Memory Management

Advanced Operating Systems and Virtualization
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Memory Management

- During the boot, the Kernel relies on a temporary memory manager
 - It's compact and not very efficient
 - The rationale is that there are not many memory requests during the boot
- At steady state this is no longer the case
 - Allocations/deallocations are frequent
 - Memory must be used wisely, accounting for hardware performance
- We must also discover how much physical memory is available, and how it is organized





NUMA Nodes Organization

- A node is organized in a struct pglist_data
 (even in the case of UMA) typedef'd to pg_data_t
- Every node in the system is kept on a NULLterminated list called pgdat_list
- Each node is linked to the next with the field pg_data_t→node_next
 - In UMA systems, only one static pg_data_t structure
 called contig_page_data is used (defined at
 mm/numa.c)



NUMA Nodes Organization

- From Linux 2.6.16 to 2.6.17 much of the codebase of this portion of the kernel has been rewritten
- Introduction of macros to iterate over node data (most in include/linux/mmzone.h) such as:

```
for_each_online_pgdat()first_online_pgdat()next online pgdat(pgdat)
```

- Global pgdat list has since then been removed
- Macros rely on the global struct pglist_data
 *node_data[];



pg data t

• Defined in include/linux/mmzone.h

```
typedef struct pglist data {
     zone t node zones[MAX NR ZONES];
     zonelist t node zonelists[GFP ZONEMASK+1];
     int nr zones;
     struct page *node mem map;
     unsigned long *valid addr bitmap;
     struct bootmem data *bdata;
     unsigned long node start paddr;
     unsigned long node start mapnr;
     unsigned long node size;
     int node id;
     struct pglist data *node next;
} pg data t;
```





Zones

Nodes are divided into zones:

```
#define ZONE_DMA 0
#define ZONE_NORMAL 1
#define ZONE_HIGHMEM 2
#define MAX NR ZONES 3
```

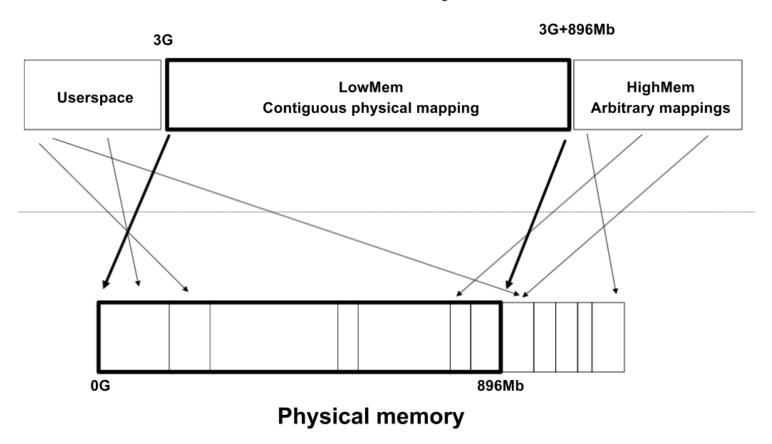
They target specific physical memory areas:





Zones

Virtual memory





Zones Initialization

- Zones are initialized after the kernel page tables have been fully set up by paging init()
- The goal is to determine what parameters to send to:
 - free area init() for UMA machines
 - free area init node() for NUMA machines
- The initialization grounds on PFNs
- max PFN is read from BIOS e820 table



e820 dump in dmesg

```
[0.000000] e820: BIOS-provided physical RAM map:
                   [0.000000] BIOS-e820:
[0.000000] BIOS-e820:
                   [0.000000] BIOS-e820:
                   [mem 0x000000000100000-0x00000007dc08bff] usable
[0.000000] BIOS-e820:
                   [mem 0x00000007dc08c00-0x00000007dc5cbff] ACPI NVS
[0.000000] BIOS-e820:
                   [mem 0x00000007dc5cc00-0x00000007dc5ebff] ACPI data
[0.000000] BIOS-e820:
                   [mem 0x00000007dc5ec00-0x00000007fffffff] reserved
[0.000000] BIOS-e820:
                   [mem 0x0000000e00000000-0x0000000efffffff] reserved
[0.000000] BIOS-e820:
                   [mem 0x0000000fec00000-0x0000000fed003ff] reserved
[0.000000] BIOS-e820:
                   [mem 0x0000000fed20000-0x0000000fed9ffff] reserved
[0.000000] BIOS-e820:
                   [mem 0x0000000fee000000-0x0000000feefffff] reserved
[0.000000] BIOS-e820:
                   [mem 0x0000000ffb00000-0x0000000ffffffff] reserved
```



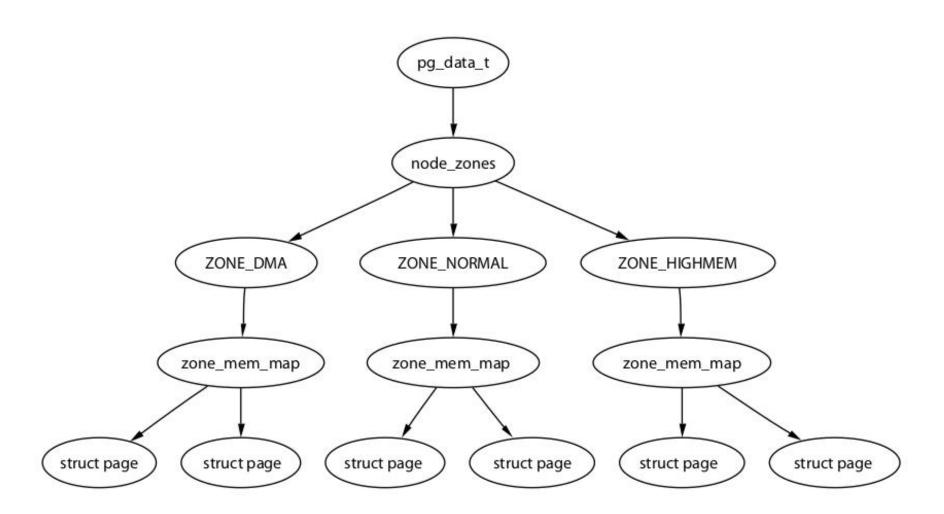


zone t

```
typedef struct zone struct {
      spinlock t
                          lock;
      unsigned
                          long free pages;
      zone watermarks t watermarks [MAX NR ZONES];
      unsigned long
                      need balance;
      unsigned long nr active pages, nr inactive pages;
      unsigned long
                          nr cache pages;
      free area t
                          free area[MAX ORDER];
      wait queue head t
                          * wait table;
                          wait table size;
      unsigned long
      unsigned long
                          wait table shift;
      struct pglist data
                          *zone pgdat;
                                              Currently 11
                          *zone mem map;
      struct page
      unsigned long
                          zone start paddr;
      unsigned long
                          zone start mapnr;
      char
                          *name;
      unsigned long
                          size;
      unsigned long realsize;
} zone t;
```



Nodes, Zones and Pages Relations







Core Map

• It is an array of mem_map_t structures defined in include/linux/mm.h and kept in ZONE NORMAL

```
typedef struct page {
    struct list head list;
                                       /* ->mapping has some page lists. */
    struct address space *mapping;
                                       /* The inode (or ...) we belong to. */
                                       /* Our offset within mapping. */
    unsigned long index;
    struct page *next hash;
                                       /* Next page sharing our hash bucket in
                                          the pagecache hash table. */
    atomic t count;
                                       /* Usage count, see below. */
                                       /* atomic flags, some possibly
    unsigned long flags;
                                          updated asynchronously */
                                       /* Pageout list, eq. active list;
    struct list head lru;
                                          protected by pagemap lru lock !! */
    struct page **pprev hash; /* Complement to *next hash. */
    struct buffer head * buffers; /* Buffer maps us to a disk block. */
    #if defined(CONFIG HIGHMEM) || defined(WANT PAGE VIRTUAL)
                                       /* Kernel virtual address (NULL if
    void *virtual;
                                          not kmapped, ie. highmem) */
    #endif /* CONFIG HIGMEM || WANT PAGE VIRTUAL */
 mem map t;
```



Core Map Members

- Struct members are used to keep track of the interactions between MM and other kernel sub-systems
- struct list_head list: used to organize the frames into free lists
- atomic_t count: counts the virtual references mapped onto the frame

```
• unsigned long flags: status bits for the frame
    #define PG_locked 0
    #define PG_referenced 2
    #define PG_uptodate 3
    #define PG_dirty 4
    #define PG_lru 6
    #define PG_reserved 14
```





How to manage flags

Bit Name	Set	Test	Clear
PG_active	SetPageActive()	PageActive()	ClearPageActive()
PG_arch_1	None	None	None
PG_checked	SetPageChecked()	PageChecked()	None
PG_dirty	SetPageDirty()	PageDirty()	ClearPageDirty()
PG_error	SetPageError()	PageError()	ClearPageError()
PG_highmem	None	PageHighMem()	None
PG_launder	SetPageLaunder()	PageLaunder()	ClearPageLaunder()
PG_locked	LockPage()	PageLocked()	UnlockPage()
PG_lru	TestSetPageLRU()	PageLRU()	TestClearPageLRU()
PG_referenced	SetPageReferenced()	PageReferenced()	ClearPageReferenced()
PG_reserved	SetPageReserved()	PageReserved()	ClearPageReserved()
PG_skip	None	None	None
PG_slab	PageSetSlab()	PageSlab()	PageClearSlab()
PG_unused	None	None	None
PG_uptodate	SetPageUptodate()	PageUptodate()	ClearPageUptodate()





Core Map on UMA

- Initially we only have the core map pointer
- This is mem_map and is declared in mm/memory.c
- Pointer initialization and corresponding memory allocation occur within free area init()
- After initializing, each entry will keep the value 0 within the count field and the value 1 into the PG_reserved flag within the flags field
- Hence no virtual reference exists for that frame and the frame is reserved
- Frame un-reserving will take place later via the function mem_init() in arch/i386/mm/init.c (by resetting the bit PG reserved)



Core Map on NUMA

- There is not a global mem_map array
- Every node keeps its own map in its own memory
- This map is referenced by pg_data_t→node_mem_map
- The rest of the organization of the map does not change



Buddy System: Frame Allocator

- By Knowlton (1965) and Knuth (1968)
- It has been experimentally shown to be quite fast
- Based on two main data structures:

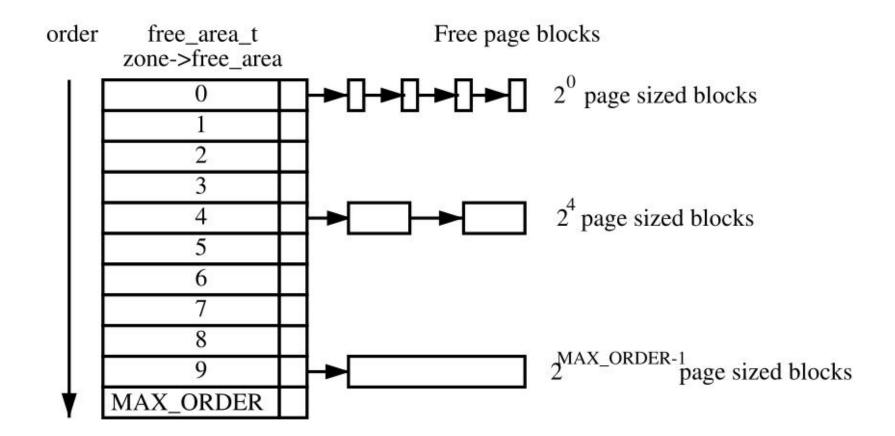
```
typedef struct free_area_struct {
    struct list_head list;
    unsigned int *map;
} free_area_t

struct list_head {
    struct list_head *next, *prev;
}
```





free area torganization





Bitmap *map semantic

- Linux saves memory by using one bit for a pair of buddies
- It's a "fragmentation" bit
- Each time a buddy is allocated or free'd, the bit representing the pair is toggled
 - 0: if the pages are both free or allocated
 - − 1: only one buddy is in use





High Memory

- When the size of physical memory approaches/ exceeds the maximum size of virtual memory, it is impossible for the kernel to keep all of the available physical memory mapped
- "Highmem" is the memory not covered by a permanent mapping
- The Kernel has an API to allow "temporary mappings"
- This is where userspace memory comes from





High Memory

- vmap(): used to make a long-duration mapping of multiple physical pages
- kmap (): it permits a short-duration mapping of a single page.
 - It needs global synchronization, but is amortized somewhat.
- kmap_atomic(): This permits a very short duration mapping of a single page.
 - It is restricted to the CPU that issued it
 - the issuing task is required to stay on that CPU until it has finished
- In general: nowadays, it *really* makes sense to use 64-bit systems!





High Memory Deallocation

Kernel maintains an array of counters:

```
static int pkmap_count[ LAST_PKMAP ];
```

- One counter for each 'high memory' page
- Counter values are 0, 1, or more than 1:
 - -=0: page is not mapped
 - -=1: page not mapped now, but used to be
 - -=n>1: page was mapped (n-1) times



kunmap()

- kunmap (page) decrements the associated reference counter
- When the counter is 1, mapping is not needed anymore
- But CPU still has "cached" that mapping
- So the mapping must be "invalidated"
- With multiple CPUs, all of them must do it
 - __flush_tlb_all()





Reclaiming Boot Memory

- The finalization of memory management init is done via mem_init() which destroys the bootmem allocator
- \bullet This function will release the frames, by resetting the PG RESERVED bit
- For each free'd frame, the function ___free_page() is invoked
 - This gives all the pages in ZONE_NORMAL to the buddy allocator
- At this point the reference count within the corresponding entry gets set to 1 since the kernel maps that frame anyway within its page table



Finalizing Memory Initialization

```
static unsigned long init
free all bootmem core(pg_data_t *pgdat) {
       // Loop through all pages in the current node
      for (i = 0; i < idx; i++, page++) {
             if (!test bit(i, bdata->node bootmem map)) {
                    count++;
                    ClearPageReserved (page);
                    // Fake the buddy into thinking it's an
                    // actual free
                    set page count (page, 1);
                    free page(page);
      total += count;
      return total;
```

Allocation Contexts

- Process context: allocation due to a system call
 - If it cannot be served: wait along the current execution trace
 - Priority-based approach
- Interrupt: allocation due to an interrupt handler
 - If it cannot be served: no actual waiting time
 - Priority independent schemes
- This approach is general to most Kernel subsystems





Basic Kernel Internal MM API

- At steady state, the MM subsystem exposes API to other kernel subsystems
- Prototypes in #include ux/malloc.h>
- Basic API: page allocation
 - unsigned long get_zeroed_page(int flags): take a frame from the free list, zero the content and return its virtual address
 - unsigned long __get_free_page(int flags): take a frame from the free list and return its virtual address
 - unsigned long __get_free_pages(int flags, unsigned long order): take a block of contiguous frames of given order from the free list



Basic Kernel Internal MM API

- Basic API: page allocation
 - void free_page(unsigned long addr):
 put a frame back into the free list
 - void free_pages (unsigned long addr, unsigned long order): put a block of frames of given order back into the free list
- Warning: passing a wrong addr or order might corrupt the Kernel!





Basic Kernel Internal MM API

- flags: used to specify the allocation context
 - GFP_ATOMIC: interrupt context. The call cannot lead to sleep
 - GFP_USER: Used to allocate memory for userspacerelated activities. The call can lead to sleep
 - GFP_BUFFER: Used to allocate a buffer. The call can lead to sleep
 - GFP_KERNEL: Used to allocate Kernel memory. The call can lead to sleep



NUMA Allocation

- On NUMA systems, we have multiple nodes
- UMA systems eventually invoke NUMA API, but the system is configured to have a single node
- Core memory allocation API:
 - struct page *alloc_pages_node(int nid, unsigned int flags, unsigned int order);
 - __get_free_pages() calls alloc_pages_node()
 specifying a NUMA policy



NUMA Policies

- NUMA policies determine what NUMA node is involved in a memory operation
- Since Kernel 2.6.18, userspace can tell the Kernel what policy to use:

```
#include <numaif.h>
int set_mempolicy(int mode, unsigned long
*nodemask, unsigned long maxnode);
```

• mode can be: mpol_default, mpol_bind, mpol interleave or mpol preferred





NUMA Policies

```
#include <numaif.h>
int mbind(void *addr, unsigned long len,
int mode, unsigned long *nodemask,
unsigned long maxnode, unsigned flags);
```

Sets the NUMA memory policy, which consists of a policy mode and zero or more nodes, for the memory range starting with *addr* and continuing for *len* bytes. The memory policy defines from which node memory is allocated.



Moving Pages Around

```
#include <numaif.h>
long move_pages(int pid, unsigned long
count, void **pages, const int *nodes,
int *status, int flags);
```

Moves the specified *pages* of the process *pid* to the memory nodes specified by *nodes*. The result of the move is reflected in *status*. The *flags* indicate constraints on the pages to be moved.





Frequent Allocations/Deallocations

- Consider fixed-size data structures which are frequently allocated/released
- The buddy system here does not scale
 - This is a classical case of frequent logical contention
 - The Buddy System on each NUMA node is protected by a spinlock
 - The internal fragmentation might rise too much





Classical Examples

- Allocation/release of page tables, at any level, is very frequent
- We want to perform these operations quickly
- For paging we have:
 - pgd_alloc(),pmd_alloc() and pte_alloc()
 - pgd_free(),pmd_free() and pte_free()
- They rely on one of Kernel-level *fast allocators*



Fast Allocation

- There are several fast allocators in the Kernel
- For paging, there are the *quicklists*
- For other buffers, there is the *slab allocator*
- There are three implementations of the slab allocator in Linux:
 - the SLAB: Implemented around 1994
 - the SLUB: The Unqueued Slab Allocator, default since Kernel 2.6.23
 - the SLOB: Simple List of Blocks. If the SLAB is disabled at compile time, Linux reverts to this



Quicklist

- Defined in include/linux/quicklist.h
- They are implemented as a list of per-core page lists
- There is no need for synchronization
- If allocation fails, they revert to
 __get_free_page()





Quicklist Allocation

```
static inline void *quicklist alloc(int nr, gfp t flags, ...) {
      struct quicklist *q;
      void **p = NULL;
      q = &get cpu var(quicklist)[nr];
      p = q-page;
      if (likely(p)) {
             q-page = p[0];
             p[0] = NULL;
             q->nr pages--;
      put cpu var(quicklist);
      if (likely(p))
             return p;
      p = (void *) get free page(flags | GFP ZERO);
      return p;
```



likely/unlikely

• Defined in include/linux/compiler.h
define likely(x) __builtin_expect(!!(x), 1)
define unlikely(x) __builtin_expect(!!(x), 0)

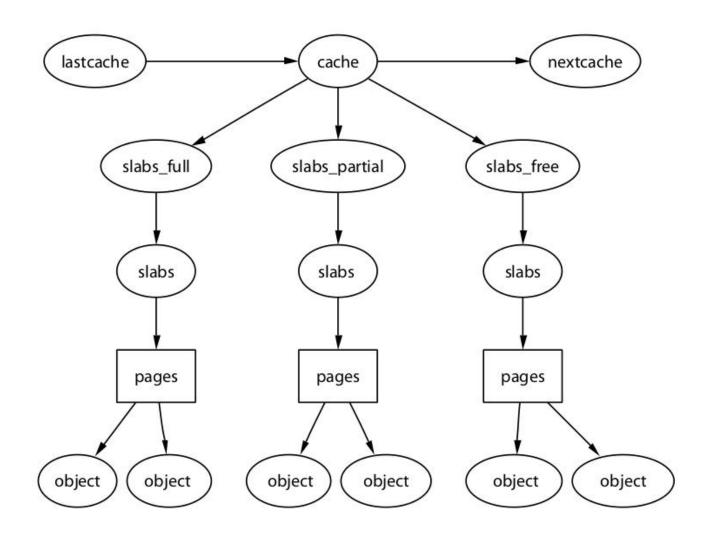
!! is used to convert any value to 1 or 0

- Up to Pentium 4:
 - 0x2e: Branch Not Taken
 - 0x3e: Branch Taken





The SLAB Allocator





SLAB Interfaces

- Prototypes are in #include <linux/malloc.h>
- void *kmalloc(size_t size, int flags):
 allocation of contiguous memory (it returns the virtual address)
- void kfree(void *obj): frees memory allocated via kmalloc()
- void *kmalloc_node(size_t size, int flags, int node): NUMA-aware allocation





Available Caches (up to 3.9.11)

```
struct cache sizes {
     size t
                          cs size;
                          *cs cachep;
     struct kmem cache
#ifdef CONFIG ZONE DMA
     struct kmem cache
                         *cs dmacachep;
#endif
static cache sizes t cache sizes[] = {
     {32,
           NULL,
                         NULL },
     {64,
              NULL,
                         NULL },
     {128,
               NULL,
                          NULL }
     {65536, NULL,
                         NULL },
                          NULL },
     {131072, NULL,
```



Available Caches (since 3.10)

```
struct kmem cache node {
       spinlock t list lock;
#ifdef CONFIG SLAB
       struct list head slabs partial; /* partial list first, better
                                          asm code */
       struct list head slabs full;
       struct list head slabs free;
       unsigned long free objects;
       unsigned int free limit;
       unsigned int colour next;
                                    /* Per-node cache coloring */
       struct array cache *shared; /* shared per node */
       struct array cache **alien; /* on other nodes */
       unsigned long next reap; /* updated without locking */
                                     /* updated without locking */
       int free touched;
#endif
```

Slab Coloring

L1_CACHE_BYTES C С О | 0 Object Object Object n n g page



L1 data caches

- Cache lines are small (typically 32/64 bytes)
- L1_CACHE_BYTES is the configuration macro in Linux
- Independently of the mapping scheme, close addresses fall in the same line
- Cache-aligned addressess fall in different lines
- We need to cope with cache performance issues at the level of kernel programming (typically not of explicit concern for user level programming)



Cache Performance Aspects

- Common members access issues
 - Most-used members in a data structure should be placed at its head to maximize cache hits
 - This should happen provided that the slaballocation (kmalloc()) system gives cache-line aligned addresses for dynamically allocated memory chunks
- Loosely related fields should be placed sufficiently distant in the data structure so as to avoid performance penalties due to false cache sharing
- The Kernel has also to deal with Aliasing



Cache flush operations

- Cache flushes automation can be partial (similar to TLB)
- Need for explicit cache flush operations
- In some cases, the flush operation uses the physical address of the cached data to support flushing ("strict caching systems", e.g. HyperSparc)
- Hence, TLB flushes should always be placed after the corresponding data cache flush calls

Flushing Full MM	Flushing Range	Flushing Page
flush_cache_mm()	flush_cache_range()	flush_cache_page()
Change all page tables	Change page table range	Change single PTE
flush_tlb_mm()	flush_tlb_range()	flush_tlb_page()





Cache flush operations

- void flush_cache_all(void)
 - Flushes the entire CPU cache system, which makes it the most severe flush operation to use
 - It is used when changes to the kernel page tables, which are global in nature, are to be performed
- void flush_cache_mm(struct mm_struct *mm)
 - Flushes all entries related to the address space
 - On completion, no cache lines will be associated with mm





Cache flush operations

```
void flush_cache_range(struct mm_struct *mm,
   unsigned long start, unsigned long end)
```

- This flushes lines related to a range of addresses
- Like its TLB equivalent, it is provided in case the architecture has an efficient way of flushing ranges instead of flushing each individual page

```
void flush_cache_page(struct vm_area_struct
*vma, unsigned long vmaddr)
```

- Flushes a single-page-sized region
- vma is supplied because the mm_struct is easily accessible through vma->vm mm
- Additionally, by testing for the VM_EXEC flag, the architecture knows if the region is executable for caches that separate the instructions and data caches



User-/Kernel-Level Data Movement

```
unsigned long copy_from_user(void *to, const void *from,
    unsigned long n)
   Copies n bytes from the user address(from) to the kernel address space(to).
unsigned long copy_to_user(void *to, const void *from,
    unsigned long n)
   Copies n bytes from the kernel address(from) to the user address space(to).
void get user(void *to, void *from)
   Copies an integer value from userspace (from) to kernel space (to).
void put user(void *from, void *to)
   Copies an integer value from kernel space (from) to userspace (to).
long strncpy from user(char *dst, const char *src, long count)
   Copies a null terminated string of at most count bytes long from userspace (src) to
   kernel space (dst)
int access ok (int type, unsigned long addr, unsigned
   long siz\overline{e})
   Returns nonzero if the userspace block of memory is valid and zero otherwise
```

Large-size Allocations

- Typically used when adding large-size data structures to the kernel in a stable way
- This is the case when, e.g., mounting external modules
- The main APIs are:
 - void *vmalloc (unsigned long size)
 allocates memory of a given size, which can be non-contiguous, and returns the virtual address (the corresponding frames are reserved)
 - void vfree (void *addr)
 frees the above mentioned memory



Logical/Physical Address Translation

• This is valid only for kernel directly mapped memory (not vmalloc'd memory)

virt_to_phys(unsigned int addr) (in include/x86/io.h)

 phys_to_virt(unsigned int addr) (in include/x86/io.h)



kmalloc() **vs** vmalloc()

• Allocation size:

- Bounded for kmalloc (cache aligned)
 - The boundary depends on the architecture and the Linux version. Current implementations handle up to 8KB
- 64/128 MB for vmalloc

Physical contiguousness

- Yes for kmalloc
- No for vmalloc

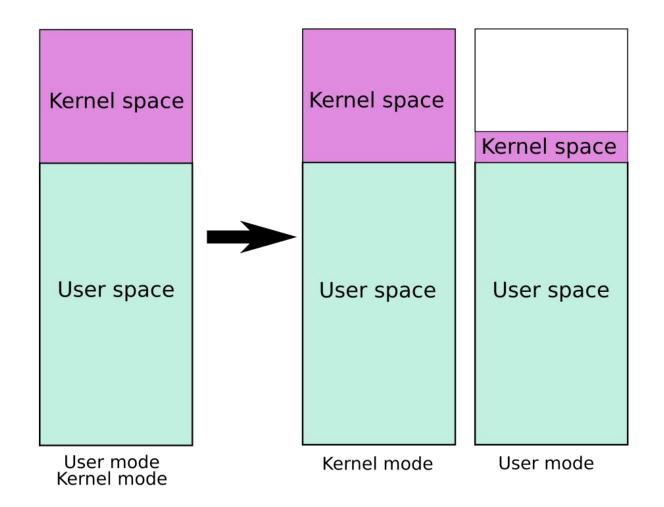
• Effects on TLB

- None for kmalloc
- Global for vmalloc (transparent to vmalloc users)





Kernel Page Table Isolation





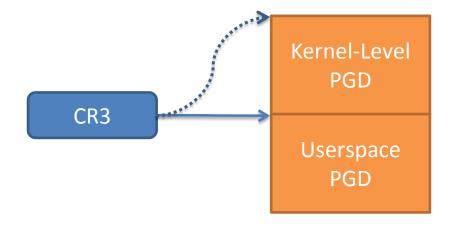
cpu entry area

```
struct cpu entry area {
   char gdt[PAGE SIZE];
   struct entry stack page entry stack page;
   struct tss struct tss;
   char exception stacks[...];
};
DECLARE PER CPU(struct cpu entry area *,
                cpu entry area);
```



Double Page General Directory

- The first level of the page table is composed of a buffer of 8 KBs (two actual pages)
- One page is used to map the kernel-level memory view
- The other one is used to map the userspace memory view



CR3 is updated when transitioning to and from kernel mode





Switch CR3

```
/arch/x86/entry/entry 64.S:
SYM CODE START (entry SYSCALL 64)
      SWITCH TO KERNEL CR3 scratch reg=%rsp
      SWITCH TO USER CR3 STACK scratch reg=%rdi
/arch/x86/entry/calling.h:
.macro SWITCH TO KERNEL CR3 scratch reg:req
      mov %cr3, \scratch reg
      andq $(~PTI USER PGTABLE AND PCID MASK), \scratch reg
      mov \scratch reg, %cr3
.endm
```



Memory View Consistency

- When a minor fault occurs, the process transitions to kernel mode
- Any update in the page table is therefore reflected only in the kernel-view page table
- The userspace page table must be explicitly realigned by the fault handler
- This same behavior occurs when a clone of the page table is created (this aspect is related to the implementation of the fork())



Memory View Consistency (5.5)

```
static noinline int vmalloc_fault(unsigned long address)
       pgd t *pgd, *pgd k;
       p4d t *p4d, *p4d k;
       pud t *pud;
       pmd t *pmd;
       pte t *pte;
       pgd = (pgd t *) va(read cr3 pa()) + pgd index(address);
       if (pgd none(*pgd)) {
               set pgd(pgd, *pgd k);
```



Memory View Consistency (5.5)

```
/arch/x86/include/asm/pgtable 64.h:
static inline void native set pgd(pgd_t *pgdp, pgd_t pgd)
        WRITE ONCE (*pgdp, pti set user pgtbl(pgdp, pgd));
/arch/x86/mm/pti.c:
pgd t pti set user pgtbl(pgd t *pgdp, pgd t pgd)
        if (!pgdp maps userspace(pgdp))
                 return pqd;
        kernel to user pgdp(pgdp)->pgd = pgd.pgd;
        if ((pgd.pgd & ( PAGE USER| PAGE PRESENT)) == ( PAGE USER| PAGE PRESENT) &&
             ( supported pte mask & PAGE NX))
                 pgd.pgd |= PAGE NX;
        return pgd;
```

