

Project 2 Report

1. How can you break down a large problem instance into one or more smaller instances? Your answer should include how the minimum absolute difference for the original problem is constructed from the minimum solutions to the subproblems and why this breakdown makes sense.

To address this problem effectively, we can decompose it into smaller, more manageable subproblems. Each subproblem involves finding the minimum difference for an individual entry within the matrix. We approach this by considering all potential paths originating from the specific entry and selecting the path yielding the smallest difference. For instance, imagine we're positioned at a particular entry within an matrix . Our task is to identify which adjacent entry to this position has the smallest difference relative to our sequence value. If the entry is in an edge of the matrix then the neighbors that are outside the matrix have a difference of infinity. We then accumulate this minimum difference as we proceed from one entry to the next.

The algorithm examines each entry in the matrix and chooses the one with the smallest cumulative difference. At every step, the difference for the current entry is determined by adding it to the minimum difference from the adjacent entries. This process is repeated for every entry, ensuring the algorithm considers all possible positions and directions. As a result, the algorithm guarantees that it finds the minimum possible difference for the entire matrix by exhaustively checking each entry and all paths stemming from it.

This method is effective because it systematically explores all entries and their neighbors, ensuring no possibility is overlooked. It's the same as a thorough search where each step is optimized by choosing the most promising direction, leading to an overall minimum difference for the sequence and matrix combination.

2. What recurrence can you use to model this problem using dynamic programming?

Let $f(n, m, z)$ be the function that calculates the minimum sum difference between the sequence *string* and the values in the *matrix*, starting at position (n, m) in the matrix and considering the z -th element in the sequence *string*. The function is defined as follows:

$$f(n, m, z) = \begin{cases} 0 & \text{if } z \geq \text{size of } string, \\ \infty & \text{if } n \geq \text{rows or } m \geq \text{columns or } n < 0 \text{ or } m < 0, \\ |matrix[n][m] - string[z]| + \min & \text{otherwise} \\ \left\{ \begin{array}{ll} f(n-1, m, z+1), & \text{go down} \\ f(n+1, m, z+1), & \text{go up} \\ f(n, m-1, z+1), & \text{go left} \\ f(n, m+1, z+1) & \text{go right} \end{array} \right. \end{cases}$$

The algorithm will call the recurrence f for every entry in the matrix.

3. What are the base cases of this recurrence?

There are two base cases. The first stops the function from proceeding if it reaches the last element of the sequence or string. The second stops the function from proceeding if the current entry is in an edge of the matrix.

4. Give pseudocode for a memoized dynamic programming algorithm for the problem of identifying the minimum possible alignment difference

Algorithm 3: Function f

Input: Integers n, m, z
Input: Integer Sequence s
Input: Matrix $matrix$
Input: DP Table dp
Output: Minimum absolute difference for entry (n, m) starting with index z in s

```
1 if  $z \geq \text{size of } s$  then
2   | return 0 // Base case: reached end of sequence
3 if  $n \geq \text{number of rows in } matrix \vee m \geq \text{number of columns in } matrix \vee n < 0 \vee m < 0$  then
4   | return  $\infty$  // Base case: out of matrix bounds
5 if  $dp[n][m][z] \neq -1$  then
6   | return  $dp[n][m][z]$  // Subproblem already solved
7  $dp[n][m][z] \leftarrow \text{abs}(matrix[n][m] - s[z]) + \min\{$ 
8    $f(n - 1, m, z + 1, s, matrix, dp),$  // Go down
9    $f(n + 1, m, z + 1, s, matrix, dp),$  // Go up
10   $f(n, m - 1, z + 1, s, matrix, dp),$  // Go left
11   $f(n, m + 1, z + 1, s, matrix, dp)$  // Go right
12 }
13 return  $dp[n][m][z]$ 
```

Algorithm 4: Memoization Wrapper

Input: Sequence s
Input: Matrix $matrix$
Output: Minimum absolute difference for all entries

```
1 Initialize  $dp$  as a 3D vector with dimensions  $[matrix.size()][matrix.front().size()][s.size()]$ ,
   filled with -1
2  $min \leftarrow \infty$ 
3 for  $i \leftarrow 0$  to  $matrix.size() - 1$  do
4   | for  $j \leftarrow 0$  to  $matrix.front().size() - 1$  do
5     | |  $min \leftarrow \min\{f(i, j, 0, s, matrix, dp), min\}$  // Find min for each entry
6 return  $min$ 
```

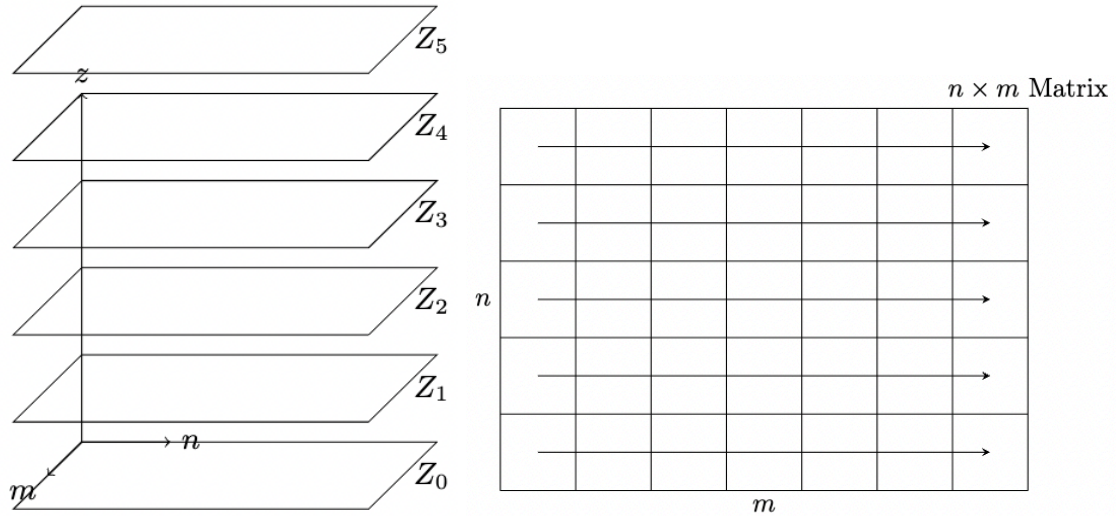
5. What is the time complexity of your memoized algorithm? Justify your answer.

The dynamic programming table, which has three dimensions, can be used to calculate the complexity of the memoized algorithm. The dimensions of the dynamic programming table are *matrix.row* * *matrix.columns* * *string.size*. Since we visit each entry of the table one time, the worst-time complexity of the memoized algorithm would be $O(\text{rows} * \text{columns} * \text{size})$ or $O(n * m * z)$, where n is the number of rows, m is the number of columns, and z is the size of the string.

$$\sum_{i=0}^{n-1} \sum_{j=0}^{m-1} (f(i, j, 0)) = n * m * k = O(n * m * k).$$

6. Describe a valid iteration order you would recommend for an iterative algorithm for this problem in pseudocode.

A valid iteration order would be to start from the first layer and move upwards. Inside each layer, any iteration order is valid. However, we can move left to right and then to the next row.



Input: A 3D DP table with dimensions z -layers, n -rows, and m -columns

```

1 for layer  $\leftarrow 0$  to  $z - 1$  do
2     // Iterate through each layer from bottom to top
3     for row  $\leftarrow 0$  to  $n - 1$  do
4         // Iterate through each row of the current layer
5         for column  $\leftarrow 0$  to  $m - 1$  do
6             // Iterate through each column of the current row from left to right
7             UpdateDPTable(layer, row, column) // Perform the required operation

```

7. Give pseudocode for an iterative algorithm for the problem

The algorithm works by iterating through the layers starting at the penultimate. It then traverses the matrices starting at the top left, moving rightward, and down the rows. The algorithm calculates the minimal difference for the entry by finding the neighbor with the smallest difference and adding it to the difference of the current entry. The algorithm searches for the neighbor's smallest difference by looking at the layer below the current and selecting the smallest difference among the four neighbors. After the algorithm iterates through all the layers, it searches for the smallest difference. The algorithm finds the smallest difference by iterating through the last layers, the topmost one, and selecting the smallest value there.

Algorithm 5: Iterative

Input: Sequence s
Input: Matrix $matrix$
Output: Minimum sum difference for the sequence and matrix

```

1 Initialize  $dp$  as a 3D vector with dimensions  $[size\ of\ s + 1] \times [number\ of\ rows\ in\ matrix] \times [number\ of\ columns\ in\ matrix]$ , filled with 0
2 for  $layer \leftarrow 1$  to size of  $dp$  do
3   for  $row \leftarrow 0$  to number of rows in  $matrix$  do
4     for  $column \leftarrow 0$  to number of columns in  $matrix$  do
5        $dp[layer][row][column] \leftarrow |matrix[row][column] - s[size\ of\ s - layer]|$ 
6         + min{
7           if  $row + 1 < number\ of\ rows\ in\ matrix$  then  $dp[layer - 1][row + 1][column]$ 
8           , if  $row > 0$  then  $dp[layer - 1][row - 1][column]$ 
9           else  $\infty$ 
10          , if  $column + 1 < number\ of\ columns\ in\ matrix$  then
11             $dp[layer - 1][row][column + 1]$ 
12            else  $\infty$ 
13          , if  $column > 0$  then  $dp[layer - 1][row][column - 1]$ 
14          else  $\infty$ 
15        }
16 min  $\leftarrow \infty$ 
17 for  $i \leftarrow 0$  to number of rows in  $matrix$  do
18   for  $j \leftarrow 0$  to number of columns in  $matrix$  do
19     min  $\leftarrow \min(min, dp[front][i][j])$ 
20 return min

```

8. How could you modify your solution to the problem of identifying the minimum absolute difference to actually locate the alignment in the grid? You may answer this question by giving pseudocode for an algorithm that computes and returns the alignment, or you may describe (in English) how to modify the iterative or memoized algorithm.

We can backtrack through the dynamic programming table to find the path or alignment. Therefore, I simply need to add some code to the iterative algorithm to find the path. We start at the layer where the solution is located, which is at the topmost layer of the table. We then locate the smallest value and move down a layer to the same n and m position in the matrix. In this layer, we search for the neighbor with the smallest difference and move to it. We then can output or store the neighbor's direction relative to the previous one, like 'L,' if the neighbor is left to the previous entry. We can do this repeatedly until we reach the penultimate layer. At the end we have a string that contains the direction for the minimal difference.

Algorithm 7: FindPath

Input: DP table dp , Path array $path$, Matrix $matrix$

Output: Path string $path_s$

```
1  $path\_s \leftarrow$  empty string
2 Initialize  $coordinate$  to store four directions' next step coordinates
3 for each step  $i$  from 1 to  $dp.size - 2$  do
4   Initialize  $up, down, left, right$  to maximum integer value
   // Check possible moves from the current position
5   Update  $up, down, left, right$  with values from  $dp$  if moves are valid
6   Update  $coordinate$  with corresponding positions
   // Choose the direction with the minimum value
7    $smallest \leftarrow$  minimum of  $up, down, left, right$ 
   // Update the path and path string
8   if  $up$  is  $smallest$  then
9     | Add 'U' to  $path\_s$  and update  $path[i]$ 
10  else if  $down$  is  $smallest$  then
11    | Add 'D' to  $path\_s$  and update  $path[i]$ 
12  else if  $left$  is  $smallest$  then
13    | Add 'L' to  $path\_s$  and update  $path[i]$ 
14  else
15    | Add 'R' to  $path\_s$  and update  $path[i]$ 
16 return  $path\_s$                                 // Return the constructed path string
```

9. Can the space complexity of your iterative solution for finding the minimum score or alignment be reduced? Why or why not?

Yes, the space complexity of the algorithm can be reduced. We can reduce it by simply using two layers instead of $(string.size + 1)$. The reason why is that we only need the previous layer to calculate the current one. Hence, we can iterate in between the two layers to get the answer.

However, if we reduce the space complexity, we will not be able to determine the problem's path or alignment.

Algorithm 1: IterativeWithReducedSpace

Input: Sequence s of integers

Input: Matrix $matrix$ of integers

Output: Minimum sum difference between elements of s and $matrix$

```
1 min ← ∞
2 Initialize dp as a 3D vector with dimensions  $[2] \times [\text{number of rows in } matrix] \times [\text{number of}$ 
   columns in  $matrix]$ , filled with 0s
3 for i ← 1 to length of  $s$  do
4   for j ← 0 to number of rows in  $matrix$  do
5     for k ← 0 to number of columns in  $matrix$  do
6       dp[i mod 2][j][k] ← | $matrix[j][k] - s[\text{length of } s - i]$ | + min{
7         if j + 1 < number of rows in  $matrix$  then dp[(i - 1) mod 2][j + 1][k]
8         else ∞
9         , if j > 0 then dp[(i - 1) mod 2][j - 1][k]
10        else ∞
11        , if k + 1 < number of columns in  $matrix$  then dp[(i - 1) mod 2][j][k + 1]
12        else ∞
13        , if k > 0 then dp[(i - 1) mod 2][j][k - 1]
14        else ∞
15      }
16   for row ← 0 to number of rows in  $matrix$  do
17     for col ← 0 to number of columns in  $matrix$  do
18       min ← min(min, dp[(i - 1) mod 2][row][col])
19 return min
```
