

# Free $\dashv$ Forgetful Adjunction between $Mod(\mathbb{T})$ and **Set**

Artem Gureev

Winter 2021

**Theorem** If  $\mathbb{T}$  is an algebraic theory then there is a free/forgetful adjunction from  $Mod(\mathbb{T})$  of set-valued models to **Set**.

First, define the forgetful functor  $Fo: Mod(\mathbb{T}) \rightarrow \mathbf{Set}$  by  $Fo(G) = G(1)$ , the universe, and for  $\alpha: F \rightarrow G$  we get that  $Fo(\alpha) = \alpha_1$ . Functoriality is evident.

Now, we define  $Fr: \mathbf{Set} \rightarrow Mod(\mathbb{T})$ . If  $X$  is a set then  $Fr(X)$  is a functor from  $\mathbb{T}$  to **Set** such that  $Fr(X)(1)$  is the set of terms  $Tm_X$  modulo axioms formed from elements of  $X$ . We build up this set inductively. If  $x \in X$  then  $x \in Fr(X)(1)$ . If  $f \in \Sigma_n$ , i.e. an  $n$ -ary function symbol, and  $t_1, \dots, t_n$  terms in  $Fr(X)(1)$ , then  $f(t_1, \dots, t_n) \in Fr(X)(1)$ . If  $\overline{x_i} | t_1(\overline{x_i}) = t_2(\overline{x_i})$  is in the axioms of  $\mathbb{T}$ , then  $t_1(\overline{x_i}) = t_2(\overline{x_i})$  in  $Tm_X$ . The products are the usual products and the projection maps are the usual projection maps. Functoriality is evident and hence well-defined, finite products are preserved by definition. If  $f: X \rightarrow Y$ , then  $Fr(f): Fr(X) \rightarrow Fr(Y)$  is defined on  $Fr(X)(1) \rightarrow Fr(Y)(1)$  by induction. For the base step  $x \mapsto f(x)$  for the inductive step if  $g \in \Sigma_n$  and  $t_1, \dots, t_n$  terms then  $Fr(f)(g(t_1, \dots, t_n)) = g(Fr(f)(t_1), \dots, Fr(f)(t_n))$ . This assignment naturally extends to assignment on all  $n$ . Then if  $\|f\|: n \rightarrow 1$  in  $\mathbb{T}$  we have that  $Fr(X)(f): Tm_X^n \rightarrow Tm_X$  is defined naturally by  $Fr(X)(f)(t_1, \dots, t_n) = f(t_1, \dots, t_n)$ . Check naturality if  $g: X \rightarrow Y$ :

$$\begin{array}{ccccc} n & & Tm_X^n & \longrightarrow & Tm_Y^n \\ \downarrow f & & \downarrow Fr(X)(f) & & \downarrow Fr(Y)(f) \\ 1 & & Tm_X & \longrightarrow & Tm_Y \end{array}$$

on the one side we get

$$Tm_X^n \longrightarrow Tm_X \longrightarrow Tm_Y$$

$$\overline{t_i(\overline{x_i})} \mapsto \overline{f(t_i(\overline{x_i}))} \mapsto \overline{f(t_i(\overline{g(x_i)}))}$$

and on the other

$$Tm_X^n \longrightarrow Tm_Y^n \longrightarrow Tm_Y$$

$$\overline{t_i(\overline{x_i})} \mapsto \overline{t_i(\overline{g(x_i)})} \mapsto \overline{f(t_i(\overline{g(x_i)}))}$$

Functoriality is easy to check as suffices to check it for the universe. If  $f: X \rightarrow Y$  and  $g: Y \rightarrow Z$ , then  $Fr(gf)_1$  is defined to be  $\overline{t_i(\overline{x_i})} \mapsto t_i(\overline{gf(x_i)})$  which is the same as the composition  $\overline{t_i(\overline{x_i})} \mapsto t_i(\overline{f(x_i)}) \mapsto t_i(\overline{gf(x_i)})$  which is exactly  $F(g)_1 F(f)_1$ . Similarly,  $Fr(id_X)$  is evidently the identity in the category of models.

Now, we claim that  $Fr \dashv Fo$ . To see this, we define

$$Mod(\mathbb{T})(Fr(X), G) \cong \mathbf{Set}(X, Fo(G))$$

by sending  $\alpha: Fr(X) \rightarrow G$  to  $\alpha_1|_X$  by abuse of notation, looking at the base-level terms as elements of  $X$  and from the other direction sending  $f: X \rightarrow Fo(G)$  to a natural transformation  $\alpha$  defined by extending  $\alpha_1: Fr(X)(1) \rightarrow G(1)$ . That is,  $\alpha_1(t(\overline{x})) = \overline{t(f(x))}$ . This naturally extends to the products. We need to prove naturality. As before, suffices to check on maps to 1.

$$\begin{array}{ccccc} n & & Tm_X^n & \xrightarrow{\tilde{f}^n} & G(1)^n \\ \downarrow g & & \downarrow Fr(X)(g) & & \downarrow G(g) \\ 1 & & Tm_X & \xrightarrow{\tilde{f}} & G(1) \end{array}$$

On the one side we have

$$Tm_X^n \longrightarrow G(1)^n \longrightarrow G(1)$$

$$\overline{t_i(\overline{x_i})} \mapsto g(\overline{t_i(\overline{x_i})}) \mapsto g(\overline{t_i(\overline{f(x_i)})})$$

in the other

$$Tm_X^n \longrightarrow G(1)^n \longrightarrow G(1)$$

$$\overline{t_i(\overline{x_i})} \mapsto (\overline{t_i(\overline{f(x_i)})}) \mapsto g(\overline{t_i(\overline{f(x_i)})})$$

In one direction, if  $\alpha: Fr(X) \Rightarrow G$  it gets taken to  $\alpha_1|_X$  which then gets taken to a natural transformation defined on the base level as  $t(\overline{x_i}) \mapsto \overline{t(\alpha_1|_X(x_i))}$  which extends to an interpretation on all terms producing  $\alpha$ .

In the other direction, of  $f: X \rightarrow Fo(G)$ , then it first gets taken to a natural transformation  $\alpha$  defined on 1 by applying on the base terms the function  $f$  and then gets taken to a map that is  $\alpha_1|_X$ , i.e. exactly our map  $f$ .

Now, let us prove naturality in both variables. Let us consider the diagram

$$\begin{array}{ccccc} X & & Mod(\mathbb{T})(Fr(X), G) & \xrightarrow{\cong} & \mathbf{Set}(X, Fo(G)) \\ \uparrow f & & \downarrow Fr(f)^* & & \downarrow f^* \\ Y & & Mod(\mathbb{T})(Fr(Y), G) & \xrightarrow{\cong} & \mathbf{Set}(Y, Fo(G)) \end{array}$$

Suppose  $\alpha: Fr(X) \Rightarrow G$ . Recall that suffices to see what happens at the bottom level. So, by abuse of notation we have that

$$Mod(\mathbb{T})(Fr(X), G) \longrightarrow Mod(\mathbb{T})(Fr(Y), G) \longrightarrow \mathbf{Set}(Y, Fo(G))$$

$$\alpha \quad \mapsto \quad \alpha(Fr(f)) \quad \mapsto \quad \alpha(Fr(f))|_Y$$

where  $\alpha(Fr(f))_1$  is defined by  $t(\bar{x}) \mapsto \alpha t(\overline{f(x_i)})$  so that  $\alpha(Fr(f))|_Y = (\alpha_1|_Y)f$

$$Mod(\mathbb{T})(Fr(X), G) \longrightarrow \mathbf{Set}(X, Fo(G)) \longrightarrow \mathbf{Set}(Y, Fo(G))$$

$$\alpha \quad \mapsto \quad \alpha_1|_X \quad \mapsto \quad (\alpha_1|_Y)f$$

in the other variable, get

$$\begin{array}{ccccc} F & Mod(\mathbb{T})(Fr(X), F) & \xrightarrow{\cong} & \mathbf{Set}(X, Fo(F)) \\ \parallel & \downarrow & & \downarrow \\ \alpha & \alpha_* & & Fo(\alpha)_* \\ \downarrow & \downarrow & & \downarrow \\ G & Mod(\mathbb{T})(Fr(X), G) & \xrightarrow{\cong} & \mathbf{Set}(X, Fo(G)) \end{array}$$

so take  $\eta: Fr(X) \Rightarrow F$ . In one direction, following the left side of the square we get  $\alpha_1\eta_1|_X$  and in the other  $|\eta_1|_X$  and hence we have the desired adjunction.

### Corollary

The category of set-valued models of essentially algebraic theories has a free-forgetful adjunction formulated by this procedure.