



COMPUTER SCIENCE AND DATA ANALYTICS

Course: Guided Research

Project Title: Federated Machine Learning Implementation on Image Classification

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Date: 08.03.2023

Project Objective



Why today ?

Standard machine learning approaches necessarily require storing training data on a single machine or in datacenter.

Why can't we just centralize the data all the time ?



What are the limits of current practice ?

Sending the data may be too costly



Self-driving cars generates several TBs of data a day



Wireless devices have limited bandwidth/power

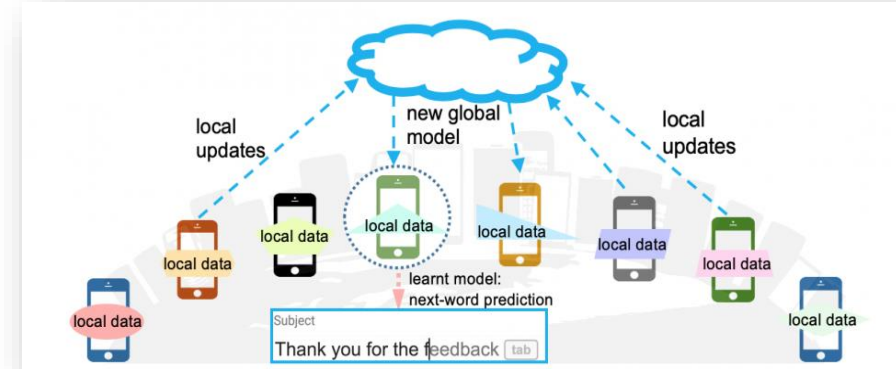
Data may be considered too sensitive



Public awareness and regulations on data privacy



Control of data is advantage in business/research



What's new in our approach ?

Federated Learning (FL) – Keep data decentralized.

Collaborative ML model training on decentralized data.

Each client's raw data is stored locally .

Parameter aggregation.

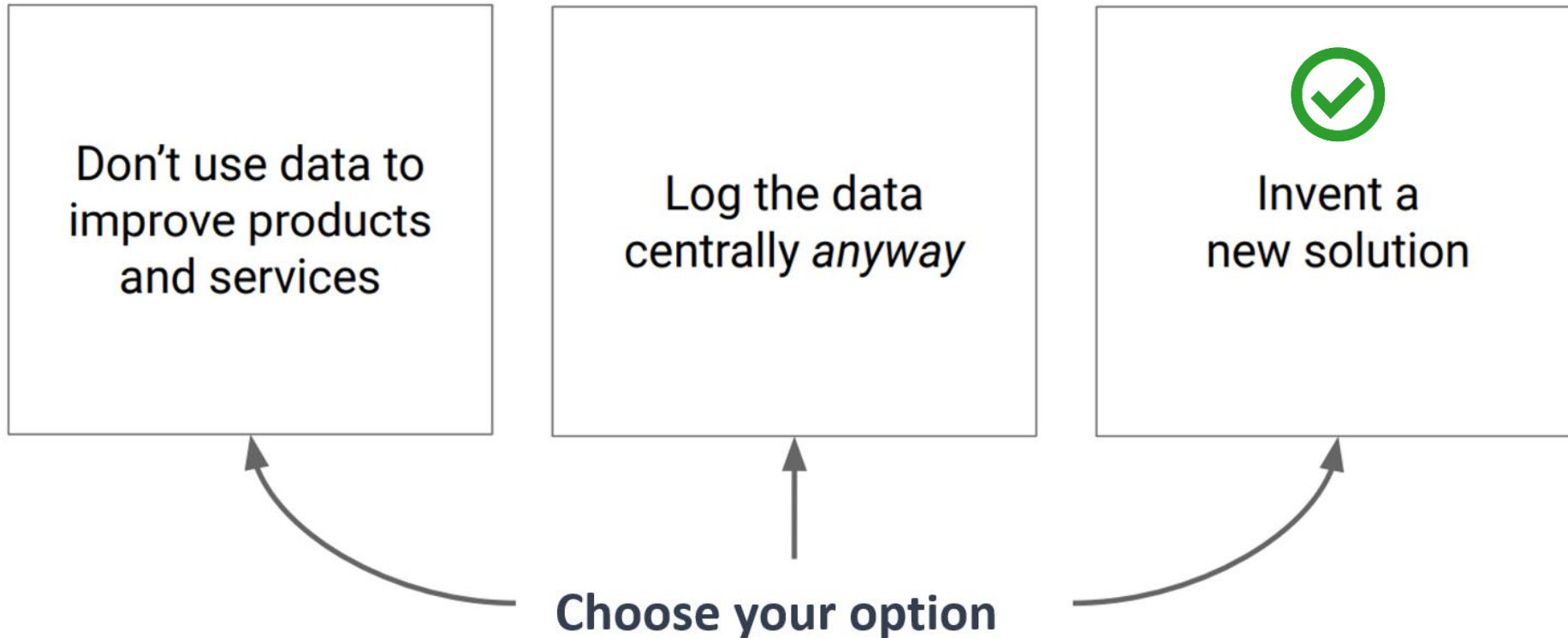
Control over data.

No need to high data transmission bandwidth/power.

Leveraging local data diversity – improving accuracy.

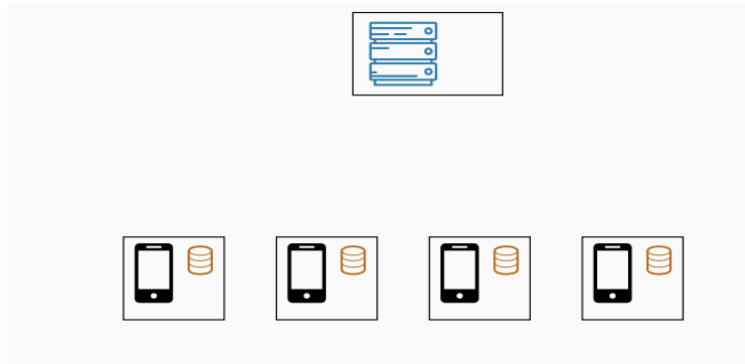
Literature Review

Between 2014 – 2016 Google had three options about the data

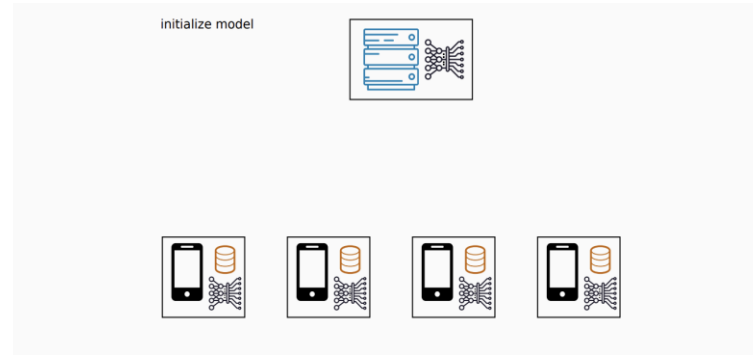


Solution Architecture

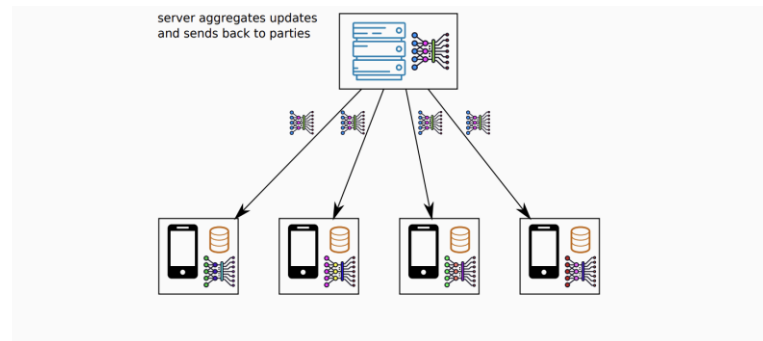
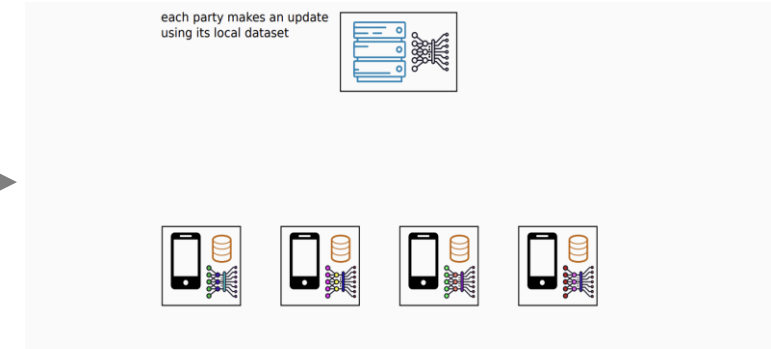
Data preparation (IID)



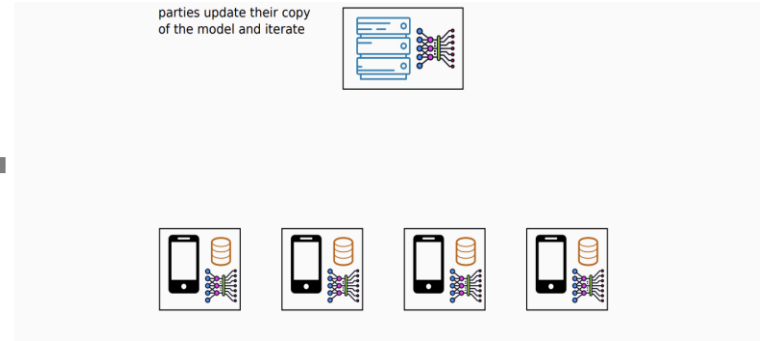
Initialize models



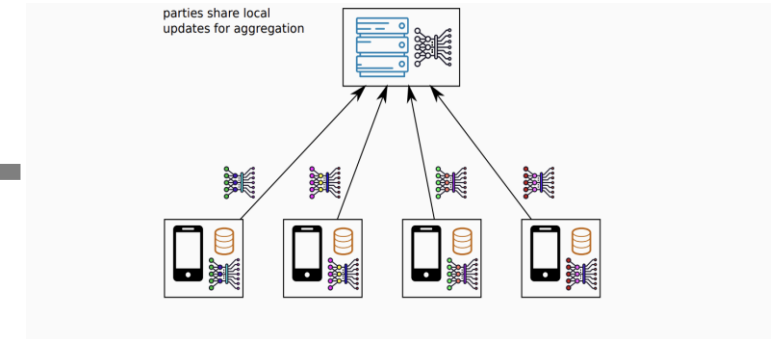
Train local models on the data samples



Averaged weights are sent to the local clients



Local models weights are being averaged



Sharing the model weights to the central model

Dataset | Ingestion | Preprocessing

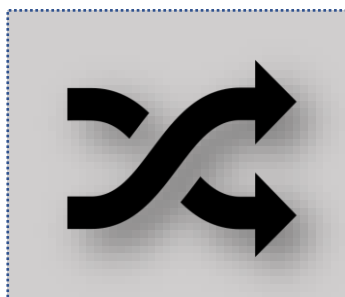
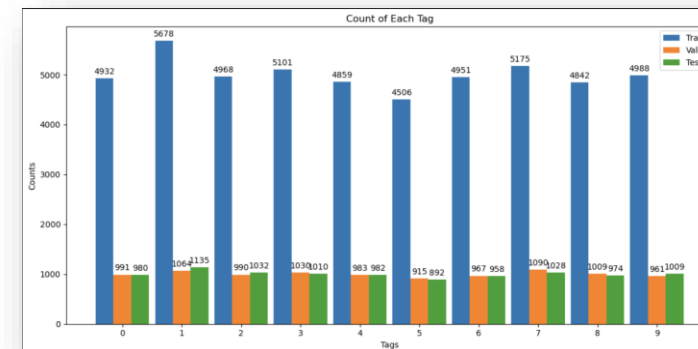


MNIST Dataset - 28 * 28 pixel grayscale images of numbers from 0 to 9.

The MNIST data set does not contain each label equally.

The IID sampling of the training data needed.

To fulfill the IID requirement :



**Shuffling data and building
dictionary for indexes of labels**



**Building of client dataset
dictionaries with shuffled data**



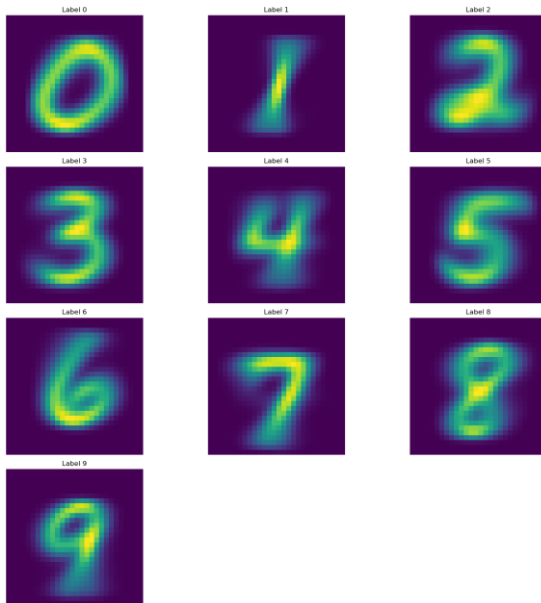
**Building of client datasets with
previous steps' dictionaries**

Dataset Cleaning

Heatmap for each label

Calculated the mean image (2000 random samples) for building heatmap.

Mean image - array mean values reshaped into a 28x28 matrix.



Outlier detection

Calculating the Euclidean distance between samples and the mean image.

Defining outlier threshold – (100).



Indices of outlier images:

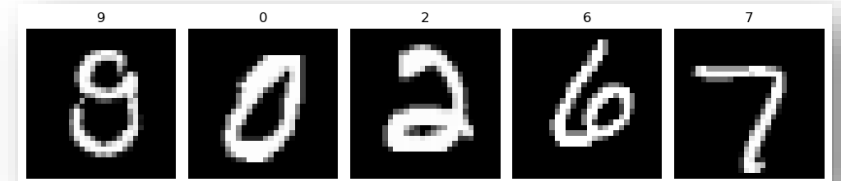
[41453, 24798, 25315, 36193, 29489, 25317, 8488, 59423, 8586, 18598]

Normalization

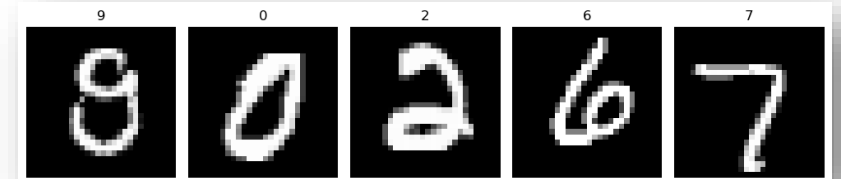
Feature scaling to ensure all features (pixels) are on a similar scale.

Normalized the pixel values of the images to a range between 0 and 1 by dividing to 255.

Before normalization

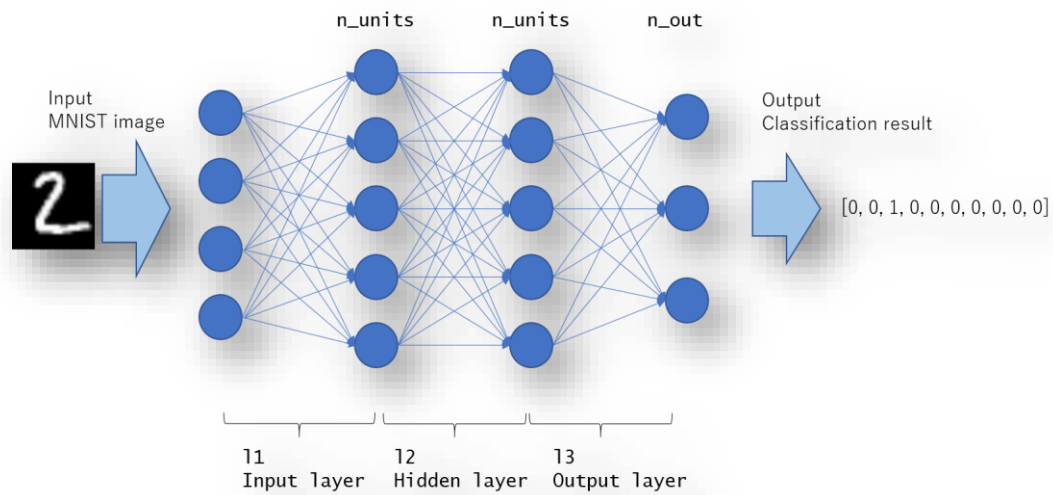


After normalization



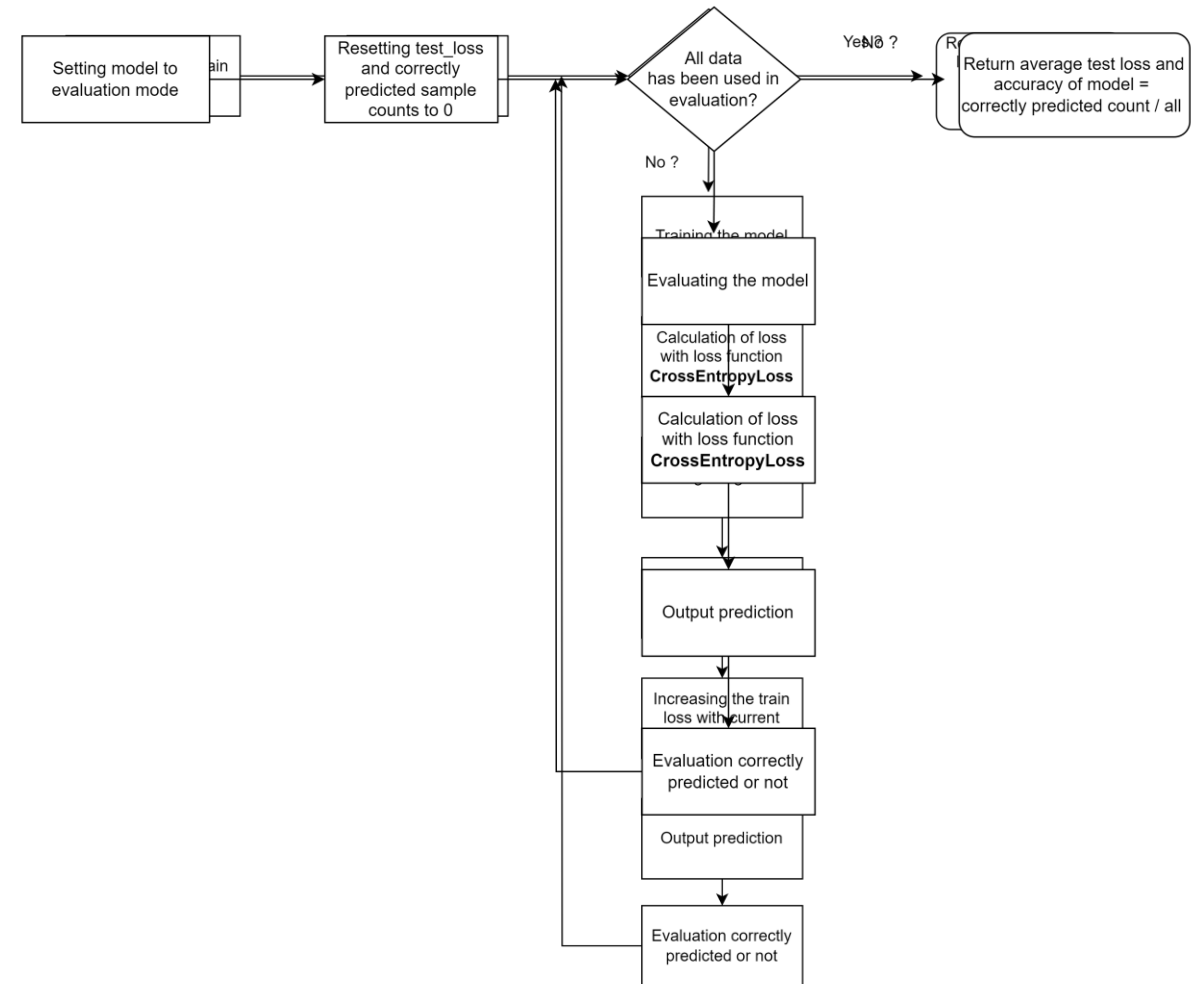
Modelling

A 3-layer model was created for the classification process.



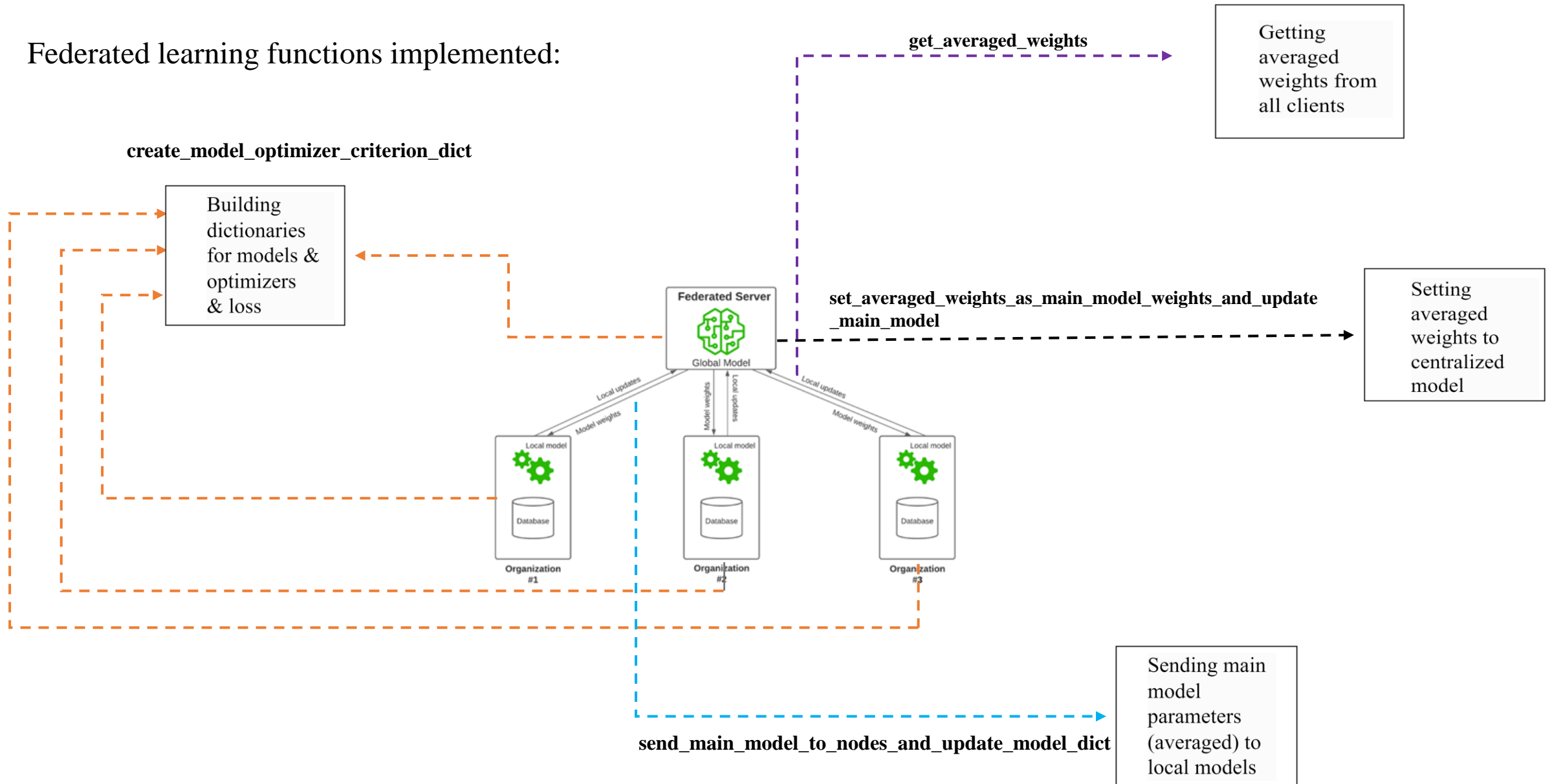
```
net2nn(
  (fc1): Linear(in_features=784, out_features=200, bias=True)
  (fc2): Linear(in_features=200, out_features=200, bias=True)
  (fc3): Linear(in_features=200, out_features=10, bias=True)
)
```

Validation



Federated averaging methods

Federated learning functions implemented:

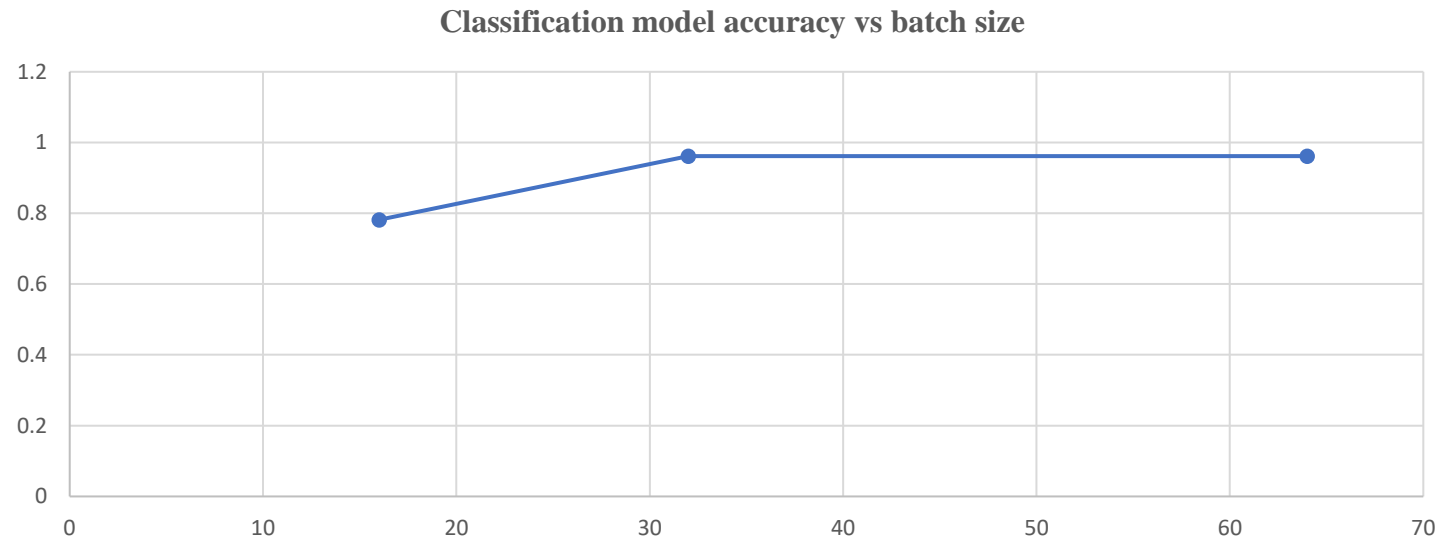


Measurement and Analysis

Dependent variable : Accuracy of the main classification model

Independent variables : Number of clients
Learning rate
Number of epochs for training
Batch size

Designing experiments: Created a series of experiments where I systematically vary the independent variables while keeping other factors constant



Results

Centralized model with centralized and non-IID data ?

```
----- Centralized ( Non - Distributed ) Model -----  
----- Training Started -----  
Epoch:  1 | Train accuracy:  0.8893 | Test accuracy:  0.8629  
Epoch:  2 | Train accuracy:  0.9617 | Test accuracy:  0.9652  
Epoch:  3 | Train accuracy:  0.9741 | Test accuracy:  0.9713  
Epoch:  4 | Train accuracy:  0.9797 | Test accuracy:  0.9743  
Epoch:  5 | Train accuracy:  0.9855 | Test accuracy:  0.9736  
Epoch:  6 | Train accuracy:  0.9885 | Test accuracy:  0.9738  
Epoch:  7 | Train accuracy:  0.9909 | Test accuracy:  0.9782  
Epoch:  8 | Train accuracy:  0.9935 | Test accuracy:  0.9761  
Epoch:  9 | Train accuracy:  0.9945 | Test accuracy:  0.9624  
Epoch: 10 | Train accuracy:  0.9965 | Test accuracy:  0.9791  
----- Training finished -----
```

Train (50000) and test (10000) amounts are full train and test data

learning_rate is 0.2

momentum is 0.2

numEpoch is 30

Chosen parameters from the measurement and analysis

number_of_clients is 100

learning_rate is 0.2

numEpoch is 30

batch_size is 64

momentum is 0.2

train_amount is 4000 for each label (build IID data)

test_amount is 1000 for each label (build IID data)

```
Iteration 2 : main_model accuracy on all test data:  0.8915  
Iteration 3 : main_model accuracy on all test data:  0.9134  
Iteration 4 : main_model accuracy on all test data:  0.9243  
Iteration 5 : main_model accuracy on all test data:  0.9319  
Iteration 6 : main_model accuracy on all test data:  0.9394  
Iteration 7 : main_model accuracy on all test data:  0.9438  
Iteration 8 : main_model accuracy on all test data:  0.9427  
Iteration 9 : main_model accuracy on all test data:  0.9490  
Iteration 10 : main_model accuracy on all test data:  0.9504  
Iteration 11 : main_model accuracy on all test data:  0.9526  
Iteration 12 : main_model accuracy on all test data:  0.9542  
Iteration 13 : main_model accuracy on all test data:  0.9565  
Iteration 14 : main_model accuracy on all test data:  0.9577  
Iteration 15 : main_model accuracy on all test data:  0.9601
```

15 iterations have been done for the convergence.

Conclusion and future scope

What have been achieved ?

Centralized model (not FL) achieved an accuracy of 97.9%.

FedAvg averaging process reaching an impressive 96.01% accuracy without seeing the data.



Data Privacy



Decentralized Training



Lower Bandwidth and Power Usage



Control Over Data



Scalability



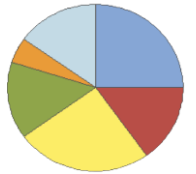
Cost-Efficient

What were the risks and what are the next steps ?

Handling Heterogeneity

FedAvg is dependent on the IID data

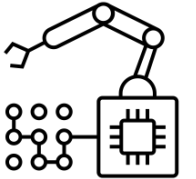
➔ Working on the non-IID data.



Adaptive Learning Rates

Fixed learning rates for all devices

➔ Client specific learning rate.



Fault Tolerance and Dynamic Client Selection

Faulty or malicious devices - inaccurate updates.

Detection of those clients needed in this scenario.



➔ Selecting devices to participate in training.



References

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- S. Xing, Z. Ning, J. Zhou, X. Liao, J. Xu and W. Zou, "N-FedAvg: Novel Federated Average Algorithm Based on FedAvg," 2022 14th International Conference on Communication Software and Networks (ICCSN), Chongqing, China, 2022, pp. 187-196, doi: 10.1109/ICCSN55126.2022.9817607.
- H. B. McMahan and D. Ramage, "*Communication-Efficient Learning of Deep Networks from Decentralized Data*," vol. 54, 2017.
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- Liang, Paul Pu et al. "Think Locally, Act Globally: Federated Learning with Local and Global Representations." *ArXiv* abs/2001.01523 (2020): n. pag.
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- Speedup, Linear, Zhaonan Qu, Kaixiang Lin and Zhaojian Li. "FEDERATED LEARNING'S BLESSING: FEDAVG." (2020).

Thank You



Backup

FL Frameworks Under Development

Several open-source libraries are under development: PySyft, TensorFlow Federated, FATE, Flower, Substra..



Private Machine learning as a Service using
PySyft



Applications - I

ARTIFICIAL INTELLIGENCE, DIAGNOSTICS

UPenn, Intel partner to use federated learning AI for early brain tumor detection

The project will bring in 29 institutions from North America, Europe and India and will use privacy-preserved data to train AI models. Federated learning has been described as being born at the intersection of AI, blockchain, edge computing and the Internet of Things.

By ALARIC DEARMONT

Post a comment / May 11, 2020 at 10:03 AM

"The University of Pennsylvania and chipmaker Intel are forming a partnership to enable 29 healthcare and medical research institutions around the world to train artificial intelligence models to detect brain tumors early."

"The program will rely on a technique known as federated learning, which enables institutions to collaborate on deep learning projects without sharing patient data. The partnership will bring in institutions in the U.S., Canada, U.K., Germany, Switzerland and India. The centers – which include Washington University of St. Louis; Queen's University in Kingston, Ontario; University of Munich; Tata Memorial Hospital in Mumbai and others – will use Intel's federated learning hardware and software."



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Intel, Penn Medicine Launch Federated Learning



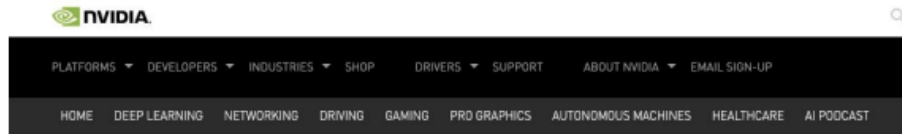
By Allison Profit

May 28, 2020 | The University of Pennsylvania and Intel have built a federation of 30 institutions to use federated learning to train artificial intelligence (AI) models to identify boundaries of brain tumors.

Led by Spyridon Bakas at the Center for Biomedical Image Computing and Analytics (CBICA) at the Perelman School of Medicine at the University of Pennsylvania, the federation is the next step forward in a years-long effort to gather data that would empower AI in brain image analysis.



Applications - II

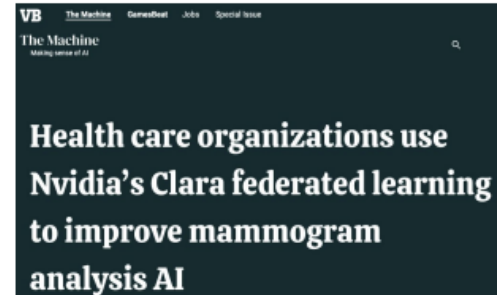


Medical Institutions Collaborate to Improve Mammogram Assessment AI with NVIDIA Clara Federated Learning

In a federated learning collaboration, the American College of Radiology, Diagnosticos da America, Partners HealthCare, Ohio State University and Stanford Medicine developed better predictive models to assess breast tissue density.

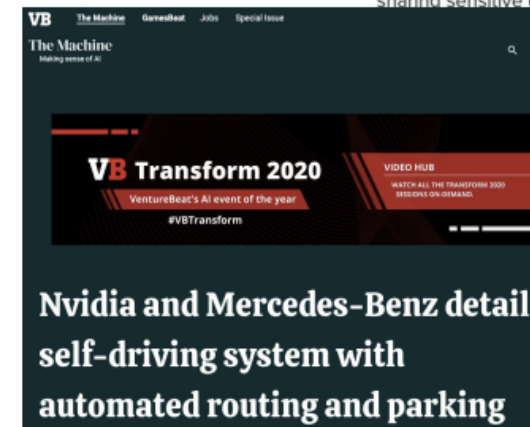
April 15, 2020 by MONA FLORES

"Federated learning addresses this challenge, enabling different institutions to collaborate on AI model development without sharing sensitive clinical data with each other. The goal is to end up with more generalizable models that perform well on any dataset, instead of an AI biased by the patient demographics or imaging equipment of one specific radiology department."



Nvidia says it has a solution for healthcare's data problems

The chipmaker touted a new framework that would allow hospitals and pharmaceutical companies to collaborate on AI projects without sharing sensitive data. Nvidia said the framework is already gaining traction among hospitals and drug developers.



Weights Updating

Before updating :

Main model weight : tensor([[-0.0301, -0.0302, -0.0298, 0.0291, 0.0280]],
grad_fn=<SliceBackward0>)

Client model weight : tensor([[-0.0015, 0.0124, -0.0091, 0.0177, 0.0314]],
grad_fn=<SliceBackward0>)

After updating :

Main model weight : tensor([[0.0585, 0.0462, -0.0580, -0.0622, 0.0498]],
grad_fn=<SliceBackward0>)

Client model weight : tensor([[0.0585, 0.0462, -0.0580, -0.0622, 0.0498]],
grad_fn=<SliceBackward0>)

SGD

Scalability: FEDAVG is designed to scale to a large number of devices. By using SGD, each device performs its updates locally, and communication only involves transmitting the model gradients

Convergence: SGD has been proven to be effective in optimizing neural networks and other machine learning models.

Decentralization: SGD allows each device to update its local model using only its own data without requiring information from other devices or a central server.

$$\text{weight} = \text{weight} - \text{lr} * \text{gradient} - \text{momentum} * \text{previous_update}$$

FedAvg

$$F_k(w) = \frac{1}{n_k} \sum_{i \in \mathcal{P}_k} f_i(w)$$

$$g_k = \nabla F_k(w_t)$$

Euclidean distance in images

