

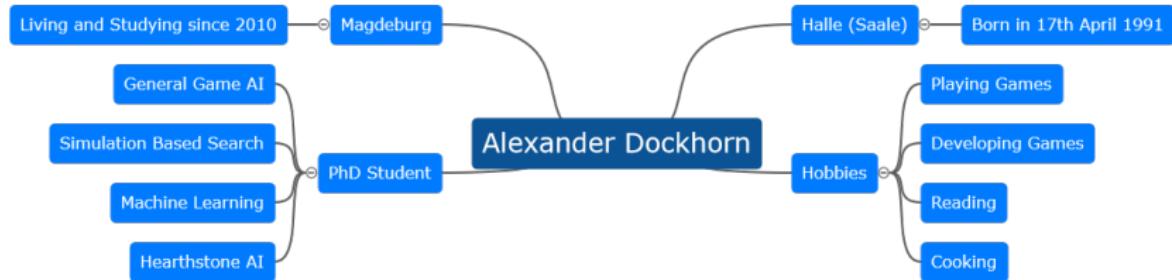
# Generalisation of Simulation-Based Search for Autonomous Gameplaying

**Alexander Dockhorn**

[dockhorn@ovgu.de](mailto:dockhorn@ovgu.de)

Otto-von-Guericke University of Magdeburg  
Faculty of Computer Science  
Institute for Intelligent Cooperating Systems

# Short Introduction of Myself and My University





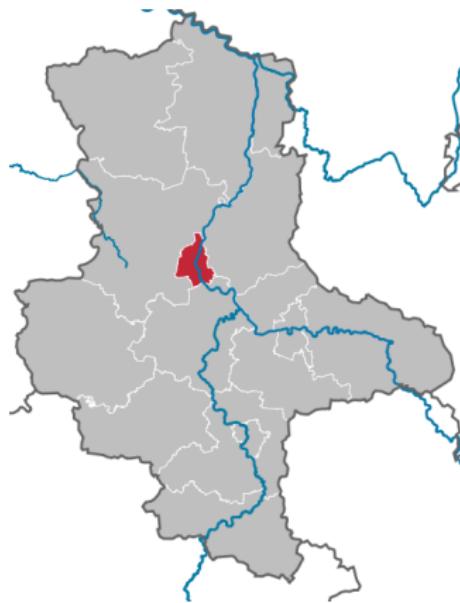
# Where Am I From?



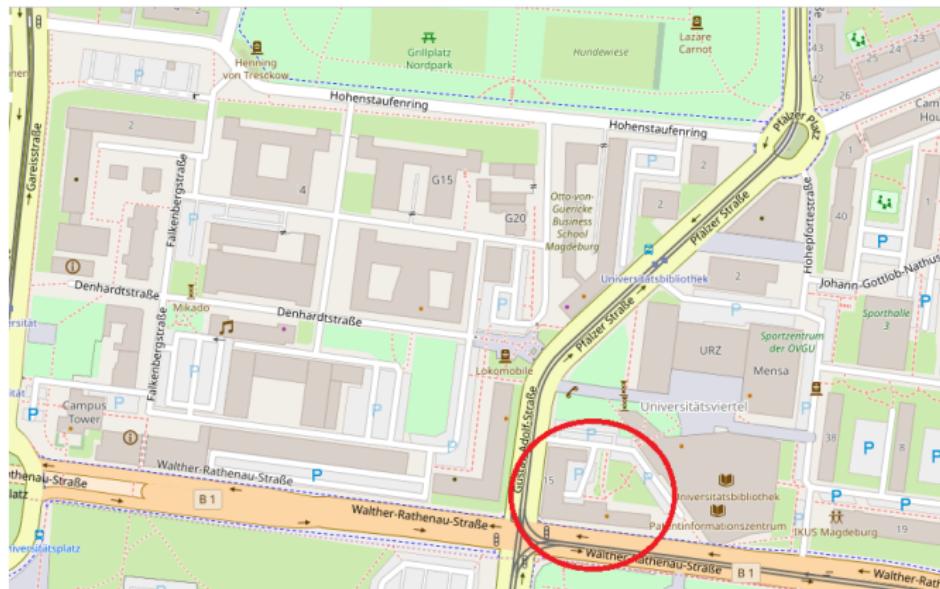
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# Computational Intelligence Research Group

## Research Topics:

- Multi-Objective Optimization and Decision Making
- Swarm Intelligence
- Swarm Robotics
- Computational Intelligence in Games



# Meet the Team



Sanaz Mostaghim



Rudolf Kruse



Sabine Laube



Michael Preuss



Xenija Neufeld



Christian Braune



Sebastian Mai



Christoph Steup



Heiner Zille



Florian Uhde



Simon Anderer



Palina Bartashevich



Jens Weise



Mahrokh Javadi



Me

# Head of the Team

Prof. Dr.-Ing. habil.  
Sanaz Mostaghim

Chair of  
Computational  
Intelligence  
Research Group



Prof. Dr. rer. nat.  
habil. Rudolf Kruse

Former Chair of  
Computational  
Intelligence  
Research Group



**Research topics:** Swarm Intelligence, Swarm Robotics, Nature-inspired Optimization, Multi-objective Optimization and CI in Games

**Research topics:** Fuzzy Systems, Bayesien Networks, Classification, Clustering, Softcomputing, Data Mining, Exploratory Data Analysis

# Generalisation of Simulation-based Search

overcoming classical constraints of simulation-based search

# Gameplaying



# Gameplaying



# Gameplaying



# Games and General Game Learning

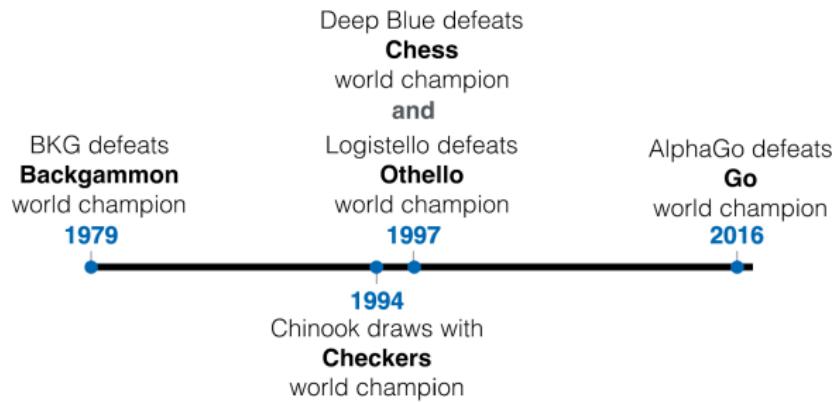
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- digital games are fully accessible to computers

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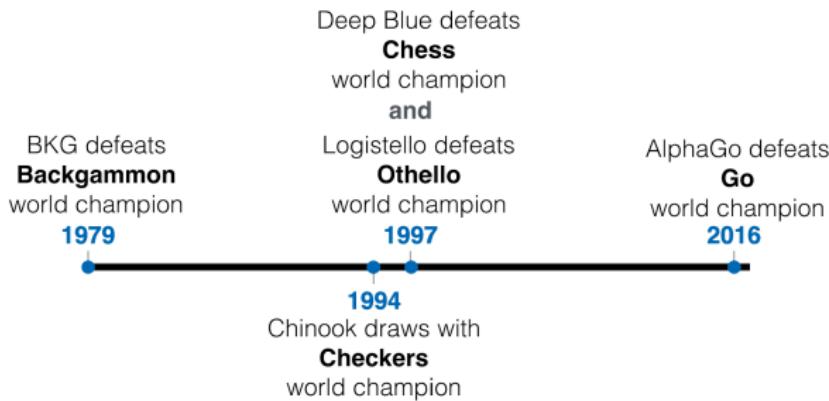
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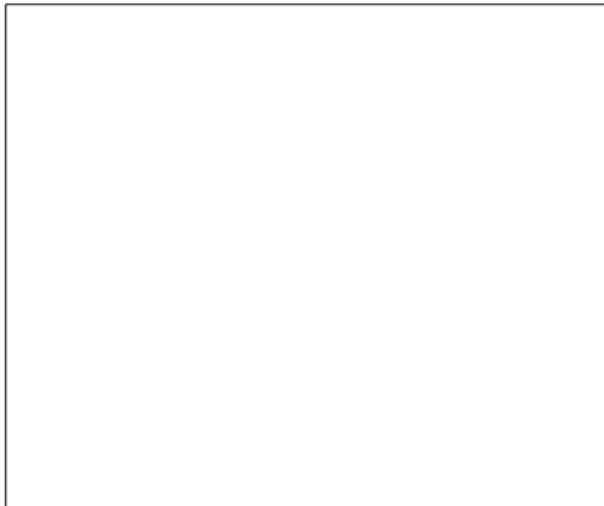
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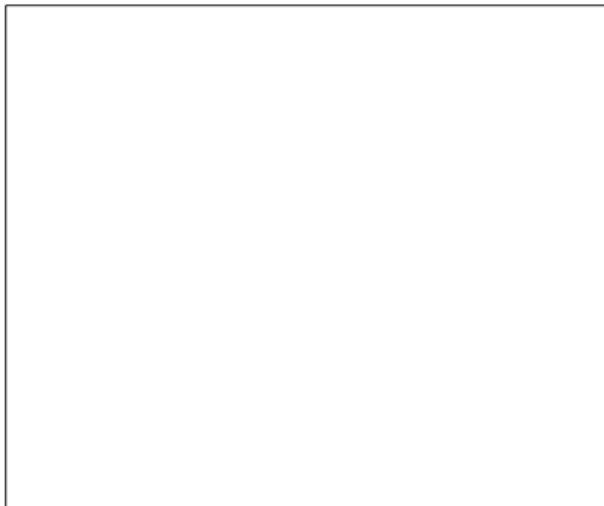


General Game Playing/Learning generalizes learning strategy across games but ignores learning the game's specific representation

# Survey of Related Methods

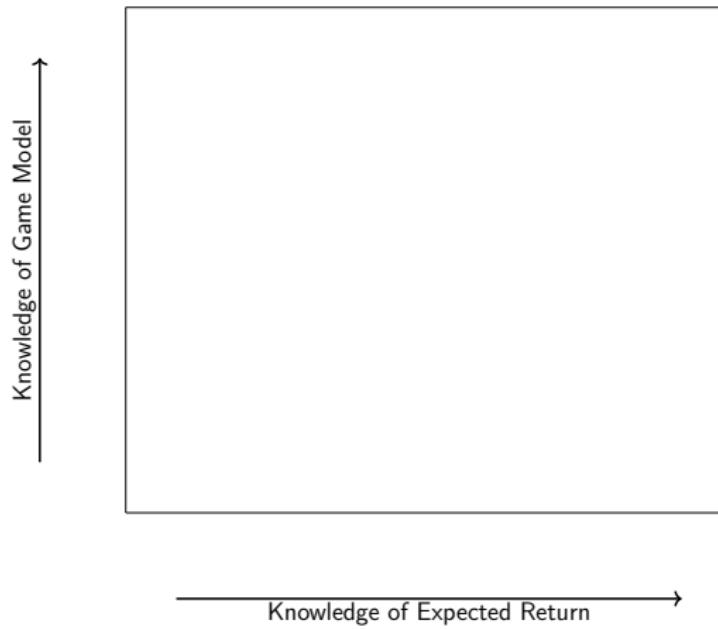


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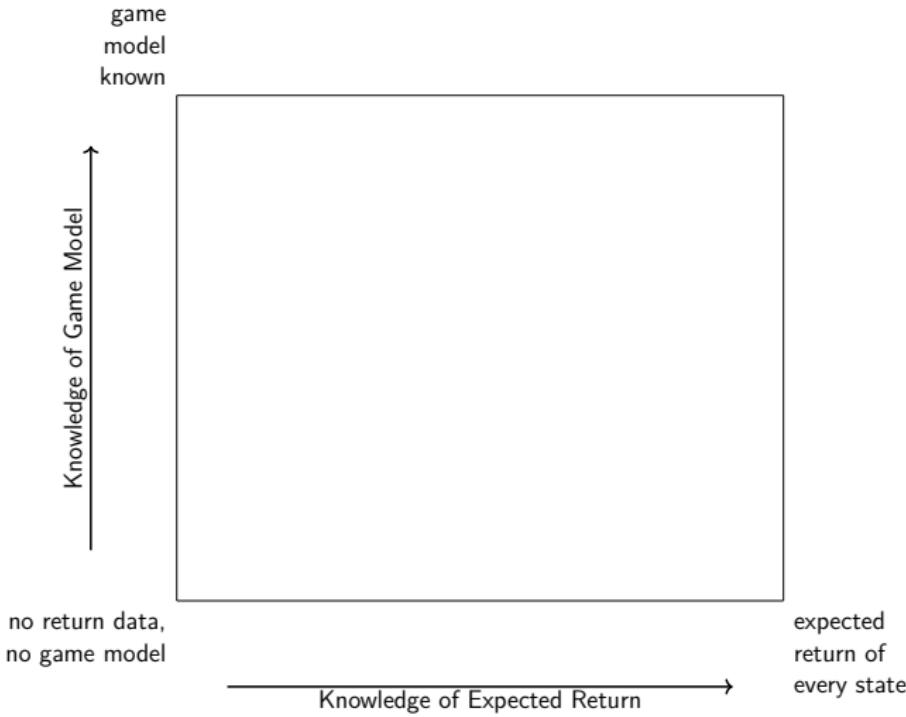


→ Knowledge of Expected Return

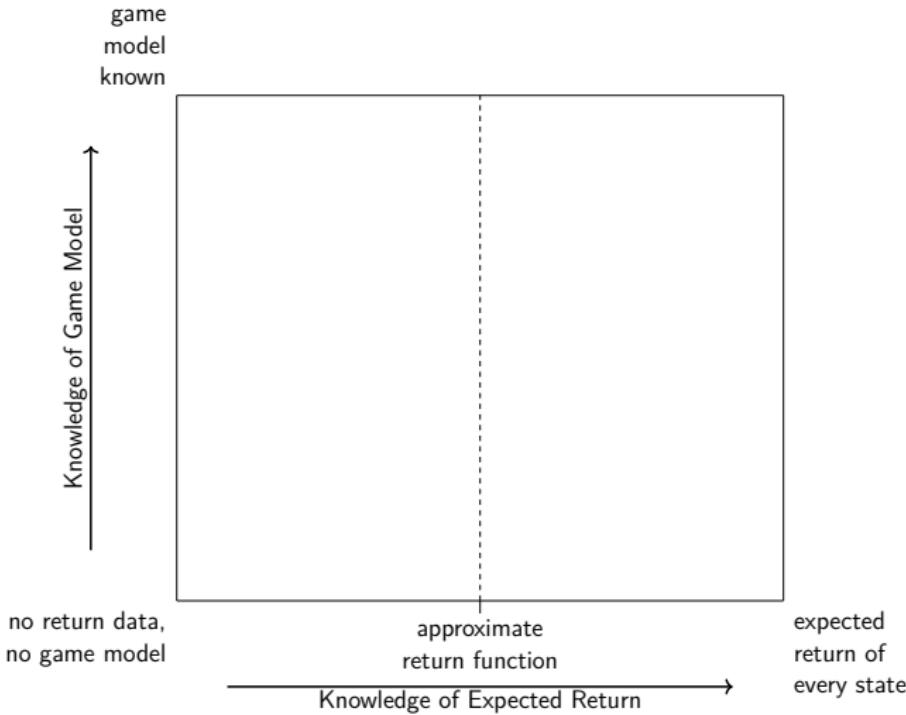
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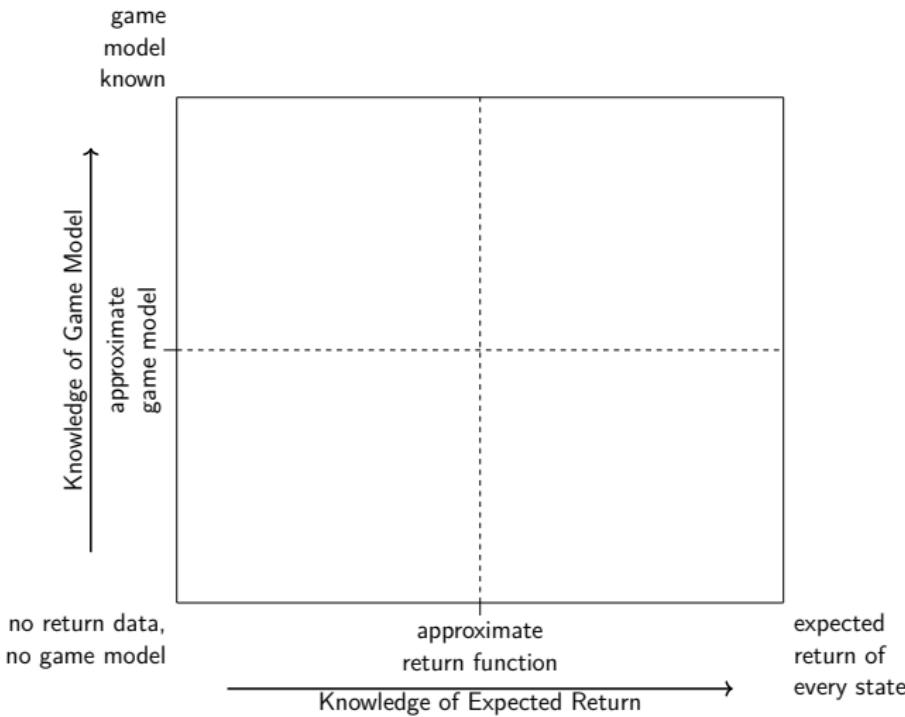
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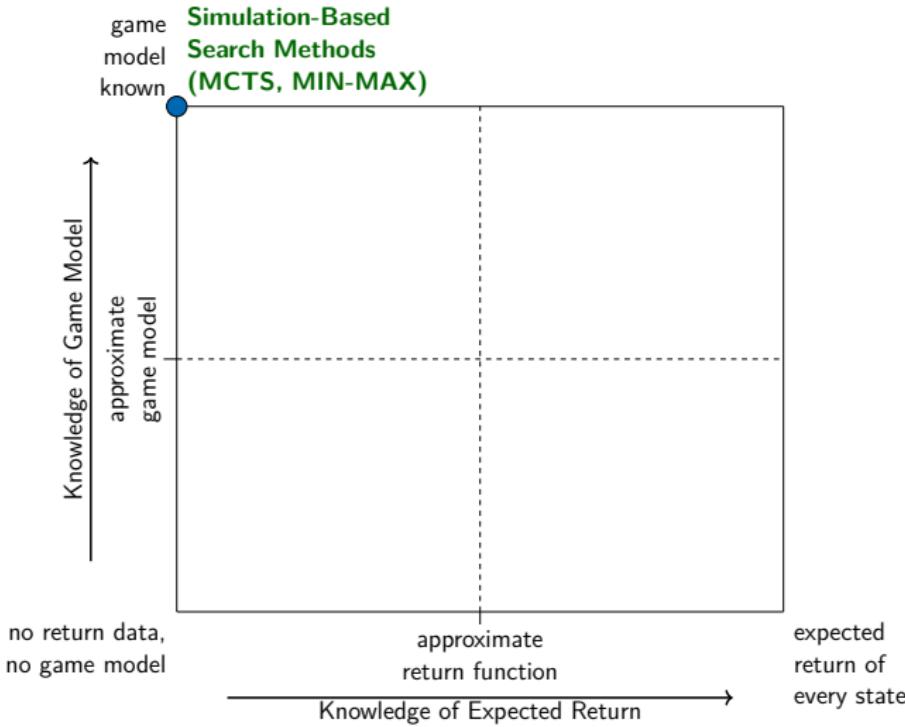
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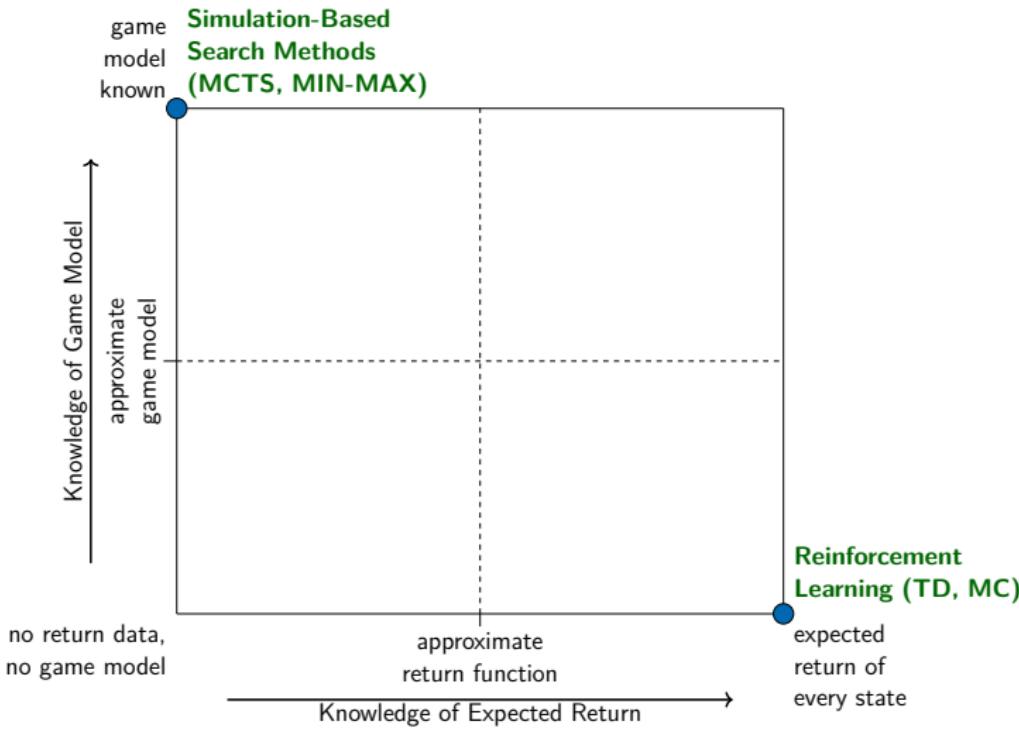
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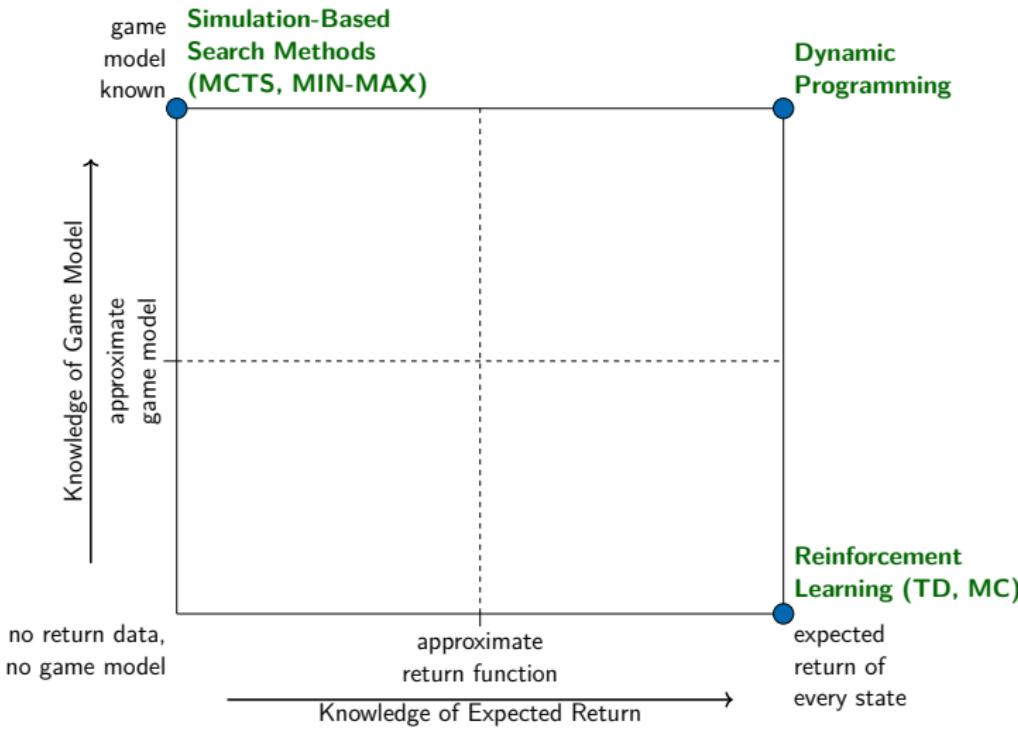
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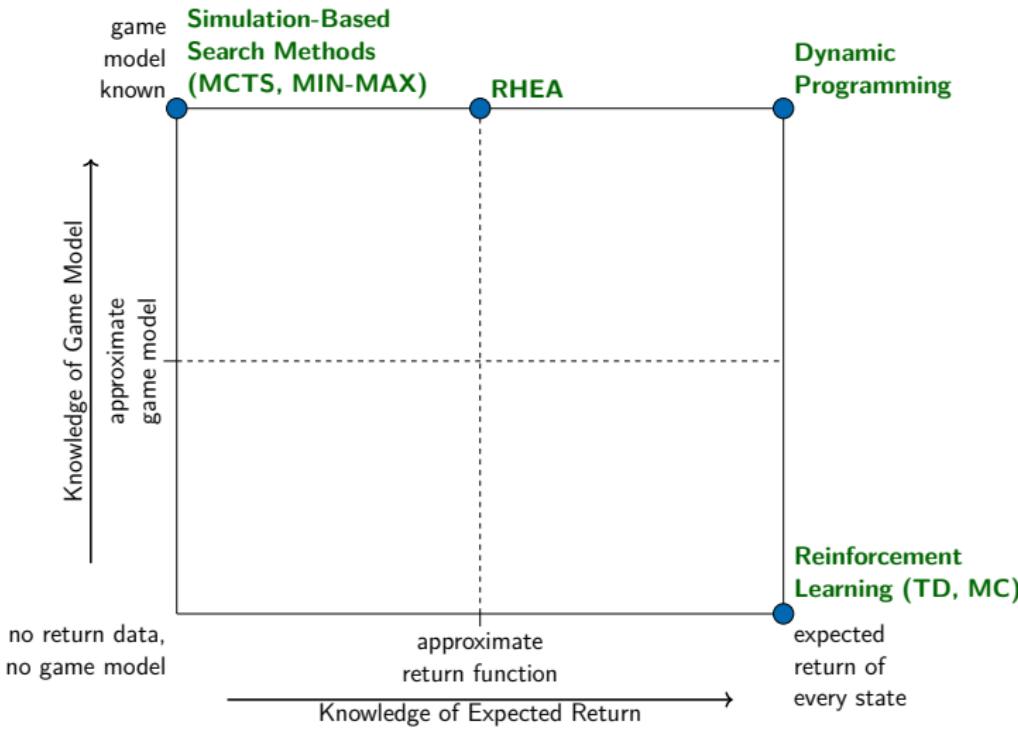
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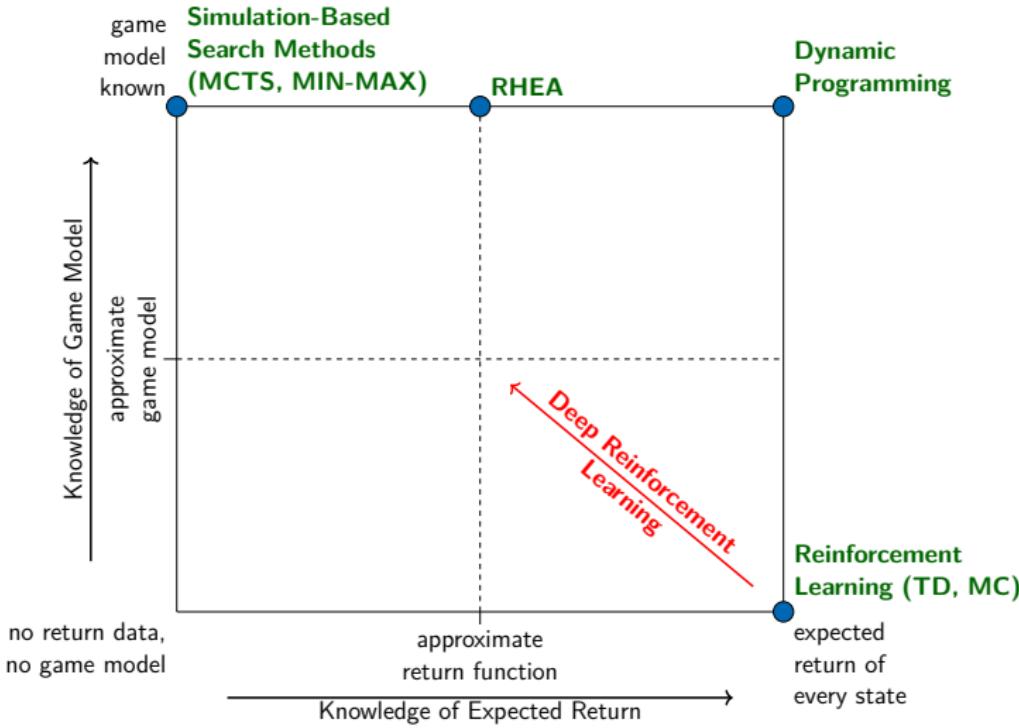
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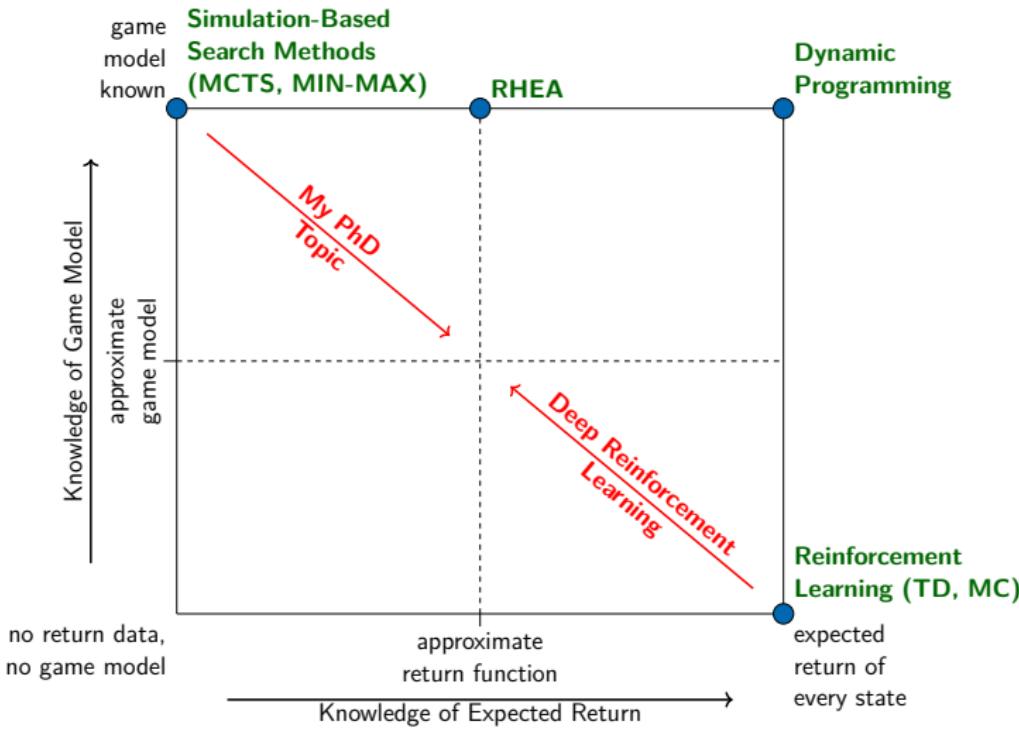
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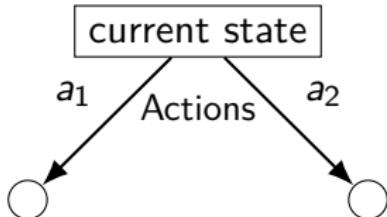
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Applying Game Model



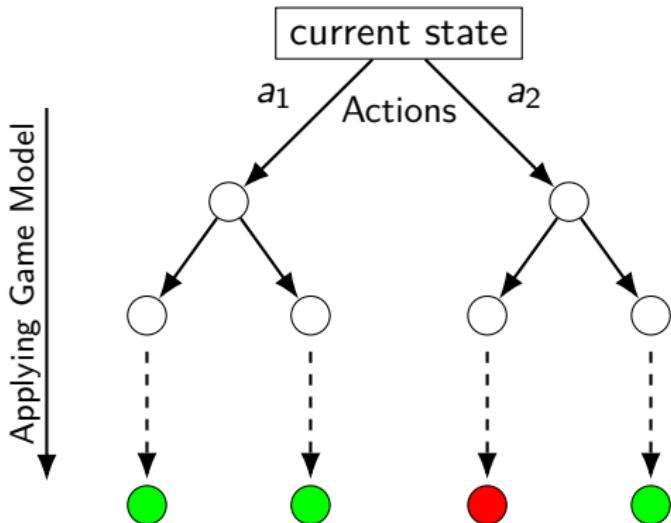
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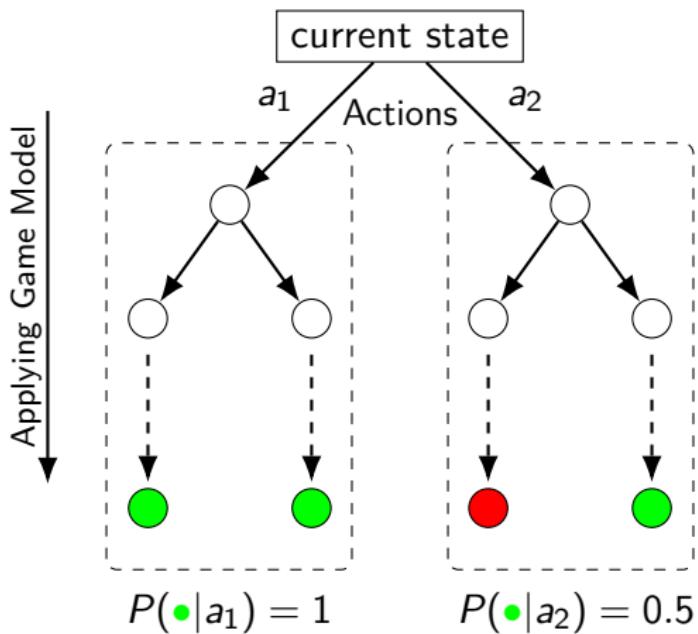
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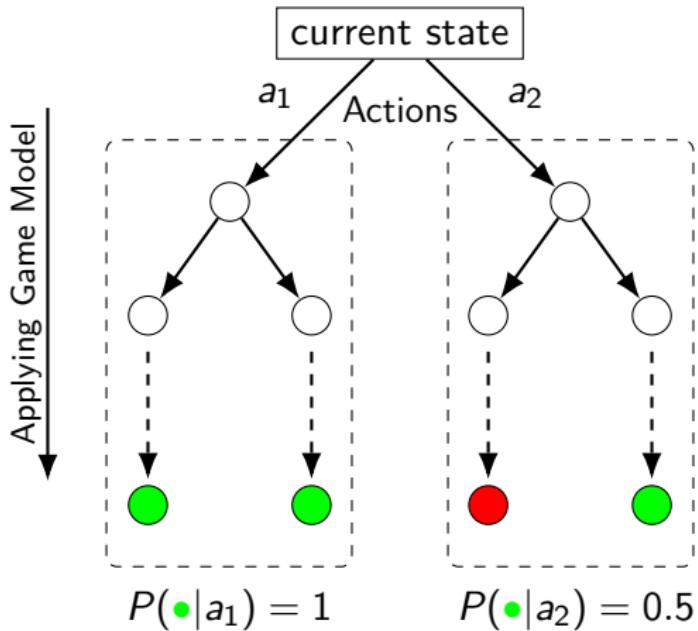
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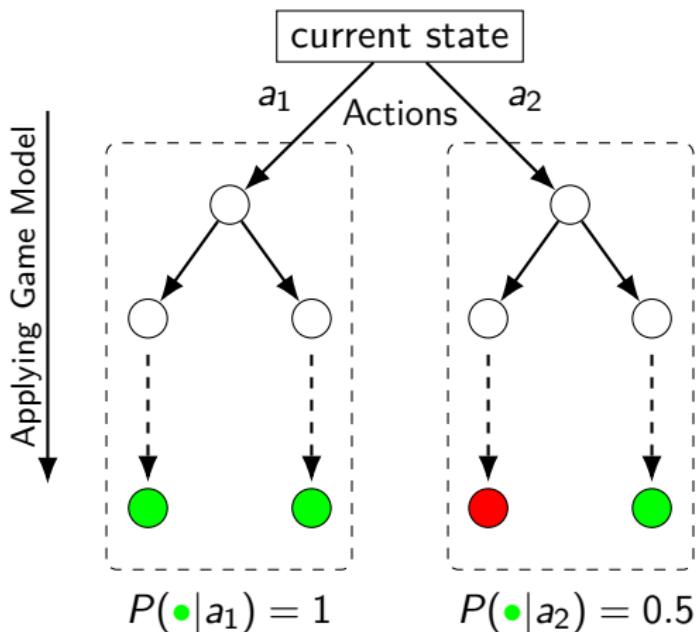
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- game model unknown

# Restrictions of Simulation-Based Search

Simulation-Based search has two requirements:

- 1) the Forward Model needs to be known to the agent for forecasting the outcome of its actions
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**What do we do in case the requirements are not fulfilled?**

**Do those scenarios exist?**

**Can simulation-based search still be applied?**

# Unknown Forward Model

What to do if the agent cannot access a forward model?

# Problem Scenario 1) Unknown Forward Model

Differences of human and computer gameplaying:

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# General Video Game AI (GVGAI) Competition

Competition framework including more than 100 games using Video Game Definition Language providing :

- general rules of a game and its forward model
- multiple levels per game
- visual representation



Example game: Butterflies

# Comparison of GVGAI Tracks

## Planning Track

- ▶ forward model available,  
10 trials for training

## Learning Track

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- ▶ algorithm performance on par  
with random decision-making

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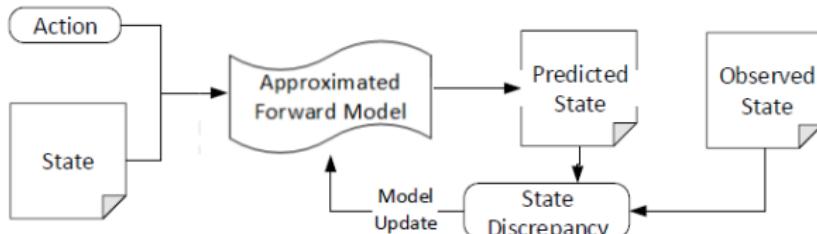
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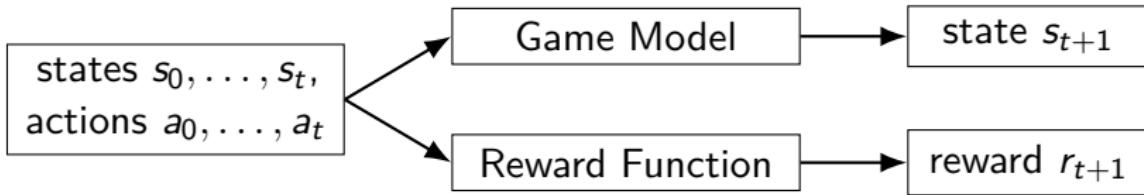




# Part 1: Forward Model Approximation

Developing techniques for approximating a game's model from previous interactions.

# Components of a Game



state  $s_t$  perceived through multiple sensors  $(s_t^{(1)}, s_t^{(2)}, \dots, s_t^{(n)})$

- state may not be fully accessible (partial information game)

reward  $r_t$  is a performance signal

- how good do we perform in solving the task

game description as a probability distribution over possible outcomes

$$P(r_{t+1}, s_{t+1} \mid s_0, a_0, s_1, a_1, \dots, s_t, a_t)$$

# The Markov Property

## Markov Property:

- the environments response at time  $t + 1$  only depends on the state  $s_t$  and the agent's action  $a_t$

$$P(r_{t+1}, s_{t+1} \mid s_0, a_0, s_1, a_1, \dots, s_t, a_t) = P(r_{t+1}, s_{t+1} \mid s_t, a_t)$$

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If the markov property holds, the environment dynamics can be defined by specifying:

$$P(s_{t+1} \mid s_t, a_t) \quad \text{and} \quad P(r_{t+1} \mid s_t, a_t)$$

# Forward Model Approximation Implementations

## Association Rule Learning<sup>[1]</sup>

- learning an understandable ruleset of an unknown game
- special handling of termination rules

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## Composite Model of Decision Trees<sup>[3]</sup>

- modelling sensor values individually
- speeds up model learning and increases model accuracy

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# Characteristics of the Forward Model

Model the state change as a single transition, considering the history of:

- previous game states,
- player actions,
- and rewards

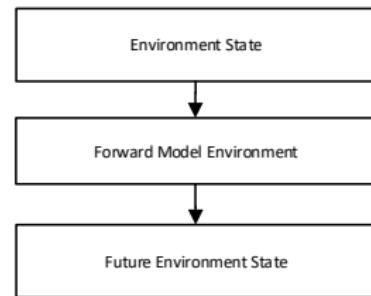
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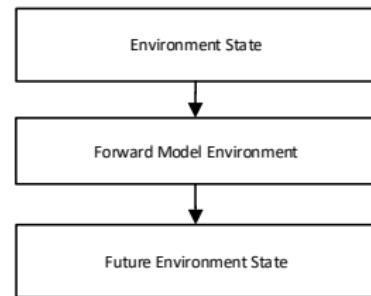
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## Problem:

- the state can be arbitrarily complex

Can we further reduce the number of possible models?

# Composite Model using Background Knowledge

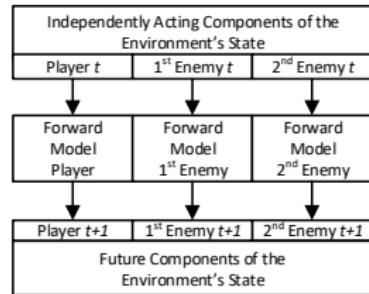
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- model components individually and combine the result model
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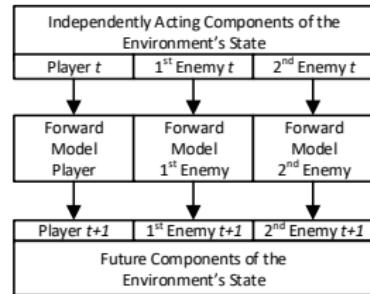
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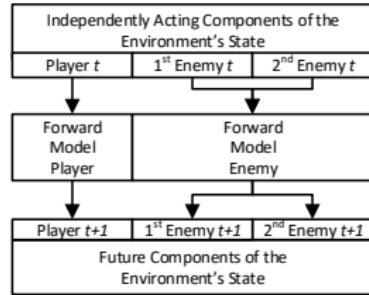
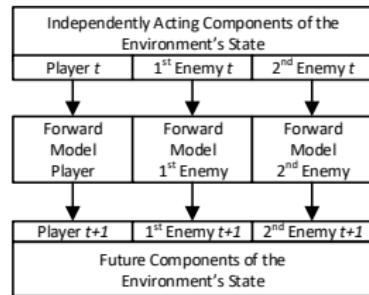
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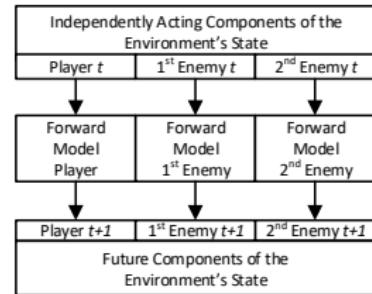
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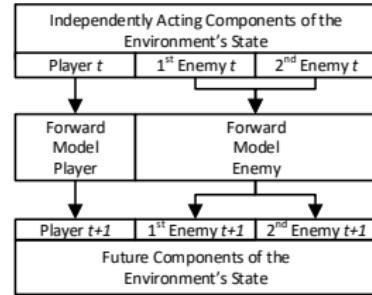
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## Idea:

- reduce the number of considered sensors to an interesting subset
- predict the change of all components/sensors individually



# Evaluation of Prediction Accuracy

General Video Game AI Framework:

- similar state representation for ~100 games with 5 levels each
- object based sensor values (e.g. positions)

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- Generate a composite model using all previous interactions
  - train a decision tree for each sensor value
- Evaluate the composite model on unseen levels
  - model accuracy ranging from 60%-95%

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General Video Game AI Competition:

- 6 agents entered in 2017/2018
- evaluation is based on a set of 10 games
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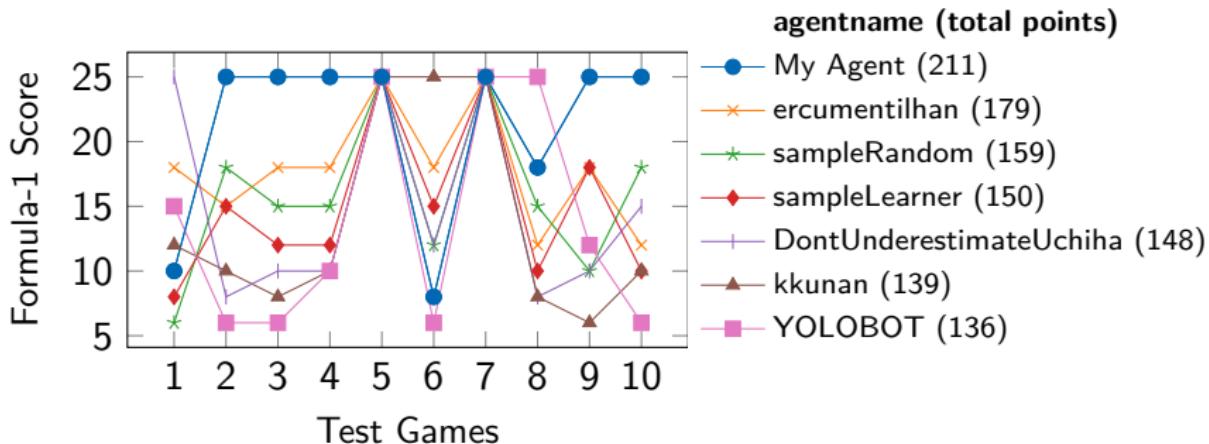
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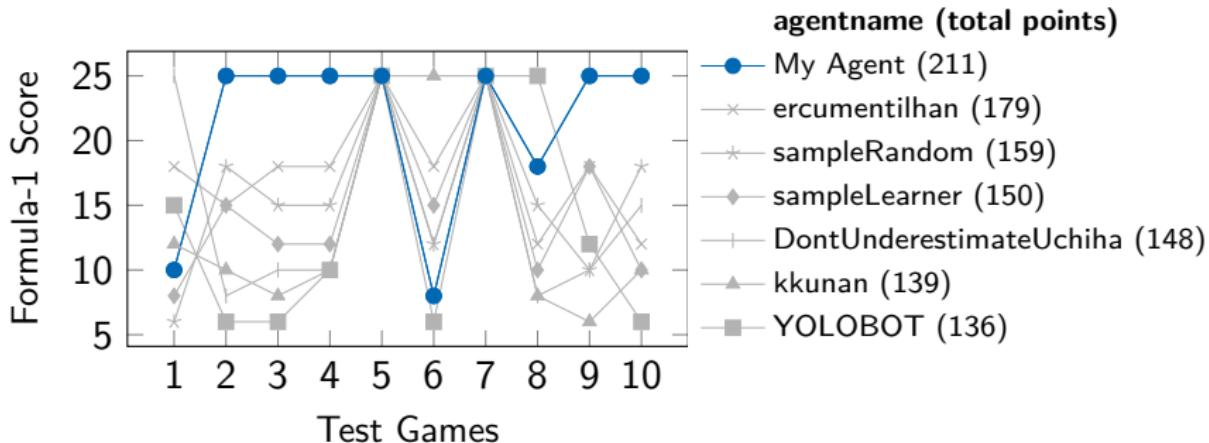


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# Comparison of Agents

- Analysis of game model characteristics
- Game models can be learned using supervised learning
- The proposed model is able to outperform other agents

**Reinforcement Learning**

**Proposed Solution**

# Composite Models

## Benefits

---

- reduces size of generated models

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- **needs background knowledge** (Work in progress)

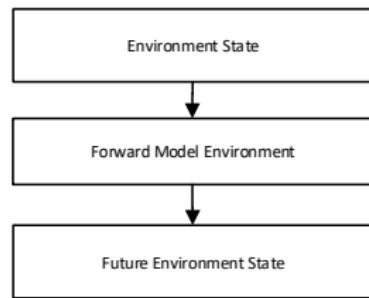
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Can we somehow build composite models?

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Modelling the complete state transition is very complex for a lot of applications

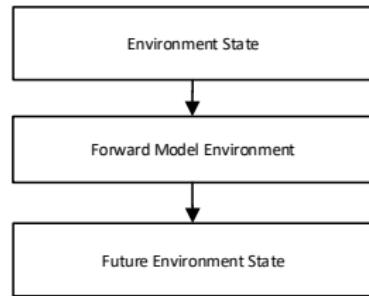


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Can we somehow build composite models?

Modelling the complete state transition is very complex for a lot of applications

- ... because of a lot of variables
- ... that are dependent on each other

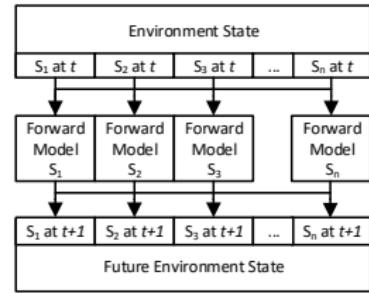
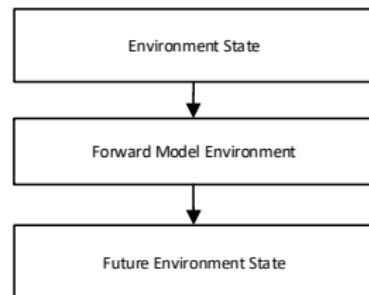


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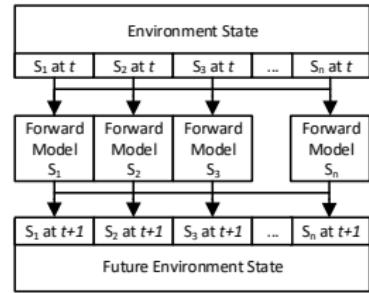
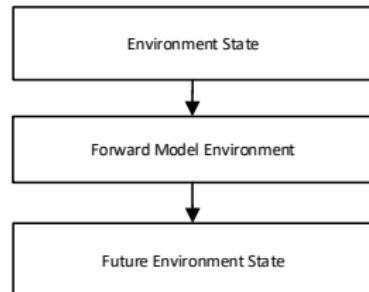
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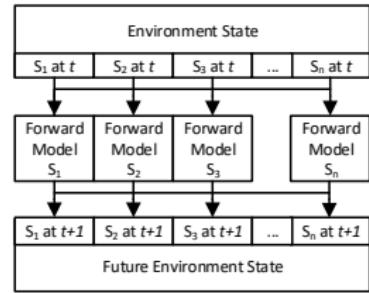
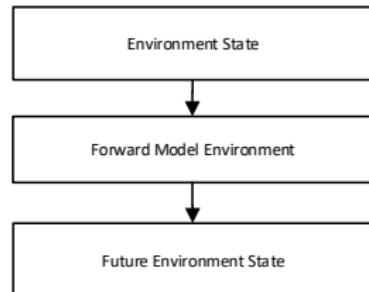
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Modelling the complete state transition is very complex for a lot of applications

- ... because of a lot of variables
- ... that are dependent on each other

Use dependency analysis to find groups of dependent variables.

- filter unrelevant variables
- speeds up model building
- reduces noise in each submodel



# Dependency Analysis

## Goal:

- predict the change of each sensor variable
- using a simple model

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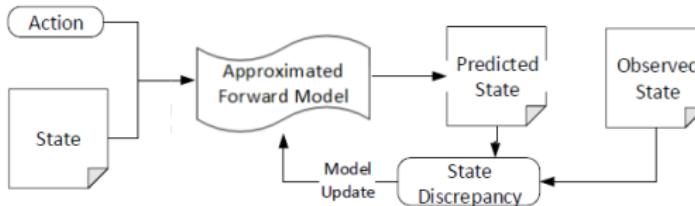
We can do this for all variables at once by learning a belief net structure.

- it encodes the necessary independencies for all involved nodes

# Generating a Data Set

Forward Model Approximation is an iterative framework

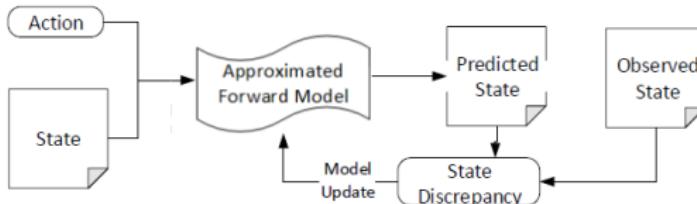
- our data set of observations grows over time
- model building needs to be repeated in case of errors



# Generating a Data Set

Forward Model Approximation is an iterative framework

- our data set of observations grows over time
- model building needs to be repeated in case of errors



For testing purposes we collect a dataset using a random agent

- the player's actions will be independent from the game state

Each time frame we store all observable variables.

- contains an object's position, neighbors, movement and death

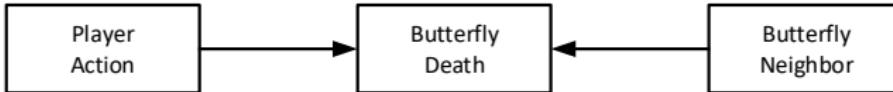
# Results I/II

Found substructures contain:

- position changes



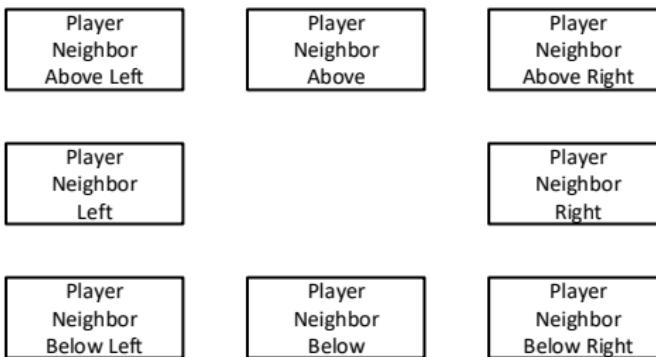
- explanations for an component's death



# Results II/II

Some patterns evolve over time when new data becomes available

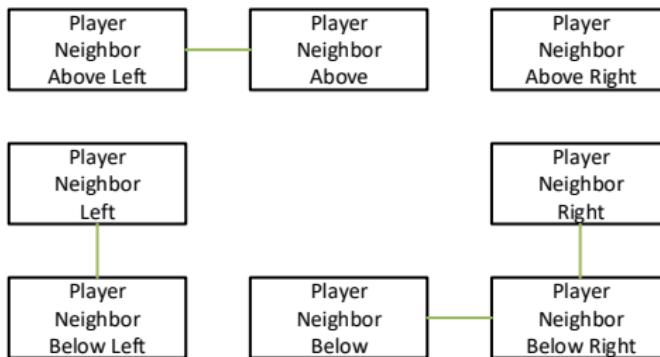
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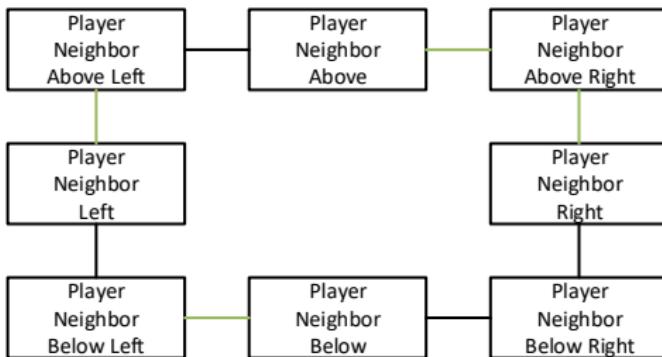
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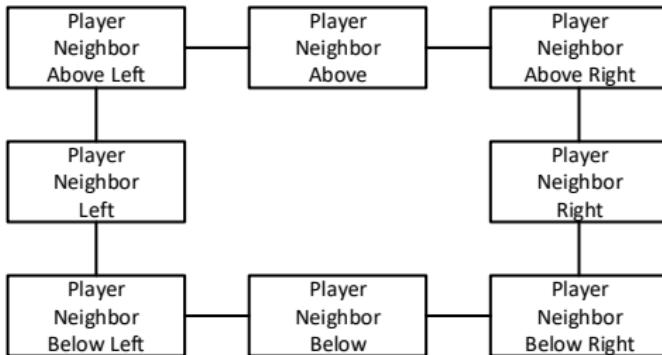
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# Results II/II

Some patterns evolve over time when new data becomes available

- e.g. neighboring relations
- starting without any connection
- adding edges dependencies over time
- and hopefully converge



# Limitations and Open Research Questions

Can we merge similar sub-structures?

- multiple game components can have the same behavior
- e.g. instances of the same character type (butterflies)

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What happens if the (1st order) Markov Property is not fulfilled?

- The number of variables to consider grows exponentially with the number of time-steps to consider.
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How good is Forward Model Approximation if the approximation does not completely fit the distribution?

- it depends!
- some games can be played even without “understanding” all



# Part 2: Game State Approximation

**Developing techniques for approximating the current state  
by using knowledge of previous gameplays**

## Scenario 2) Partially Unknown Game State

Simulation-based search can be applied to full information games.

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**Popular example:** simple card games like Uno, MauMau

- our own hand cards are known
- the opponent's cards are hidden
- the card draw is random



## Proposal 2) State Induction

Use information from replays to guess a probable state during run-time.

- ▶ apply simulation-based search with the estimated state

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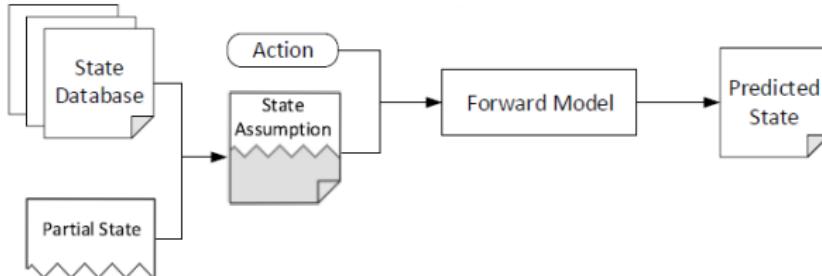
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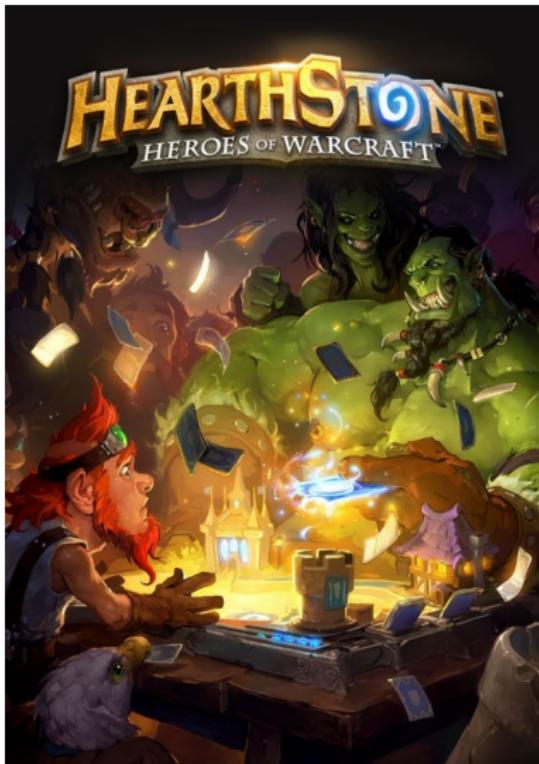
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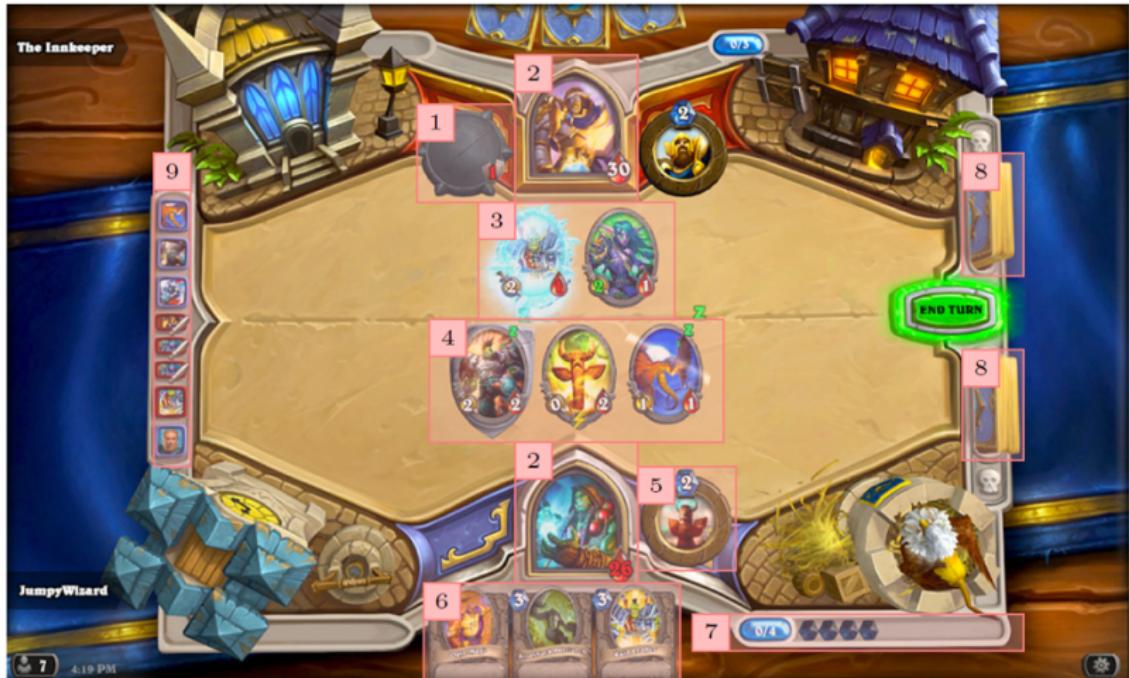
### Dependency analysis:

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# Hearthstone – Game Components and States



## Why we cannot use SBS effectively?

- Simulation-based search needs a Forward Model for executing many simulations starting from the current state
  - We know the rules of the game, so the Forward Model is available
  - But we do not know the current state of the system!
- The player does not know the real state of the game, which consists of
  - The board state
  - The own and the opponent's cards
  - The cards in both decks
  - All previously played cards

# Deckbuilding

- By common knowledge popular decks often employ critical properties such as:
  - A stable mana curve
  - Strong synergies
  - A strategy to reach the win-condition
- Most decks can be categorized in deck types, which are common to the meta-game
  - Those decks often consist of similar cards, but do not necessarily contain the same 30 cards

## Mana Curves

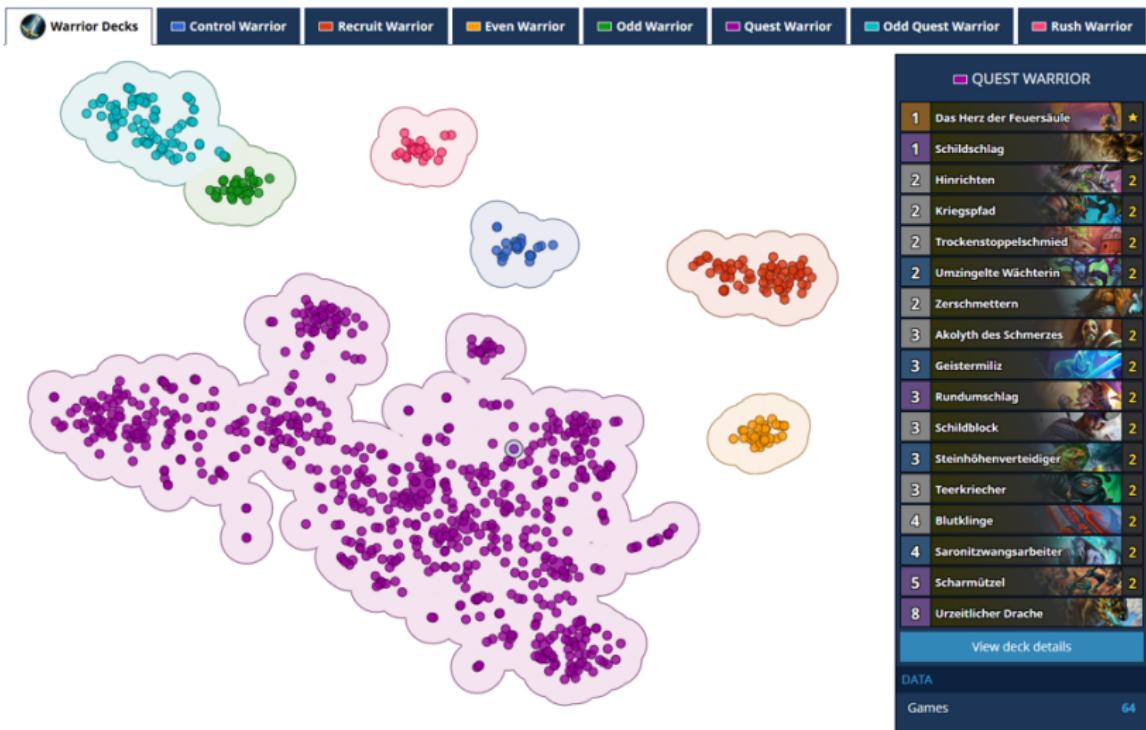
- The players can play their cards using mana
- Each turn the pool of available mana increases by one
- The mana curve of a deck describes how much cards per cost are included in the deck



# Card Synergies



# Meta-Decks



# Finding Probable Card-Combinations

- Meta-decks and card synergies tell us that we have strong dependencies between cards in a deck
- We want to predict likely cards by observing frequent card-combinations in a database of played games
- Counting bi-grams of co-occurring cards:
  - **isolated**: cards need to be played at the same turn
  - **successive**: cards need to be played in successive turns
  - **combined**: isolated and successive counts are added
- How to incorporate the knowledge into the search process?

# Monte Carlo Tree Search (MCTS)

**Monte Carlo Tree Search (MCTS):** Adding Monte Carlo simulations (or rollouts) after adding a new node to the tree.

Two different policies are used on each episode:

- **Tree Policy:** Selecting a node for expansion in the search tree (e.g. Greedy, UCB).
- **Default Policy:** Control the simulations outside the tree (e.g. picking actions at random)

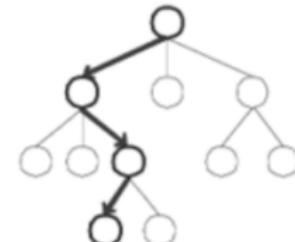
## UCB1

$$a_t = \arg \max_{a \in A} \underbrace{Q_t(a)}_{\text{Exploitation}} + C \sqrt{\underbrace{\frac{\ln N(s)}{N(s, a)}}_{\text{Exploration}}}$$

# Monte Carlo Tree Search - Tree Policy

## 1. Tree Selection:

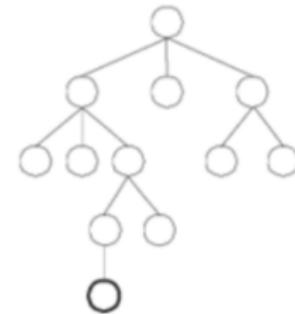
Following the **tree policy** (i.e. UCB1), navigate the tree until reaching a node with at least one child state that was not explored yet.



## 2. Expansion:

Add a new node in the tree, as a child of the node reached in the tree selection step.

- this node resembles an action



# Monte Carlo Tree Search - Default Policy

## 3. Monte Carlo Simulation/Rollout:

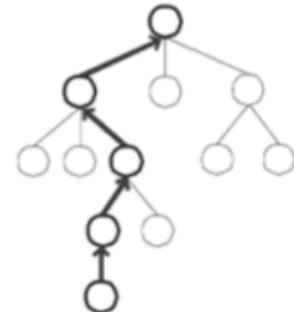
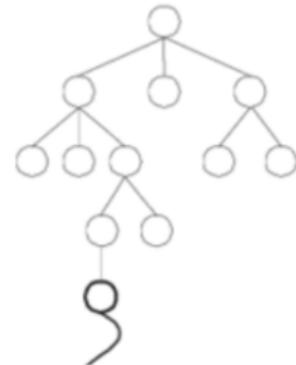
Following the **default policy**, advance the state until a terminal state or a pre-defined maximum number of steps.

- calculate the return of this episode.

## 4. Back-propagation:

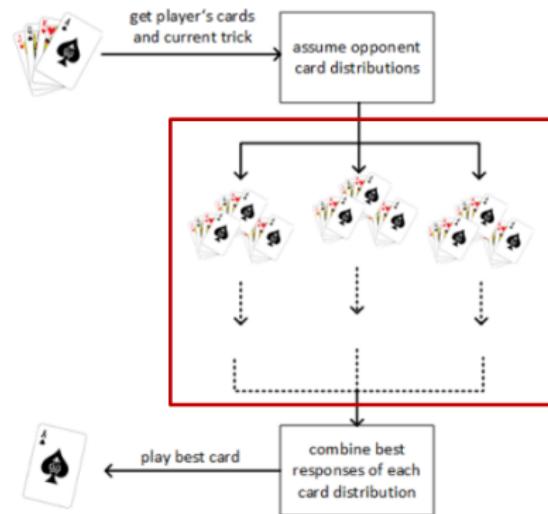
Update the values of  $Q(s, a)$ ,  $N(s)$  and  $N(s, a)$  of the nodes visited in the tree during steps 1 and 2.

- Values of nodes visited during the simulation will not be updated.



# MCTS for an Unknown Card Distribution

- If the real gamestate is unknown we can still guess multiple times:
  - information about the opponent's hero and cards that were already played restrict the open card pool
- Use and ensemble of MCTS agents with majority vote
- **This does not suffice in Hearthstone!**
  - Too many possible card distributions

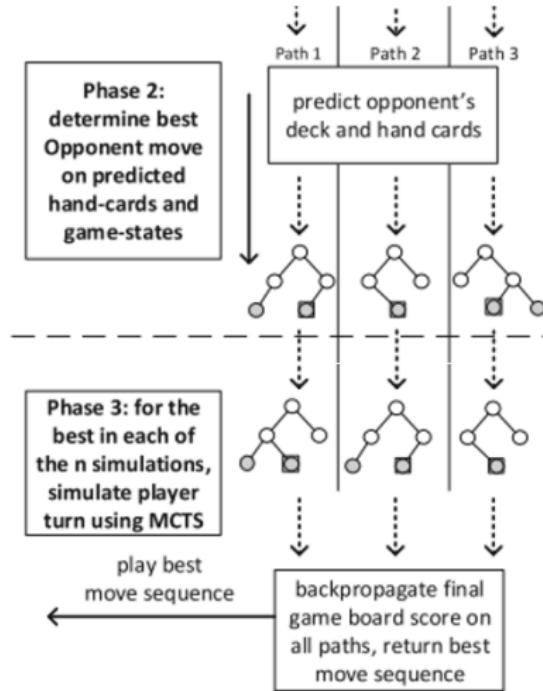
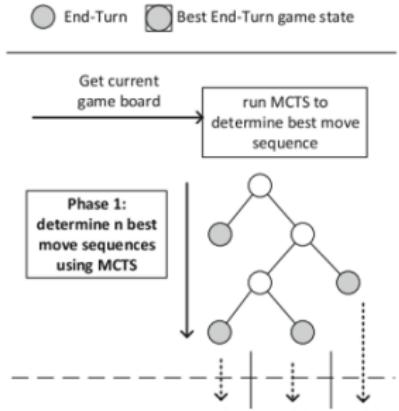


Method used for Doppelkopf

## Adapting MCTS Gamestate Sampling

- A set of hand-cards is determined using the bi-gram database and all previously seen cards
  1. determine the number of co-occurrences of each card
  2. filter possible cards by the rules of the deckbuilding process
  3. sample the opponent's hand cards based on the normalized co-occurrence values
- Generate multiple set of opponent's hand cards and run MCTS on each of them
- Use (weighted) majority vote to determine the best card to be played

# The overall Process



# Evaluation

- We tested our agent against multiple other agents playing multiple decks
  - Random = randomly choose the next action
  - flatMC = flat Monte Carlo algorithm, simulate n times for each action
  - plainMCTS = MCTS using a randomly guessed game states
  - foMCTS = MCTS using the true game state (cheating)
  - Exh.s. = exhaustive search for best action, does not consider the opponent

	Wins in %	Aggro	Mid	Control
Random	95	100	100	
flatMC	81	73	94	
plainMCTS	59	47	58	
foMCTS	46	36	60	
exh.s.	65	47	70	

(a) predMCTS Aggro Deck

	Wins in %	Aggro	Mid	Control
Random	99	98	100	
flatMC	88	85	99	
plainMCTS	71	55	76	
foMCTS	59	50	76	
exh.s.	62	70	85	

(b) predMCTS Mid-Range Deck

	Wins in %	Aggro	Mid	Control
Random	97	97	100	
flatMC	73	54	89	
plainMCTS	36	31	68	
foMCTS	41	16	51	
exh.s.	45	20	61	

(c) predMCTS Control Deck

# Conclusions

- Applying MCTS to Hearthstone is very limited due to missing information
  - Guessing a gamestate lets us apply MCTS with weak play strength
- Combining multiple of such guesses by creating an ensemble of MCTS helps but does not solve the problem efficient enough
- In this work we proposed a card-prediction to enhance the accuracy of sampling possible card distributions
  - An ensemble using such predicted gamestates is nearly as powerful as knowing the real gamestate

## Limitations and Open Research Questions

- Our tests using an MCTS agent with full information on the current game-state show that a perfect prediction would yield slightly better results
  - Further improving the prediction accuracy promises to yield a stronger agent
- Explore the tradeoff between a complex prediction or more simulations/predicted card sets
- Can fuzzy sets and dempster-shafer theory help us to solve this problem more efficiently?
- We want to further explore meta-decks
  - How do they develop over time?
  - Can we detect changes in the meta and react accordingly?
- Important for deck-building and balancing!

# Hearthstone AI Competition

Interested in trying it yourself? Check out our Hearthstone Competition:

<http://www.is.ovgu.de/Research/HearthstoneAI.html>



# My Related Publications I/II

## Book Chapters

**Alexander Dockhorn**, Chris Saxton, and Rudolf Kruse; *Association Rule Mining for Unknown Video Games*, A fuzzy dictionary of fuzzy modelling. Common concepts and perspectives (tentative title), accepted, 2019

## Conference Papers

**Alexander Dockhorn**, Tim Tippelt, and Rudolf Kruse; *Model Decomposition for Forward Model Approximation*, IEEE Symposium Series on Computational Intelligence (SSCI), IEEE, November 2018, pp. 1751–1757

**Alexander Dockhorn** and Daan Apeldoorn; *Forward Model Approximation for General Video Game Learning*, 2018 IEEE Conference on Computational Intelligence and Games (CIG), IEEE, August 2018, pp. 425-432

**Alexander Dockhorn**, Max Frick, Ünal Akkaya, and Rudolf Kruse; *Predicting Opponent Moves for Improving Hearthstone AI*, 17th International Conference on Information Processing and Management of Uncertainty in Knowledge-Based Systems (IPMU), Springer International Publishing, May 2018, pp. 621-632

# My Related Publications II/II

## Conference Papers

**Alexander Dockhorn**, Christoph Doell, Matthias Hewelt, and Rudolf Kruse; *A decision heuristic for Monte Carlo tree search doppelkopf agents*, IEEE Symposium Series on Computational Intelligence (SSCI), IEEE, November 2017, pp. 51-58

**Alexander Dockhorn** and Rudolf Kruse; *Combining cooperative and adversarial coevolution in the context of pac-man*, 2017 IEEE Conference on Computational Intelligence and Games (CIG), IEEE, August 2017, pp. 60-67

## Workshop Papers

**Alexander Dockhorn** and Rudolf Kruse; *Detecting Sensor Dependencies for Building Complementary Model Ensembles*, 28. Workshop Computational Intelligence, KIT Publishing, November 2018, pp. 217-233

## Further References

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Ilhan, E., & Etaner-Uyar, A. S.; *Monte Carlo tree search with temporal-difference learning for general video game playing*, 2017 IEEE Conference on Computational Intelligence and Games (CIG), IEEE, August 2017, pp. 317–324

Perez-Liebana, D., Liu, J., Khalifa, A., Gaina, R. D., Togelius, J., & Lucas, S. M.; *General Video Game AI: a Multi-Track Framework for Evaluating Agents*, Games and Content Generation Algorithms, 2018, <http://arxiv.org/abs/1802.10363>

Gaina, R. D., Lucas, S. M., & Perez-Liebana, D.; *Rolling horizon evolution enhancements in general video game playing*, In 2017 IEEE Conference on Computational Intelligence and Games, CIG 2017, pp. 88–95

Browne, C. B., Powley, E., Whitehouse, D., Lucas, S. M., Cowling, P. I., Rohlfshagen, P., ... Colton, S. (2012); *A Survey of Monte Carlo Tree Search Methods*, IEEE Transactions on Computational Intelligence and AI in Games, 4(1), 2012, pp 1–43