



**TO:** Dick Coulombe  
HID Global  
611 Center Ridge Drive  
Austin, TX 78753

**FROM:** Matthew Arnold on behalf of the FIPS 201 Evaluation Program

**DATE:** 4/8/2021

**RE:** **Update of Approval of Products/Services for Listing on the GSA APL**

Office of Management and Budget (OMB) issued M-19-17 that requires Federal Agencies to procure only qualified products and services listed on the GSA Approved Products List (APL) when implementing HSPD-12 into their environment. Procurement of approved products and services facilitates the government-wide objective of a federated and interoperable ICAM segment architecture, and ensures compliance, consistency and alignment of commercially-available products and services with the requirements and functional needs of government ICAM implementer.

Accordingly, the FIPS 201 Evaluation Program (Program) is very pleased to inform you that the following product/service has passed testing against all applicable Testing Requirements. Please see the attached document that summarizes the specific parameters of the approval (e.g., product/service profile, what your product/service was tested with). Your product/service will now be listed on the APL as shown below. If there are any errors in the listing information, please notify me within five (5) business days of receiving this letter.

# Approval Authorization Letter

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## 1 Category-Validation System

- (APL# 10113)
  - pivCLASS Registration Engine for Lenel OnGuard
  - pivCLASS Certificate Manager
  - pivCLASS Reader Services
  - pivCLASS Embedded Authentication Firmware
  - pivCLASS IDPublisher<sup>1</sup>
  - pivCLASS Authentication Module (former APL#10028)

### 1.1 Product/Service Information

<b>Organization Name:</b>	HID
<b>Name of Product or Service:</b>	pivCLASS Registration Engine for Lenel OnGuard
<b>Part Number:</b>	PVCP-D/S-14-00
<b>Hardware Version:</b>	
<b>Software Version:</b>	5.15.1
<b>Firmware Version:</b>	

<b>Organization Name:</b>	HID
<b>Name of Product or Service:</b>	pivCLASS Certificate Manager
<b>Part Number:</b>	PVC-CM
<b>Hardware Version:</b>	
<b>Software Version:</b>	5.15.1
<b>Firmware Version:</b>	

<b>Organization Name:</b>	HID
<b>Name of Product or Service:</b>	pivCLASS Reader Services
<b>Part Number:</b>	PVC-FXRDR
<b>Hardware Version:</b>	
<b>Software Version:</b>	5.15.1
<b>Firmware Version:</b>	

<b>Organization Name:</b>	HID
<b>Name of Product or Service:</b>	pivCLASS Embedded Authentication for LNL-4420
<b>Part Number:</b>	VAS00530
<b>Hardware Version:</b>	Mercury 1.295
<b>Software Version:</b>	
<b>Firmware Version:</b>	5.15.21

<b>Organization Name:</b>	HID
<b>Name of Product or Service:</b>	pivCLASS IDPublisher <sup>1</sup>

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<sup>1</sup> Meets one or more optional test cases in FRTC, but not required for minimum FICAM compliance.

<b>Part Number:</b>	PVC-IDPUBUNL
<b>Hardware Version:</b>	
<b>Software Version:</b>	5.15.1
<b>Firmware Version:</b>	

<b>Organization Name:</b>	HID Global
<b>Name of Product or Service:</b>	pivCLASS Authentication Module (Former APL#10028)
<b>Part Number:</b>	91000ABNNN
<b>Hardware Version:</b>	
<b>Software Version:</b>	
<b>Firmware Version:</b>	5.15.23

## 1.2 Document History

Status	Date	Comments
Approved	6/15/2017	Final Approval Date for APL# <b>10113</b> : HID pivCLASS Validation System (Software Version 1.3.3.0)
Approved	8/20/2017	Updated approval for APL# <b>10113</b> : HID pivCLASS Validation System (Software Version 5.5.1, Embedded Authentication firmware 5.4.137)
Update	1/31/2020	Product updates and consolidation of products APL #10028 into this listing. Updated to HID 5.10.1.0 and Lenel 7.5 versions
Update	4/8/2021	Whole solution update to OnGuard 8.0 and pivClass 5.15.1

## 1.3 Restrictions:

This product has been tested and approved as a component of a fully compliant FICAM Solution. The end to end solution components used to test the FICAM compliance of the approved solution are listed below.

- **PACS Infrastructure (APL #10112 and 10027)**
  - OnGuard 8.0 Software for ES, ADV, PRO or Enterprise system types
  - Lenel Single Reader Network Board
  - Series 3 Single Reader interface
  - Lenel Series 3 Dual Reader Interface
  - CTX Enclosure w/Power Supply 6 Amp and PD4
  - Authentication Module Solution
    - pivCLASS Authentication Module
    - Access Series Intelligent System controller (ISC)
    - Access Series Intelligent System Dual Door Controller with built in Dual Reader Interface module (IDRC)

- Access Series Intelligent System Single Door Controller (ISDC) edge controller w/POE
- Embedded Solution
  - Intelligent System Controller with Dual reader interface, includes pivCLASS Embedded Authentication
- PIV Reader
  - pivCLASS RPKCL40 Contact/Contactless Reader with PIN VAS0300 Rev. B.1 (APL# **10008**)
  - pivCLASS RKCL40 Contact/Contactless Reader VAS0300 Rev. B.1 (APL# **10007**)
  - pivCLASS RPK40 Contact/Contactless Reader VAS0300 Rev. B.1 (APL# **10005**)
  - pivCLASS RK40 Contactless Reader VAS0300 Rev. B.1 (APL# **10004**)
  - pivCLASS RP40 Contactless Reader VAS0300 Rev. B.1 (APL# **10003**)
  - pivCLASS R40 Contactless Reader VAS0300 Rev. B.1 (APL# **10006**)
  - pivCLASS RPKCLB40 Contact/Contactless Reader with PIN & BIO VAS0300 Rev. B.1 (APL# **10026**)
  - pivCLASS RKCLB40 Contact/Contactless Reader with PIN & BIO VAS0300 Rev. B.1 (APL# **10052**)
  - pivCLASS R10 Contactless Reader Rev. B.1 (APL# **10085**)
  - pivCLASS RPK40 Contactless Reader + PIN, HDX OSDP (APL# **10116**)
  - Veridt Bio Dual Contact/Contactless Keypad Reader (APL# **10092**)
    - Embedded Only

Approval of the above product/service indicates your cooperation with the Program and successful testing against a rigorous, comprehensive set of functional requirements derived from government-wide specifications. Testing included positive and negative test cases, and threat vectors.

Given the strategic government-wide importance of the APL, the scope and extent of testing performed on your product/service, and the rigor of that testing, the Program would like to acknowledge the noteworthiness of the approval and listing. Your product/service will now be available to all Federal Agencies for procurement.

Please note that continued listing on the APL requires ongoing conformance to all applicable requirements, including requirements added or amended over time. A listed product/service can become non-conformant for various reasons including but not limited to:

- Failure to pass testing against new and revised requirements before the effective date of the new/revised requirements.
- Problems discovered in your listed product/service (or class of product/service) are not addressed within time frames specified by the Program.

Refer to applicable Agreements and Program documents for specific details regarding ongoing compliance including severity levels and remediation time frames. Listed

products and services that fall out of conformance will be removed from the APL and added to the Removed Products List (RPL).

If you have any questions, please feel free to contact me.

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