# Linearised Polycrystals from a 2D System of Edge Dislocations

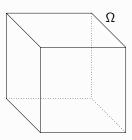
#### Silvio Fanzon

in collaboration with M. Palombaro and M. Ponsiglione

Graz, 31st January 2018

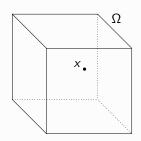


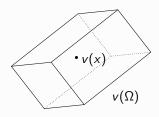
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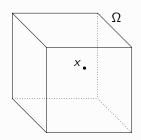


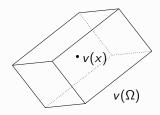


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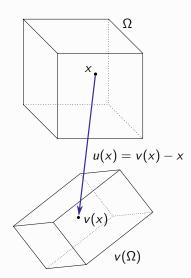


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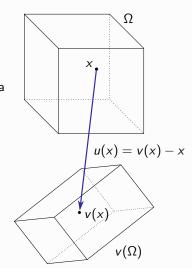
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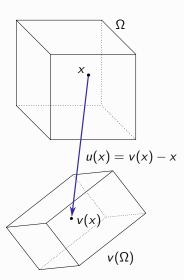
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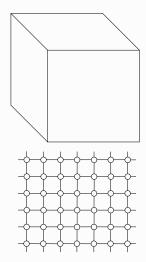
**Linear Elasticity:** let  $v = x + \varepsilon u$  with  $\varepsilon \approx 0$ . Then

$$\lim_{\varepsilon \to 0} \frac{1}{\varepsilon^2} \int_{\Omega} W(\beta) \, \mathrm{d} x = \frac{1}{2} \int_{\Omega} \mathbb{C} \nabla^{\mathrm{sym}} u : \nabla^{\mathrm{sym}} u \, \mathrm{d} x \,,$$

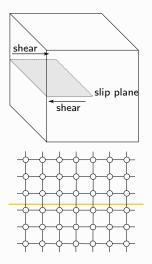
where  $\mathbb{C} = \partial^2 W(I)$  and  $\nabla^{\text{sym}} u := (\nabla u + \nabla u^T)/2$ .



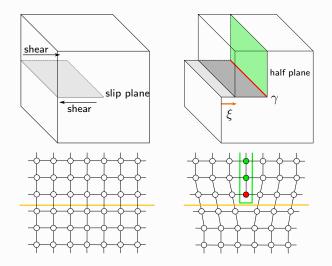
Dislocations: topological defects in the otherwise periodic structure of a crystal.



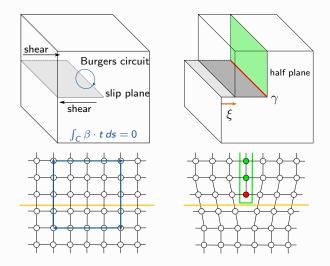
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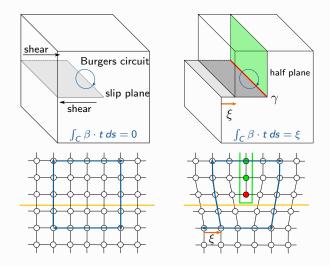
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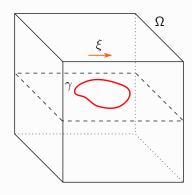


## Adding dislocations: the semi-discrete model

**Dislocation lines:** Lipschitz curves  $\gamma \subset \Omega$  such that  $\Omega \setminus \alpha$  is not simply connected

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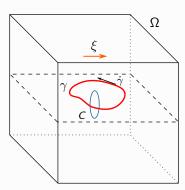
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**Strain generating**  $(\gamma, \xi)$ : map  $\beta \colon \Omega \to \mathbb{M}^{3\times 3}$  s.t.

$$\operatorname{Curl} \beta = -\xi \otimes \dot{\gamma} \, \mathcal{H}^1 \, \bot \, \gamma \implies \int_C \beta \cdot t \, d\mathcal{H}^1 = \xi \, .$$



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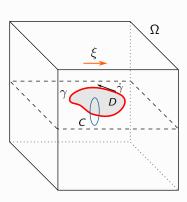
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**Geometric interpretation:** if D encloses  $\gamma$ , there exists a deformation  $v \in SBV(\Omega; \mathbb{R}^3)$  s.t.

$$Dv = \nabla v \, dx + \xi \otimes n \, \mathcal{H}^2 \, \square \, D, \quad \beta = \nabla v.$$

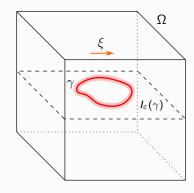
In particular:

- $\triangleright$  D = slip region,
- $\triangleright$  v has constant jump  $\xi$  across D,
- ▶ the absolutely continuous part of Dv is  $\beta$ .



Let  $\beta$  generate  $(\gamma, \xi)$ . Consider  $\varepsilon > 0$  and

$$I_{\varepsilon}(\gamma) := \{x \in \mathbb{R}^3 : \operatorname{dist}(x, \gamma) < \varepsilon\}.$$

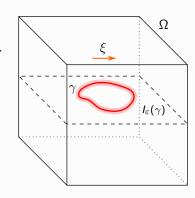


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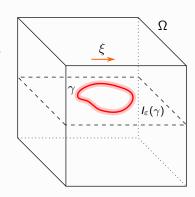
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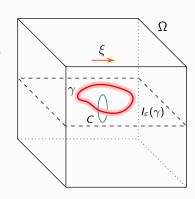
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New Strains: maps  $\beta \in L^2(\Omega_{\varepsilon}(\gamma); \mathbb{M}^{3\times 3})$  s.t.

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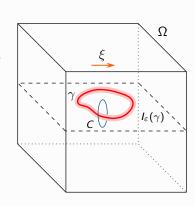
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**Elastic energy** associated to  $\beta$  is

$${\it E}_{arepsilon}(eta) := \int_{\Omega_{arepsilon}(\gamma)} W(eta) \, {\it d} x \, .$$



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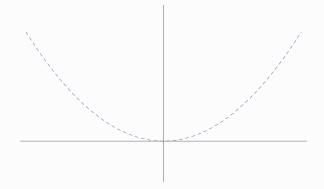
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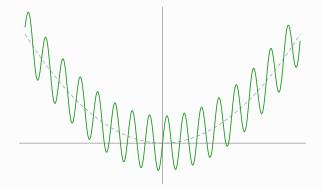
# Γ-convergence: basic example

Let  $\mathcal{X} = \mathbb{R}$  and define  $F_n(x) := x^2 + \cos(nx)$ .



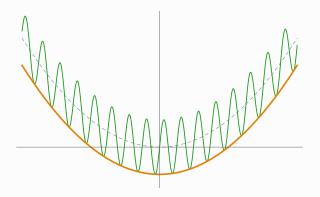
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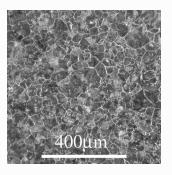
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We have that  $F_n \stackrel{\Gamma}{\to} F := x^2 - 1$  as  $n \to \infty$ .

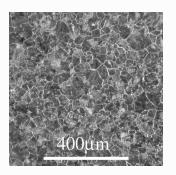
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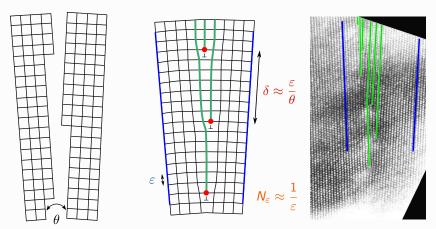


Goal: to obtain polycrystalline structures as minimisers of some energy functional.

F., Palombaro, Ponsiglione. Linearised Polycrystals from a 2D System of Edge Dislocations. Preprint (2017)

#### Structure of Tilt Grain Boundaries

Tilt boundary: small angle rotation  $\theta$  between grains  $\implies$  edge dislocations. Boundary structure: periodic array of edge dislocations with spacing  $\delta = \varepsilon/\theta$ .



Porter, Easterling. CRC Press (2009) - Gottstein. Springer (2013)

## Plan of the paper

**Setting:** consider a 2D system of  $N_{\varepsilon}$  edge dislocations, where  $\varepsilon > 0$  is the lattice spacing and

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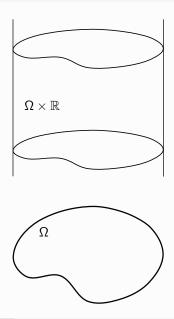
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Linearised polycrystals: our energy regime will imply

$$N_{arepsilon} \ll rac{1}{arepsilon}$$

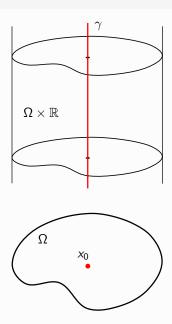
 $\implies$  we have less dislocations than tilt grain boundaries. However we still obtain polycrystalline minimisers, but with grains rotated by an infinitesimal angle  $\theta \approx 0$ .

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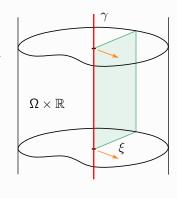
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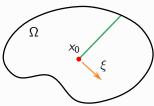


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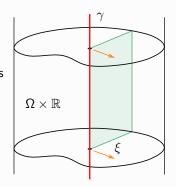
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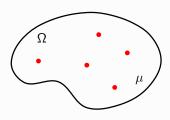
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$$\mu := \sum_{i=1}^{N} \xi_i \, \delta_{\mathsf{x}_i} \,, \quad \xi_i \in \mathcal{S} \,.$$





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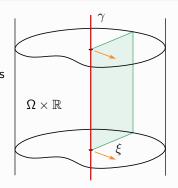
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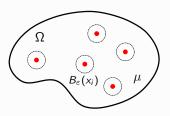
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Core radius approach:  $\Omega_{\varepsilon}(\mu) := \Omega \setminus \cup B_{\varepsilon}(x_i)$ .





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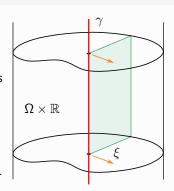
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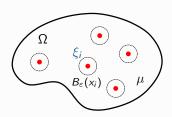
$$\mu := \sum_{i=1}^{N} \xi_i \, \delta_{\mathsf{x}_i} \,, \quad \xi_i \in \mathcal{S} \,.$$

Core radius approach:  $\Omega_{\varepsilon}(\mu) := \Omega \setminus \cup B_{\varepsilon}(x_i)$ .

**Strains:** inducing  $\mu$  are maps  $\beta \colon \Omega_{\varepsilon}(\mu) \to \mathbb{M}^{2\times 2}$  s.t.  $\beta = 0$  in  $\bigcup B_{\varepsilon}(x_i)$  and

$$\operatorname{\mathsf{Curl}} \beta \, \bot \, \Omega_\varepsilon(\mu) = 0 \,, \quad \int_{\partial B_\varepsilon(x_i)} \beta \cdot t \, \mathit{d} s = \xi_i \,.$$





Reference configuration:  $\Omega \subset \mathbb{R}^2$  open bounded.

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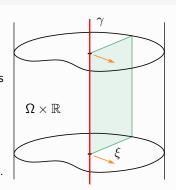
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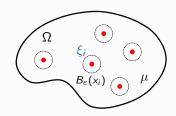
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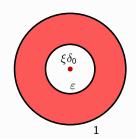
**Linear Energy:**  $\mathbb{C}F:F\sim |F^{\mathrm{sym}}|^2$ , then

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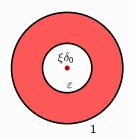


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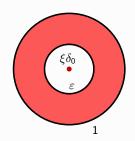
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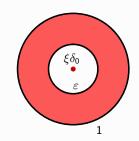
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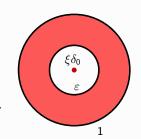
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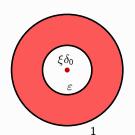
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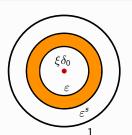


The reverse inequality can be obtained by computing the energy of

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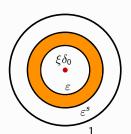
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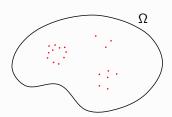
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**Self-energy:** is of order  $|\log \varepsilon|$  and concentrated in a small region around  $B_{\varepsilon}$ .

**HC Radius:** fixed scale  $\rho_{\varepsilon} \gg \varepsilon$  with  $\rho_{\varepsilon} \to 0$ .

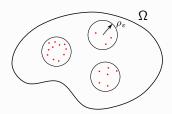
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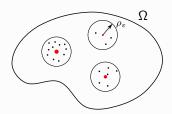
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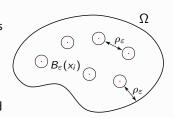
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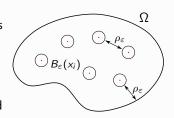
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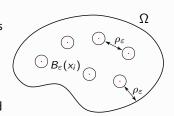
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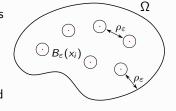
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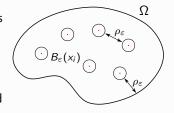
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(Measure of HC region vanishes)

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Garroni, Leoni, Ponsiglione. Gradient theory for plasticity via homogenization of discrete dislocations.

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# Behaviour of $\mathcal{F}_{\varepsilon}$ as $\varepsilon \to 0$ (Heuristic)

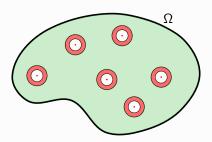
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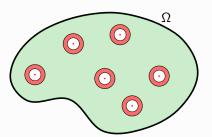


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**Idea:** rescaling by  $N_{\varepsilon}|\log \varepsilon|$ , we have  $E_{\varepsilon}^{\mathrm{interaction}} o E^{\mathrm{elastic}}$  and  $E_{\varepsilon}^{\mathrm{self}} o E^{\mathrm{plastic}}$ .

# Γ-convergence result for $N_ε \gg |\log ε|$

#### Theorem (F., Palombaro, Ponsiglione '17)

**Compactness:** consider  $(\mu_{\varepsilon}, \beta_{\varepsilon})$  s.t. "Curl  $\beta_{\varepsilon} = \mu_{\varepsilon}$ " and  $\mathcal{F}_{\varepsilon}(\mu_{\varepsilon}, \beta_{\varepsilon}) \leq C \implies$ 

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$$\mathcal{F}(\mu, S, A) := \int_{\Omega} \mathbb{C}S : S \, dx + \int_{\Omega} \varphi \left( \frac{d\mu}{d|\mu|} \right) \, d|\mu| \,, \quad \text{with } \operatorname{Curl} A = \mu \,.$$

#### Remark:

▶ S and A live on two different scales with  $S \ll A \implies$  terms in  $\mathcal{F}$  decoupled.

# Γ-convergence result for $N_ε \gg |\log ε|$

#### Theorem (F., Palombaro, Ponsiglione '17)

**Compactness:** consider  $(\mu_{\varepsilon}, \beta_{\varepsilon})$  s.t. "Curl  $\beta_{\varepsilon} = \mu_{\varepsilon}$ " and  $\mathcal{F}_{\varepsilon}(\mu_{\varepsilon}, \beta_{\varepsilon}) \leq C \implies$ 

- $\blacktriangleright \frac{\beta_{\varepsilon}^{\mathrm{sym}}}{\sqrt{N_{\varepsilon}|\log \varepsilon|}} \rightharpoonup S, \quad \frac{\beta_{\varepsilon}^{\mathrm{skew}}}{N_{\varepsilon}} \rightharpoonup A \text{ in } L^{2}(\Omega; \mathbb{M}^{2\times 2}),$
- $\blacktriangleright \frac{\mu_{\varepsilon}}{\mathsf{N}_{\varepsilon}} \stackrel{*}{\rightharpoonup} \mu \text{ in } \mathcal{M}(\Omega; \mathbb{R}^2),$
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#### Remark:

- ▶ S and A live on two different scales with  $S \ll A \implies$  terms in  $\mathcal F$  decoupled.
- ▶ In the critical regime  $N_{\varepsilon} \approx |\log \varepsilon|$  we have  $S \approx A$  and  $\text{Curl}(S + A) = \mu$ .

**Self-energy** for a single dislocation core  $\xi \delta_0$  is

$$\psi(\xi) := \lim_{\varepsilon \to 0} \, \min_{\beta} \left\{ \frac{1}{|\log \varepsilon|} \int_{B_1 \setminus B_\varepsilon} \mathbb{C}\beta : \beta \, \mathit{dx} : \text{ ``Curl } \beta = \xi \delta_0 \text{''} \right\} \, .$$

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**Plastic density:** the map  $\varphi \colon \mathbb{R}^2 \to [0,\infty)$  defined as the relaxation of  $\psi$ 

$$\varphi(\xi) := \min \left\{ \sum_{i=1}^{M} \lambda_i \psi(\xi_i) : \ \xi = \sum_{i=1}^{M} \lambda_i \xi_i, \ M \in \mathbb{N}, \ \lambda_i \geq 0, \ \xi_i \in \mathbb{S} \right\}.$$

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**Note:** since the energy is quadratic, in the  $\Gamma$ -limit we have  $\varphi$  instead of  $\psi$ .

**Properties:**  $\varphi$  is convex and positively 1-homogeneous. Moreover  $\exists c > 0$  s.t.

$$c^{-1}|\xi| \le \varphi(\xi) \le c|\xi|, \quad \forall \xi \in \mathbb{R}^2.$$

Let 
$$(\mu_{\varepsilon}, \beta_{\varepsilon})$$
 with  $\mu_{\varepsilon} = \sum_{i=1}^{M_{\varepsilon}} \xi_{\varepsilon,i} \delta_{\mathbf{x}_{\varepsilon,i}}$  and "Curl  $\beta_{\varepsilon} = \mu_{\varepsilon}$ ". Assume that 
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**Skew Part:** we use a Generalised Korn inequality: there exists C > 0 s.t. for every  $\beta \in L^1(\Omega; \mathbb{M}^{2\times 2})$  with Curl  $\beta \in \mathcal{M}(\Omega; \mathbb{R}^2)$ ,

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$$\begin{split} \int_{\Omega} |\beta_{\varepsilon}^{\text{skew}}|^2 \, dx &\leq C \left( \int_{\Omega} |\beta_{\varepsilon}^{\text{sym}}|^2 \, dx + |\mu_{\varepsilon}|(\Omega)^2 \right) \\ &\leq C \left( N_{\varepsilon} |\log \varepsilon| + N_{\varepsilon}^2 \right) \leq C N_{\varepsilon}^2 \implies \frac{\beta_{\varepsilon}^{\text{skew}}}{N_{\varepsilon}} \rightharpoonup A. \end{split}$$

Assume that  $(\mu_{\varepsilon}, \beta_{\varepsilon})$  is such that  $\mu_{\varepsilon} = \sum_{i=1}^{M_{\varepsilon}} \xi_{\varepsilon,i} \delta_{\mathsf{x}_{\varepsilon,i}}$ , "Curl  $\beta_{\varepsilon} = \mu_{\varepsilon}$ " and

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**Idea:** split the energy  $E_{\varepsilon}(\mu_{\varepsilon}, \beta_{\varepsilon}) = E_{\varepsilon}^{\mathrm{interaction}} + E_{\varepsilon}^{\mathsf{self}}$  and use lower semicontinuity:

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by Reshetnyak's lower semicontinuity Theorem, since  $\varphi$  is 1-homogeneous.

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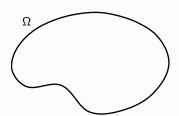
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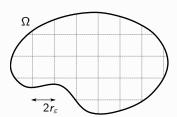
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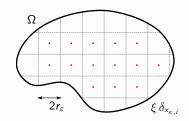
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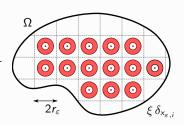
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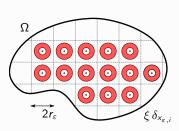
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Recovery strains: let  $K_{\varepsilon}$  be the solution to the cell-problem about each  $x_{\varepsilon,i}$ . Then  $K_{\varepsilon}/\sqrt{N_{\varepsilon}|\log \varepsilon|} \rightharpoonup 0$  and

$$\beta_{\varepsilon} = \sqrt{N_{\varepsilon} |\log \varepsilon|} S + N_{\varepsilon} A + \frac{K_{\varepsilon}}{N_{\varepsilon}} + O(\sqrt{N_{\varepsilon} |\log \varepsilon|})$$

satisfies (3), (4) and "Curl  $\beta_{\varepsilon} = \mu_{\varepsilon}$ ".



#### Adding boundary conditions

**Dirichlet type BC:** at level  $\varepsilon > 0$  fix a boundary condition  $g_{\varepsilon} : \Omega \to \mathbb{M}^{2 \times 2}$  s.t.

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#### Theorem (F., Palombaro, Ponsiglione '17)

The energy functionals  $\mathcal{F}_{arepsilon}$  are equi-coercive and they  $\Gamma$ -converge to

$$\mathcal{F}_{\mathrm{BC}}(\mu, S, A) := \int_{\Omega} \mathbb{C}S : S \, dx + \int_{\Omega} \varphi \left( \frac{d\mu}{d|\mu|} \right) \, d|\mu| + \int_{\partial\Omega} \varphi((g_A - A) \cdot t) \, ds \,,$$

with Curl  $A = \mu$  and  $\mu \in \mathcal{M}(\Omega; \mathbb{R}^2) \cap H^{-1}(\Omega; \mathbb{R}^2)$ .

**Remark:**  $\beta^{\text{sym}} \ll \beta^{\text{skew}} \implies \text{BC}$  pass to the limit only for A.

# Minimising $\mathcal{F}_{BC}$ with piecewise constant BC

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Piecewise constant BC: Fix a piecewise constant BC

$$g_A := \begin{pmatrix} 0 & a \\ -a & 0 \end{pmatrix}, \quad a := \sum_{k=1}^M m_k \chi_{U_k},$$

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#### Problem

Minimise

$$\mathcal{F}_{\mathrm{BC}}(\operatorname{Curl} A, 0, A) = \int_{\Omega} \varphi\left(\frac{d \operatorname{Curl} A}{d | \operatorname{Curl} A|}\right) d|\operatorname{Curl} A| + \int_{\partial\Omega} \varphi((g_A - A) \cdot t) ds,$$

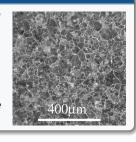
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Given a piecewise constant boundary condition  $g_A$ , there exists a piecewise constant minimiser of  $\mathcal{F}_{\mathrm{BC}}(\mathsf{Curl}\,A,0,A)$ 

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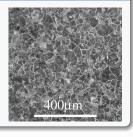


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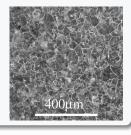
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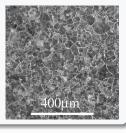
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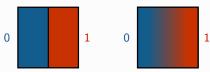
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**Essential:** that the boundary condition is piecewise affine on the whole  $\partial\Omega$ .



#### **Conclusions:**

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- ► Γ-convergence analysis starting from a non-linear energy? Namely, considering small deformations  $v = x + \varepsilon u$ . Now the Burgers vectors are  $\varepsilon \xi$  and the equivalent rescaling is  $\varepsilon^2 N_\varepsilon |\log \varepsilon|$ .
  - Müller, Scardia, Zeppieri. Indiana University Mathematics Journal (2014).

Thank You!