tau/\alpha] & = & e_1 \\ (e_2 \ e_3)[\tau/\alpha] & = & e_2[\tau/\alpha] \ e_3[\tau/\alpha] \\ (\lambda x : \tau_1. \ e)[\tau/\alpha] & = & \lambda x : \tau_1[\tau/\alpha]. \ e[\tau/\alpha] \\ (e \ \tau_1)[\tau/\alpha] & = & e[\tau/\alpha] \ \tau_1[\tau/\alpha] \\ (\Lambda \beta. \ e)[\tau/\alpha] & = & \Lambda \beta. \ e[\tau/\alpha] \\ \end{array}$$
 $\beta \neq \alpha$ and $\beta \notin FTV(\tau)$

$\tau[\tau_1/\alpha]$

$$\begin{array}{rcl} \alpha[\tau_1/\alpha] &=& \tau_1 \\ \beta[\tau_1/\alpha] &=& \beta & \beta \neq \alpha \\ (\tau_2 \to \tau_3)[\tau_1/\alpha] &=& \tau_2[\tau_1/\alpha] \to \tau_3[\tau_1/\alpha] \\ (\forall \beta. \, \tau)[\tau_1/\alpha] &=& \forall \beta. \, \tau[\tau_1/\alpha] & \beta \neq \alpha \text{ and } \beta \not\in FTV(\tau_1) \end{array}$$

$FTV(\tau)$

Free type variables of a type or expression

$$\begin{array}{rcl} & FTV(\alpha) & = & \{\alpha\} \\ FTV(\tau_1 \to \tau_2) & = & FTV(\tau_1) \cup FTV(\tau_2) \\ FTV(\forall \alpha. \ \tau) & = & FTV(\tau) - \{\alpha\} \end{array}$$

FTV(e)

Note that we overload FTV on expressions and types.

$$\begin{array}{rcl} \text{FTV}(x) & = & \emptyset \\ \text{FTV}(\lambda x : \tau. \, e) & = & \text{FTV}(\tau) \cup \text{FTV}(e) \\ \text{FTV}(e_1 \, e_2) & = & \text{FTV}(e_1) \cup \text{FTV}(e_2) \\ \text{FTV}(\Lambda \alpha. \, e) & = & \text{FTV}(e) - \{\alpha\} \\ \text{FTV}(e \, \tau) & = & \text{FTV}(e) \cup \text{FTV}(\tau) \end{array}$$

FV(e)

Free variables of an expression

$$\begin{array}{rcl} FV(x) &=& \{x\} \\ FV(\lambda x:\tau.\,e) &=& FV(e)-\{x\} \\ FV(e_1\,\,e_2) &=& FV(e_1)\cup FV(e_2) \\ FV(\Lambda \alpha.\,e) &=& FV(e) \\ FV(e\,\tau) &=& FV(e) \end{array}$$

 Δ ; $\Gamma \vdash e : \tau$

Type System

$$\frac{x \in \text{dom } \Gamma \qquad \Gamma(x) = \tau}{\Delta; \Gamma \vdash x : \tau}$$

 $\Delta \vdash \Gamma$

$$\Delta \vdash \Gamma = \forall x \in \text{dom } \Gamma. \ \Delta \vdash \Gamma(x)$$

 $\Delta \vdash \tau$

$$\frac{\alpha \in \Delta}{\Delta \vdash \alpha}$$

$$\frac{\Delta \vdash \tau_1 \qquad \Delta \vdash \tau_2}{\Delta \vdash \tau_1 \rightarrow \tau_2}$$

$$\frac{\Delta, \alpha \vdash \tau}{\Delta \vdash \forall \alpha, \tau}$$

Preparation for the definition of the logical relation

$$\begin{array}{lll} \text{Spec} &=& \{S \subseteq Val \mid \forall e \in S. \ e \ \text{closed}\} \\ \rho &\in& TyVar \longrightarrow Spec \\ \gamma &\in& Var \longrightarrow Val \\ T(S) &=& \{e \mid \exists \nu. \ e \rightarrow^* \nu \land \nu \in S\} \end{array}$$

Definition of the logical relation on closed terms

 R_{τ}^{ρ} presupposes $FTV(\tau) \subseteq dom \rho$

$$\begin{array}{rcl} R_{\alpha}^{\rho} & = & \rho(\alpha) \\ R_{\tau_{1} \rightarrow \tau_{2}}^{\rho} & = & \{\lambda x. \ e \ | \ \forall \nu \in R_{\tau_{1}}^{\rho}. \ e[x/\nu] \in T(R_{\tau_{2}}^{\rho})\} \\ R_{\forall \alpha, \tau}^{\rho} & = & \{\Lambda \alpha. \ e \ | \ \forall S \in Spec. \ e \in T(R_{\tau}^{\rho[\alpha \mapsto S]})\} \end{array}$$

 $e[\gamma]$

Multisubstitution

$$\begin{array}{rcl} x[\gamma] & = & \gamma(x) & \text{if } x \in \text{dom } \gamma \\ x[\gamma] & = & x & \text{if } x \not\in \text{dom } \gamma \\ (e_2 \ e_3)[\gamma] & = & e_2[\gamma] \ e_3[\gamma] \\ (\lambda x : \tau . e)[\gamma] & = & \lambda x : \tau . e[\gamma] & x \not\in \text{dom } \gamma \text{ and } \forall y \in \text{dom } \gamma . x \not\in \text{FV}(\gamma(y)) \\ (e \ \tau)[\gamma] & = & e[\gamma] \ \tau \\ (\Lambda \alpha . e)[\gamma] & = & \Lambda \alpha . e[\gamma] & \forall x \in \text{dom } \gamma . \alpha \not\in \text{FTV}(\gamma(x)) \end{array}$$

Preparation and definition of the open logical relation

 $\rho; \Gamma \vdash \gamma = \forall x \in \text{dom } \Gamma. \ x \in \text{dom } \gamma \land \gamma(x) \in R_{\Gamma(x)}^{\rho}$

$$\underline{\Delta;\Gamma \vDash e : \tau} \qquad \qquad \underline{\Delta;\Gamma \vDash e : \tau} \qquad = \quad \forall \rho. \ \text{dom} \ \underline{\Delta} \subseteq \text{dom} \ \rho \Rightarrow \forall \gamma. \ \rho;\Gamma \vdash \gamma \Rightarrow e[\gamma] \in \mathsf{T}(\mathsf{R}^\rho_\tau)$$

Theorem 1 (Fundamental theorem of the logical relation). If Δ ; $\Gamma \vdash e : \tau$ then Δ ; $\Gamma \vdash e : \tau$.