



## **COMPUTER SCIENCE AND DATA ANALYTICS**

**Course: Guided Research**

**Project Title: Federated Machine Learning Implementation on Image Classification**

**Student: Ali Asgarov**

**Instructors & Supervisors: Dr. Stephen Kaisler, Dr. Jamal Hasanov**

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# Project Objective



## Why today ?

Standard machine learning approaches necessarily require storing training data on a single machine or in datacenter.

Why can't we just centralize the data all the time ?



## What are the limits of current practice ?

### **Sending the data may be too costly**



Self-driving cars generates several TBs of data a day



Wireless devices have limited bandwidth/power

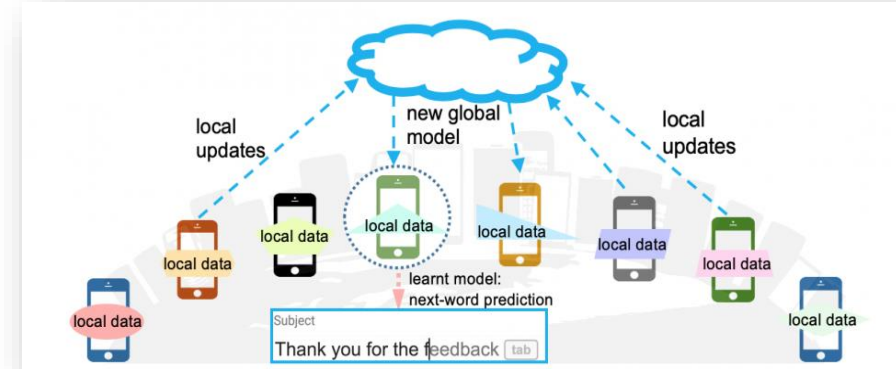
### **Data may be considered too sensitive**



Public awareness and regulations on data privacy



Control of data is advantage in business/research



## What's new in our approach ?

### **Federated Learning (FL) – Keep data decentralized.**

Collaborative ML model training on decentralized data.

Each client's raw data is stored locally .

Parameter aggregation.

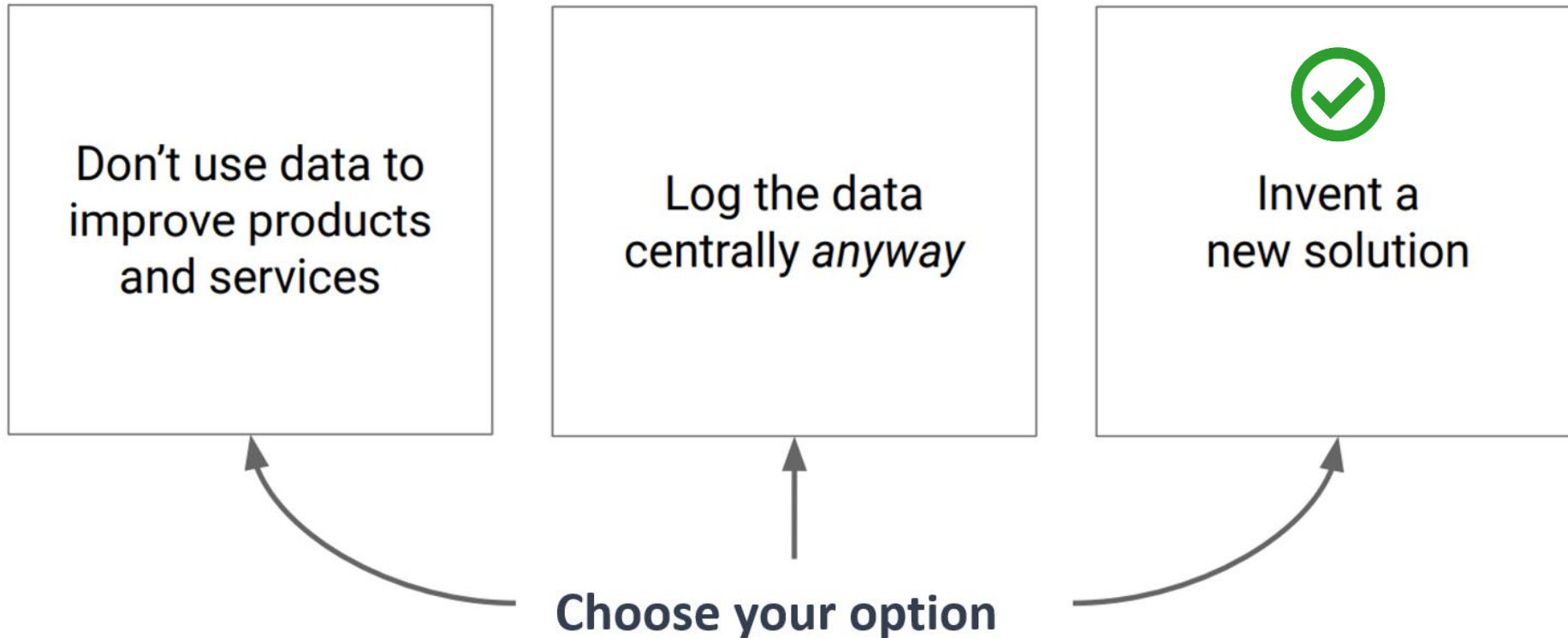
Control over data.

No need to high data transmission bandwidth/power.

Leveraging local data diversity – improving accuracy.

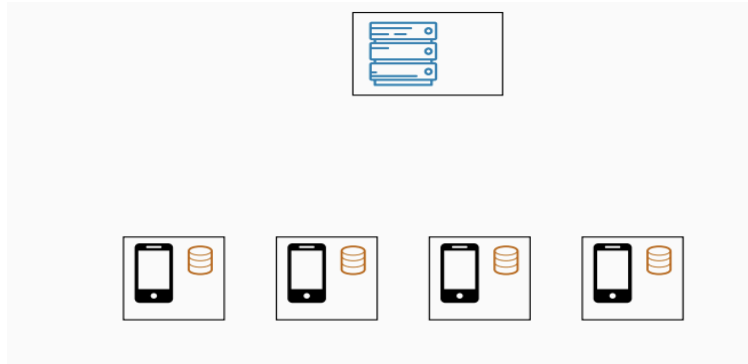
# Literature Review

Between 2014 – 2016 Google had three options about the data

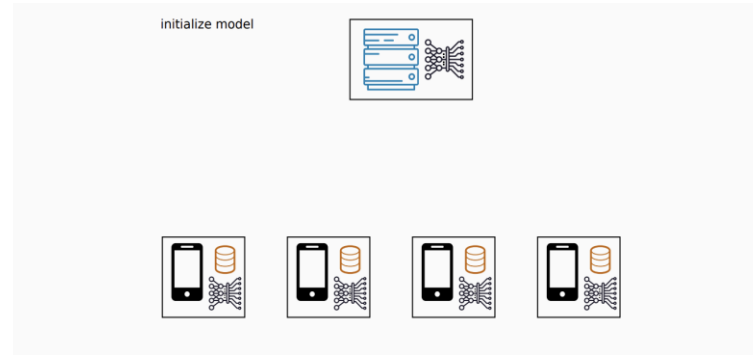


# Solution Architecture

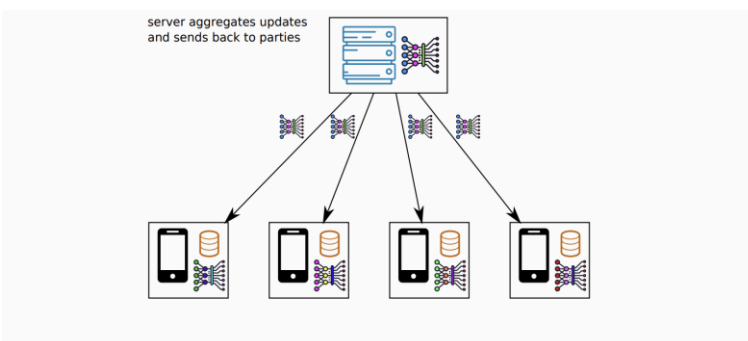
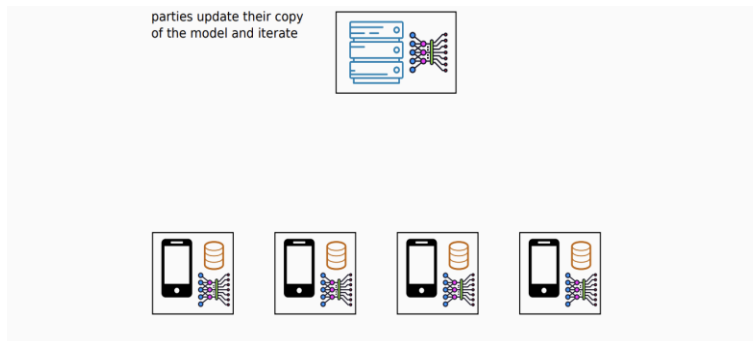
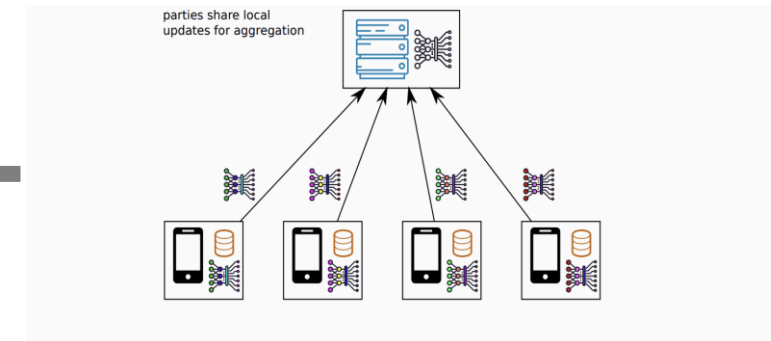
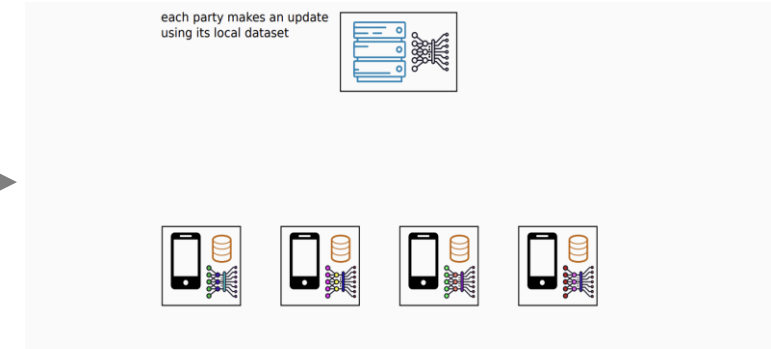
**Data preparation (IID)**



**Initialize models**



**Train local models on the data samples**



**Averaged weights are sent to the local clients**

**Local models weights are being averaged**

**Sharing the model weights to the central model**

# Dataset | Ingestion | Preprocessing

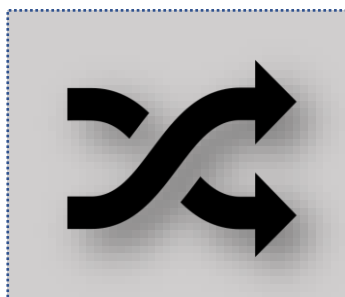
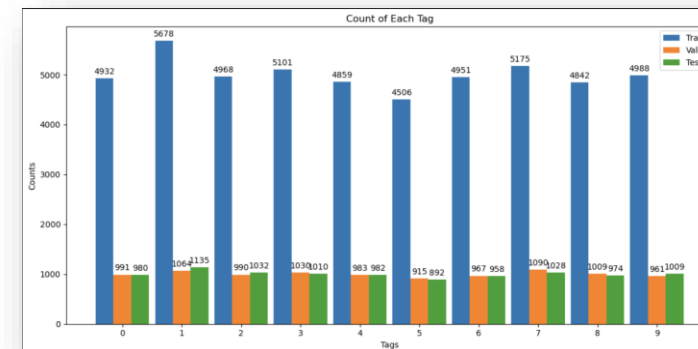


MNIST Dataset - 28 \* 28 pixel grayscale images of numbers from 0 to 9.

The MNIST data set does not contain each label equally.

The IID sampling of the training data needed.

To fulfill the IID requirement :



**Shuffling data and building  
dictionary for indexes of labels**



**Building of client dataset  
dictionaries with shuffled data**



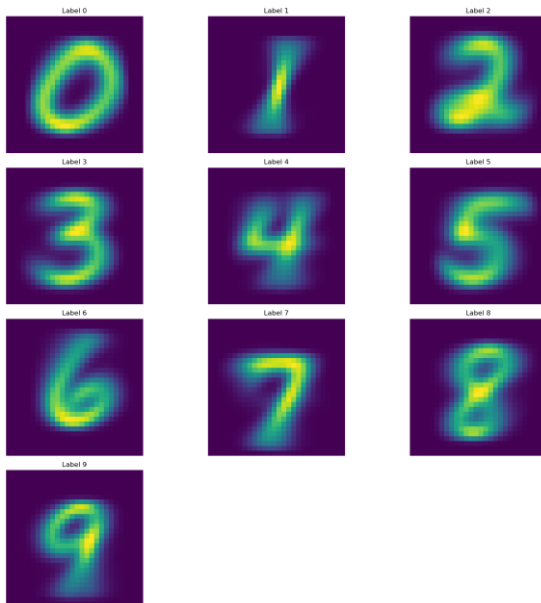
**Building of client datasets with  
previous steps' dictionaries**

# Dataset Cleaning

## Heatmap for each label

Calculated the mean image (2000 random samples) for building heatmap.

Mean image - array mean values reshaped into a 28x28 matrix.



## Outlier detection

Calculating the Euclidean distance between samples and the mean image.

Defining outlier threshold – (100).



**Indices of outlier images:**

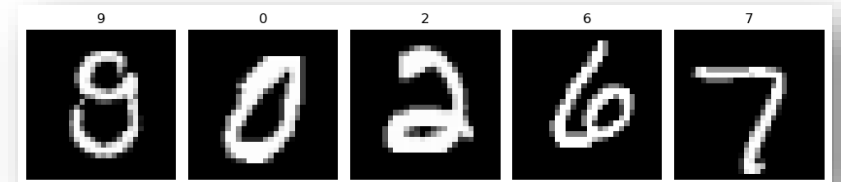
[41453, 24798, 25315, 36193, 29489, 25317, 8488, 59423, 8586, 18598]

## Normalization

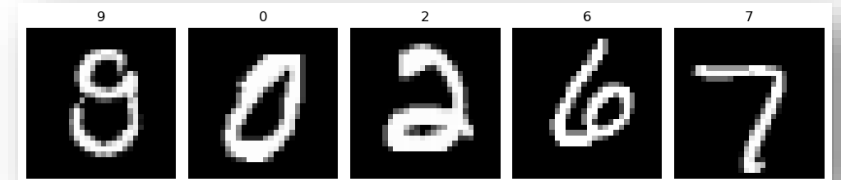
Feature scaling to ensure all features (pixels) are on a similar scale.

Normalized the pixel values of the images to a range between 0 and 1 by dividing to 255.

**Before normalization**

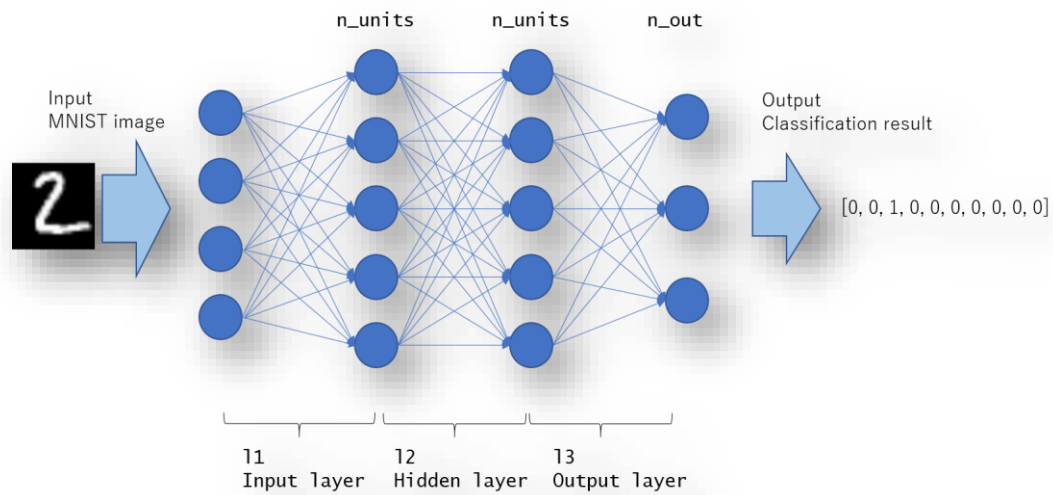


**After normalization**



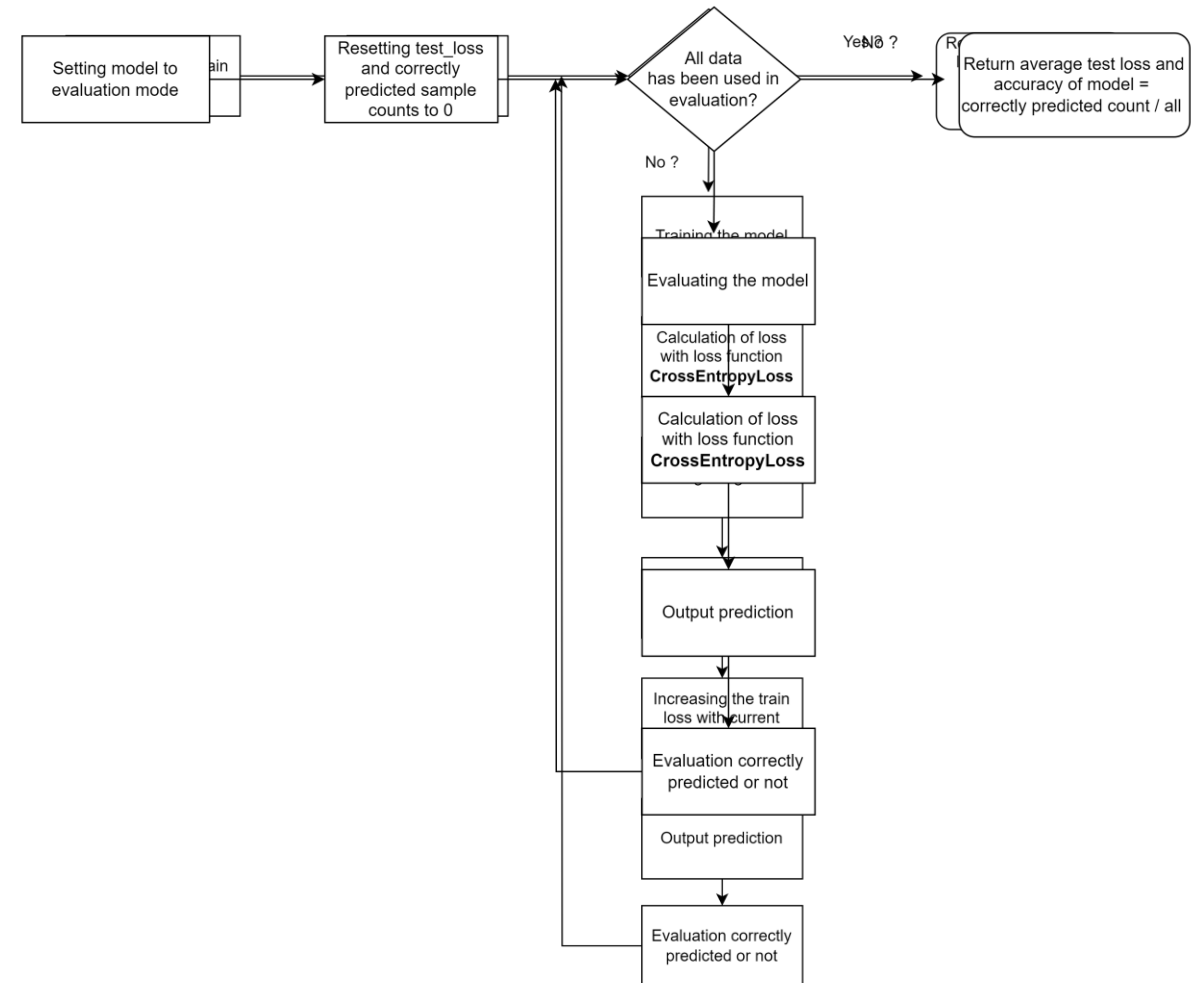
# Modelling

A 3-layer model was created for the classification process.



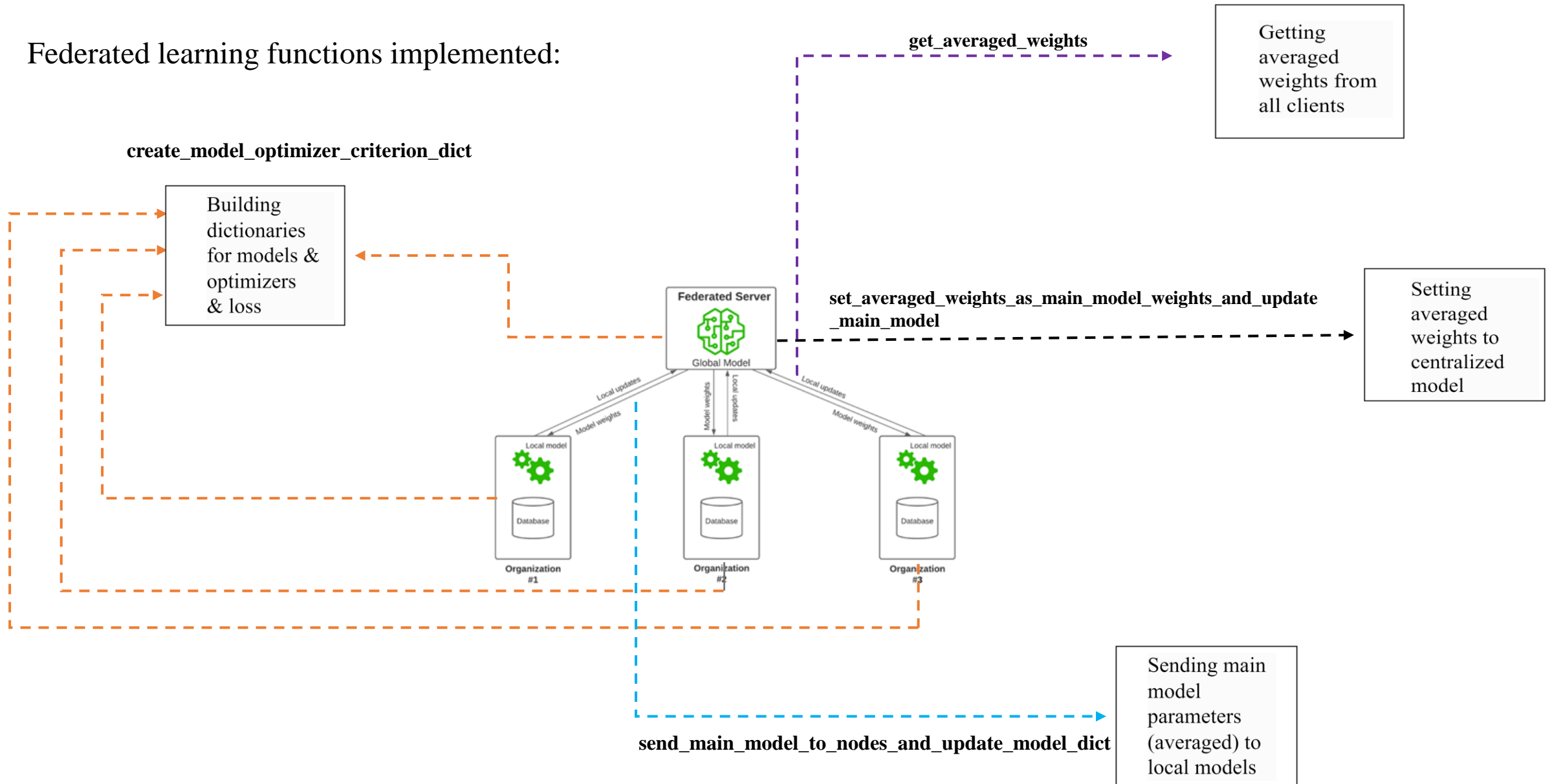
```
net2nn(
  (fc1): Linear(in_features=784, out_features=200, bias=True)
  (fc2): Linear(in_features=200, out_features=200, bias=True)
  (fc3): Linear(in_features=200, out_features=10, bias=True)
)
```

## Validation



# Federated averaging methods

Federated learning functions implemented:



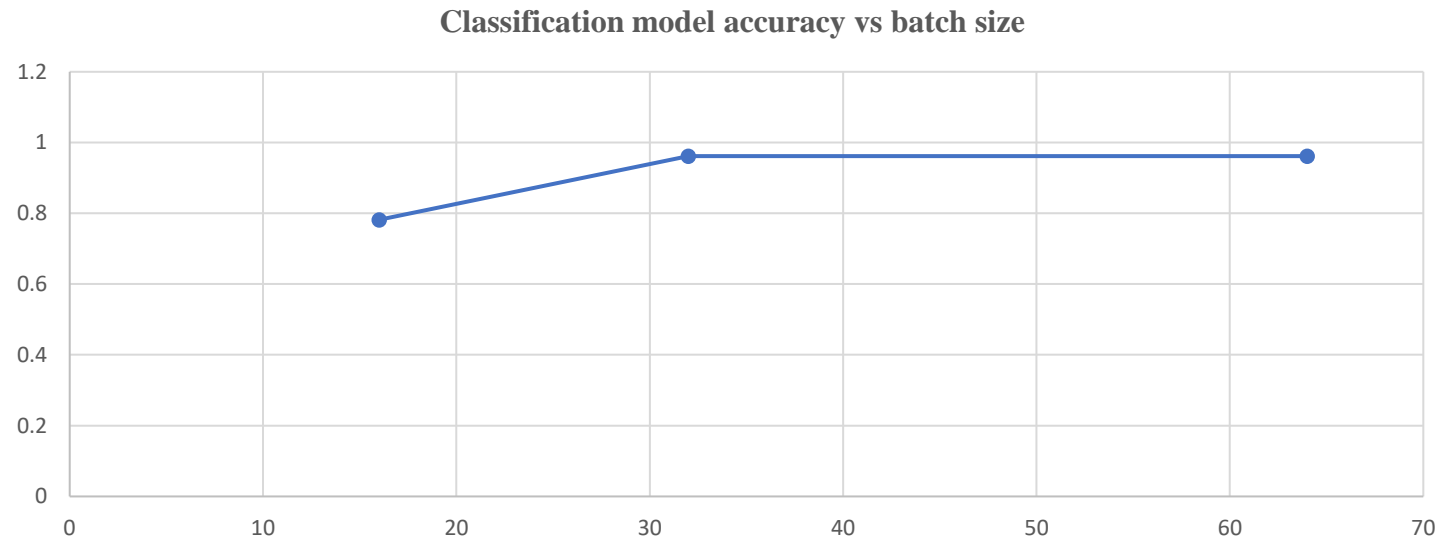


# Measurement and Analysis

**Dependent variable :** Accuracy of the main classification model

**Independent variables :** Number of clients  
Learning rate  
Number of epochs for training  
Batch size

**Designing experiments:** Created a series of experiments where I systematically vary the independent variables while keeping other factors constant



# Results

## Centralized model with centralized and non-IID data ?

```
----- Centralized ( Non - Distributed ) Model -----  
----- Training Started -----  
Epoch:  1 | Train accuracy:  0.8893 | Test accuracy:  0.8629  
Epoch:  2 | Train accuracy:  0.9617 | Test accuracy:  0.9652  
Epoch:  3 | Train accuracy:  0.9741 | Test accuracy:  0.9713  
Epoch:  4 | Train accuracy:  0.9797 | Test accuracy:  0.9743  
Epoch:  5 | Train accuracy:  0.9855 | Test accuracy:  0.9736  
Epoch:  6 | Train accuracy:  0.9885 | Test accuracy:  0.9738  
Epoch:  7 | Train accuracy:  0.9909 | Test accuracy:  0.9782  
Epoch:  8 | Train accuracy:  0.9935 | Test accuracy:  0.9761  
Epoch:  9 | Train accuracy:  0.9945 | Test accuracy:  0.9624  
Epoch: 10 | Train accuracy:  0.9965 | Test accuracy:  0.9791  
----- Training finished -----
```

Train (50000) and test (10000) amounts are full train and test data

**learning\_rate** is 0.2

**momentum** is 0.2

**numEpoch** is 30

## Chosen parameters from the measurement and analysis

**number\_of\_clients** is 100

**learning\_rate** is 0.2

**numEpoch** is 30

**batch\_size** is 64

**momentum** is 0.2

**train\_amount** is 4000 for each label (build IID data)

**test\_amount** is 1000 for each label (build IID data)

```
Iteration 2 : main_model accuracy on all test data:  0.8915  
Iteration 3 : main_model accuracy on all test data:  0.9134  
Iteration 4 : main_model accuracy on all test data:  0.9243  
Iteration 5 : main_model accuracy on all test data:  0.9319  
Iteration 6 : main_model accuracy on all test data:  0.9394  
Iteration 7 : main_model accuracy on all test data:  0.9438  
Iteration 8 : main_model accuracy on all test data:  0.9427  
Iteration 9 : main_model accuracy on all test data:  0.9490  
Iteration 10 : main_model accuracy on all test data:  0.9504  
Iteration 11 : main_model accuracy on all test data:  0.9526  
Iteration 12 : main_model accuracy on all test data:  0.9542  
Iteration 13 : main_model accuracy on all test data:  0.9565  
Iteration 14 : main_model accuracy on all test data:  0.9577  
Iteration 15 : main_model accuracy on all test data:  0.9601
```

15 iterations have been done for the convergence.

# Conclusion and future scope

## What have been achieved ?

Centralized model ( not FL) achieved an accuracy of 97.9%.

FedAvg averaging process reaching an impressive 96.01% accuracy without seeing the data.



**Data Privacy**



**Decentralized Training**



**Lower Bandwidth and Power Usage**



**Control Over Data**



**Scalability**



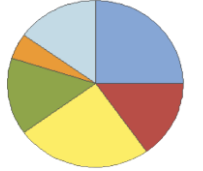
**Cost-Efficient**

## What were the risks and what are the next steps ?

### Handling Heterogeneity

FedAvg is dependent on the IID data

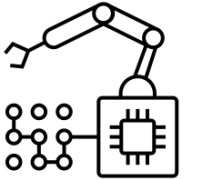
➔ Working on the non-IID data.



### Adaptive Learning Rates

Fixed learning rates for all devices

➔ Client specific learning rate.



### Fault Tolerance and Dynamic Client Selection

Faulty or malicious devices - inaccurate updates.

Detection of those clients needed in this scenario.



➔ Selecting devices to participate in training.



# References

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- S. Xing, Z. Ning, J. Zhou, X. Liao, J. Xu and W. Zou, "N-FedAvg: Novel Federated Average Algorithm Based on FedAvg," 2022 14th International Conference on Communication Software and Networks (ICCSN), Chongqing, China, 2022, pp. 187-196, doi: 10.1109/ICCSN55126.2022.9817607.
- H. B. McMahan and D. Ramage, "*Communication-Efficient Learning of Deep Networks from Decentralized Data*," vol. 54, 2017.
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# Thank You



# Backup

# FL Frameworks Under Development

Several open-source libraries are under development: PySyft, TensorFlow Federated, FATE, Flower, Substra..



Private Machine learning as a Service using  
PySyft



**FATE**





# Applications - I

ARTIFICIAL INTELLIGENCE, DIAGNOSTICS

## UPenn, Intel partner to use federated learning AI for early brain tumor detection

The project will bring in 29 institutions from North America, Europe and India and will use privacy-preserved data to train AI models. Federated learning has been described as being born at the intersection of AI, blockchain, edge computing and the Internet of Things.

By ALARIC DEARMONT

Post a comment / May 11, 2020 at 10:03 AM

*"The University of Pennsylvania and chipmaker Intel are forming a partnership to enable 29 healthcare and medical research institutions around the world to train artificial intelligence models to detect brain tumors early."*

*"The program will rely on a technique known as federated learning, which enables institutions to collaborate on deep learning projects without sharing patient data. The partnership will bring in institutions in the U.S., Canada, U.K., Germany, Switzerland and India. The centers – which include Washington University of St. Louis; Queen's University in Kingston, Ontario; University of Munich; Tata Memorial Hospital in Mumbai and others – will use Intel's federated learning hardware and software."*



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### Intel, Penn Medicine Launch Federated Learning



By Allison Proffitt

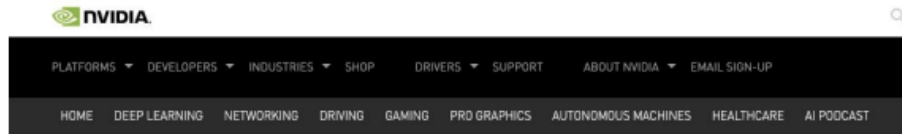
May 28, 2020 | The University of Pennsylvania and Intel have built a federation of 30 institutions to use federated learning to train artificial intelligence (AI) models to identify boundaries of brain tumors.

Led by Spyridon Bakas at the Center for Biomedical Image Computing and Analytics (CBICA) at the Perelman School of Medicine at the University of Pennsylvania, the federation is the next step forward in a years-long effort to gather data that would empower AI in brain image analysis.





# Applications - II

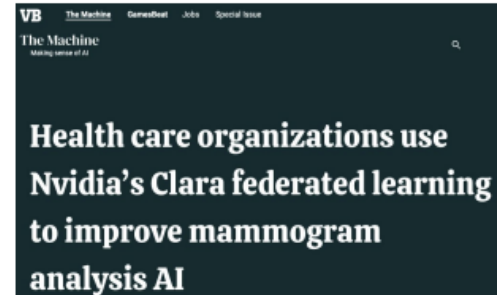


## Medical Institutions Collaborate to Improve Mammogram Assessment AI with NVIDIA Clara Federated Learning

In a federated learning collaboration, the American College of Radiology, Diagnosticos da America, Partners HealthCare, Ohio State University and Stanford Medicine developed better predictive models to assess breast tissue density.

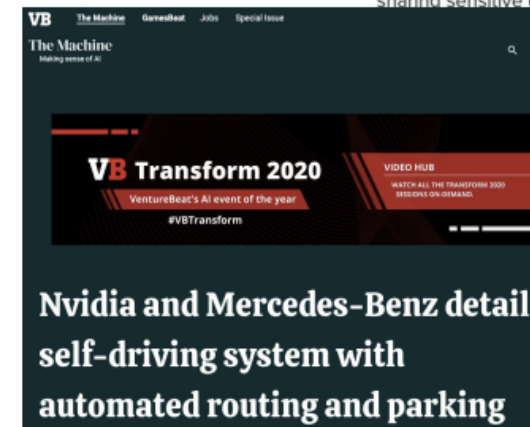
April 15, 2020 by MONA FLORES

*"Federated learning addresses this challenge, enabling different institutions to collaborate on AI model development without sharing sensitive clinical data with each other. The goal is to end up with more generalizable models that perform well on any dataset, instead of an AI biased by the patient demographics or imaging equipment of one specific radiology department."*



## Nvidia says it has a solution for healthcare's data problems

The chipmaker touted a new framework that would allow hospitals and pharmaceutical companies to collaborate on AI projects without sharing sensitive data. Nvidia said the framework is already gaining traction among hospitals and drug developers.



# Weights Updating

Before updating :

Main model weight : tensor([[ -0.0301, -0.0302, -0.0298, 0.0291, 0.0280]],  
grad\_fn=<SliceBackward0>)

Client model weight : tensor([[ -0.0015, 0.0124, -0.0091, 0.0177, 0.0314]],  
grad\_fn=<SliceBackward0>)

After updating :

Main model weight : tensor([[ 0.0585, 0.0462, -0.0580, -0.0622, 0.0498]],  
grad\_fn=<SliceBackward0>)

Client model weight : tensor([[ 0.0585, 0.0462, -0.0580, -0.0622, 0.0498]],  
grad\_fn=<SliceBackward0>)

# SGD

Stochastic Gradient Descent (SGD) is an optimization algorithm used to train machine learning models.

It updates model parameters based on small random subsets of data, called batches, to minimize the difference between predicted and actual outputs (loss)

FL relies on SGD due to its ability to train models on decentralized data while preserving privacy.

SGD only requires sending small gradients, making it suitable for FL scenarios with limited bandwidth

SGD allows each device to update its local model using only its own data without requiring information from other devices or a central server.

$$\text{weight} = \text{weight} - \text{lr} * \text{gradient} - \text{momentum} * \text{previous\_update}$$

## Why not other optimization algos ?

- **Batch Gradient Descent:** Requires the entire dataset to compute gradients, it would involve transmitting large amounts of data, compromising privacy and communication efficiency.
- **Mini-batch Gradient Descent:** Requires sharing data between devices, leading to privacy concerns and communication challenges.
- **Genetic Algorithms:** Involve a population-based approach with evolving solutions. This process might require sharing sensitive information and lacks the communication efficiency needed in FL.
- **Newton's Method:** Requires calculating the Hessian matrix, which is computationally expensive and not practical for the distributed and resource-constrained nature of FL.

# FedAvg

$$F_k(w) = \frac{1}{n_k} \sum_{i \in \mathcal{P}_k} f_i(w)$$

$$g_k = \nabla F_k(w_t)$$

# Euclidean distance in images

