

TO: Dick Coulombe

HID Global

611 Center Ridge Drive Austin, TX 78753

FROM: Matthew Arnold on behalf of the FIPS 201 Evaluation Program

DATE: 4/9/2021

RE: Update of APL Approval Letter

Office of Management and Budget (OMB) issued M-19-17 that requires Federal Agencies to procure only qualified products and services listed on the GSA Approved Products List (APL) when implementing HSPD-12 into their environment. Procurement of approved products and services facilitates the government-wide objective of a federated and interoperable ICAM segment architecture, and ensures compliance, consistency and alignment of commercially-available products and services with the requirements and functional needs of government ICAM implementer.

Accordingly, the FIPS 201 Evaluation Program (Program) is very pleased to inform you that the following product/service has passed testing against all applicable Testing Requirements. Please see the attached document that summarizes the specific parameters of the approval (e.g., product/service profile, what your product/service was tested with). Your product/service will now be listed on the APL as shown below. If there are any errors in the listing information, please notify me within five (5) business days of receiving this letter.

Approval Authorization Letter

1 Category-Validation System (APL #10020)

1.1 APL Certification Number

- (APL#10014)
 - o pivCLASS Registration Engine (Identiv Velocity)
 - o pivCLASS Certificate Manager
 - o pivCLASS Reader Service
 - o pivCLASS IDPublisher
 - o pivCLASS Authentication Module

1.2 Product/Service Information

Organization Name:	HID Global
Name of Product or Service:	pivCLASS Registration Engine
	(Identiv Velocity)
Part Number:	PVCP-D/S-07-00
Hardware Version:	
Software Version:	5.14.0.0
Firmware Version:	

Organization Name:	HID Global
Name of Product or Service:	pivCLASS Certificate Manager
Part Number:	PVC-CM
Hardware Version:	
Software Version:	5.14.0.0
Firmware Version:	

Organization Name:	HID Global
Name of Product or Service:	pivCLASS Reader Services
Part Number:	PVC-FXRDR
Hardware Version:	
Software Version:	5.14.0.0
Firmware Version:	

Organization Name:	HID Global
Name of Product or Service:	pivCLASS IDPublisher
Part Number:	PVC-IDPubULN
Hardware Version:	
Software Version:	5.14.0.0
Firmware Version:	

Organization Name:	HID Global
Name of Product or Service:	pivCLASS Authentication Module
Part Number:	91000ABNNN
Hardware Version:	
Software Version:	
Firmware Version:	5.11.38

1.3 Document History

Status	Date	Comments
Approved	5/27/2014	Final Approval Date for APL #10014: HID pivCLASS Validation System (Hirsch-Identiv Velocity)
Update	4/15/2015	Added RPKCLB40 Reader APL #10026, and Identiv uTrust TS reader (CAK) Mullion APL #10042
Update	7/7/2015	Final Approval Date for Software Upgrade to 1.2.285.0 Added RKCLB40 Reader APL #10052
Update	7/25/2015	Final Approval Date for uTrust Readers APL #10057, 10058, 10059
Update	6/29/2016	Update to Hirsch Velocity PACS and Panels APL #10013
Update	5/14/2020	Update to Identiv Velocity to 3.7 SP2 and pivCLASS 5.11.0.0
Update	4/9/2021	Update pivClass version, and Velocity software version.

1.4 Restrictions:

This product has been tested and approved as a component of a fully compliant FICAM Solution. The end to end solution components used to test the FICAM compliance of the approved solution are listed below.

Solution #1:

- PACS Infrastructure (APL# 100013)
 - o Identiv Velocity
 - o Identiv MX Controller series with SNIB3
 - o Identiv MX-1 Controller series
- PIV Reader
 - o pivCLASS RP40 Contactless Reader (APL# 10003)

- o pivCLASS RK40 Contactless Reader + PIN (APL# 10004)
- o pivCLASS RPK40 Contactless Reader + PIN (**APL# 10005**)
- o pivCLASS R40 Contactless Reader (APL# 10006)
- o pivCLASS RKCL40 Contact/Contactless Reader with PIN (APL# 10007)
- o pivCLASS RPKCL40 Contact/Contactless Reader with PIN (APL# 10008)
- pivCLASS RPKCLB40 Contact/Contactless Reader with PIN & BIO (APL# 10026)
- pivCLASS RKCLB40 Contact/Contactless Reader with PIN & BIO (APL# 10052)

Approval of the above product/service indicates your cooperation with the Program and successful testing against a rigorous, comprehensive set of functional requirements derived from government-wide specifications. Testing included positive and negative test cases, and threat vectors.

Given the strategic government-wide importance of the APL, the scope and extent of testing performed on your product/service, and the rigor of that testing, the Program would like to acknowledge the noteworthiness of the approval and listing. Your product/service will now be available to all Federal Agencies for procurement.

Please note that continued listing on the APL requires ongoing conformance to all applicable requirements, including requirements added or amended over time. A listed product/service can become non-conformant for various reasons including but not limited to:

- Failure to pass testing against new and revised requirements before the effective date of the new/revised requirements.
- Problems discovered in your listed product/service (or class of product/service) are not addressed within time frames specified by the Program.

Refer to applicable Agreements and Program documents for specific details regarding ongoing compliance including severity levels and remediation time frames. Listed products and services that fall out of conformance will be removed from the APL and added to the Removed Products List (RPL).

If you have any questions, please feel free to contact me.

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